

Steps beyond the Standard Model: searching for simplicity

Mikhail Shaposhnikov



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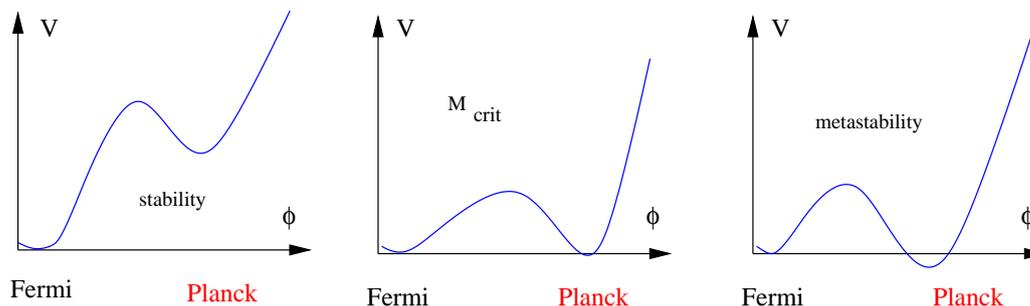
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For **125 GeV** Higgs mass the Standard Model is a self-consistent weakly coupled effective field theory for all energies up to the quantum gravity scale $M_P \sim 10^{19}$ GeV

The LHC results must be reconciled with experimental evidence for new physics beyond the Standard Model:

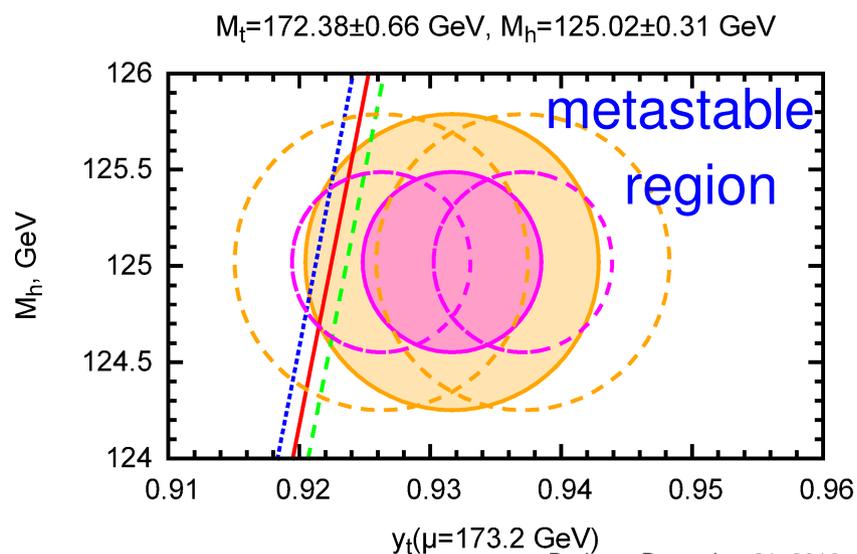
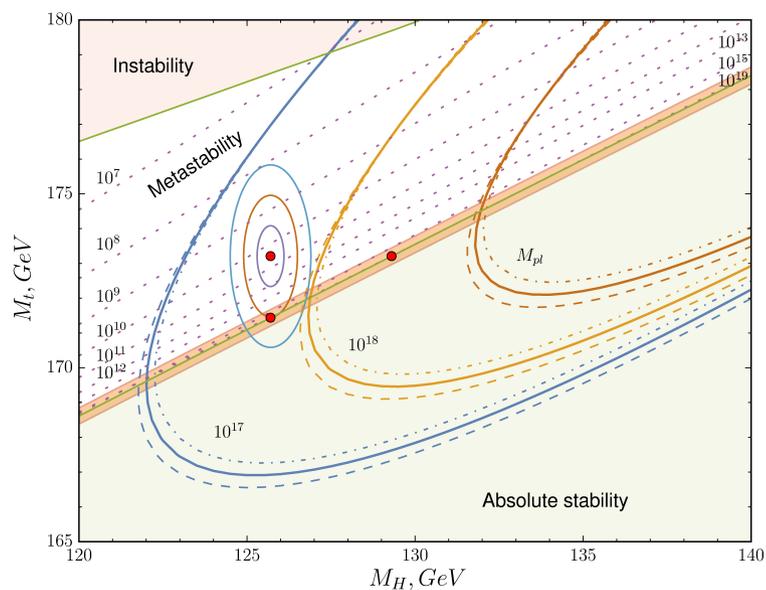
- Observations of neutrino oscillations (in the SM neutrinos are massless and do not oscillate)
- Evidence for Dark Matter (SM does not have particle physics candidate for DM).
- No antimatter in the Universe in amounts comparable with matter (baryon asymmetry of the Universe is too small in the SM)
- Cosmological inflation is absent in canonical variant of the SM
- Accelerated expansion of the Universe (?) - though can be “explained” by a cosmological constant.

- Marginal evidence (less than 2σ) for the SM vacuum metastability given uncertainties in relation between Monte-Carlo top mass and the top quark Yukawa coupling



Bednyakov et al, '15

Vacuum is unstable at 1.3σ



Where is new physics?

Energy scale of new physics:

- Neutrino masses and oscillations: the masses of right-handed see-saw neutrinos can vary from $\mathcal{O}(1)$ eV to $\mathcal{O}(10^{15})$ GeV
- Dark matter, absent in the SM: the masses of DM particles can be as small as $\mathcal{O}(10^{-22})$ eV (super-light scalar fields) or as large as $\mathcal{O}(10^{20})$ GeV (wimpzillas, Q-balls).
- Baryogenesis, absent in the SM: the masses of new particles, responsible for baryogenesis (e.g. right-handed neutrinos), can be as small as $\mathcal{O}(10)$ MeV or as large as $\mathcal{O}(10^{15})$ GeV
- Higgs mass hierarchy : models related to SUSY, composite Higgs, large extra dimensions require the presence of new physics **right above the Fermi scale** , whereas the models based on scale invariance (quantum or classical) may require **the absence of new physics between the Fermi and Planck scales**

Searching for simplicity:

New Physics without new energy scale

Quantum scale invariance and naturalness

Why scale invariance?

If the mass of the Higgs boson is put to **zero** in the SM, the Lagrangian has a wider symmetry: it is scale and conformally invariant:

Dilatations - global scale transformations ($\sigma = \text{const}$)

$$\Psi(x) \rightarrow \sigma^n \Psi(\sigma x) ,$$

$n = 1$ for scalars and vectors and $n = 3/2$ for fermions.

It is tempting to use this symmetry for solution of the hierarchy problem

Quantum scale invariance

Common lore: quantum scale invariance does not exist, divergence of dilatation current is not-zero due to quantum corrections:

$$\partial_\mu J^\mu \propto \beta(g) G_{\alpha\beta}^a G^{\alpha\beta a} ,$$

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The way out: scale independent subtraction of divergences

Toy model

Classically scale-invariant Lagrangian

$$\mathcal{L} = \frac{1}{2}(\partial_\mu h)^2 + \frac{1}{2}(\partial_\mu \chi)^2 - V(\varphi, \chi)$$

Potential (χ - “dilaton”, φ - “Higgs”):

$$V(\varphi, \chi) = \frac{\lambda}{4} \left(h^2 - \frac{\alpha}{\lambda} \chi^2 \right)^2 + \beta \chi^4,$$

$\beta < 0$: vacuum is unstable

$\beta = 0$: flat direction, $h^2 = \frac{\alpha}{\lambda} \chi^2$. Choice of parameters:

$\alpha \sim \left(\frac{M_W}{M_P} \right)^2 \sim 10^{-32}$, to get the Higgs-Planck hierarchy correctly.

Standard reasoning

Dimensional regularisation $d = 4 - 2\epsilon$, \overline{MS} subtraction scheme:

mass dimension of the scalar fields: $1 - \epsilon$,

mass dimension of the coupling constant: 2ϵ

Counter-terms:

$$\lambda = \mu^{2\epsilon} \left[\lambda_R + \sum_{k=1}^{\infty} \frac{a_k}{\epsilon^k} \right],$$

μ is a dimensionful parameter!!

One-loop effective potential along the flat direction:

$$V_1(\chi) = \frac{m_H^4(\chi)}{64\pi^2} \left[\log \frac{m_H^2(\chi)}{\mu^2} - \frac{3}{2} \right],$$

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Idea: Replace $\mu^{2\epsilon}$ by combinations of fields χ and h , which have the correct mass dimension:

$$\mu^{2\epsilon} \rightarrow \chi^{\frac{2\epsilon}{1-\epsilon}} F_\epsilon(x) ,$$

where $x = h/\chi$. $F_\epsilon(x)$ is a function depending on the parameter ϵ with the property $F_0(x) = 1$.

Englert, Truffin, Gastmans '76; Zenhäusern, M.S '09

two loop analysis: Ghilencea et al, '16

Almost trivial statement - by construction: Quantum effective action is **scale** invariant in all orders of perturbation theory.

Less trivial statement Gretsche, Monin '15: Quantum effective action is **conformally** invariant in all orders of perturbation theory.

The main problem with this construction: theory is **not renormalisable**, one needs to add infinite number of counter-terms.

However:

- For $\alpha \ll 1$ all counter-terms are suppressed by the dimensionful parameter $\langle \chi \rangle$
- We get an effective field theory valid up to the energy scale fixed by $\langle \chi \rangle$
- Gravity is non-renormalisable anyway, and making $\langle \chi \rangle \sim M_P$ does not make a theory worse

Hierarchy problem

For $\alpha = \beta = 0$ the classical Lagrangian has an extra symmetry : $\chi \rightarrow \chi + \text{const}$. Therefore, there are no large perturbative corrections to the Higgs mass: those proportional to χ contain necessarily α or β , those proportional to λ contain only **logs** of χ . This construction leads to “natural” hierarchy $\chi \gg h$. However, no explanation of why $\alpha \ll 1$.

$$V(\varphi, \chi) = \frac{\lambda}{4} \left(h^2 - \frac{\alpha}{\lambda} \chi^2 \right)^2 + \beta \chi^4,$$

Important ingredient for naturalness: almost exact shift symmetry.

Requirement of the shift symmetry \equiv requirement of **absence** of heavy particles with sufficiently strong interaction with the Higgs field and the dilaton, e.g.

$$\lambda_h h^2 \phi^2 + \lambda_\chi \chi^2 \phi^2$$

$\lambda_h \sim \lambda_\chi \sim 1$ spoils the argument!

Also: [C. Tamarit](#)

Conjecture: natural theory should not have heavy particles between the Fermi and Planck scales

Inclusion of gravity

Planck scale: through non-minimal coupling of the dilaton to the Ricci scalar.

Gravity part

$$\mathcal{L}_G = - (\xi_\chi \chi^2 + \xi_h h^2) \frac{R}{2},$$

This term, for $\xi_\chi \sim 1$, does break the shift symmetry. However, this is a coefficient in front of graviton kinetic term. Since the graviton stays massless in any constant scalar background, the perturbative computations of gravitational corrections to the Higgs mass in scale-invariant regularisation are suppressed by M_P . There are no corrections proportional to M_P !

Consequences

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- Fifth force or Brans-Dicke constraints are not applicable to it

Problems

- What happens beyond perturbation theory?
- What leads to selection of parameter $\beta = 0 \equiv$ existence of flat direction \equiv absence of the cosmological constant ?
- Unitarity and high-energy behaviour: What is the high-energy behaviour ($E > M_{Pl}$) of the scattering amplitudes? Is the theory unitary? Can it have a scale-invariant UV completion?

The minimal model - scale invariant ν MSM

Requirements: no heavy particles with sufficiently strong interaction
with the Higgs field and the dilaton + simplicity

Similar in spirit studies: [SMASH](#) by [Ballesteros et al](#); [V. Khoze et al](#)

Particle content

Particles of the SM

+

graviton

+

dilaton

+

3 Majorana leptons

Scale-invariant Lagrangian

$$\mathcal{L}_{\nu\text{MSM}} = \mathcal{L}_{\text{SM}[M \rightarrow 0]} + \mathcal{L}_G + \frac{1}{2}(\partial_\mu \chi)^2 - V(\varphi, \chi) \\ + (\bar{N}_I i \gamma^\mu \partial_\mu N_I - h_{\alpha I} \bar{L}_\alpha N_I \tilde{\varphi} - f_I \bar{N}_I^c N_I \chi + \text{h.c.}) ,$$

Potential (χ - dilaton, φ - Higgs, $\varphi^\dagger \varphi = 2h^2$):

$$V(\varphi, \chi) = \lambda \left(\varphi^\dagger \varphi - \frac{\alpha}{2\lambda} \chi^2 \right)^2 + \beta \chi^4 ,$$

Gravity part

$$\mathcal{L}_G = - (\xi_\chi \chi^2 + 2\xi_h \varphi^\dagger \varphi) \frac{R}{2} ,$$

Roles of different particles

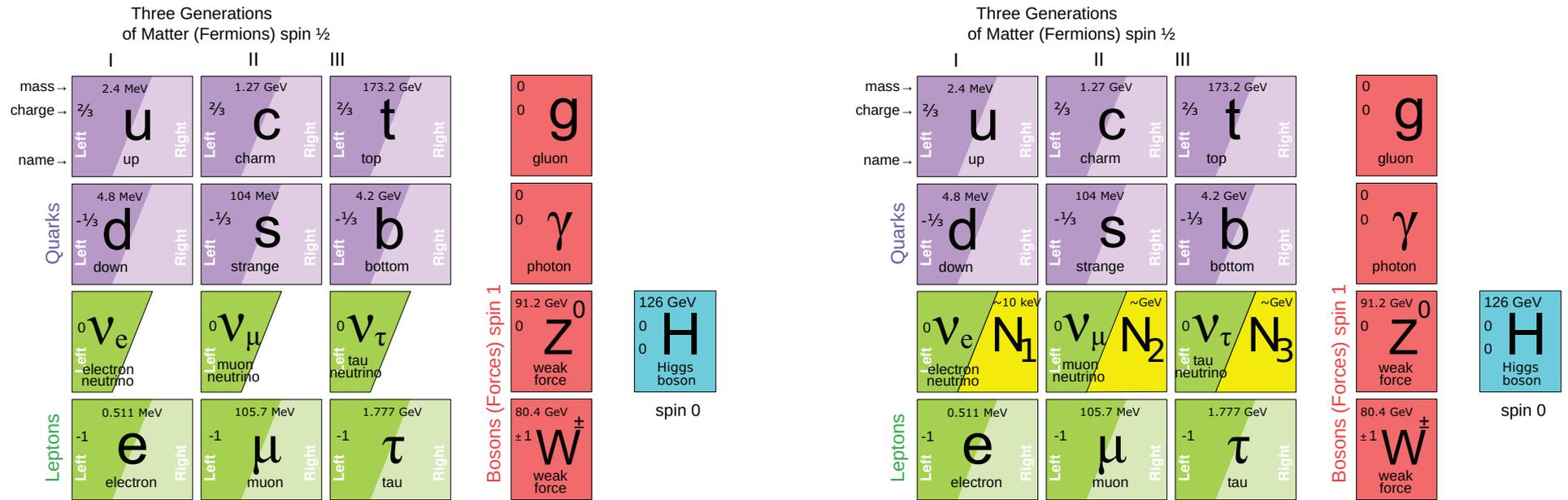
The roles of dilaton:

- determine the Planck mass
- give mass to the Higgs
- give masses to 3 Majorana leptons

Roles of the Higgs boson:

- give masses to fermions and vector bosons of the SM
- provide inflation

New physics below the Fermi scale: the ν MSM



Role of N_1 with mass in keV region: dark matter.

Role of N_2 , N_3 with mass in 100 MeV – GeV region: “give” masses to neutrinos and produce baryon asymmetry of the Universe.

The couplings of the ν MSM

Particle physics part, accessible to low energy experiments: the ν MSM. Mass scales of the ν MSM:

$$M_I < M_W \text{ (No see-saw)}$$

Consequence: small Yukawa couplings,

$$F_{\alpha I} \sim \frac{\sqrt{m_{atm} M_I}}{v} \sim (10^{-6} - 10^{-13}),$$

here $v \simeq 174$ GeV is the VEV of the Higgs field,

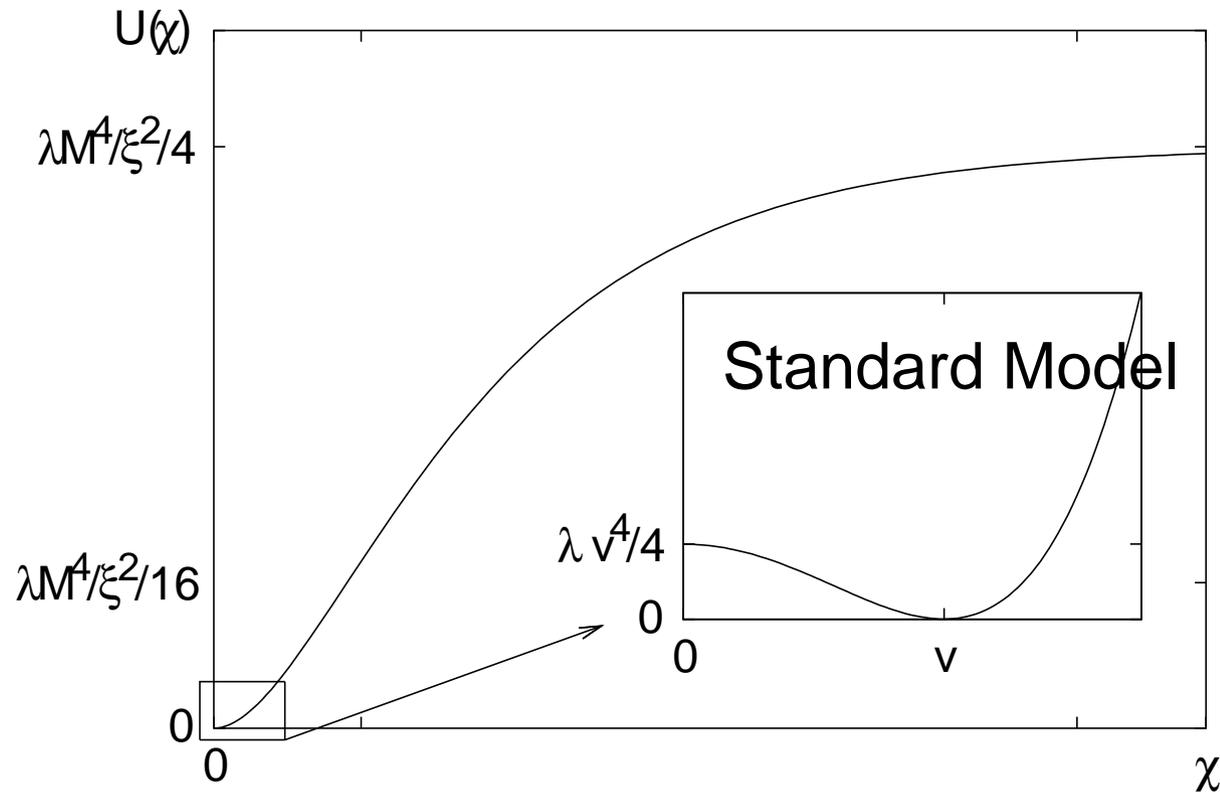
$m_{atm} \simeq 0.05$ eV is the atmospheric neutrino mass difference.

Small Yukawas are also necessary for stability of dark matter and baryogenesis (out of equilibrium at the EW temperature).

Cosmology and phenomenology of a minimal model

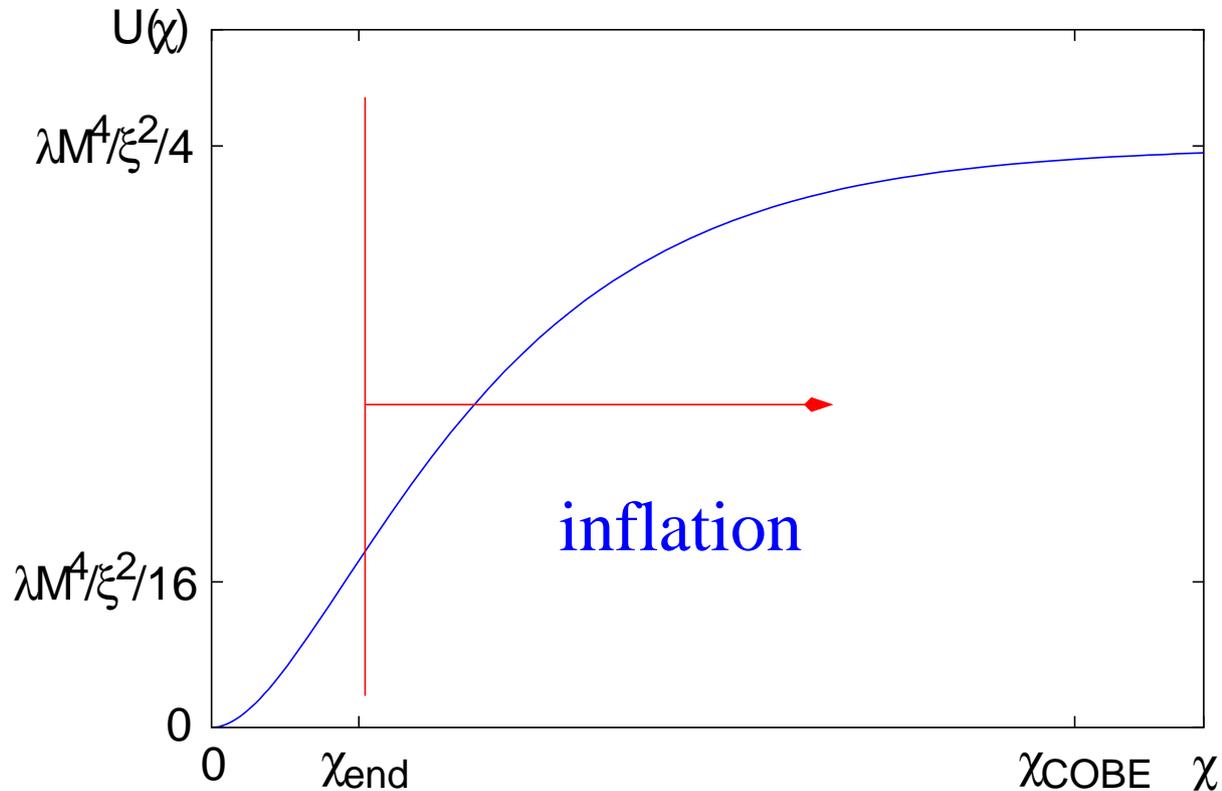
Inflation: Higgs boson

Potential in Einstein frame for non-minimally coupled Higgs, $\xi R h^2$



χ - canonically normalised scalar field in Einstein frame.

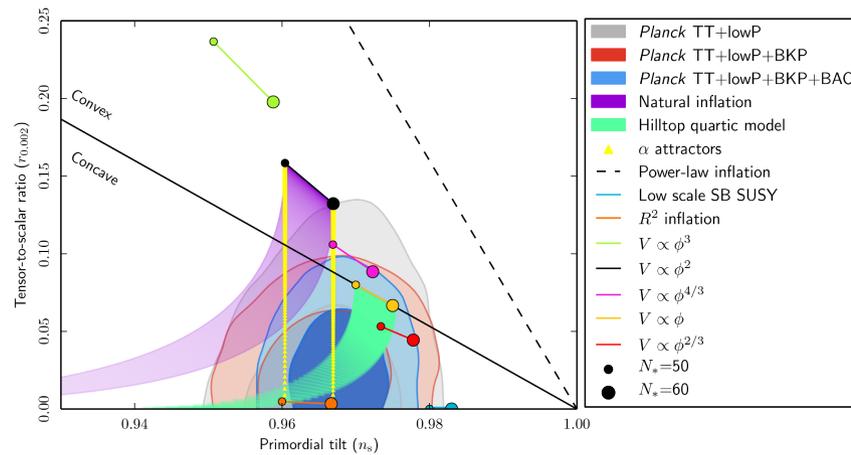
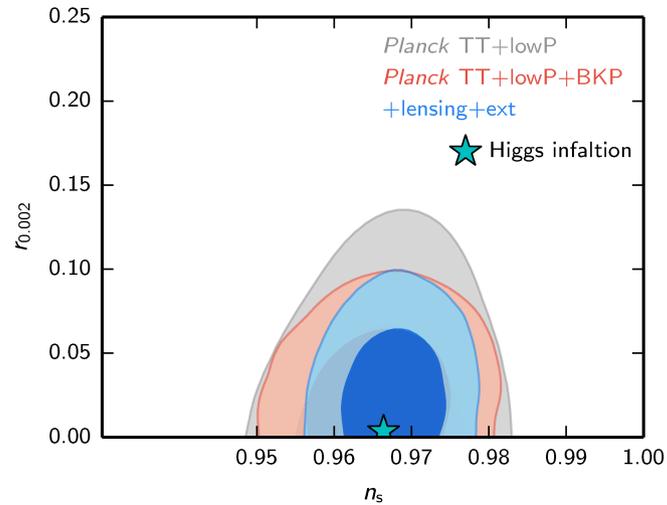
Stage 1: Higgs inflation, $h > \frac{M_P}{\sqrt{\xi}}$, slow roll of the Higgs field



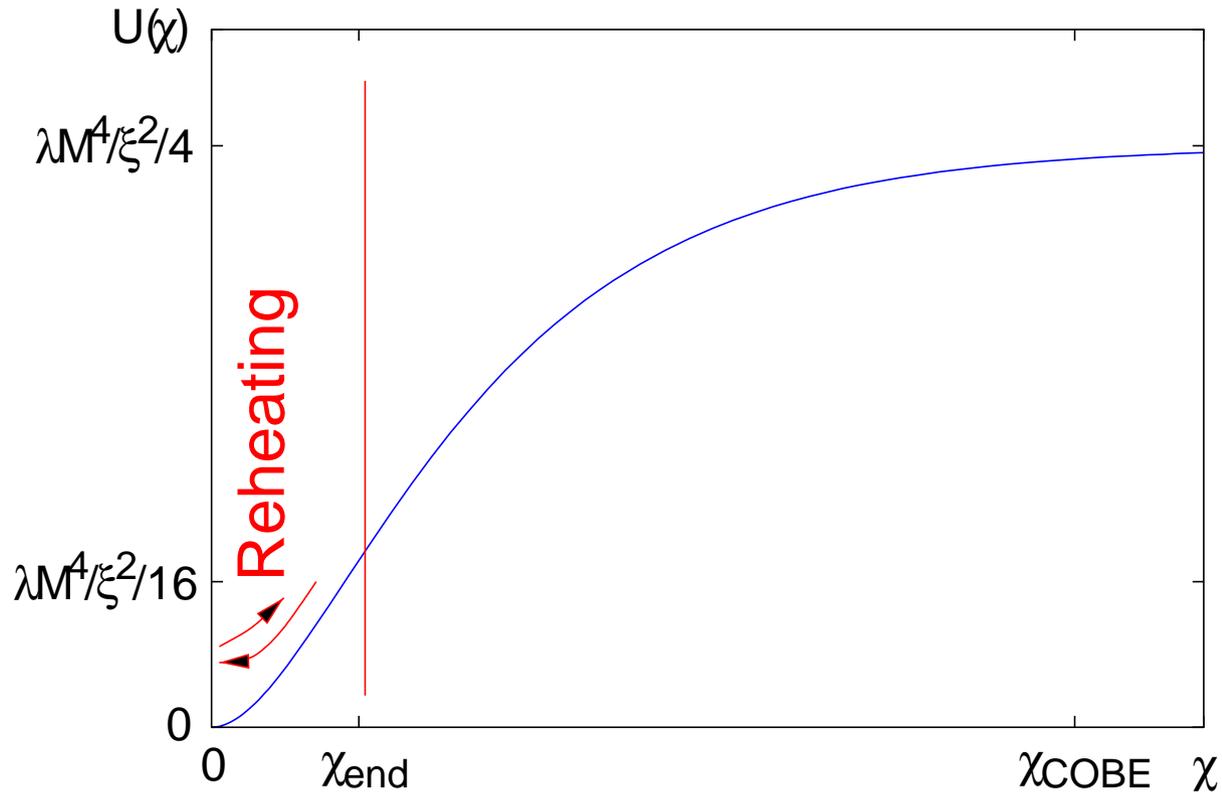
- Makes the Universe flat, homogeneous and isotropic
- Produces fluctuations leading to structure formation: clusters of galaxies, etc

CMB parameters - spectrum and tensor modes, $\xi \gtrsim 1000$

$$n_s = 0.97, \quad r = 0.003$$

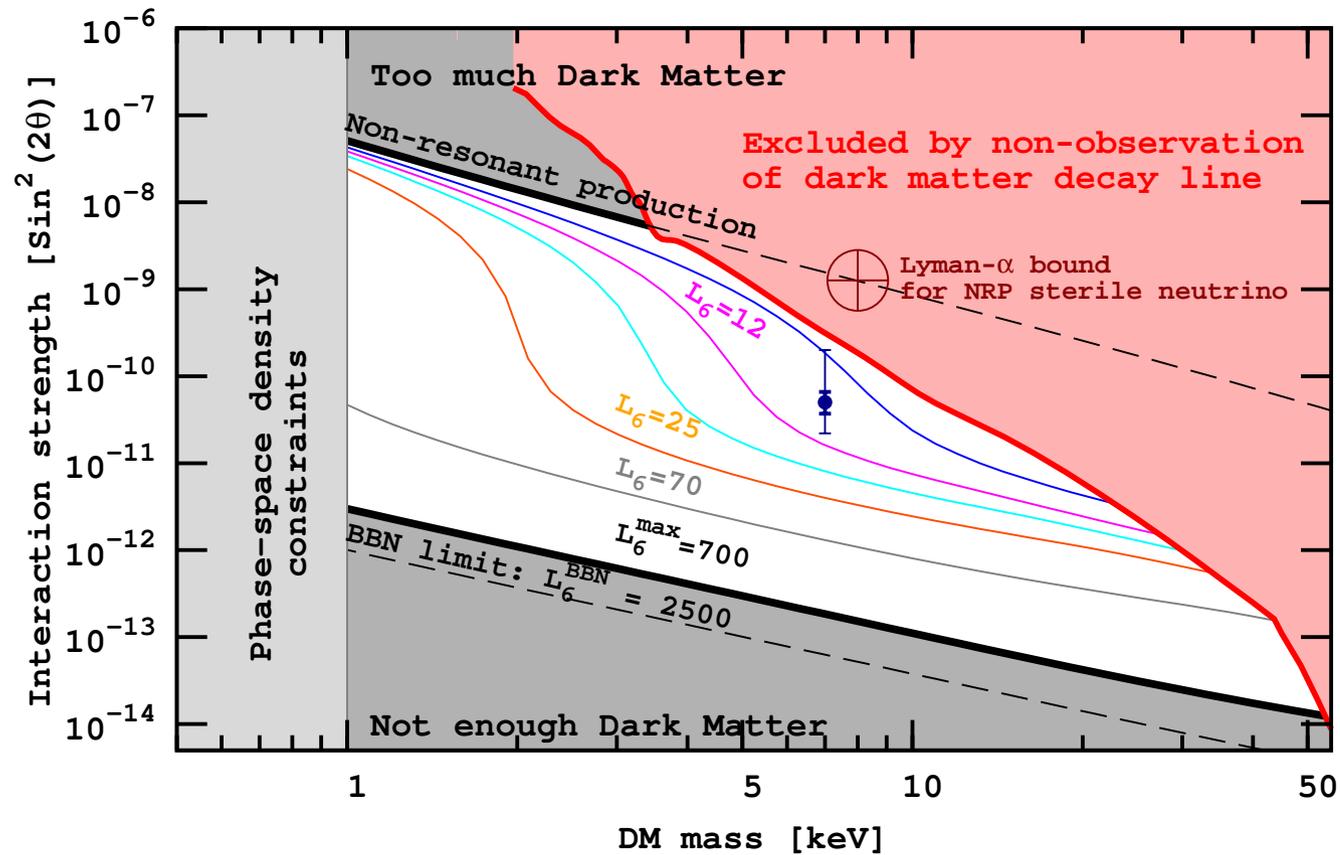


Stage 2: Big Bang, $\frac{M_P}{\xi} < h < \frac{M_P}{\sqrt{\xi}}$, Higgs field oscillations



- All particles of the Standard Model are produced
- Coherent Higgs field disappears
- The Universe is heated up to $T \propto M_P/\xi \sim 10^{14}$ GeV

DM: sterile neutrino N_1



3.5 keV line: E. Bulbul et al, Boyarsky et al

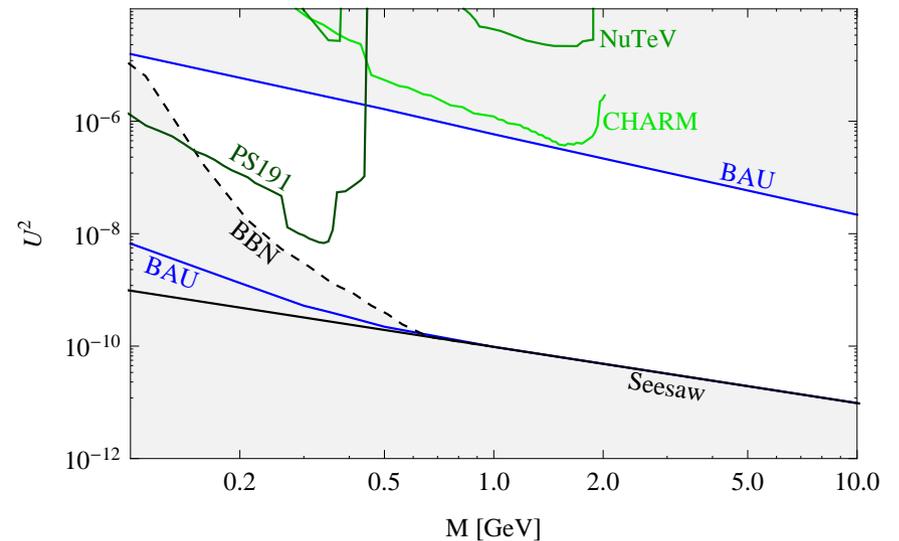
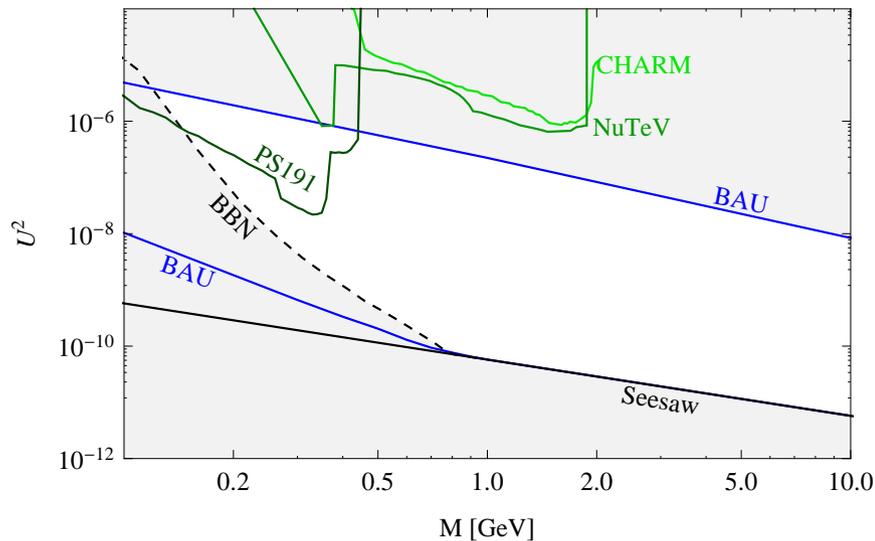
Baryon asymmetry

Akhmedov, Rubakov, Smirnov; Asaka, MS

$N_{2,3}$ HNL dynamics as a source of baryon asymmetry. Qualitatively:

- HNL are created in the early universe and oscillate in a coherent way with CP-breaking.
- Lepton number from HNL can go to active neutrinos and back.
- The lepton number of active left-handed neutrinos is transferred to baryons due to equilibrium sphaleron processes.

Constraints on BAU HNL $N_{2,3}$



Constraints on U^2 coming from the baryon asymmetry of the Universe, from the see-saw formula, from the big bang nucleosynthesis and experimental searches. Left panel - normal hierarchy, right panel - inverted hierarchy (Canetti, Drewes, Frossard, MS). Other studies: Drewes et al., Hernandez et al

Summary of predictions, 2005-2009

Prediction	assumptions	status
No deviations from SM at LHC	structure of ν MSM	OK
SM Higgs boson with $M_H > 127 \pm 2$ GeV	Higgs inflation	OK within 2σ
SM Higgs boson with $M_H = 127 \pm 2$ GeV	asymptotic safety	OK within 2σ
No WIMPS	structure of ν MSM	OK
DM is a keV scale HNL , $N \rightarrow \nu\gamma$	structure of ν MSM	3.5 keV X-ray line?
New particles - HNL	structure of ν MSM	constraints only
Unitarity of PMNS matrix	structure of ν MSM	OK
no light sterile ν	structure of ν MSM	OK
neutrino mass $m_1 \lesssim 10^{-5}$ eV	dark matter	constraints only
No visible $\mu \rightarrow e\gamma$, $\mu \rightarrow 3e$, etc	BAU	OK
$N_\nu = 3$	structure of ν MSM	OK, Planck
spectral index $n_s = 0.967$	Higgs inflation	OK, Planck
small tensor to scalar ratio $r = 0.003$	Higgs inflation	Planck, constraints only
no non-Gaussianities	Higgs inflation	Planck, constraints only

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Theoretical challenges, similar to the Standard Model:

- UV completion, unification with gravity
- Why the Higgs and HNL masses are so much smaller than the Planck scale?
- Why the cosmological constant (or dark energy) is so tiny?
- Why θ_{QCD} is so small?
- Origin and magnitude of Yukawa couplings
- ...

Experimental challenges:

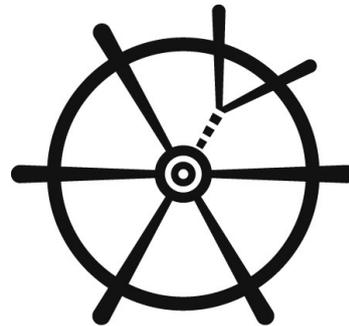
- HNL production and decays are highly suppressed – dedicated experiments or analyses are needed:
 - Mass below ~ 2 GeV - Intensity frontier, CERN SPS.
 - Mass above ~ 2 GeV - FCC in e^+e^- mode in Z-peak, LHC
 - HNL's in beauty and charm decays: Belle, LHCb

Proposal to Search for Heavy Neutral Leptons at the SPS arXiv:1310.1762

W. Bonivento, A. Boyarsky, H. Dijkstra, U. Egede, M. Ferro-Luzzi, B. Goddard, A. Golutvin, D. Gorbunov, R. Jacobsson, J. Panman, M. Patel, O. Ruchayskiy, T. Ruf, N. Serra, M. Shaposhnikov, D. Treille



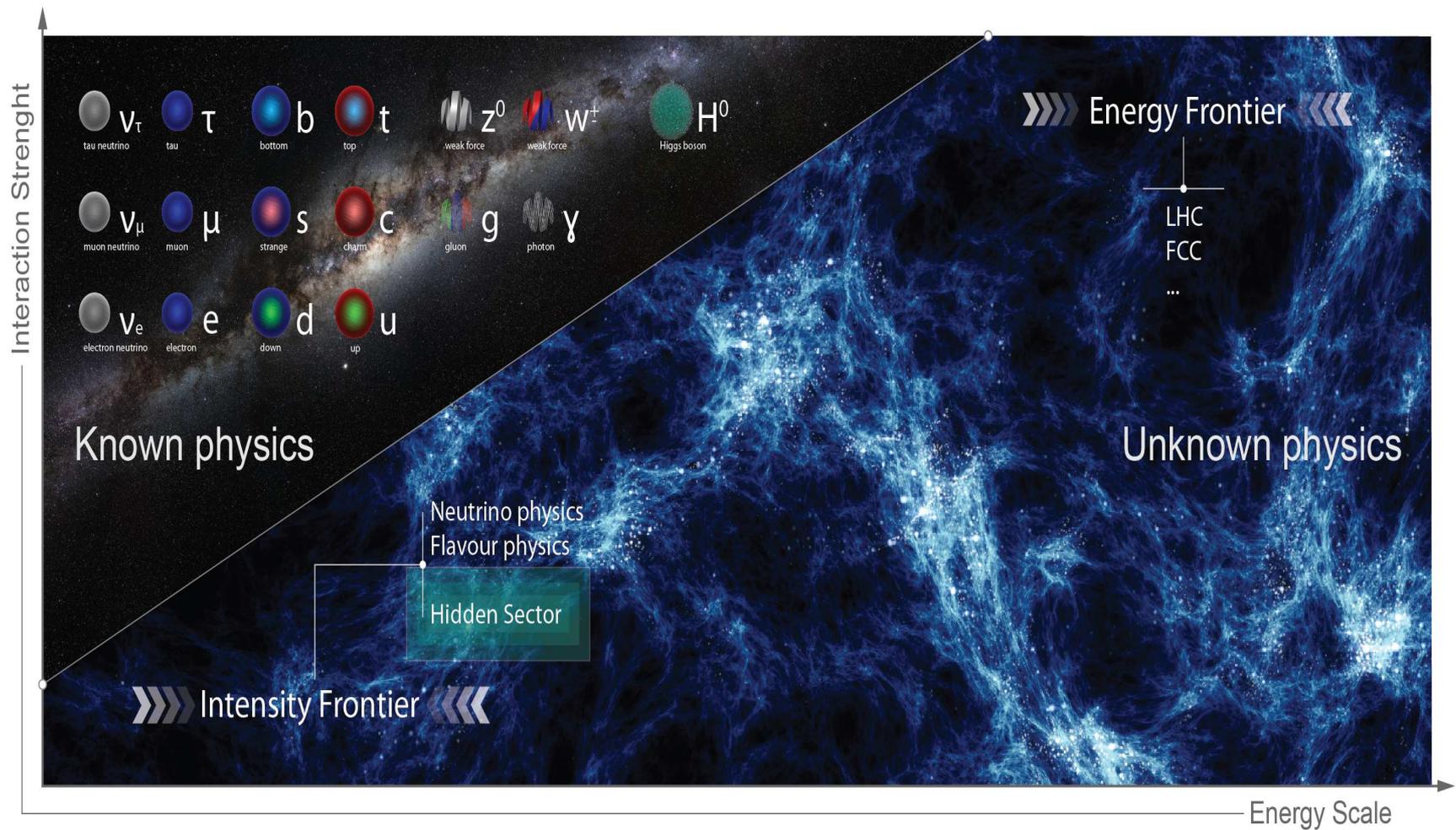
General beam dump facility: Search for Hidden Particles



SHiP

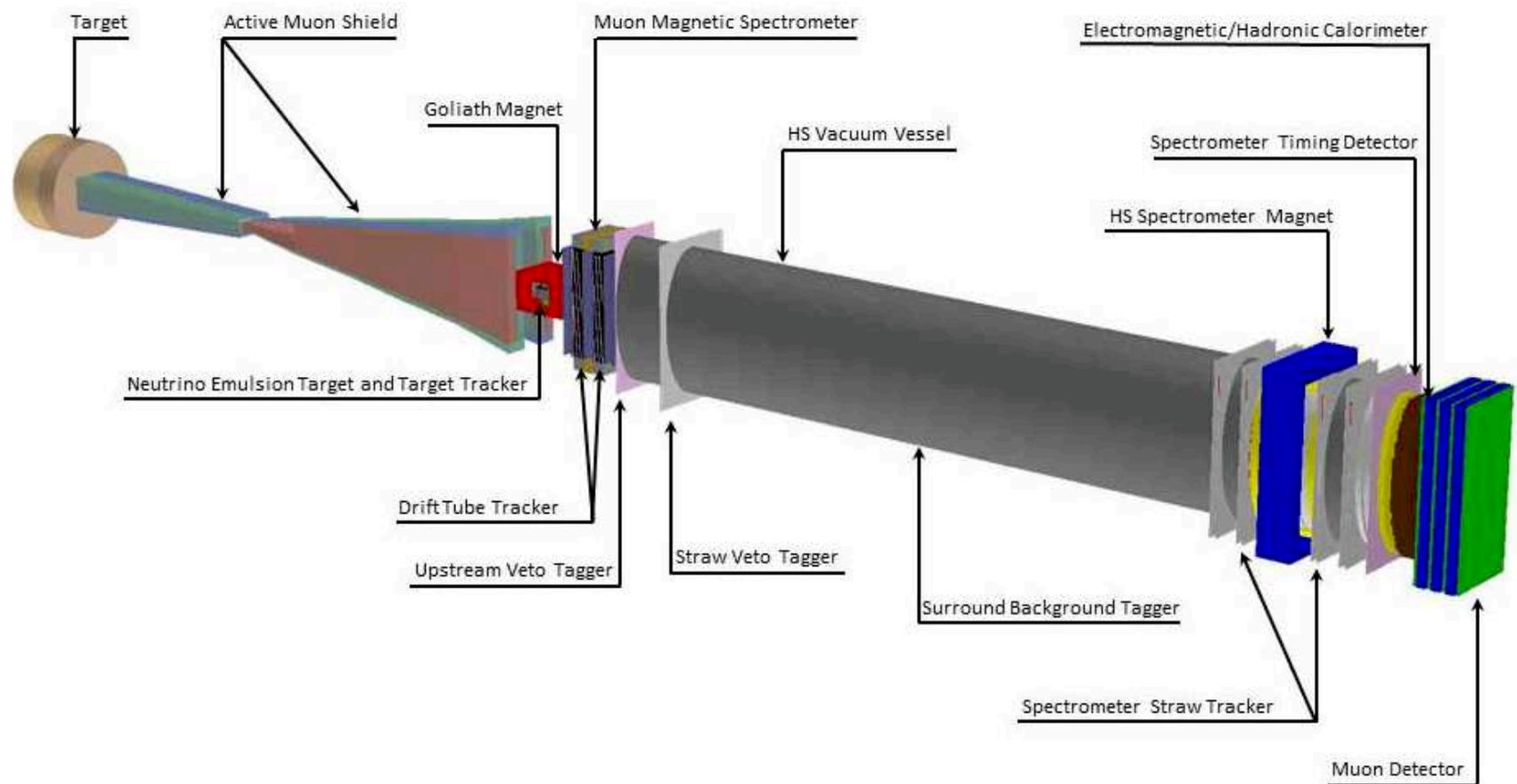
Search for Hidden Particles

Hidden sector: very weakly interacting relatively light particles: HNL, dark photon, scalars, ALPS, etc

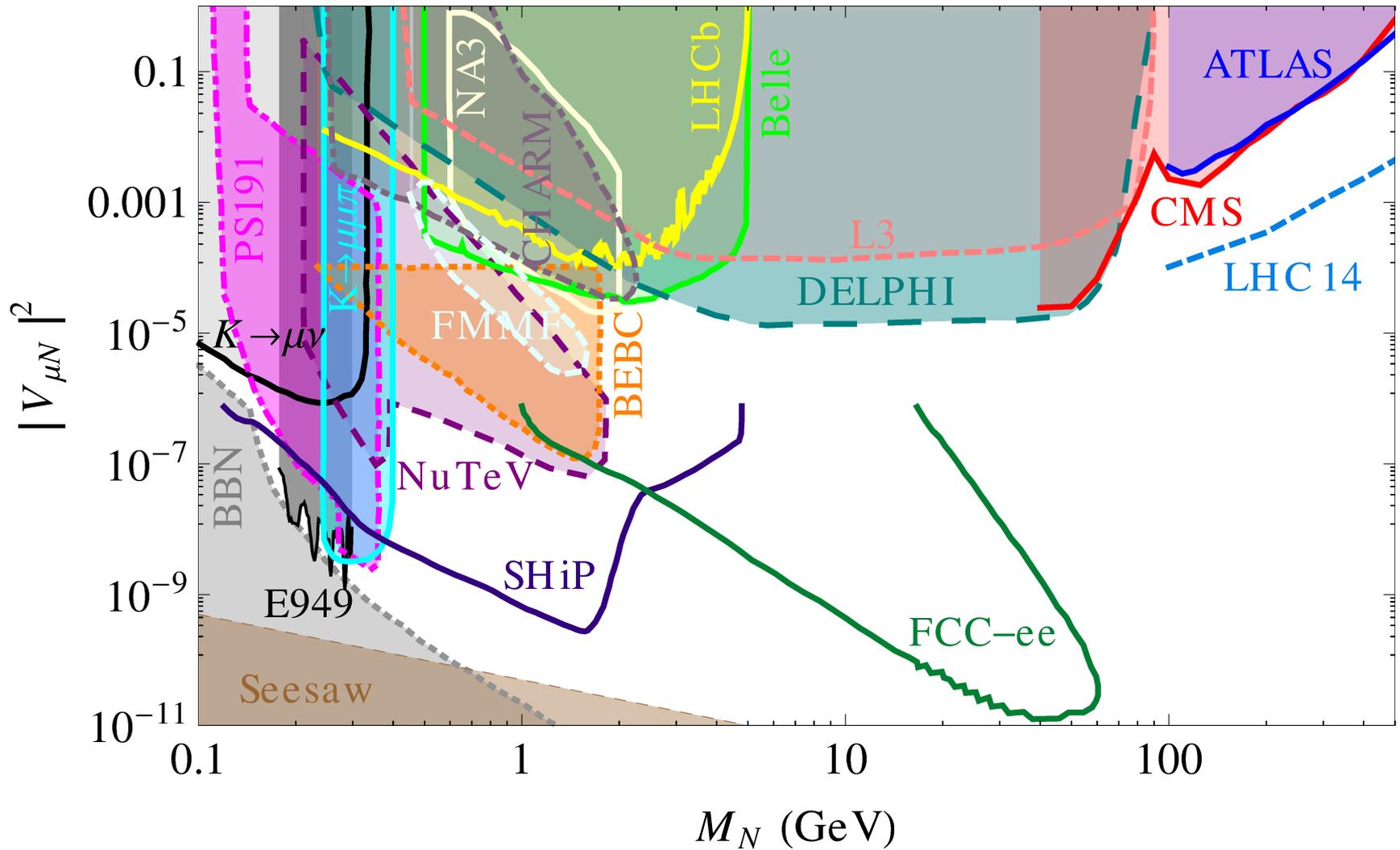


SHiP is currently a collaboration of 46 institutes from 15 countries

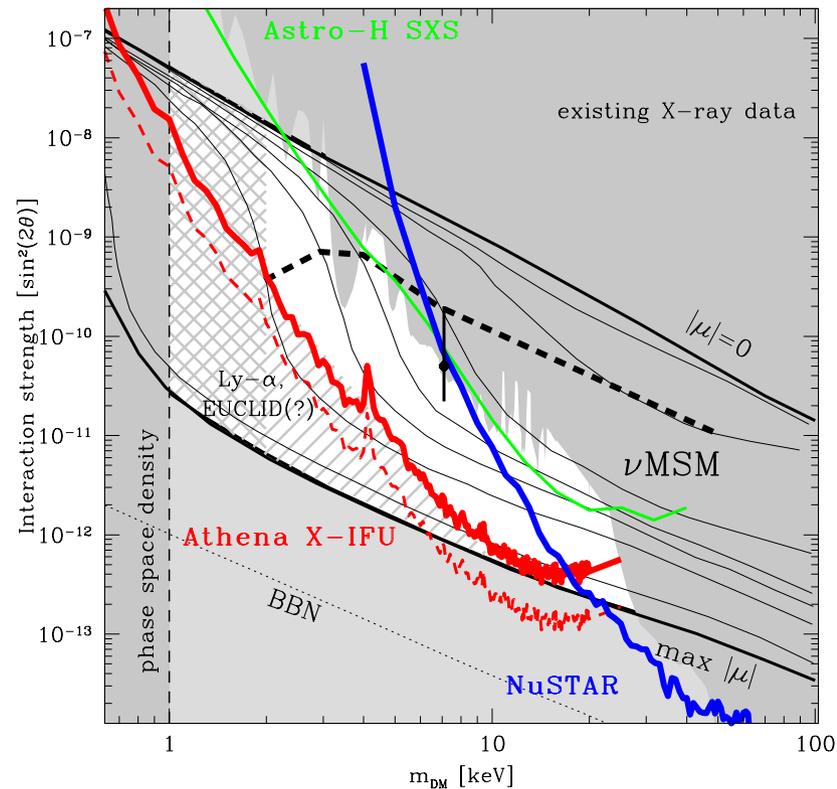
web-site: <http://ship.web.cern.ch/ship/>



Survey of constraints, $N_{2,3}$



- HNL (N_1) dark matter searches in X-rays, future after Astro-H failure
 - Micro-calorimeter on sounding rocket (2017): instrument with large field-of-view and very high spectral resolution
 - Large ESA X-ray mission (2028) – Athena + , X-ray spectrometer (X-IFU) with unprecedented spectral resolution



Conclusions

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 - neutrino masses, dark matter and baryogenesis - 3 HNLs

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- High energy limit?

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- Find heavy neutral leptons $N_{2,3}$ - responsible for neutrino masses and baryogenesis: SHiP and FCC