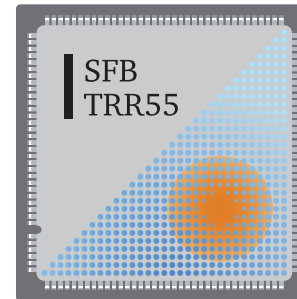


# Leptonic decay-constant ratio $f_K/f_\pi$ from clover-improved $N_f = 2 + 1$ QCD

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- preprint:

arXiv:1601.05998[hep-lat]

**Leptonic decay-constant ratio  $f_K/f_\pi$  from lattice QCD  
using 2+1 clover-improved fermion flavors with 2-HEX smearing**



# Cabibbo-Kobayashi-Maskawa (CKM)-matrix elements

- (leptonic) decay constants  $f_{\pi^\pm}, f_{K^\pm} \rightarrow \ell \nu_\ell$  of (charged)  $\pi^\pm, K^\pm$ -meson  $\longrightarrow V_{us}, V_{ud}$
- leptonic decay ( $\ell = e^\pm, \mu^\pm$ ), experimentally measured: decay widths

$$\frac{\Gamma(K^\pm \rightarrow \ell \nu_\ell)}{\Gamma(\pi^\pm \rightarrow \ell \nu_\ell)} = \frac{V_{us}^2}{V_{ud}^2} \frac{f_{K^\pm}^2}{f_{\pi^\pm}^2} \frac{M_{K^\pm}^2}{M_{\pi^\pm}^2} \frac{\left(1 - \frac{m_\ell^2}{M_{K^\pm}^2}\right)^2}{\left(1 - \frac{m_\ell^2}{M_{\pi^\pm}^2}\right)^2} (1 + \delta_{\text{em}})$$

MARCIANO, 2004

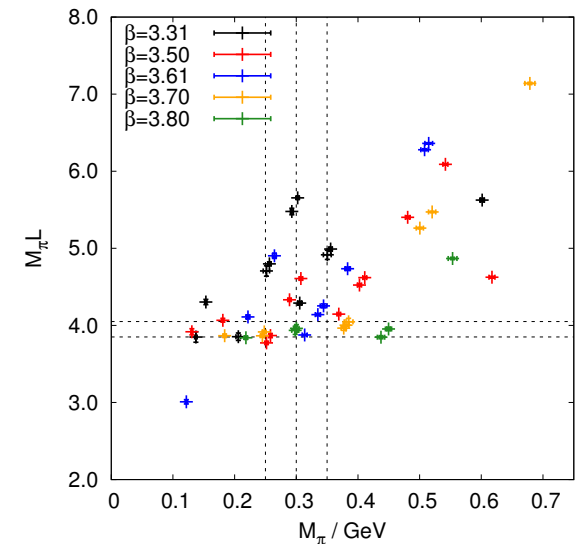
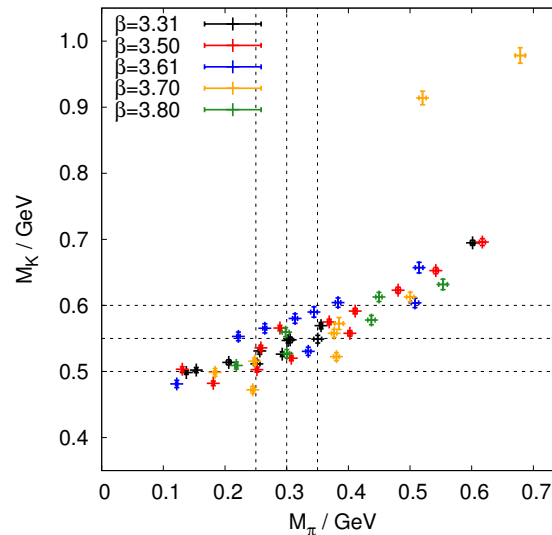
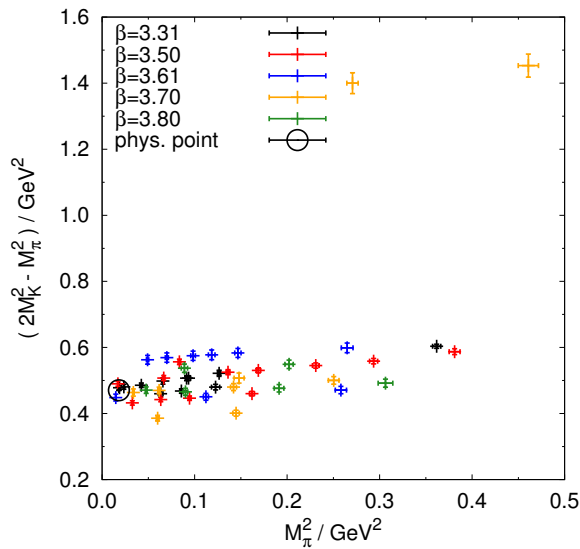
- measured on lattice (w/o isospin-splitting/EM)

$$\frac{f_K}{f_\pi}$$

- $V_{us}/V_{ud}$ , with further input: first-row unitarity,  $V_{us}$

# ensembles

- generated by the Budapest-Marseille-Wuppertal (BMW)-Collaboration
- $N_f = 2 + 1$  tree-level clover-improved fermions, 2-HEX smearing
- tree-level Symanzik gluon action
- 47 ensembles in total
- five  $\beta$ -values: 3.31, 3.50, 3.61, 3.70, 3.80 ( $1/a \simeq 1.7\text{--}3.7$  GeV,  $a \simeq 0.12\text{--}0.05$  fm)
- $M_\pi$ : 130–680 MeV,  $m_s$  physical (except two ensembles)



- have been used in other studies: quark masses, SU(2)-LECs and  $f_\pi$ ,  $B_K$ , nucleon  $\sigma$ -term

# extrapolation to the physical world

- interpolation to physical quark-masses  $m_{ud} \rightarrow m_{ud}^{\text{phys}}$ ,  $m_s \rightarrow m_s^{\text{phys}}$
- extrapolate to infinite volume  $V \rightarrow \infty$
- extrapolate to the continuum limit  $a \rightarrow 0$
- scale-setting  $1/a$

**variation over fits, ranges, . . . (“Wuppertal-method”) for systematic uncertainty**

- at least two different functional forms for each extrapolation

## extrapolation to $M_\pi^{\text{phys}}, M_K^{\text{phys}}$

- NLO-SU(3): 2 fit-parameters

$$\frac{f_K}{f_\pi} = 1 + \frac{c_0}{2} \left\{ \frac{5}{4} M_\pi^2 \log \left( \frac{M_\pi^2}{\mu^2} \right) - \frac{1}{2} M_K^2 \log \left( \frac{M_K^2}{\mu^2} \right) - \frac{3}{4} M_\eta^2 \log \left( \frac{M_\eta^2}{\mu^2} \right) + c_1 [M_K^2 - M_\pi^2] \right\}$$

$$M_\eta^2 = \frac{1}{3}(4M_K^2 - M_\pi^2), \quad c_0 = \frac{1}{(4\pi F_0)^2}, \quad c_1 = 128\pi^2 L_5(\mu)$$

- 3-,4-,6-parameter polynomial in  $M_\pi^2$  (dominant) and  $[M_K^2 - M_\pi^2]$  (flavor-symmetry constr.):

$$\frac{f_K}{f_\pi} = 1 + [M_K^2 - M_\pi^2] \left( c_0^{3\text{-par}} + c_1^{3\text{-par}} [M_K^2 - M_\pi^2] + c_2^{3\text{-par}} M_\pi^2 \right)$$

$$\frac{f_K}{f_\pi} = 1 + [M_K^2 - M_\pi^2] \left( c_0^{4\text{-par}} + c_1^{4\text{-par}} [M_K^2 - M_\pi^2] + c_2^{4\text{-par}} M_\pi^2 + c_3^{4\text{-par}} M_\pi^4 \right)$$

$$\begin{aligned} \frac{f_K}{f_\pi} = 1 + [M_K^2 - M_\pi^2] & \left( c_0^{6\text{-par}} + c_1^{6\text{-par}} [M_K^2 - M_\pi^2] + c_2^{6\text{-par}} M_\pi^2 + c_3^{6\text{-par}} M_\pi^4 \right. \\ & \left. + c_4^{6\text{-par}} M_\pi^2 [M_K^2 - M_\pi^2] + c_5^{6\text{-par}} [M_K^2 - M_\pi^2]^2 \right) \end{aligned}$$

- all fit-functions satisfy flavor-symmetry constraint:  $\left. \frac{f_K}{f_\pi} \right|_{m_{ud}=m_s} = 1$

# continuum limit extrapolation

- cut-off effects  
(clover-improved fermions, tree-level Symanzik gluons)

$$\propto \alpha a$$

with strong coupling  $\alpha = g^2/(4\pi)$  at scale  $1/a$

- $\alpha$ : logarithmic function of  $a$
- two ansätze:  $a^2$ - and  $a\alpha$ -scaling

$\beta$	$a^{-1}/\text{GeV}$	$\alpha_{N_f=3}$	$\alpha_{N_f=4}$
3.31	1.670(07)	0.327	0.333
3.50	2.134(15)	0.286	0.295
3.61	2.576(28)	0.262	0.271
3.70	3.031(32)	0.244	0.254
3.80	3.657(37)	0.227	0.237

$$\frac{f_K}{f_\pi} = 1 + \left( \frac{f_K}{f_\pi}(M_\pi, M_K) - 1 \right) \left( 1 + c^{\text{disc}} a^2 \right)$$

$$\frac{f_K}{f_\pi} = 1 + \left( \frac{f_K}{f_\pi}(M_\pi, M_K) - 1 \right) \left( 1 + c^{\text{disc}} \alpha a \right)$$

1 fit-parameter, flavor-symmetry constraint

scale-setting: mass-independent via  $M_\Omega$  for each  $\beta$  or per-ensemble

## infinite volume extrapolation

- ChPT: finite volume  $M_{\pi,K}(L), f_{\pi,K}(L) \leftrightarrow$  infinite volume  $M_{\pi,K}, f_{\pi,K}$

$$\frac{f_K(L)}{f_\pi(L)} = \frac{f_K}{f_\pi} \left( 1 + c^{\text{FV}} \left[ \frac{5}{8} \tilde{g}_1(M_\pi L) - \frac{1}{4} \tilde{g}_1(M_K L) - \frac{3}{8} \tilde{g}_1(M_\eta L) \right] \right)$$

$$\tilde{g}_1(z) = \frac{24}{z} K_1(z) + \frac{48}{\sqrt{2}z} K_1(\sqrt{2}z) + \frac{32}{\sqrt{3}z} K_1(\sqrt{3}z) + \frac{24}{2z} K_1(2z) + \dots$$

$$K_1(z) = \sqrt{\frac{\pi}{2z}} e^{-z} \left\{ 1 + \frac{3}{8z} - \frac{3 \cdot 5}{2(8z)^2} + \frac{3 \cdot 5 \cdot 21}{6(8z)^3} - \frac{3 \cdot 5 \cdot 21 \cdot 45}{24(8z)^4} + \dots \right\}$$

- either full  $\tilde{g}_1(z)$  or first term of expansion in  $z$
- 1 fit-parameter
- flavor-symmetry constraint satisfied



# functional forms for extrapolation — summary

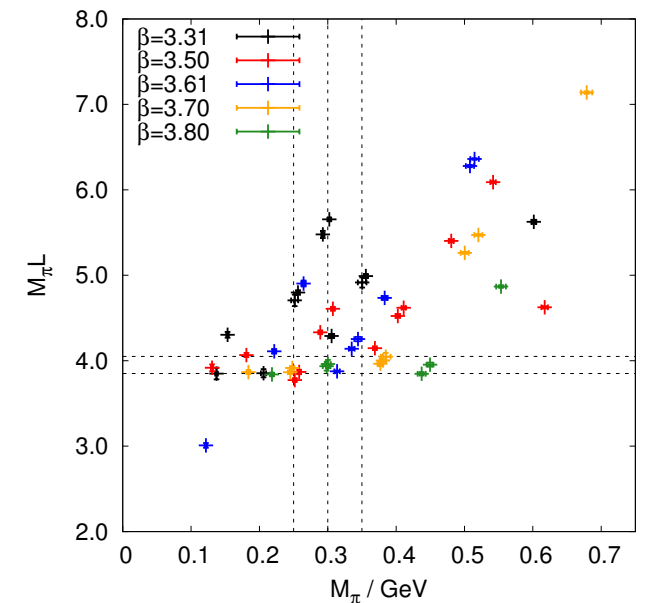
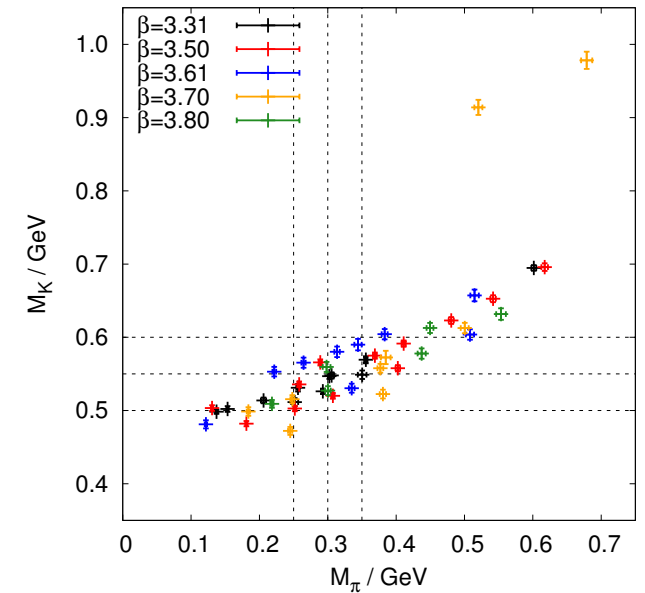
- 4 functional forms for physical mass (NLO-SU(3) ChPT, 3-, 4-, 6-par. polynomial)  
2, 3, 4, or 6 fit-parameters
- 2 functional forms for continuum limit ( $a^2$ ,  $a\alpha$ )  
1 fit-parameter
- 2 functional forms for infinite volume limit (full  $\tilde{g}_1$ , leading terms)  
1 fit-parameter
- 2 method for scale-setting (mass-independent, per-ensemble)

$$4 \times 2 \times 2 \times 2 = 32 \text{ combinations}$$

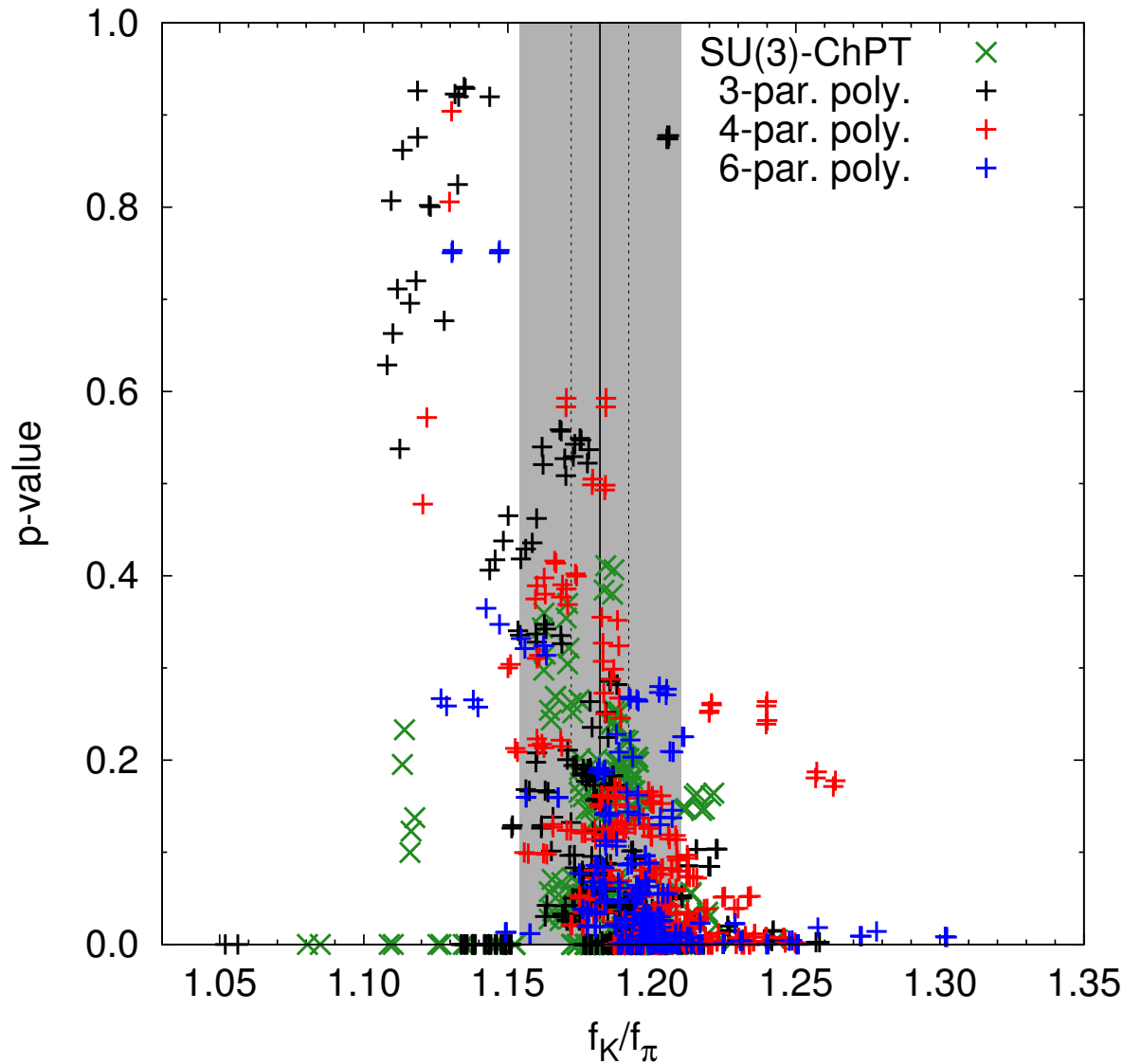
4, 5, 6 or 8 fit-parameters

# fit ranges

- 47 ensembles:  $2^{47} \simeq \mathcal{O}(10^{14})$  combinations  
neither feasible nor sensible
- $M_\pi^{\max}$ : none, 350 MeV, 300 MeV, 250 MeV
- $M_K^{\max}$ : none, 600 MeV, 550 MeV, 500 MeV
- $(M_\pi L)^{\min}$ : none, 3.85, 4.05
- $\beta^{\min}$ : 3.31, 3.50, 3.61
- $\geq 5$  data-points: **63 combinations**
- only “true” fits
- theor.  **$32 \times 63 = 2016$**  fits
- effective: **1368 fits** ( $n_{\text{d.o.f.}} \geq 1$ )



# results from 1368 fits



unweighted average:

$$\left. \frac{f_K}{f_\pi} \right|_{\text{flat}} = 1.191(08)_{\text{stat}}(24)_{\text{syst}}$$

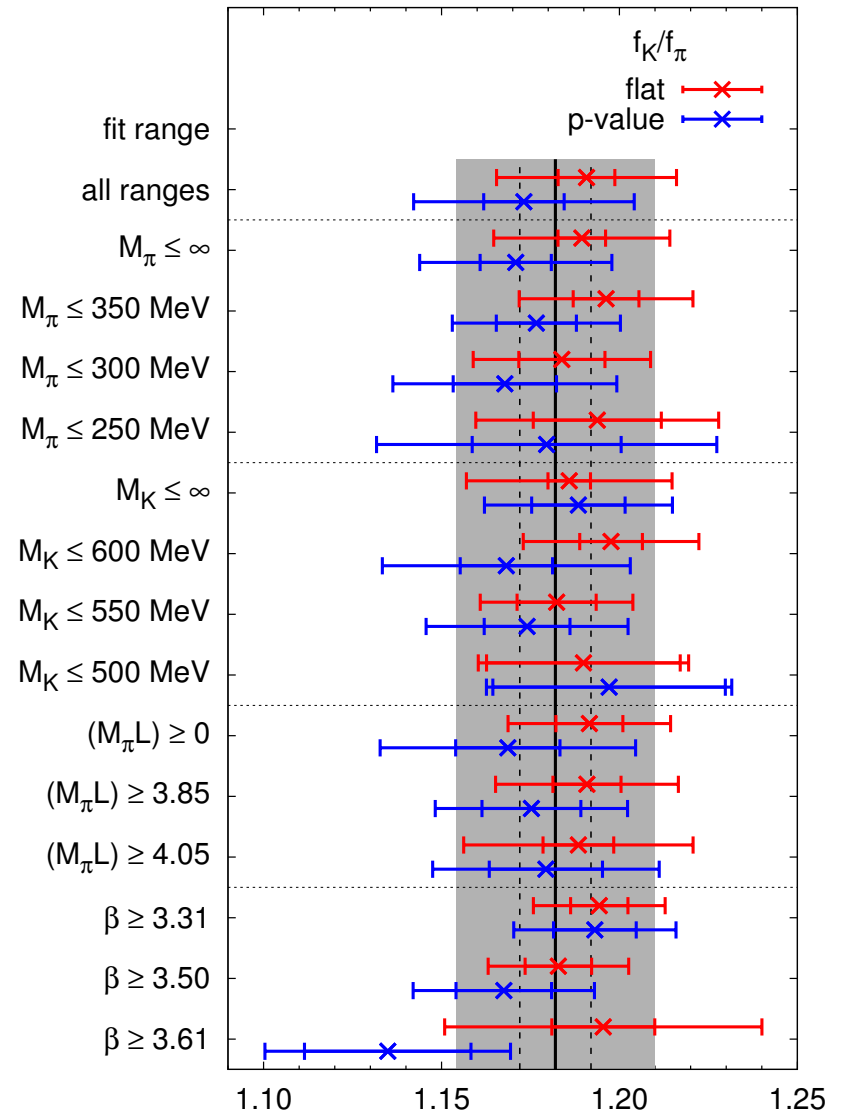
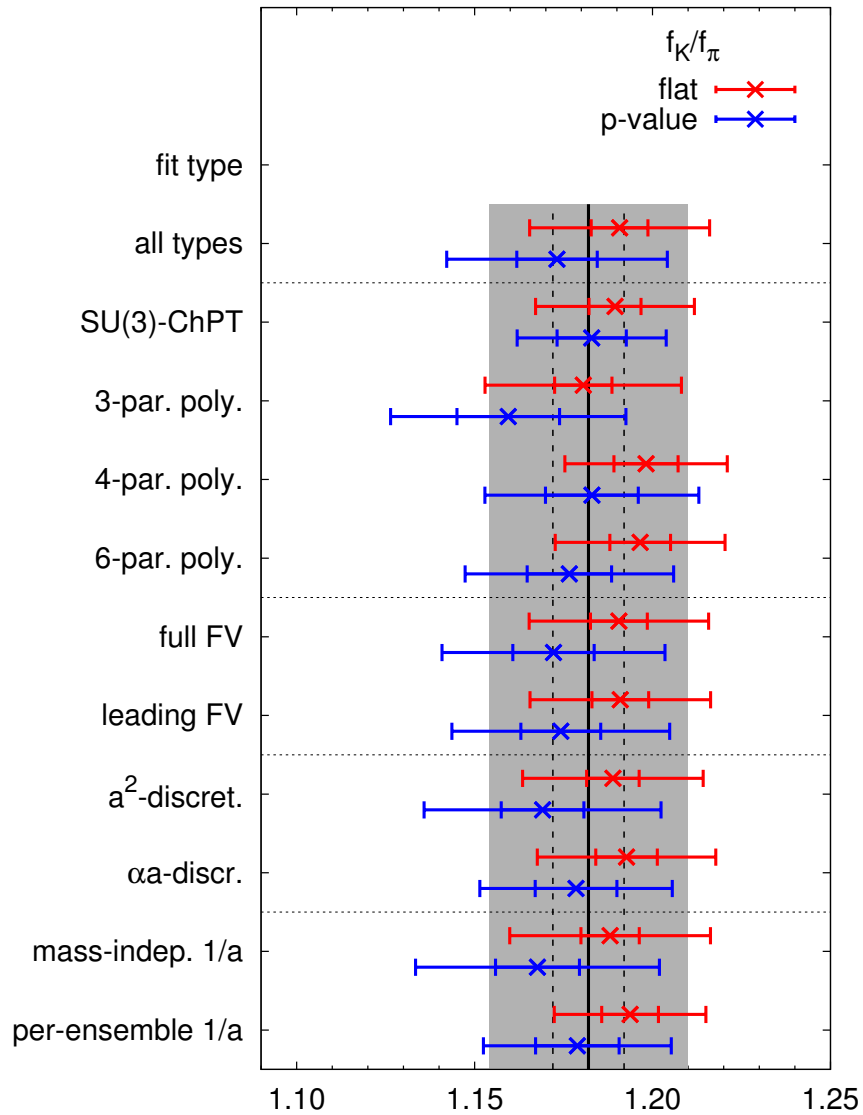
*p*-value weighted:

$$\left. \frac{f_K}{f_\pi} \right|_{p\text{-v.}} = 1.173(11)_{\text{stat}}(29)_{\text{syst}}$$

average:

$$\frac{f_K}{f_\pi} = 1.182 \underbrace{(10)_{\text{stat}}(26)_{\text{syst}}}_{=(28)_{\text{comb}}}$$

# dependence on fit types, fit ranges



$$f_{K^\pm}/f_{\pi^\pm}, V_{us}, V_{ud}$$

- $m_{\text{up}} \neq m_{\text{down}}$ , EM-effects: correction from ChPT [GASSER, LEUTWYLER (1985); CIRIGLIANO, NEUFELD (2011)]

$$\delta_{\text{SU}(2)} = -0.0061(61) \rightarrow \frac{f_{K^\pm}}{f_{\pi^\pm}} = \frac{f_K}{f_\pi} \sqrt{1 + \delta_{\text{SU}(2)}} = 1.178(10)_{\text{stat}}(26)_{\text{syst}}$$

$\delta_{\text{SU}(2)}$ : -0.0043(12) [CIRIGLIANO, NEUFELD (2011)] and FLAG-II, -0.0078(7) [DIVITHIIS ET AL. (2012)]

- $V_{us}/V_{ud}$  from exp. measured decay widths [MOULSON (2014), ROSNER ET AL. (2015)]

$$\frac{V_{us}}{V_{ud}} \frac{f_{K^\pm}}{f_{\pi^\pm}} = 0.27599(38)_{\text{exp}} \rightarrow \frac{V_{us}}{V_{ud}} = 0.2343(20)_{\text{stat}}(52)_{\text{syst}}(03)_{\text{exp}}$$

- using super-allowed nuclear  $\beta$ -decay  $V_{ud} = 0.97417(21)_{\text{nuc}}$  [HARDY, TOWNER (2015)]

$$V_{us} = 0.2282(19)_{\text{stat}}(51)_{\text{syst}}(03)_{\text{exp\&nuc}}$$

- first-row unitarity with  $|V_{ub}| = 4.12(37)(06) \cdot 10^{-3}$  [ROSNER ET AL. (2015)]

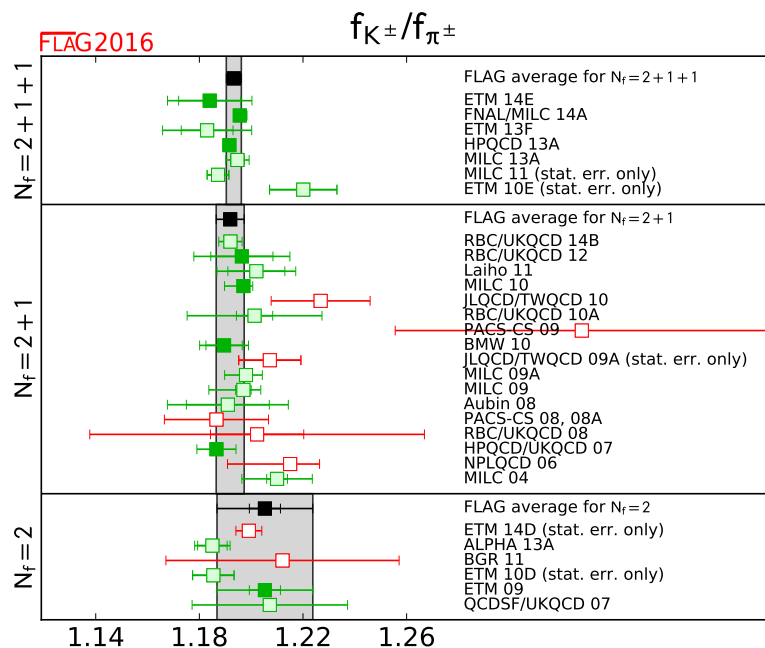
$$V_{ud}^2 + V_{us}^2 + |V_{ub}|^2 - 1 = 0.0011(09)_{\text{stat}}(23)_{\text{syst}}(05)_{\text{exp\&nuc}} = 0.0011(25)_{\text{comb}}$$

- alternatively: w/o  $V_{ud}$  from nuclear  $\beta$ -decay using  $|V_{ub}|$  and first-row unitarity

$$V_{ud} = 0.9736(04)_{\text{stat}}(11)_{\text{syst}}(01)_{\text{exp}} \quad V_{us} = 0.2281(18)_{\text{stat}}(48)_{\text{syst}}(03)_{\text{exp}}$$



# comparison with FLAG-2016

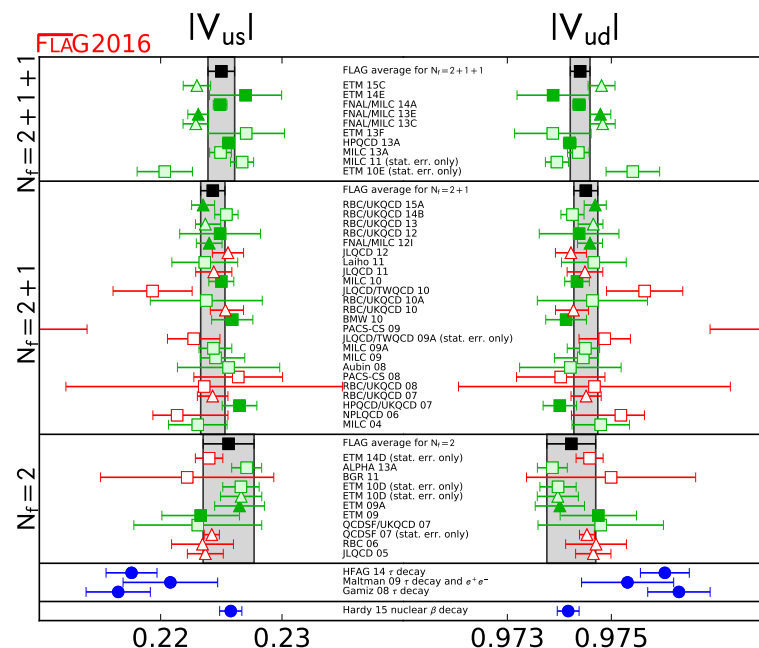


**FLAG-2016  $N_f = 2 + 1$  avr.:**

$$f_{K^\pm}/f_{\pi^\pm} = 1.192(5)$$

**our result:**

$$f_{K^\pm}/f_{\pi^\pm} = 1.178(28)$$



**FLAG-2016  $N_f = 2 + 1$  avr.:**

$$V_{us} = 0.2243(10), V_{ud} = 0.97451(23)$$

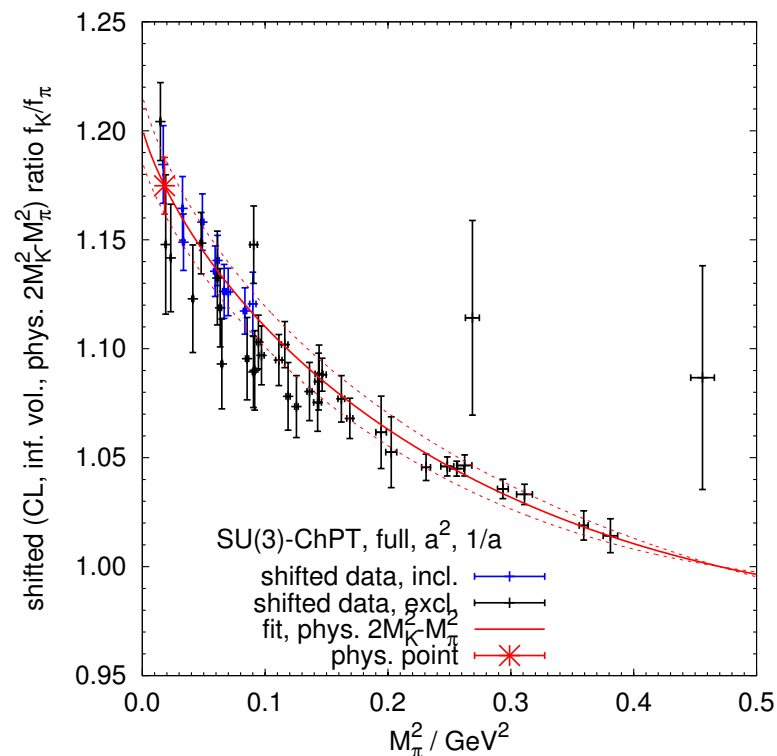
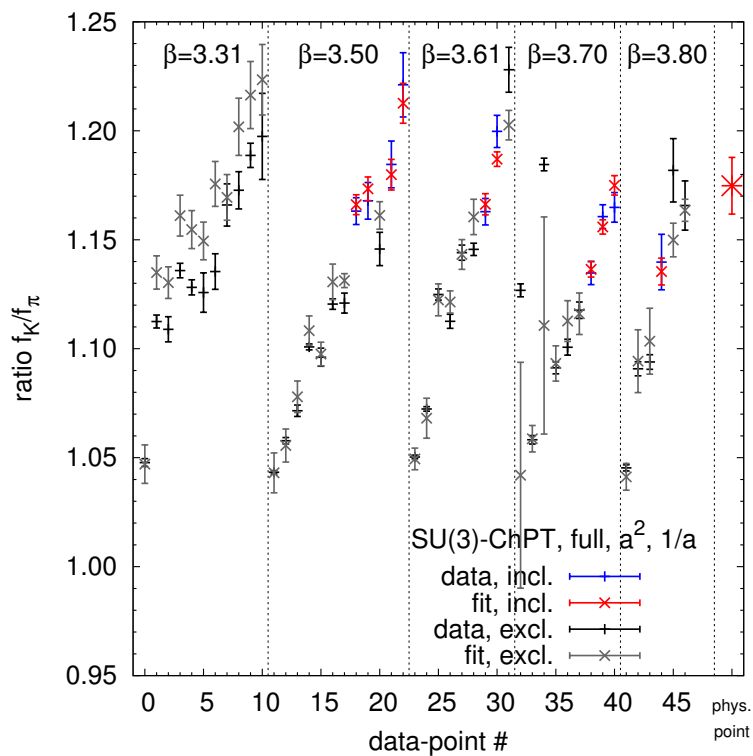
**our results:** (first row unit. +  $V_{ub}$ )

$$V_{us} = 0.2281(51), V_{ud} = 0.9736(12)$$

# BACKUP



# NLO SU(3)-ChPT fit



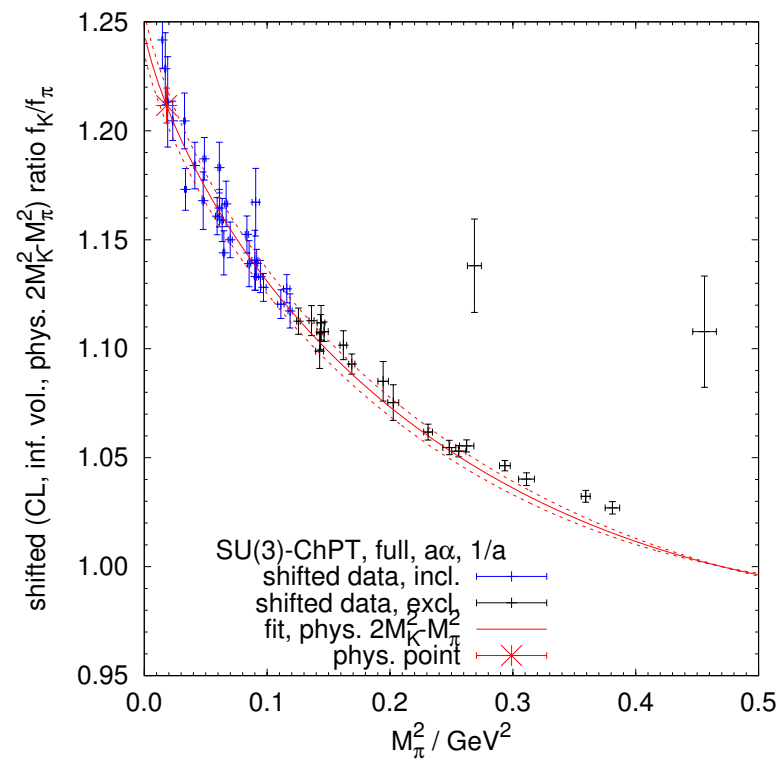
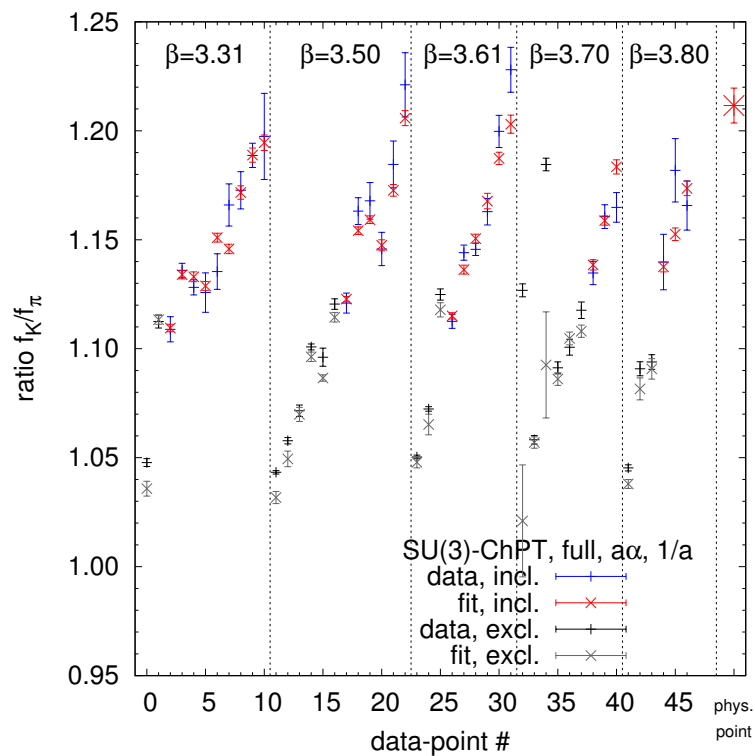
mass-independent scale, full FV,  $a^2$ -discretization

$M_\pi \leq 300 \text{ MeV}$ ,  $M_K \leq 600 \text{ MeV}$ ,  $(M_\pi L) \geq 3.85$ ,  $\beta \geq 3.50$

$\chi^2 = 7.6$ ,  $n_{\text{d.o.f.}} = 6$ ,  $p = 0.27$



# NLO SU(3)-ChPT fit

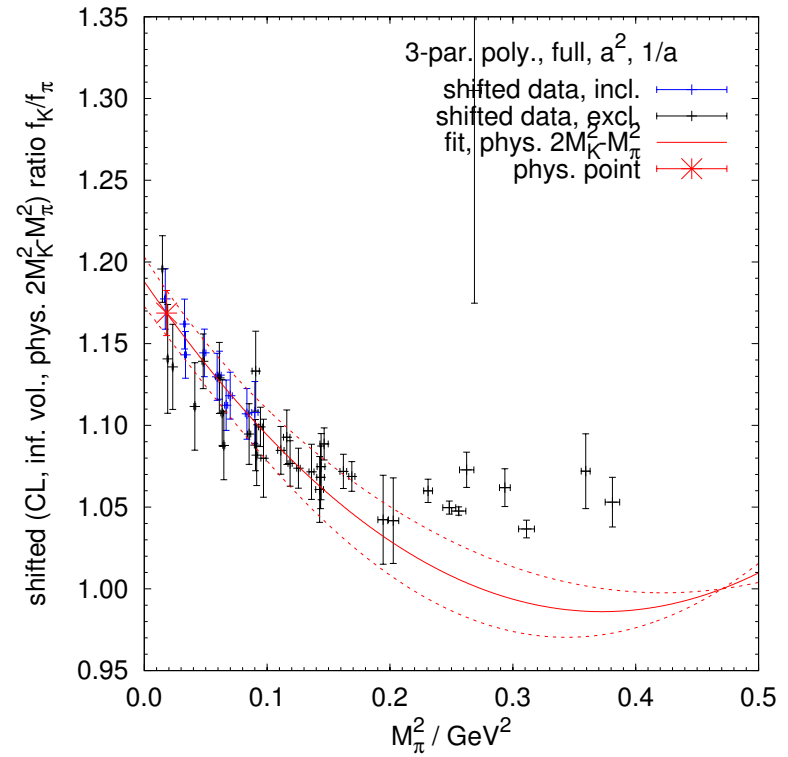
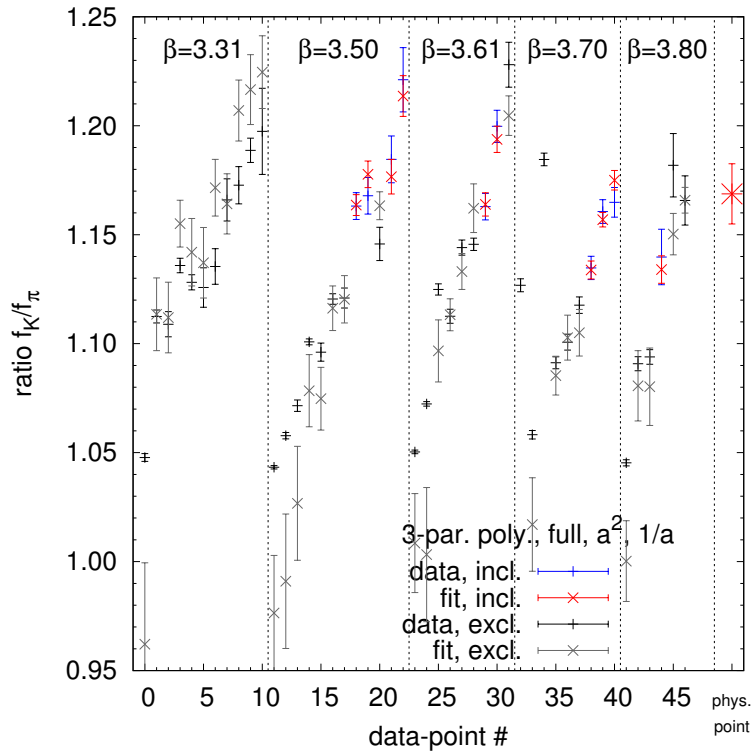


mass-independent scale, full FV,  $a\alpha$ -discretization

$$M_\pi \leq 350 \text{ MeV}, M_K \leq 600 \text{ MeV}$$

$$\chi^2 = 47, n_{\text{d.o.f.}} = 23, p = 2 \cdot 10^{-3} \approx 0$$

# 3-parameter polynomial fit

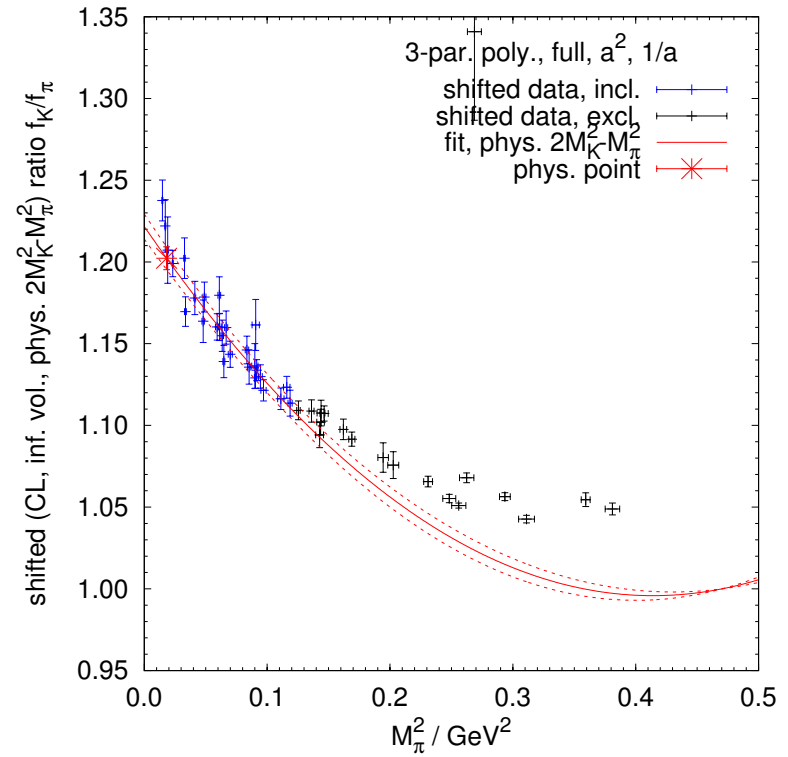
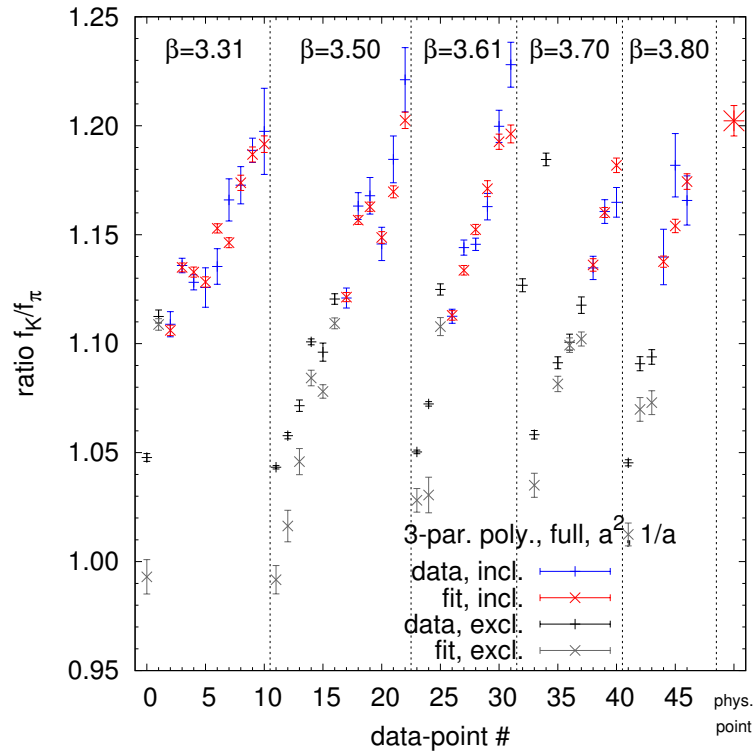


mass-independent scale, full FV,  $a^2$ -discretization

$M_\pi \leq 300$  MeV,  $M_K \leq 600$  MeV,  $(M_\pi L) \geq 3.85$ ,  $\beta \geq 3.50$

$\chi^2 = 5.8$ ,  $n_{\text{d.o.f.}} = 5$ ,  $p = 0.33$

# 3-parameter polynomial fit

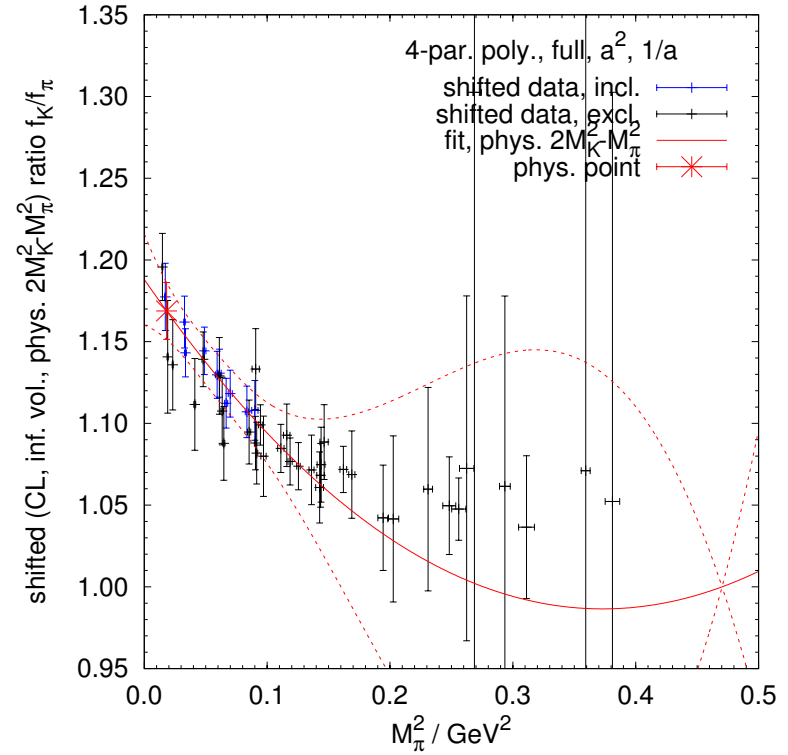
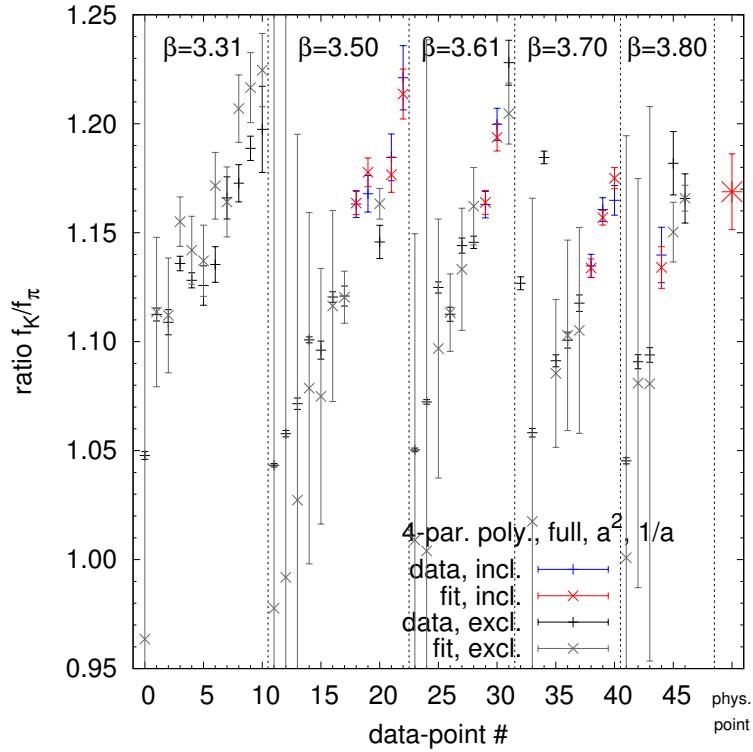


mass-independent scale, full FV,  $a^2$ -discretization

$$M_\pi \leq 350 \text{ MeV}, M_K \leq 600 \text{ MeV}$$

$$\chi^2 = 54, n_{\text{d.o.f.}} = 22, p = 1.7 \cdot 10^{-4} \approx 0$$

# 4-parameter polynomial fit

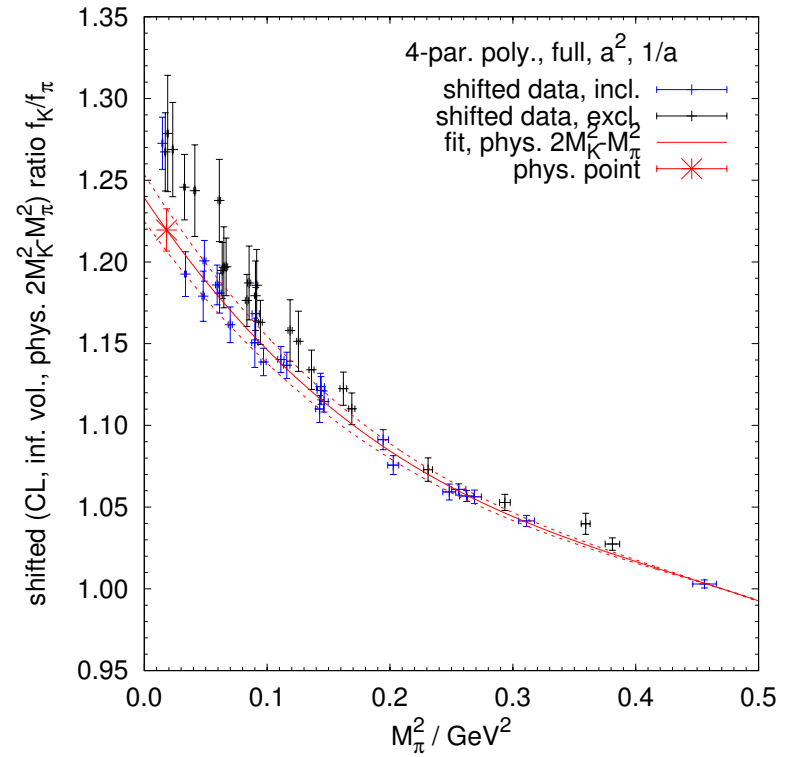
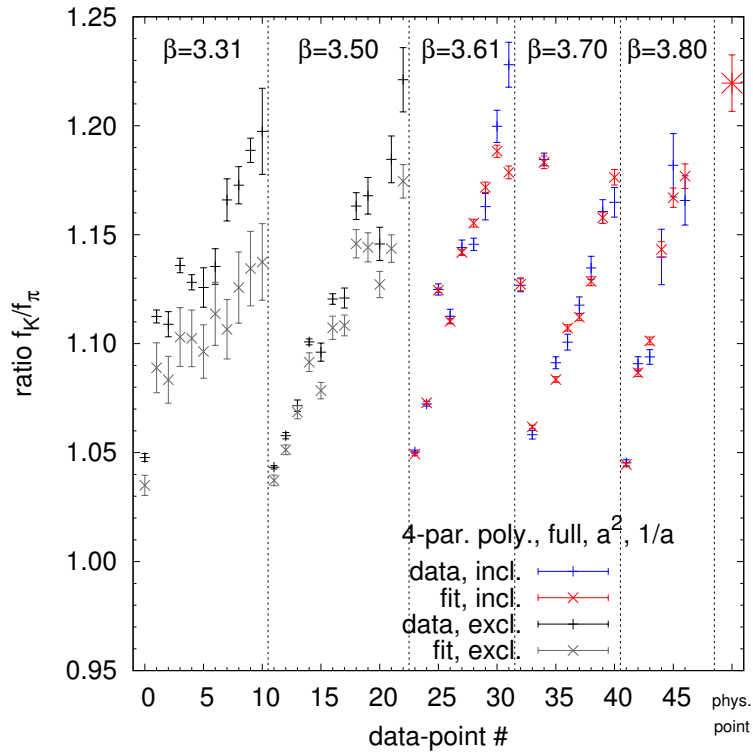


mass-independent scale, full FV,  $a^2$ -discretization

$M_\pi \leq 300$  MeV,  $M_K \leq 600$  MeV,  $(M_\pi L) \geq 3.85$ ,  $\beta \geq 3.50$

$\chi^2 = 5.8$ ,  $n_{\text{d.o.f.}} = 4$ ,  $p = 0.21$

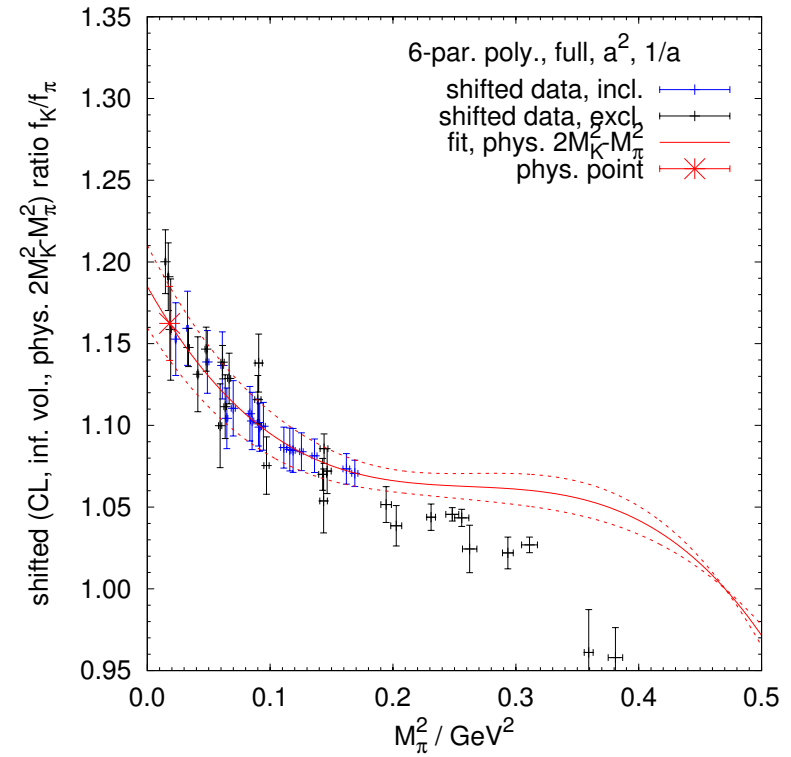
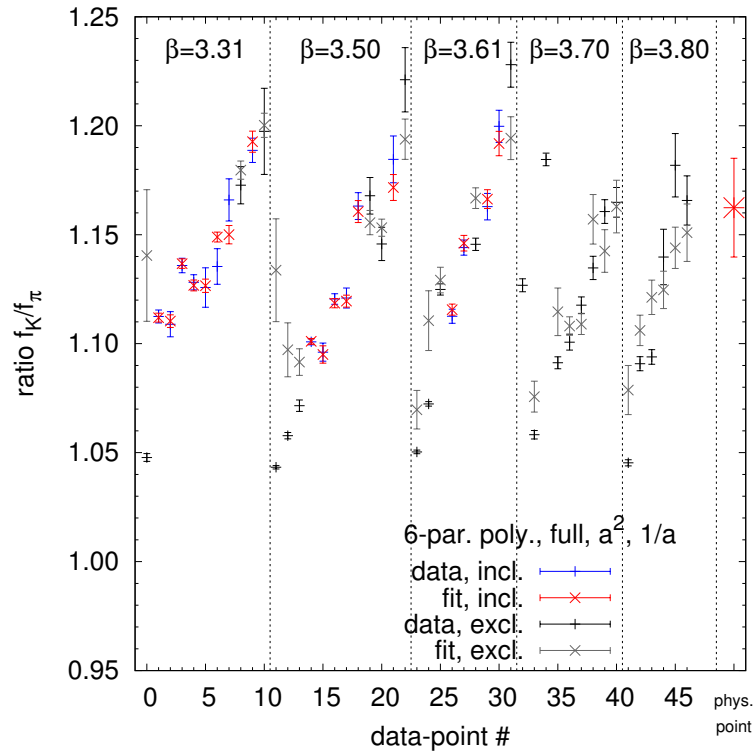
# 4-parameter polynomial fit



mass-independent scale, full FV,  $a^2$ -discretization  
 $\beta \geq 3.61$

$$\chi^2 = 72, n_{\text{d.o.f.}} = 18, p = 2 \cdot 10^{-8} \approx 0$$

# 6-parameter polynomial fit

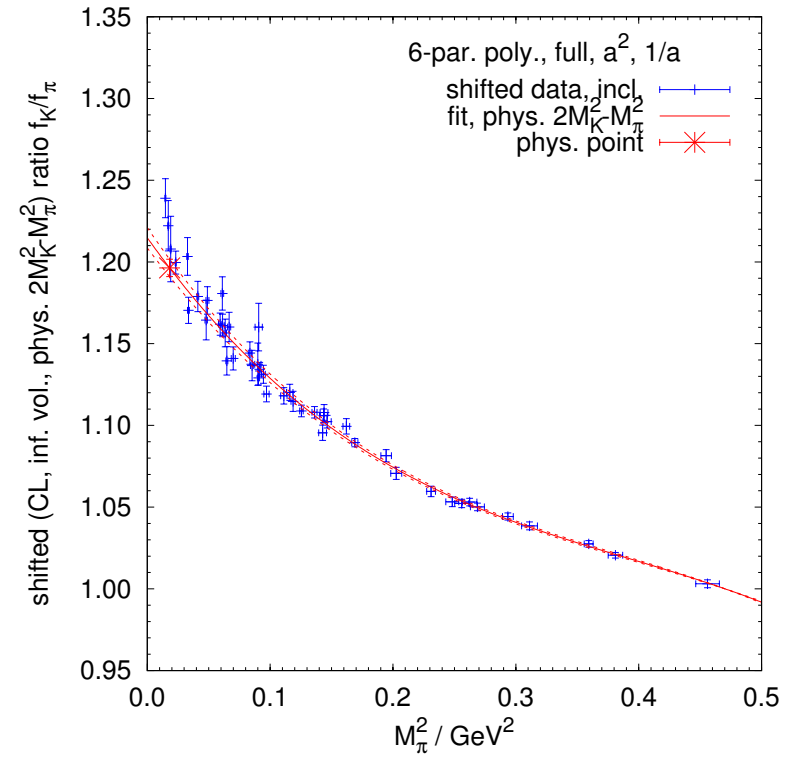
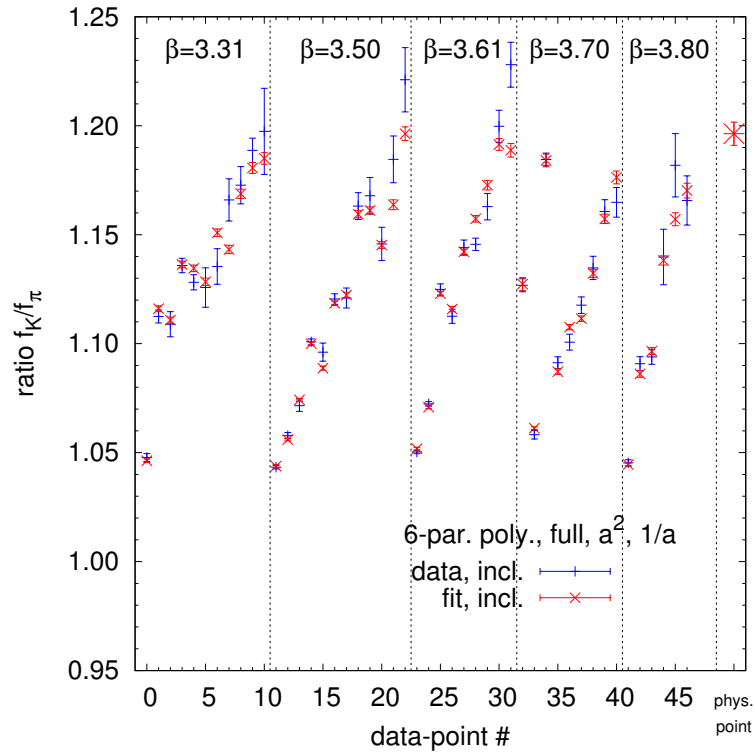


mass-independent scale, full FV,  $a^2$ -discretization

$$m_K \leq 600 \text{ MeV}, (M_\pi L) \geq 4.05$$

$$\chi^2 = 11, n_{\text{d.o.f.}} = 10, p = 0.32$$

# 6-parameter polynomial fit



mass-independent scale, full FV,  $a^2$ -discretization  
all points

$$\chi^2 = 95, n_{\text{d.o.f.}} = 39, p = 1.3 \cdot 10^{-6} \approx 0$$

# flavor-symmetry constraint

$$m_{ud} = m_s \text{ implies } M_\pi = M_K, f_\pi = f_K$$

$$\left. \frac{f_K}{f_\pi} \right|_{m_{ud}=m_s} = 1$$

also at (any) finite volume and lattice spacing

only functional forms satisfying this constraint



## extrapolation to physical masses

- we use  $M_\pi$ ,  $M_K$  instead of (bare, renormalized) quark masses

$$\begin{aligned}M_\pi^2|_{\text{LO-ChPT}} &= 2B_0m_{ud} \\M_K^2|_{\text{LO-ChPT}} &= B_0(m_{ud} + m_s) \\(2M_K^2 - M_\pi^2)|_{\text{LO-ChPT}} &= 2B_0m_s\end{aligned}$$

- correction in isospin-limit (FLAG-recommendation)

$$M_\pi^{\text{phys}} = 134.8(0.3) \text{ MeV} \quad M_K^{\text{phys}} = 494.2(0.4) \text{ MeV}$$

# NLO-SU(3)-ChPT extrapolation

$$\frac{f_K}{f_\pi} = 1 + \frac{c_0}{2} \left\{ \frac{5}{4} M_\pi^2 \log \left( \frac{M_\pi^2}{\mu^2} \right) - \frac{1}{2} M_K^2 \log \left( \frac{M_K^2}{\mu^2} \right) - \frac{3}{4} M_\eta^2 \log \left( \frac{M_\eta^2}{\mu^2} \right) + c_1 [M_K^2 - M_\pi^2] \right\}$$

$$M_\eta^2 = \frac{1}{3}(4M_K^2 - M_\pi^2), \quad c_0 = \frac{1}{(4\pi F)^2}, \quad c_1 = 128\pi^2 L_5(\mu)$$

2 fit-parameters, flavor-symmetry constraint satisfied

# polynomial forms

expansion in

- $M_\pi^2$ : dominant behaviour
- $[M_K^2 - M_\pi^2]$ : flavor-symmetry constraint

3-, 4-, or 6-parameter fit:

$$\frac{f_K}{f_\pi} = 1 + [M_K^2 - M_\pi^2] \left( c_0^{3\text{-par}} + c_1^{3\text{-par}} [M_K^2 - M_\pi^2] + c_2^{3\text{-par}} M_\pi^2 \right)$$

$$\frac{f_K}{f_\pi} = 1 + [M_K^2 - M_\pi^2] \left( c_0^{4\text{-par}} + c_1^{4\text{-par}} [M_K^2 - M_\pi^2] + c_2^{4\text{-par}} M_\pi^2 + c_3^{4\text{-par}} M_\pi^4 \right)$$

$$\begin{aligned} \frac{f_K}{f_\pi} = 1 + [M_K^2 - M_\pi^2] \left( c_0^{6\text{-par}} + c_1^{6\text{-par}} [M_K^2 - M_\pi^2] + c_2^{6\text{-par}} M_\pi^2 + c_3^{6\text{-par}} M_\pi^4 \right. \\ \left. + c_4^{6\text{-par}} M_\pi^2 [M_K^2 - M_\pi^2] + c_5^{6\text{-par}} [M_K^2 - M_\pi^2]^2 \right) \end{aligned}$$

## real world leptonic decay constant ratio

- measured in experiment: ratio of **charged** leptonic decay constants with 6 quark flavors (u,d,s,c,b,t)

$$\frac{f_{K^\pm}}{f_{\pi^\pm}}$$

- suppressed in  $N_f = 2 + 1$ : dynamical charm, bottom, top, but  $(m_{\text{charm}}/m_{\text{strange}})^2 \simeq 140 \dots$
- (hard) isospin-breaking  $m_{\text{up}} \neq m_{\text{down}}$ , EM-effects (charges)

not taken into account in this lattice QCD simulation

- ChPT-analysis of these effects [GASSER, LEUTWYLER (1985); CIRIGLIANO, NEUFELD (2011)]

$$\frac{f_{K^\pm}}{f_{\pi^\pm}} = \frac{f_K}{f_\pi} \sqrt{1 + \delta_{\text{SU}(2)}}$$

- $\delta_{\text{SU}(2)}$ : -0.0043(12) [C.,N. (2011)] and FLAG-II, -0.0078(7) [DIVITIIS ET AL. (2012)]

$$\delta_{\text{SU}(2)} = -0.0061(61) \rightarrow \frac{f_{K^\pm}}{f_{\pi^\pm}} = 1.178(10)_{\text{stat}}(26)_{\text{syst}}$$

## CKM-matrix elements $V_{ud}$ , $V_{us}$

$$\frac{V_{us}}{V_{ud}} \frac{f_{K^\pm}}{f_{\pi^\pm}} = 0.27599(38)_{\text{exp}}$$

[MOULSON (2014), ROSNER ET AL. (2015)]

$$\frac{V_{us}}{V_{ud}} = 0.2343(20)_{\text{stat}}(52)_{\text{syst}}(03)_{\text{exp}}$$

- using super-allowed nuclear  $\beta$ -decay  $V_{ud} = 0.97417(21)_{\text{nuc}}$  [HARDY, TOWNER (2015)]

$$V_{us} = 0.2282(19)_{\text{stat}}(51)_{\text{syst}}(03)_{\text{exp}\&\text{nuc}}$$

- first-row unitarity ( $|V_{ub}| = 4.12(37)(06) \cdot 10^{-3}$  [ROSNER ET AL. (2015)])

$$V_{ud}^2 + V_{us}^2 + |V_{ub}|^2 - 1 = 0.0011(09)_{\text{stat}}(23)_{\text{syst}}(05)_{\text{exp}\&\text{nuc}} = 0.0011(25)_{\text{comb}}$$

- alternatively: w/o  $V_{ud}$  from nuclear  $\beta$ -decay **using  $|V_{ub}|$  and first-row unitarity**

$$V_{ud} = 0.9736(04)_{\text{stat}}(11)_{\text{syst}}(01)_{\text{exp}} \quad V_{us} = 0.2281(18)_{\text{stat}}(48)_{\text{syst}}(03)_{\text{exp}}$$

