

Models for B-anomalies

UK HEP Forum: The Spice of Flavour
The Cosener's House - 27 Nov 2018

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Outline

I. Review of ‘B-anomalies’ [see also talk by Mika Vesterinen]

- charged currents
- neutral currents

2. Combined (charged + neutral currents) explanations

- EFT
- Simplified models
- UV completions → 4321 model

Flavour from a BSM perspective

- Almost all the “oddities” of the SM related to the Higgs

$$\mathcal{L}_{\text{SM}} = \mathcal{L}_{\text{kin}} + \mathcal{L}_{\text{gauge}} + (y_{ij} \bar{\psi}_i \psi_j H + \text{h.c.}) - \lambda |H|^4 + \mu^2 |H|^2 - \Lambda_{\text{cc}}^4$$

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- Flavour problems

- I. Is there a dynamics behind the pattern of fermion masses and mixings ?

$$M_{u,d} \sim \begin{matrix} & & \\ & & \\ & \text{light gray} & \\ & & \\ & \text{dark gray} & \end{matrix}$$

$$V_{\text{CKM}} \sim \begin{matrix} & & & \\ & \text{black} & \text{light gray} & \\ & \text{light gray} & \text{black} & \\ & \text{white} & \text{white} & \\ & \text{white} & \text{white} & \end{matrix}$$

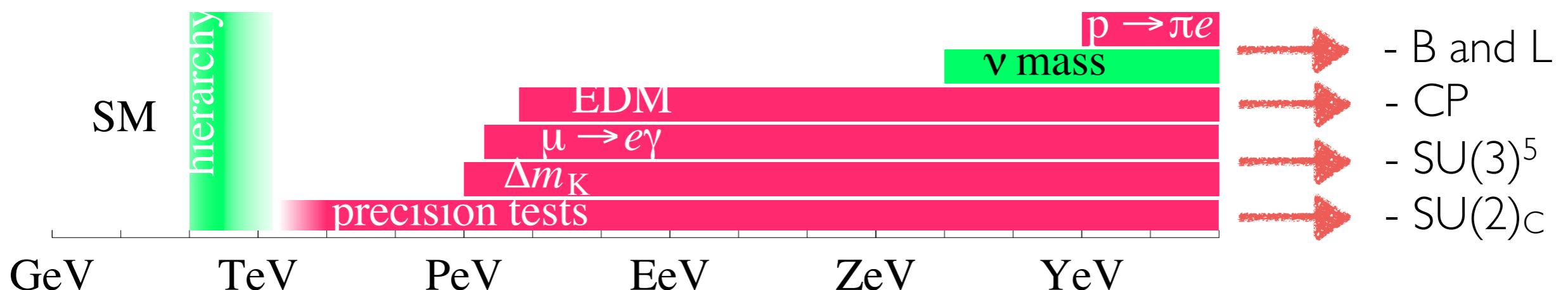
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- Flavour problems

1. Is there a dynamics behind the pattern of fermion masses and mixings ?
2. How is it possible to reconcile TeV-scale NP with the absence of indirect signals ?



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- pre-LHC scenario: forget about testing 1. and focus on 2.



exciting NP at ATLAS/CMS [suggested by hierarchy problem]
boring flavour physics at LHCb [protected by MFV]

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→ exciting NP at ATLAS/CMS [suggested by hierarchy problem]
boring flavour physics at LHCb [protected by MFV]
- instead, unexpected flavour anomalies challenging the old flavour paradigm !

Part-I

Review of “B-anomalies”

“B-anomalies”

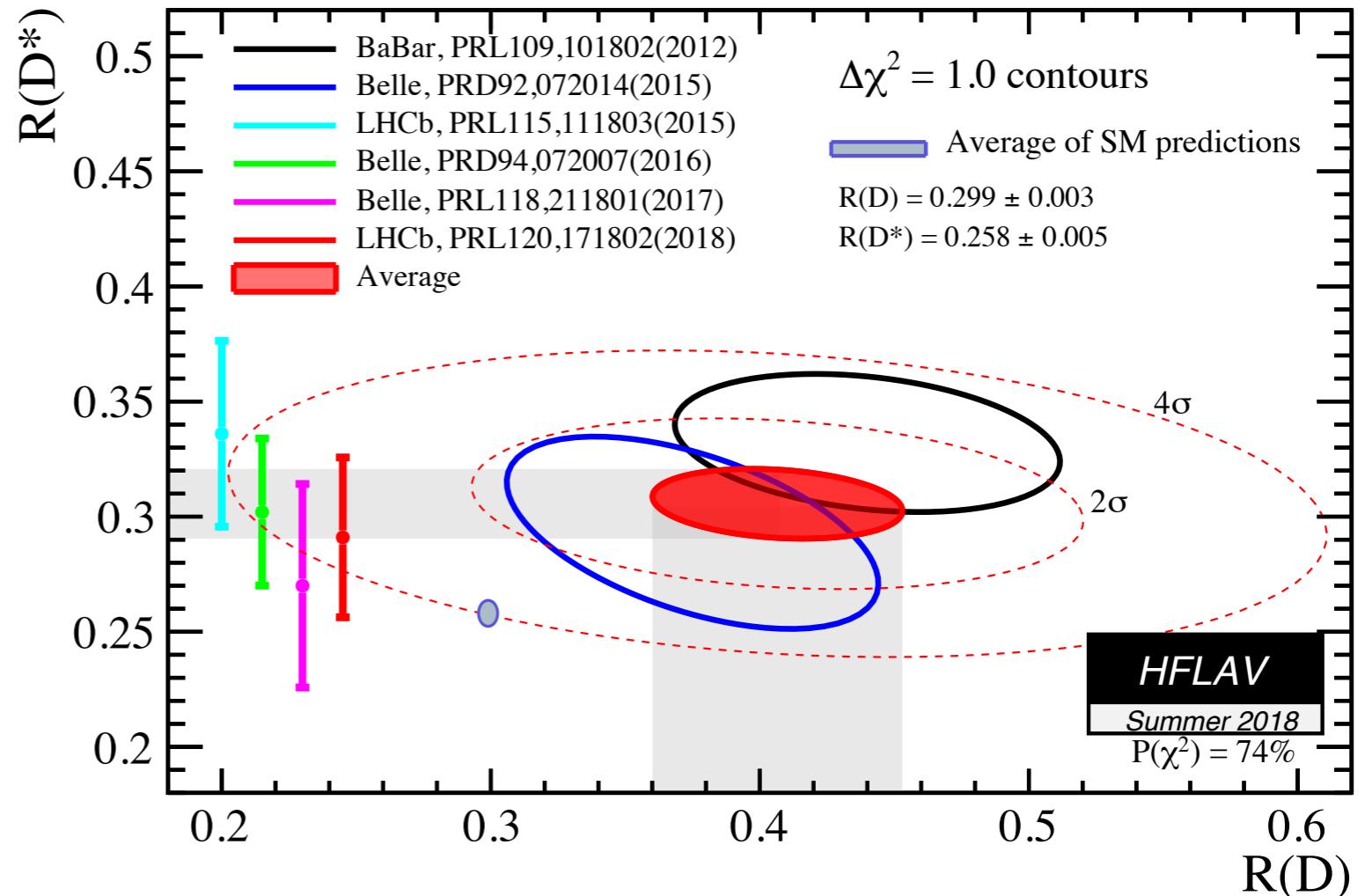
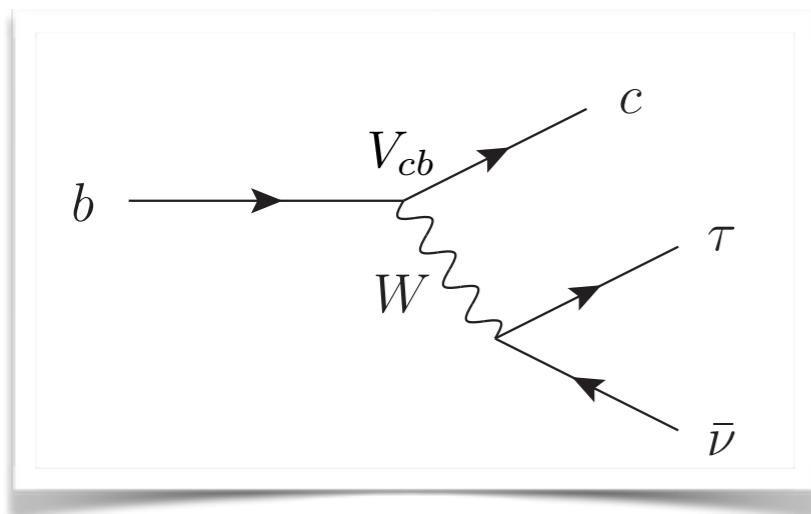
- So far the largest **coherent** pattern of SM deviations, building up since ~ 2012

	$b \rightarrow c\tau\nu$	$b \rightarrow s\mu\mu$
Lepton Universality	$R(D), R(D^*), R(J/\psi)$	$R(K), R(K^*)$
Angular Distributions		$B \rightarrow K^*\mu\mu \ (P'_5)$
Differential BR ($d\Gamma/dq^2$)		$B \rightarrow K^{(*)}\mu\mu$ $B_s \rightarrow \phi\mu\mu$ $\Lambda_b \rightarrow \Lambda\mu\mu$

Charged current anomalies

$$R(D^{(*)}) \equiv \frac{\mathcal{B}(B^0 \rightarrow D^{(*)+} \tau \nu)}{\mathcal{B}(B^0 \rightarrow D^{(*)+} \ell \nu)}$$

$\ell = \mu, e$



- SM prediction reasonably robust [HFLAV 2018]
- Deviation seen in 3 exp.'s in a consistent way, **combined significance $\sim 3.8\sigma$**
- $R(D)$ and $R(D^*)$ point to constructive interference (+15%) with SM amplitude

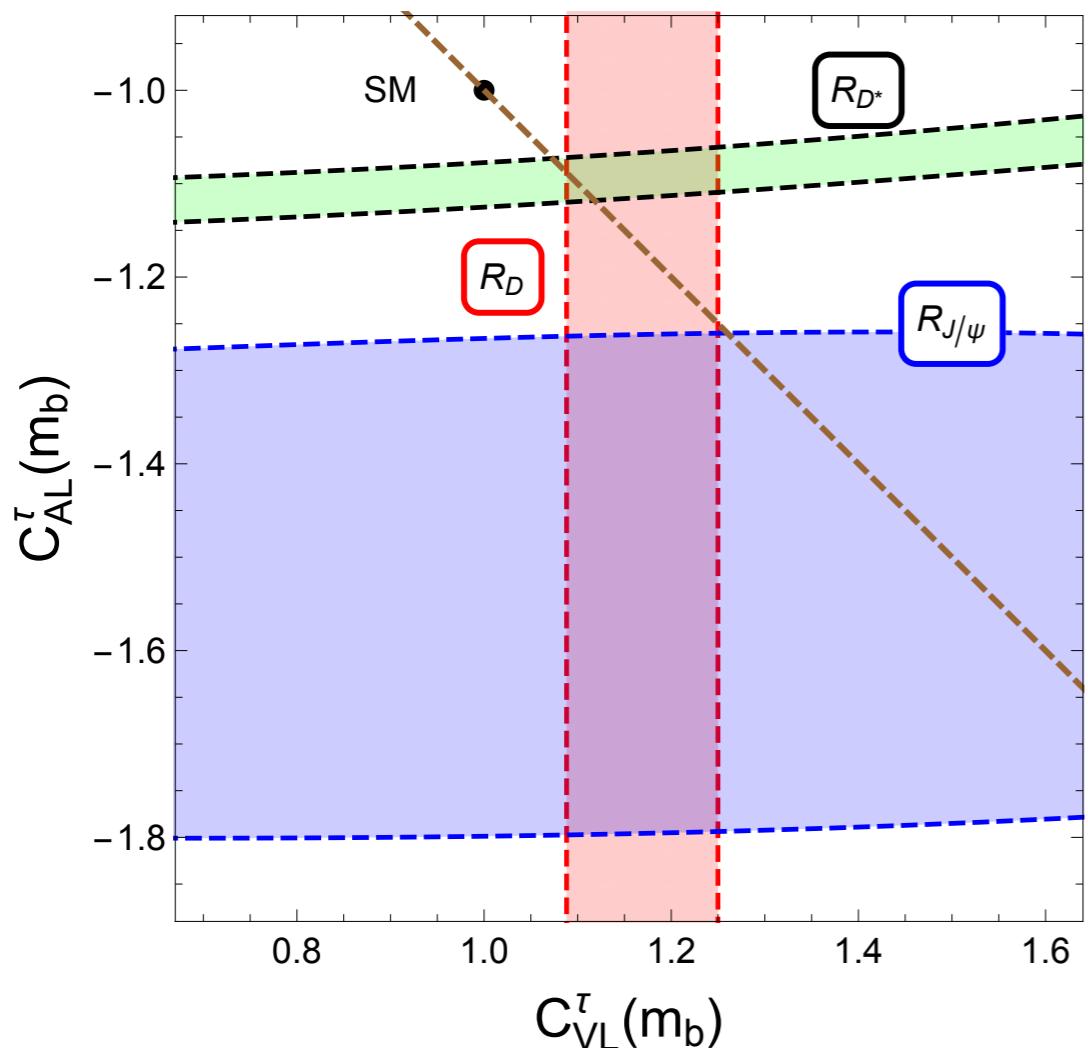
Charged current anomalies

- BSM fit favours NP in LH tau operators

[See e.g. Azatov et al, 1805.03209]

$$\mathcal{L}_{\text{SM}} = -\frac{4G_F}{\sqrt{2}} V_{cb} (\bar{c}_L \gamma_\mu b_L) (\bar{\tau}_L \gamma^\mu \nu_\tau) \quad \xrightarrow{\text{red arrow}}$$

$$\mathcal{L}_{\text{BSM}} = \frac{2c}{\Lambda^2} (\bar{c}_L \gamma_\mu b_L) (\bar{\tau}_L \gamma^\mu \nu_\tau)$$



$$\begin{aligned}\mathcal{O}_{\text{VL}}^{cb\ell\nu} &= [\bar{c} \gamma^\mu b][\bar{\ell} \gamma_\mu P_L \nu] \\ \mathcal{O}_{\text{AL}}^{cb\ell\nu} &= [\bar{c} \gamma^\mu \gamma_5 b][\bar{\ell} \gamma_\mu P_L \nu] \\ \mathcal{O}_{\text{SL}}^{cb\ell\nu} &= [\bar{c} b][\bar{\ell} P_L \nu] \\ \mathcal{O}_{\text{PL}}^{cb\ell\nu} &= [\bar{c} \gamma_5 b][[\bar{\ell} P_L \nu]] \\ \mathcal{O}_{\text{TL}}^{cb\ell\nu} &= [\bar{c} \sigma^{\mu\nu} b][\bar{\ell} \sigma_{\mu\nu} P_L \nu]\end{aligned}$$

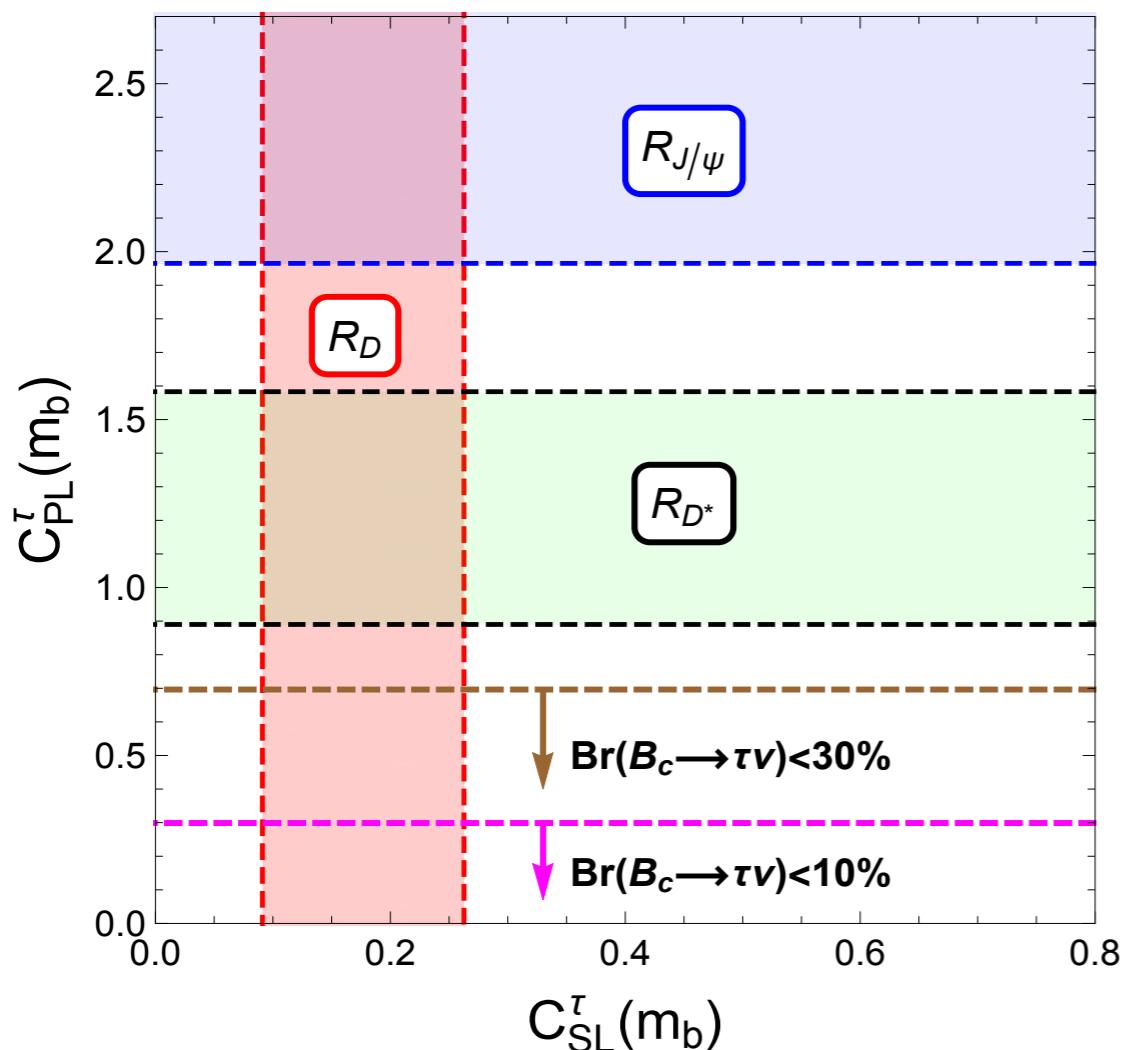
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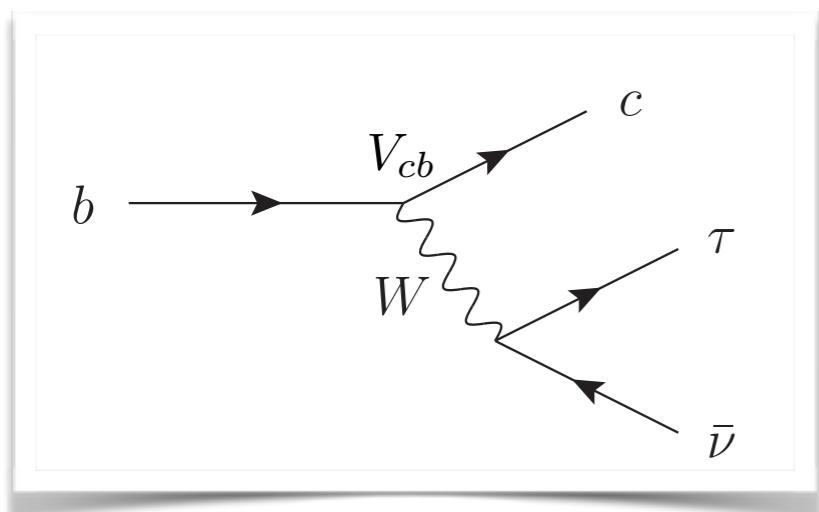
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- What is the scale of NP ?



No suppression: $c = 1$

$\Lambda = 3.4 \text{ TeV}$

MFV: $c = V_{cb}$

$\Lambda = 0.7 \text{ TeV}$

MFV + loop: $c = V_{cb}/4\pi$

$\Lambda = 0.1 \text{ TeV}$

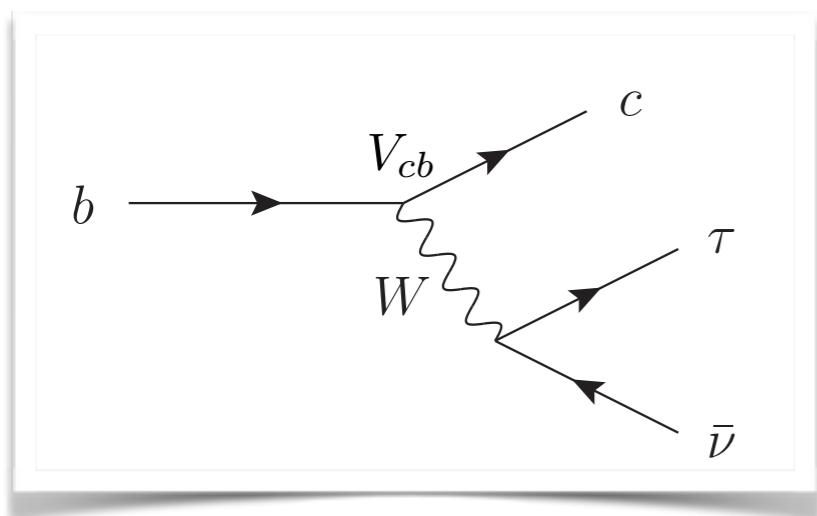
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*Exception: light ($< 100 \text{ MeV}$) sterile neutrino

[Becirevic, Fajfer, Koskik, Sumensari 1608.08501
+ 1804.04135, 1804.04642, 1807.10745, ...]

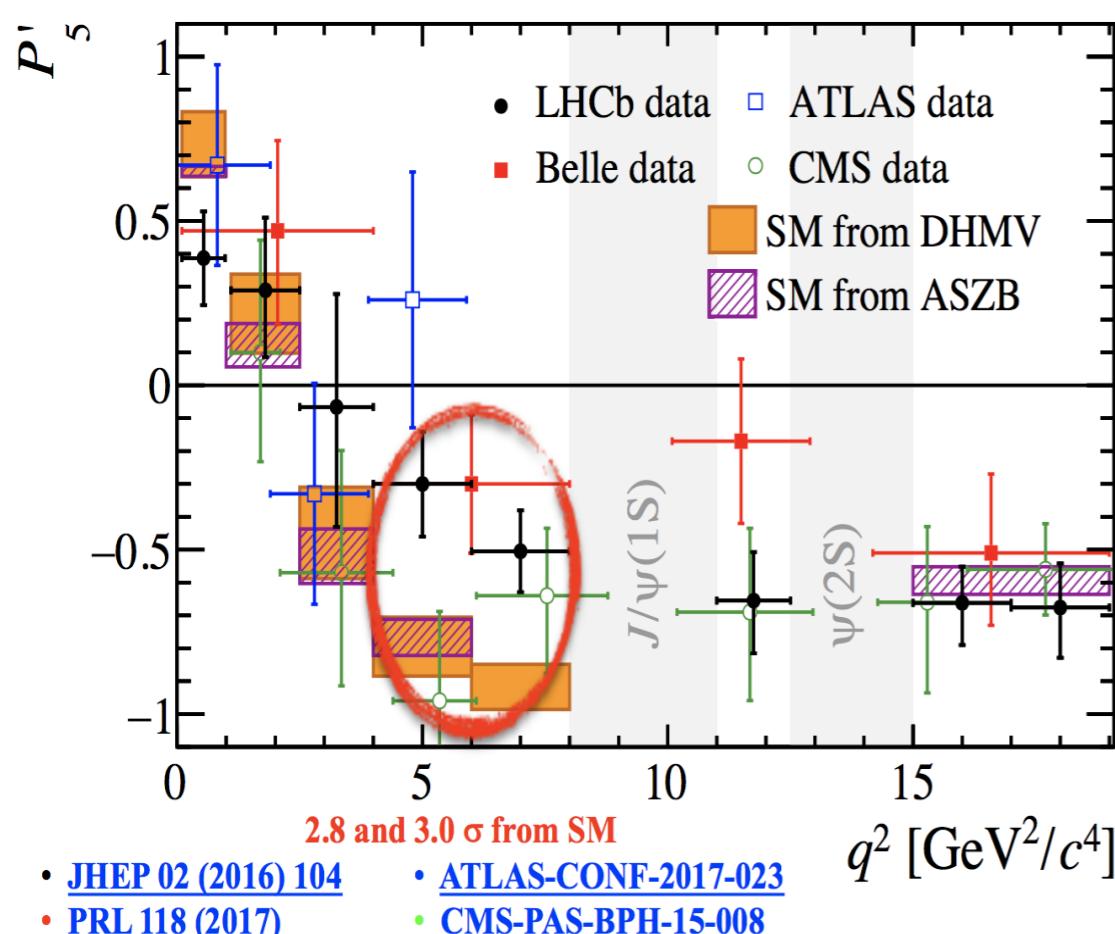
$$\mathcal{L}_{\text{BSM}}^{b \rightarrow c \tau \nu} = \frac{c_{R_D}}{\Lambda^2} (\bar{c}_R \gamma_\mu b_R) (\bar{\tau}_R \gamma^\mu N_R) \quad \Lambda / \sqrt{c_{R_D}} = (1.27^{+0.09}_{-0.07}) \text{ TeV}$$

Neutral current anomalies

- Angular distributions $B \rightarrow (K^* \rightarrow K\pi)\mu\mu$

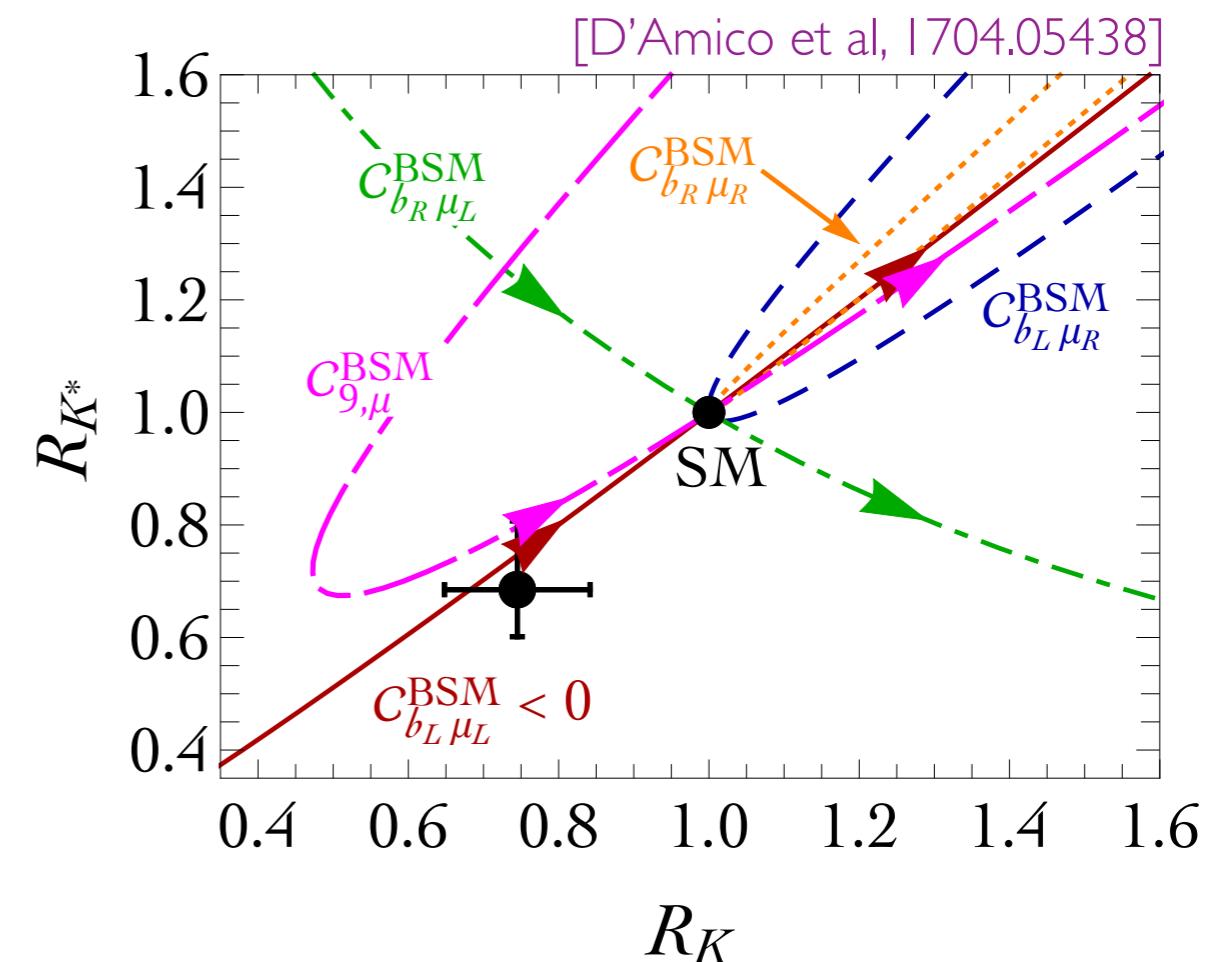
Challenging SM prediction

[see talk by Roman Zwicky]



- LFU ratios $R(K^{(*)}) = \frac{\mathcal{B}(B \rightarrow K^{(*)}\mu\bar{\mu})}{\mathcal{B}(B \rightarrow K^{(*)}e\bar{e})}$

Very clean SM prediction



Combined $R(K^{(*)})$ significance $\sim 4\sigma$

Neutral current anomalies

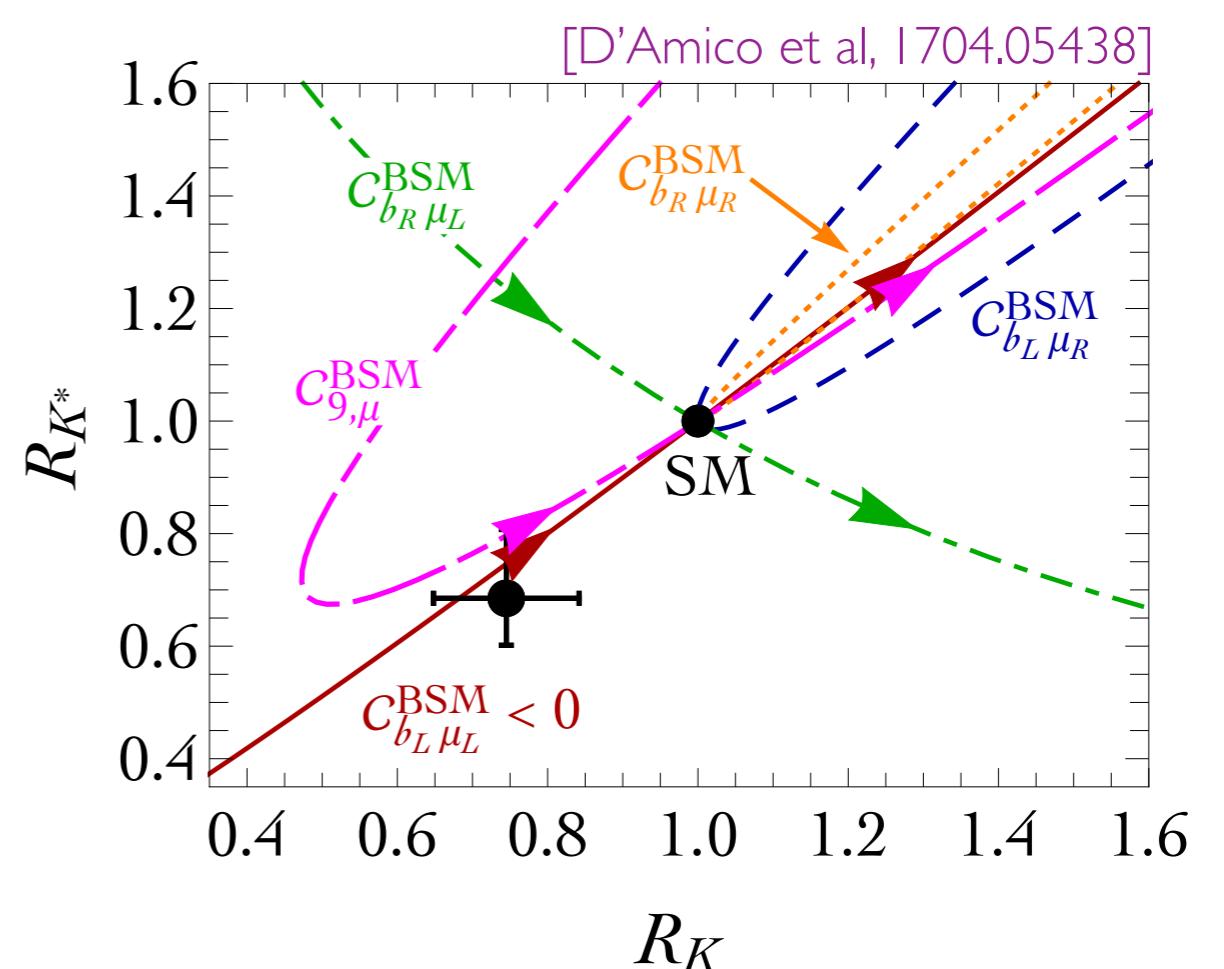
- Well-described by NP in $b \rightarrow s\mu\mu$ [explains also angular distributions]
- RH currents in quark sector disfavoured [predict wrong $R(K)$ - $R(K^*)$ correlation]
- Significance of global fits $> 4\sigma$

1D Hyp.	All		LFUV	
	Best fit	Pull _{SM}	Best fit	Pull _{SM}
$\mathcal{C}_{9\mu}^{\text{NP}}$	-1.10	5.7	-1.76	3.9
(LH) $\mathcal{C}_{9\mu}^{\text{NP}} = -\mathcal{C}_{10\mu}^{\text{NP}}$	-0.61	5.2	-0.66	4.1

Capdevila et al. 1704.05340

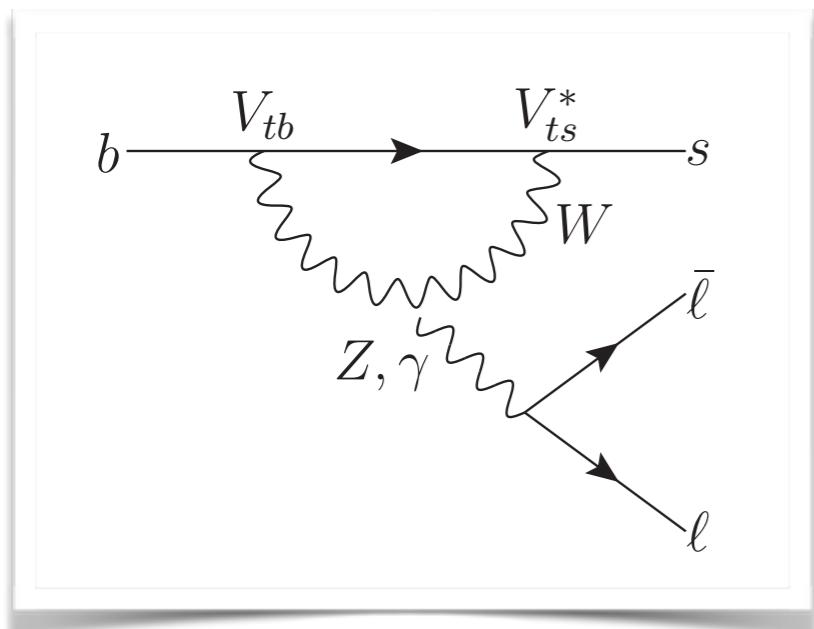
$$O_9 \propto (\bar{s}_L \gamma_\mu b_L)(\bar{\ell}_L \gamma^\mu \ell)$$

$$O_{10} \propto (\bar{s}_L \gamma_\mu b_L)(\ell_L \gamma^\mu \gamma_5 \ell)$$



Neutral current anomalies

- Well-described by NP in $b \rightarrow s\mu\mu$
- RH currents in quark sector disfavoured
- Significance of global fits $> 4\sigma$
- What is the scale of NP ?



$$\mathcal{L}_{\text{BSM}} = \frac{c}{\Lambda^2} (\bar{s}_L \gamma_\alpha b_L) (\bar{\mu}_L \gamma^\alpha \mu_L)$$

No suppression: $c = 1$ $\Lambda = 31 \text{ TeV}$

MFV: $c = V_{ts}$ $\Lambda = 6 \text{ TeV}$

MFV + loop: $c = V_{ts}/4\pi$ $\Lambda = 0.5 \text{ TeV}$

Part-II

Combined explanations

Why combined explanations ?

- Of course, it could be that only a subset of anomalies is due to NP

- e.g. one could try to fit only $R(K^{(*)})$

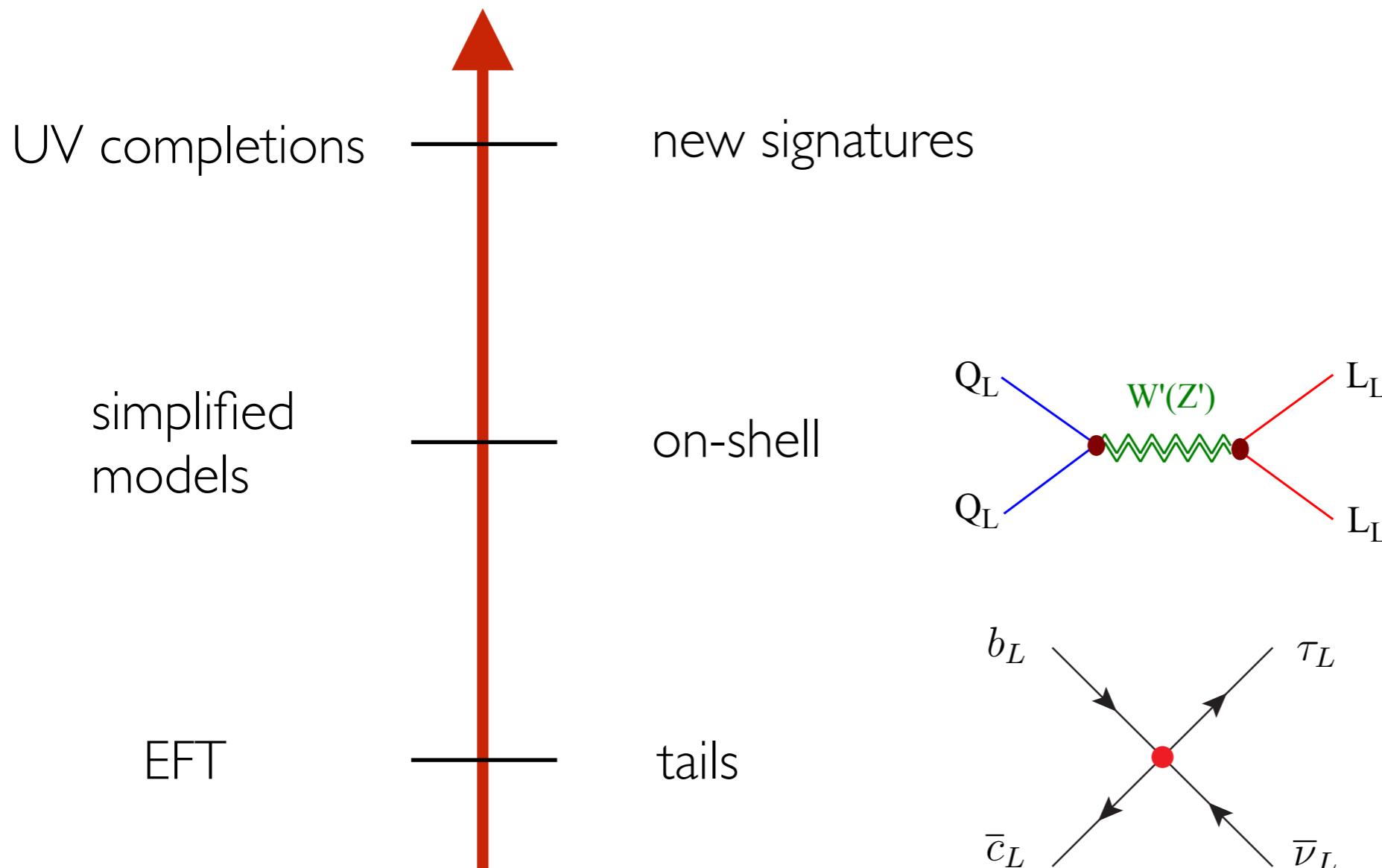
→ many possibilities: tree, loop, light mediators, NP in electrons, ... [> 100 papers]

Why combined explanations ?

- Of course, it could be that only a subset of anomalies is due to NP
 - e.g. one could try to fit only $R(K^{(*)})$
- many possibilities: tree, loop, light mediators, NP in electrons, ... [> 100 papers]
- However, if lepton flavour universality (LFU) is violated in $R(K^{(*)})$
 - theoretically motivated to expect large effects in $R(D^{(*)})$
 1. neutral and charged currents naturally connected by $SU(2)_L$ invariance
 2. LFU violation might be related to dynamics responsible for $m_\tau \gg m_\mu \gg m_e$

Bottom-up approach

Theoretical input / bias



$$\Lambda_{R_D} = 3.4 \text{ TeV}$$

$$\Lambda_{R_K} = 31 \text{ TeV}$$

From EFT to simplified models

- $SU(2)_L$ triplet operator as a natural starting point for explaining $R(D) + R(K)$

$$-\frac{1}{v^2} \lambda_{ij}^q \lambda_{\alpha\beta}^\ell \left[C_T (\bar{Q}_L^i \gamma_\mu \sigma^a Q_L^j)(\bar{L}_L^\alpha \gamma^\mu \sigma^a L_L^\beta) \right]$$

[Bhattacharya et al 1412.7164
Alonso, Grinstein, Camalich 1505.05164,
Greljo, Isidori, Marzocca 1506.01705,
Calibbi, Crivellin, Ota 1506.02661, ...]

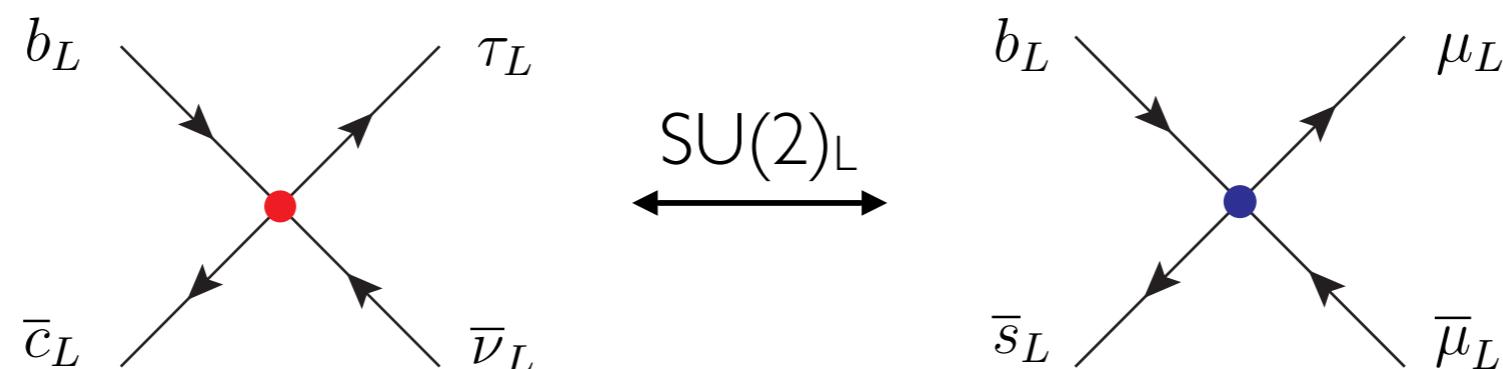
$$Q_L^i = \begin{pmatrix} (V_{CKM}^\dagger u_L)^i \\ d_L^i \end{pmatrix}$$

$$L_L^\alpha = \begin{pmatrix} \nu_L^\alpha \\ e_L^\alpha \end{pmatrix}$$

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$$\Lambda_{R_D} = 3.4 \text{ TeV}$$

\ll

$$\Lambda_{R_K} = 31 \text{ TeV}$$

“Fermi constant”
of the anomaly

$$\frac{1}{\Lambda^2} = \frac{C}{M^2}$$

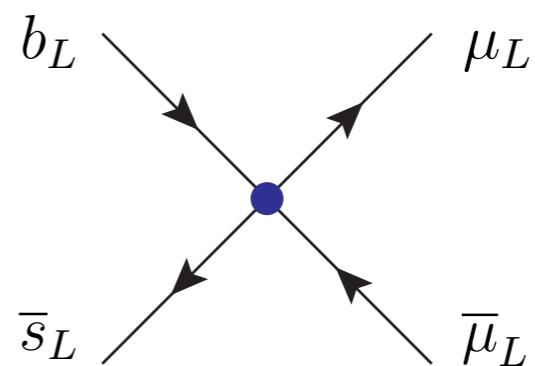
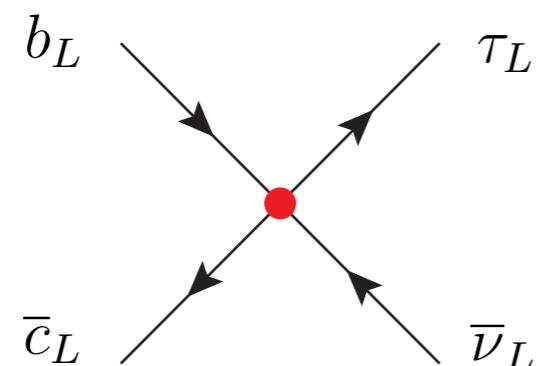
model dependent part
 $C = (\text{loops}) \times (\text{couplings}) \times (\text{flavour})$

on-shell effects @ colliders

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$$\Lambda_{R_D} = 3.4 \text{ TeV}$$

\ll

$$\Lambda_{R_K} = 31 \text{ TeV}$$

- Perturbative unitarity bound from $2 \rightarrow 2$ fermion scatterings (**worse case scenario**)

$$\sqrt{s}_{R_D} < 9.2 \text{ TeV}$$

$$\sqrt{s}_{R_K} < 84 \text{ TeV}$$



no-loose theorem for HL/HE-LHC ? [LDL, Nardecchia 1706.01868]

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- Flavour structure:

1. large couplings in taus [SM tree level]
2. sizable couplings in muons [SM one loop]
3. negligible couplings in electrons [well tested, not much room]

$$\lambda_{ij}^{q,\ell} = \delta_{i3}\delta_{j3} + \text{corrections} \quad U(2)_q \times U(2)_\ell \quad \text{approx flavor symmetry}$$

[Barbieri et al | 105.2296, 1512.01560]

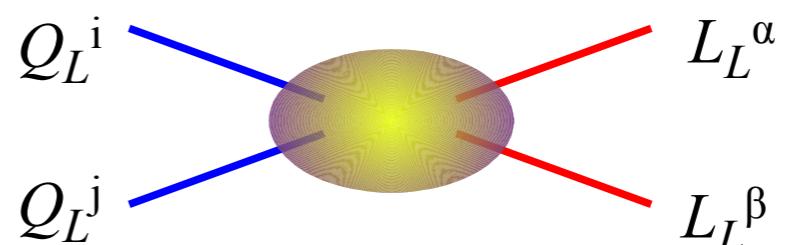


link to SM Yukawa pattern ?

From EFT to simplified models

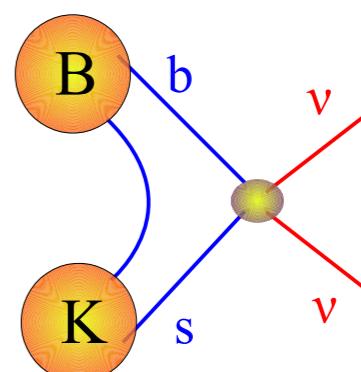
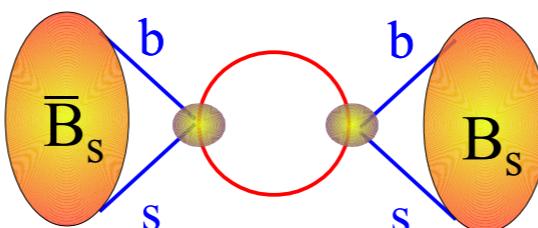
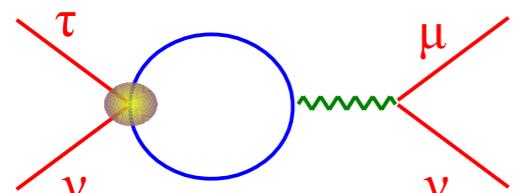
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- Long list of constraints:

- FCNCs, tau-decays, EWPOs, Bs-mixing, semi-leptonic B decays, ...



[Feruglio, Paradisi, Pattori
1606.00524, 1705.00929]

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- Finite list of tree-level mediators

[Zürich's guide for combined explanations, I706.07808]

Simplified Model	Spin	SM irrep	C_S/C_T	$R_{D^{(*)}}$	$R_{K^{(*)}}$
Z'	1	(1, 1, 0)	∞	✗	✓
V'	1	(1, 3, 0)	0	✓	✓
S_1	0	($\bar{3}$, 1, 1/3)	-1	✓	✗
S_3	0	($\bar{3}$, 3, 1/3)	3	✓	✓
U_1	1	(3, 1, 2/3)	1	✓	✓
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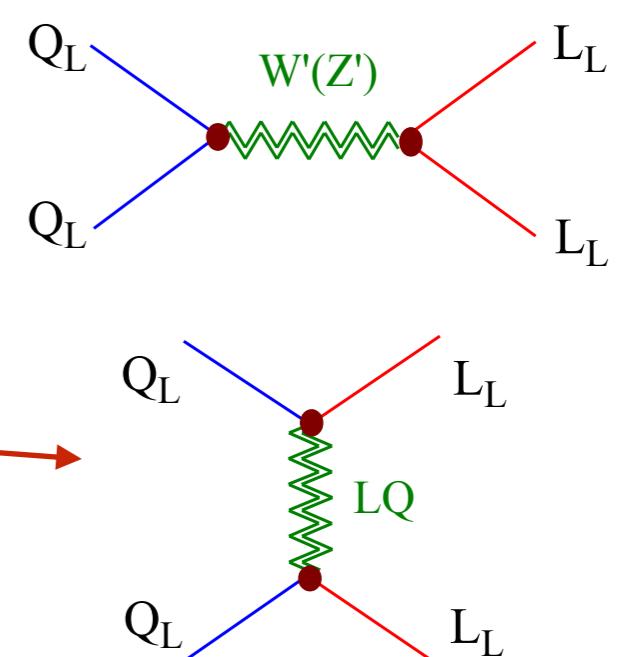
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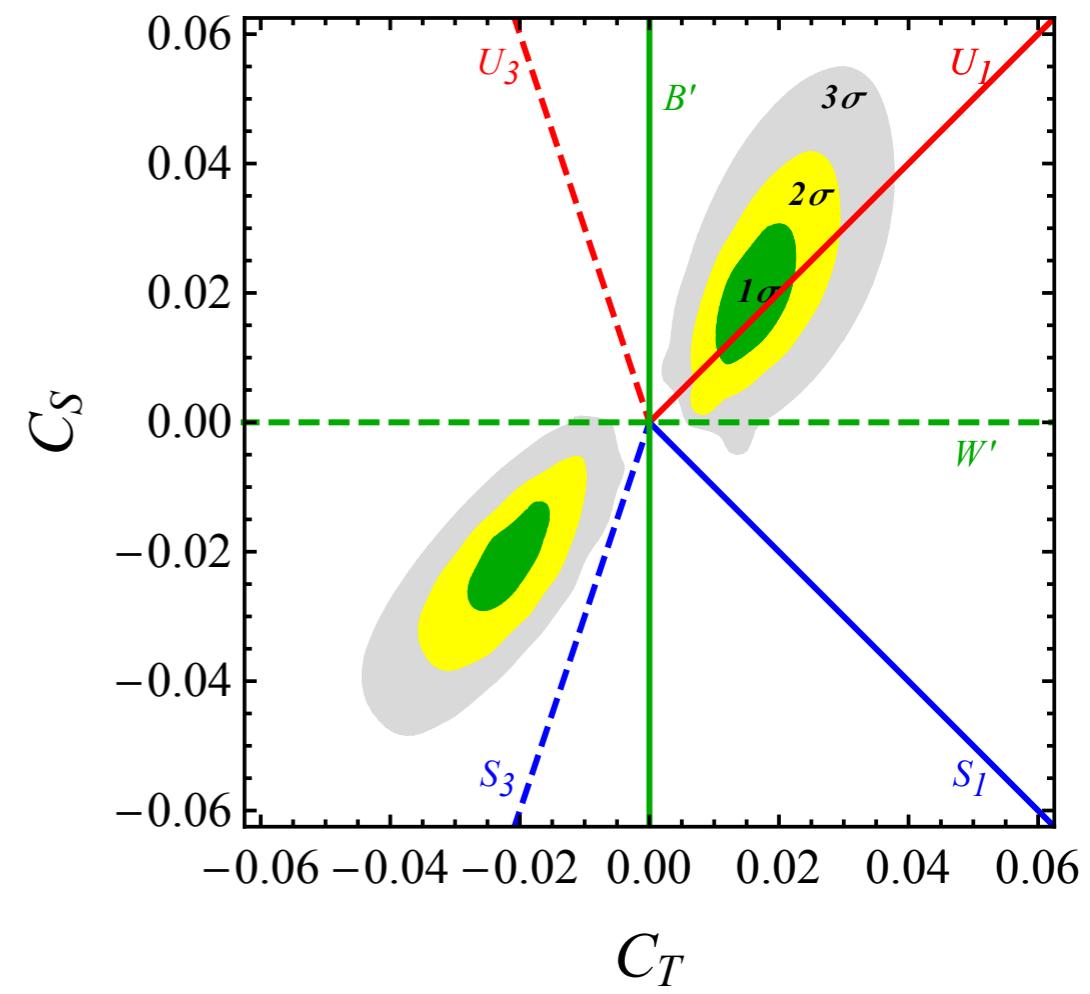
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→ U_1 emerges as an exceptional single mediator consistent with various flavour/EW constraints

$$\overline{B}_s - B_s \quad B \rightarrow K^{(*)}\nu\nu \quad Z \rightarrow \tau\tau$$

[for details see backup slides]



UV completion: $U_I \sim (3, 1, 2/3)$

- Massive vectors point to UV dynamics at the TeV scale

composite resonance of
a new strong dynamics

gauge boson of an
extended gauge sector

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$$\frac{G}{H} = \frac{SU(4) \times SO(5) \times U(1)_X}{SU(4) \times SO(4) \times U(1)_X}$$

[Barbieri, Isidori, Pattori, Senia 1502.01560
Barbieri, Murphy, Senia 1611.0493
Buttazzo, Greljo, Isidori, Marzocca 1706.07808
Barbieri, Tesi 1712.06844]

- pNGB Higgs + U_1 as composite state of G

- 😊 conceptual link with the naturalness issue of EW scale
- 😢 light LQ lowers the whole resonances' spectrum (direct searches + EWPTs)
- 😢 intrinsically non-calculable (e.g. Bs-mixing quadratically divergent)

UV completion: $U_I \sim (3, 1, 2/3)$

- Pati-Salam ?

$$G_{PS} = SU(4)_{PS} \times SU(2)_L \times SU(2)_R$$

$$G_{PS}/G_{SM} = U_1 + Z' + W_R$$

gauge boson of an extended gauge sector



hinted by SM chiral structure and neutrino masses

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- 🙁 $M_{U_1} \gtrsim 100$ TeV from $K_L^0, B^0, B_s \rightarrow \ell \ell'$ [$L \times R$ couplings both present by unitarity]
- 🙁 Z' direct searches [$M_{U_1} \sim M_{Z'} \sim$ TeV + $O(g_s)$ Z' couplings to valence quarks]
- 🙁 neutrino masses also suggest $M_{U_1} \gg$ TeV [$y_{\text{top}} \sim y_{\nu_3-\text{Dirac}}$]

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LQ of minimal PS cannot explain B-anomalies

[Non-minimal PS options lack the beauty and simplicity of the minimal construction: Calibbi, Crivellin, Li 1709.00692, Bordone, Cornella, Fuentes-Martin, Isidori 1712.01368 + 1805.09328, Blanke, Crivellin 1801.07256, Heeck, Teresi 1808.07492 ...]

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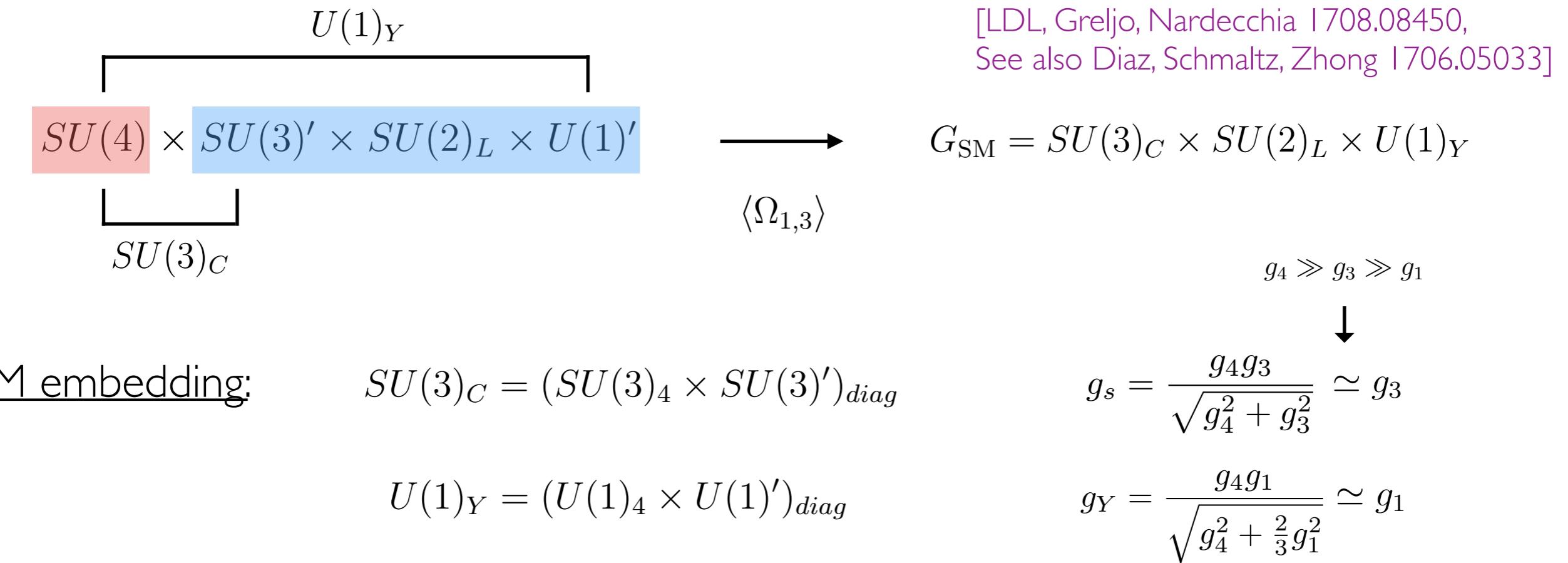
➡ step 0: does a gauge UV completion of U_1 addressing these three phenomenological issues (in order to be a viable solution of B-anomalies) exist ?

The '4321' model

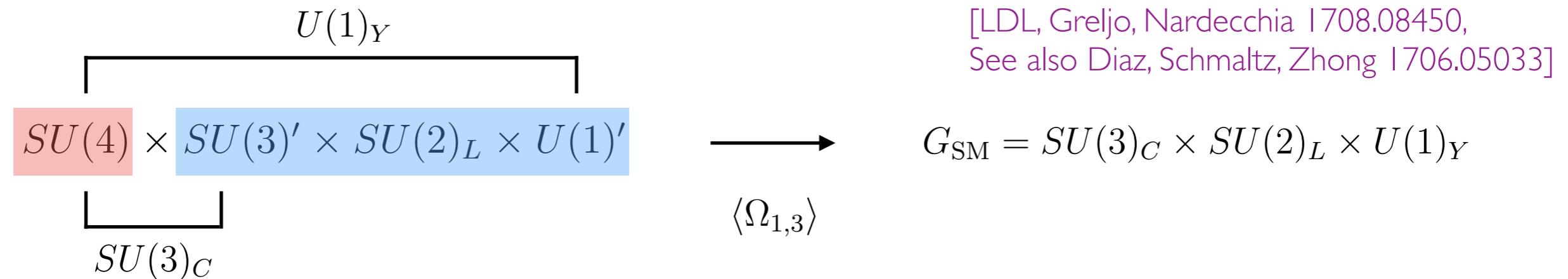
[LDL, Greljo, Nardecchia 1708.08450,
See also Diaz, Schmaltz, Zhong 1706.05033]

$$SU(4) \times [SU(3)' \times SU(2)_L \times U(1)']$$

The '4321' model



The ‘4321’ model



SM embedding:

Massive gauge bosons:

$$G/G_{\text{SM}} = U + Z' + g'$$

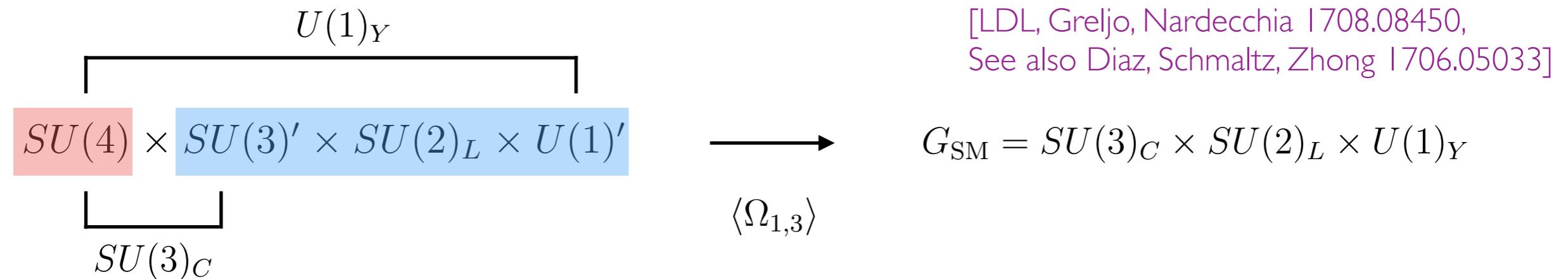
$$M_{g'} \simeq \sqrt{2} M_U \quad M_{Z'} \simeq \frac{1}{\sqrt{2}} M_U$$

$$\begin{pmatrix} (g'^a_\mu)_\beta^\alpha & : & U_\mu^\alpha \\ \dots & : & \dots \\ (U_\mu^\beta)^\dagger & : & Z'_\mu \end{pmatrix}$$

→ cannot decouple g' and Z' from LQ mass scale !

[a theorem (?) that in whatever UV construction U always comes with a Z' - while the colon is a specific consequence of the 4321 model]

The '4321' model



Matter content:

Field	$SU(4)$	$SU(3)'$	$SU(2)_L$	$U(1)'$
$q_L'^i$	1	3	2	1/6
$u_R'^i$	1	3	1	2/3
$d_R'^i$	1	3	1	-1/3
$\ell_L'^i$	1	1	2	-1/2
$e_R'^i$	1	1	1	-1
Ψ_L^i	4	1	2	0
Ψ_R^i	4	1	2	0
H	1	1	2	1/2
Ω_3	$\frac{1}{4}$	3	1	1/6
Ω_1	$\frac{1}{4}$	1	1	-1/2

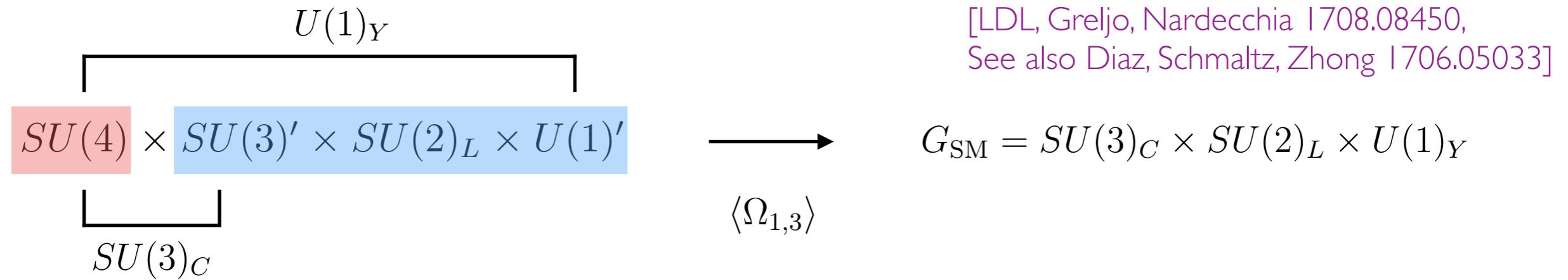
Would-be SM fields

Vector-like fermions ($\Psi = Q' + L'$)

SSB

} mix after SSB

The '4321' model

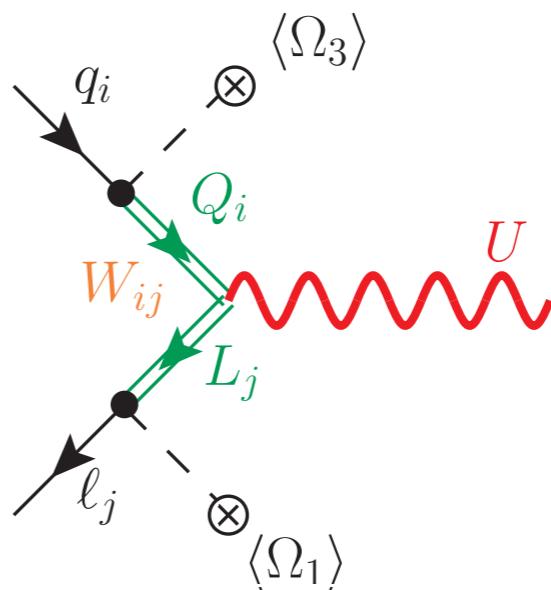


Matter content:

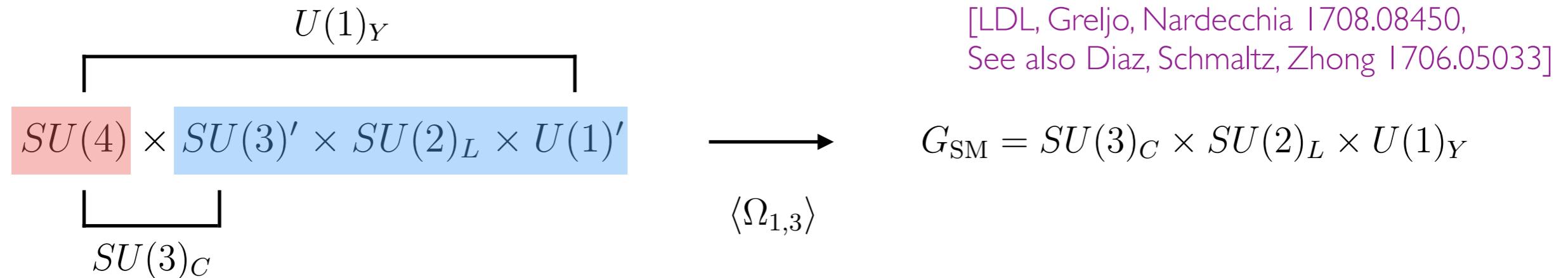


LQ dominantly couples to 3rd generation LH fields:
 [matches in first approx. EFT analysis for B-anomalies + relaxes flavour bounds from chirality enhanced meson decays]

Field	$SU(4)$	$SU(3)'$	$SU(2)_L$	$U(1)'$
$q_L^{i,i}$	1	3	2	1/6
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$d_R^{i,i}$	1	3	1	-1/3
$\ell_L^{i,i}$	1	1	2	-1/2
$e_R^{i,i}$	1	1	1	-1
Ψ_L^i	4	1	2	0
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H	1	1	2	1/2
Ω_3	$\frac{1}{4}$	3	1	1/6
Ω_1	$\frac{1}{4}$	1	1	-1/2



The '4321' model



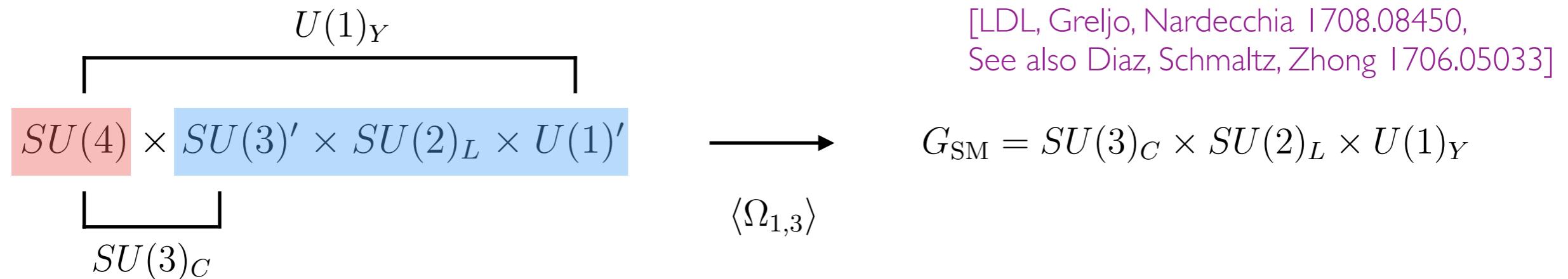
LQ dominantly couples to 3rd generation LH fields:
[matches in first approx. EFT analysis for B-anomalies + relaxes flavour bounds from chirality enhanced meson decays]

$$\begin{aligned} \mathcal{L}_L = & \frac{g_4}{\sqrt{2}} \bar{Q}'_L \gamma^\mu L'_L U_\mu + \text{h.c.} \\ & + g_s \left(\frac{g_4}{g_3} \bar{Q}'_L \gamma^\mu T^a Q'_L - \frac{g_3}{g_4} \bar{q}'_L \gamma^\mu T^a q'_L \right) g'_\mu^a \\ & + \frac{1}{6} \sqrt{\frac{3}{2}} g_Y \left(\frac{g_4}{g_1} \bar{Q}'_L \gamma^\mu Q'_L - \frac{2}{3} \frac{g_1}{g_4} \bar{q}'_L \gamma^\mu q'_L \right) Z'_\mu \\ & - \frac{1}{2} \sqrt{\frac{3}{2}} g_Y \left(\frac{g_4}{g_1} \bar{L}'_L \gamma^\mu L'_L - \frac{2}{3} \frac{g_1}{g_4} \bar{\ell}'_L \gamma^\mu \ell'_L \right) Z'_\mu \end{aligned}$$



Suppressed Z' and g' couplings to light generations
[requires phenomenological limit $g_4 \gg g_3 \simeq g_s \gg g_1 \simeq g_Y$]

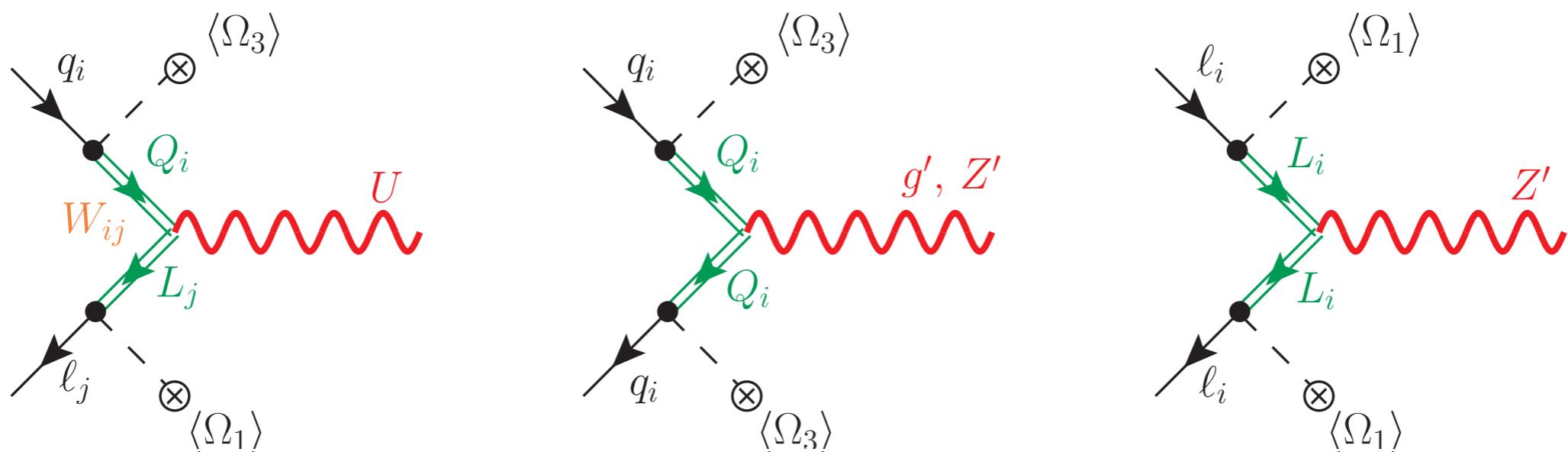
The '4321' model



- LQ dominantly couples to 3rd generation LH fields:
[matches in first approx. EFT analysis for B-anomalies + relaxes flavour bounds from chirality enhanced meson decays]
- Suppressed Z' and g' couplings to light generations
[requires phenomenological limit $g_4 \gg g_3 \simeq g_s \gg g_1 \simeq g_Y$]
- B and L accidental global symmetries
[neutrino massless as in the SM]

Key phenomenological features

1. Large quark-lepton transitions in 3-2 sector
2. Tree-level FCNC involving down quarks and leptons are absent
3. Tree-level FCNC involving up quarks are U(2) protected

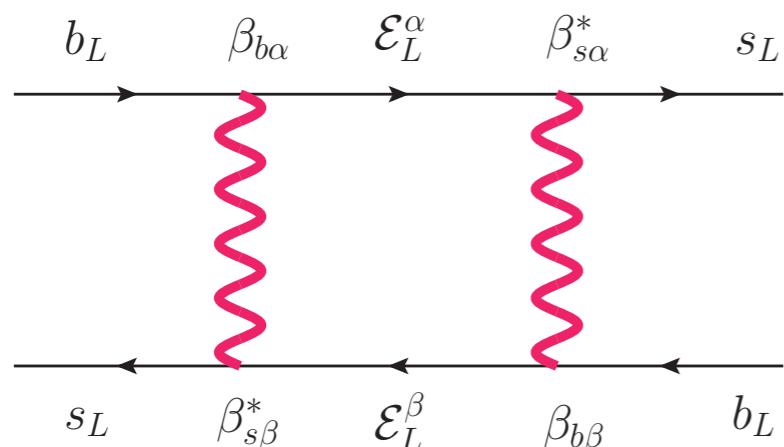


[see backup slides for the discussion of the flavour structure]

[LDL, Fuentes-Martin, Greljo, Nardecchia, Renner 1808.00942]

Key phenomenological features

1. Large quark-lepton transitions in 3-2 sector
2. Tree-level FCNC involving down quarks and leptons are absent
3. Tree-level FCNC involving up quarks are U(2) protected
4. FCNC @ 1-loop under control



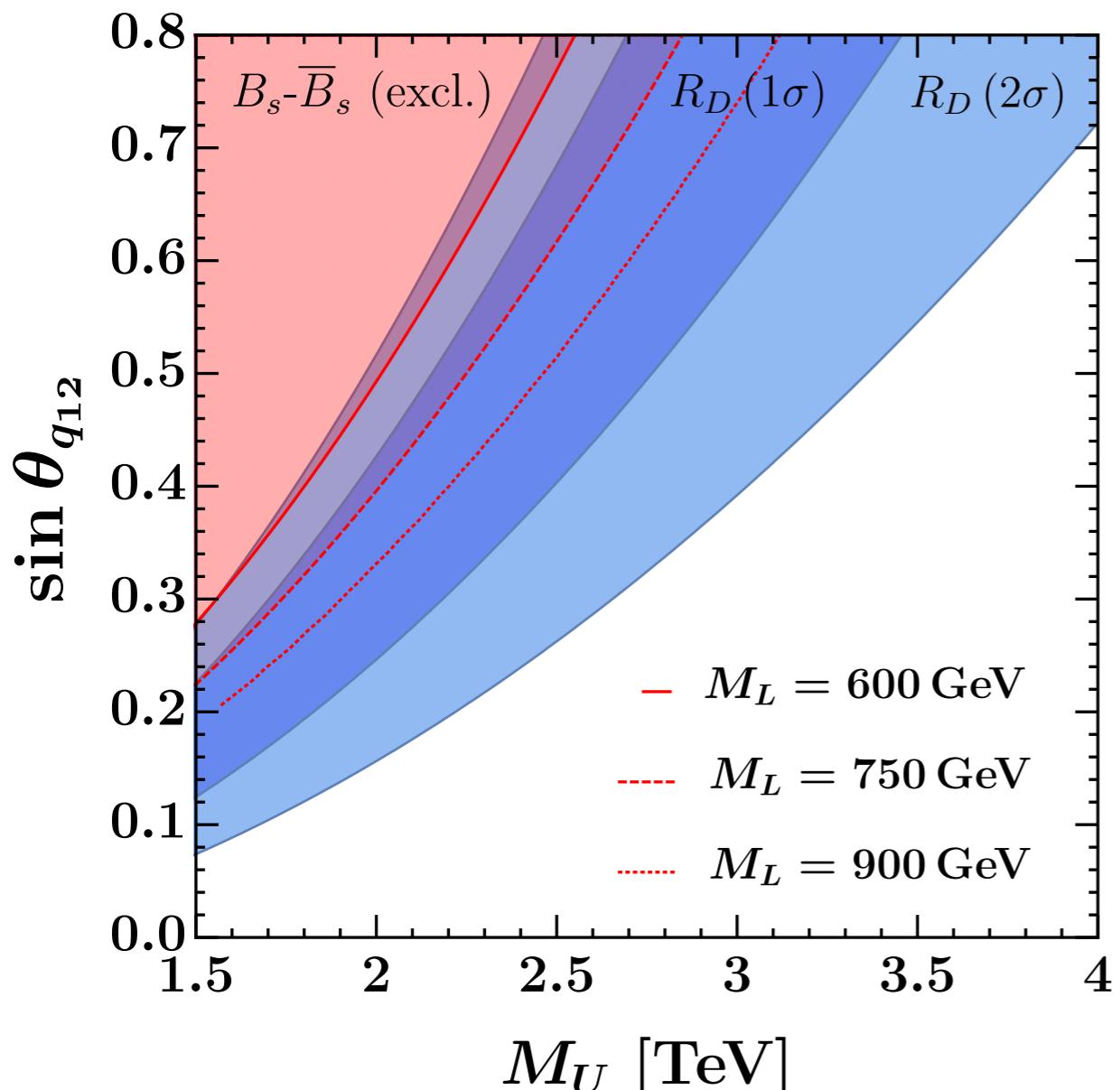
$$\mathcal{H}_{\text{eff}}^{\text{NP}} = -\frac{g_4^4}{128\pi^2 m_U^2} (\bar{b}_L \gamma^\mu s_L) (\bar{b}_L \gamma_\mu s_L) \sum_{\alpha, \beta} \lambda_\alpha \lambda_\beta F(x_\alpha, x_\beta)$$

$$\lambda_\alpha = \beta_{b\alpha} \beta_{s\alpha}^* \quad x_\alpha = m_\alpha^2 / M_U^2 \quad \alpha = (1, \dots, 6)$$

$$\sum_\alpha \lambda_\alpha = 0 \quad [\text{ensures cancellation of quadratic divergences}]$$

$F(x_\alpha, x_\beta) \simeq \cancel{x} + x_\alpha + x_\beta + \dots \rightarrow$ dynamical suppression from light lepton partners

Low-energy / high-pT interplay

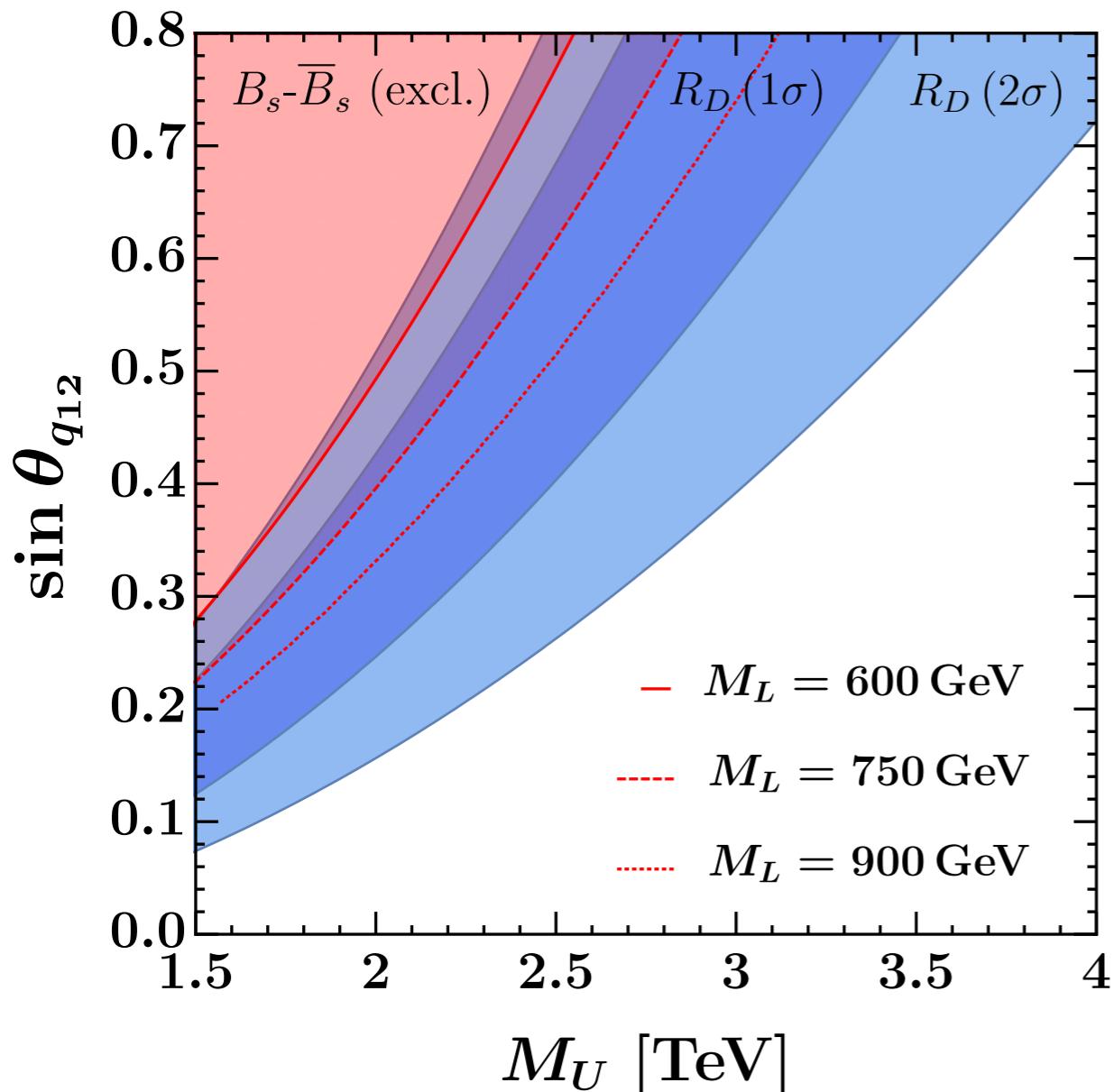


$$C_{bs}^{LL} \sim \Delta R_{D^{(*)}}^2 M_L^2$$

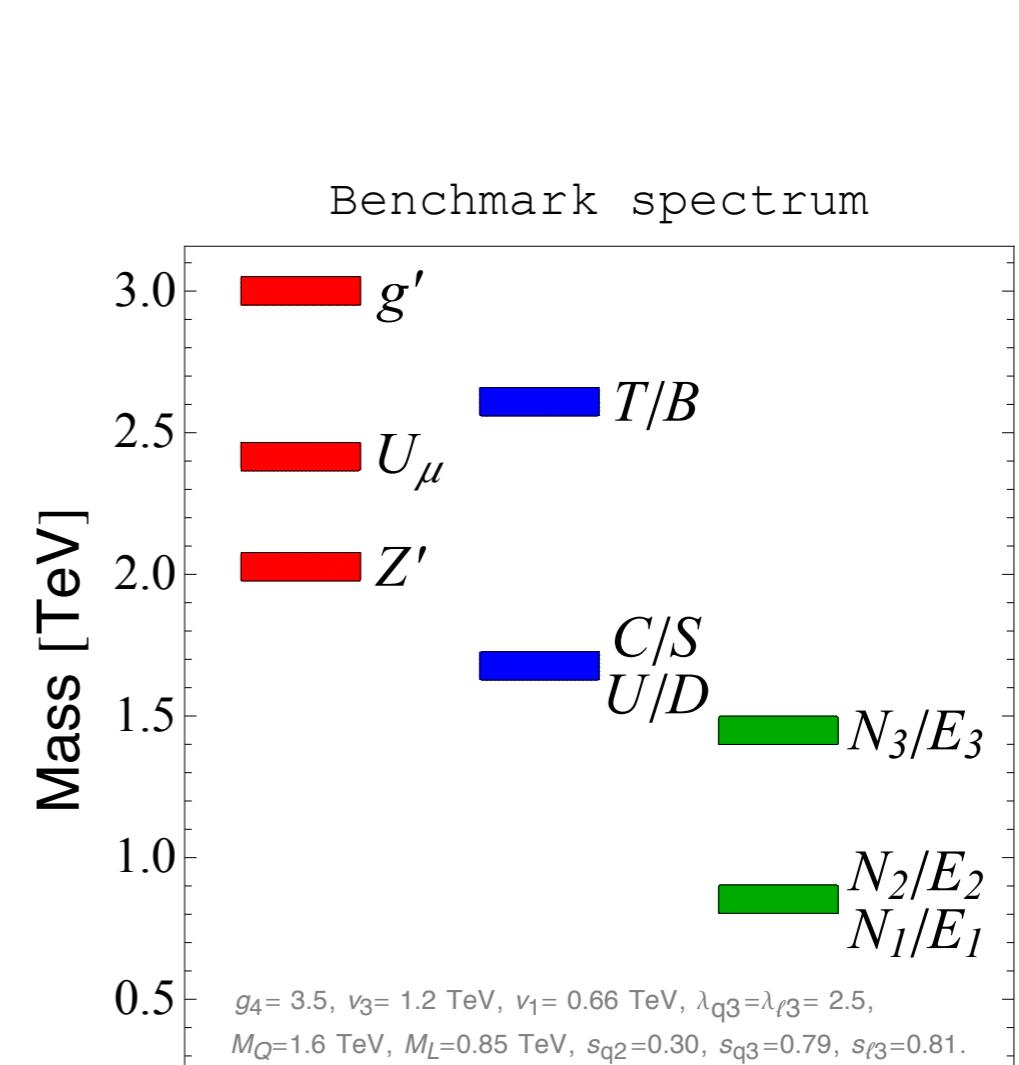


vector-like leptons are predicted
to be the lightest new states !

Low-energy / high-pT interplay

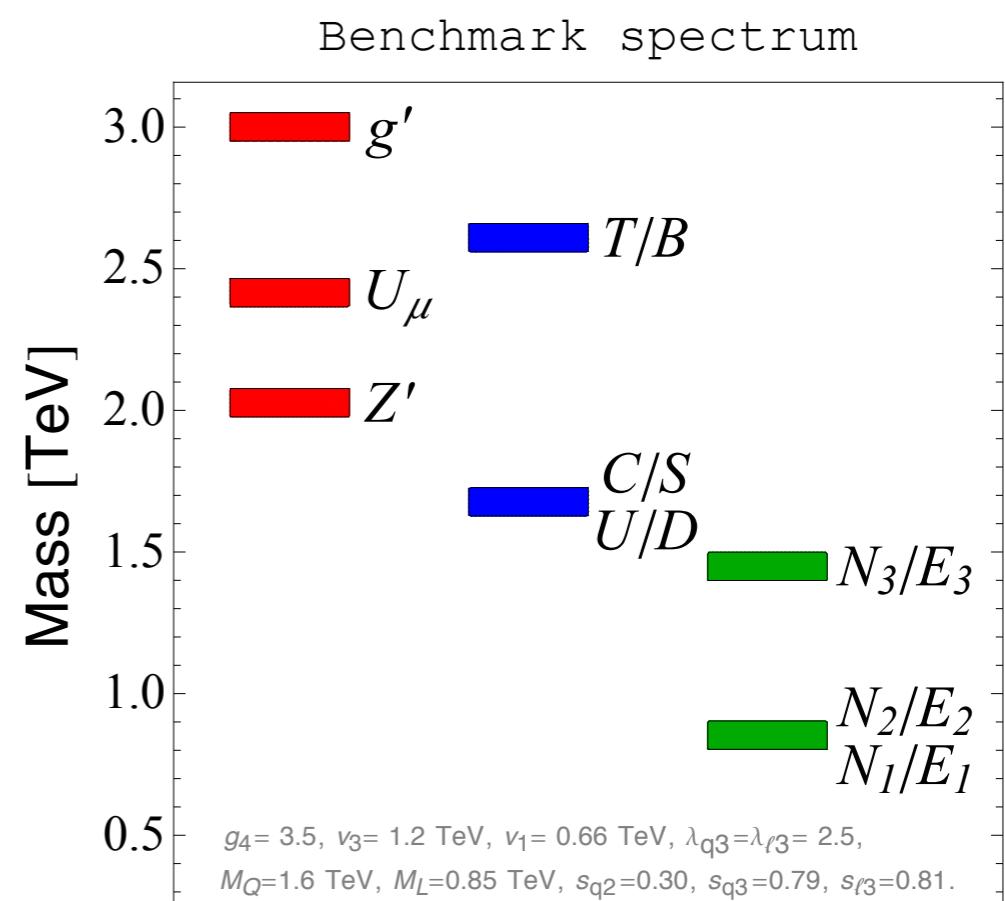
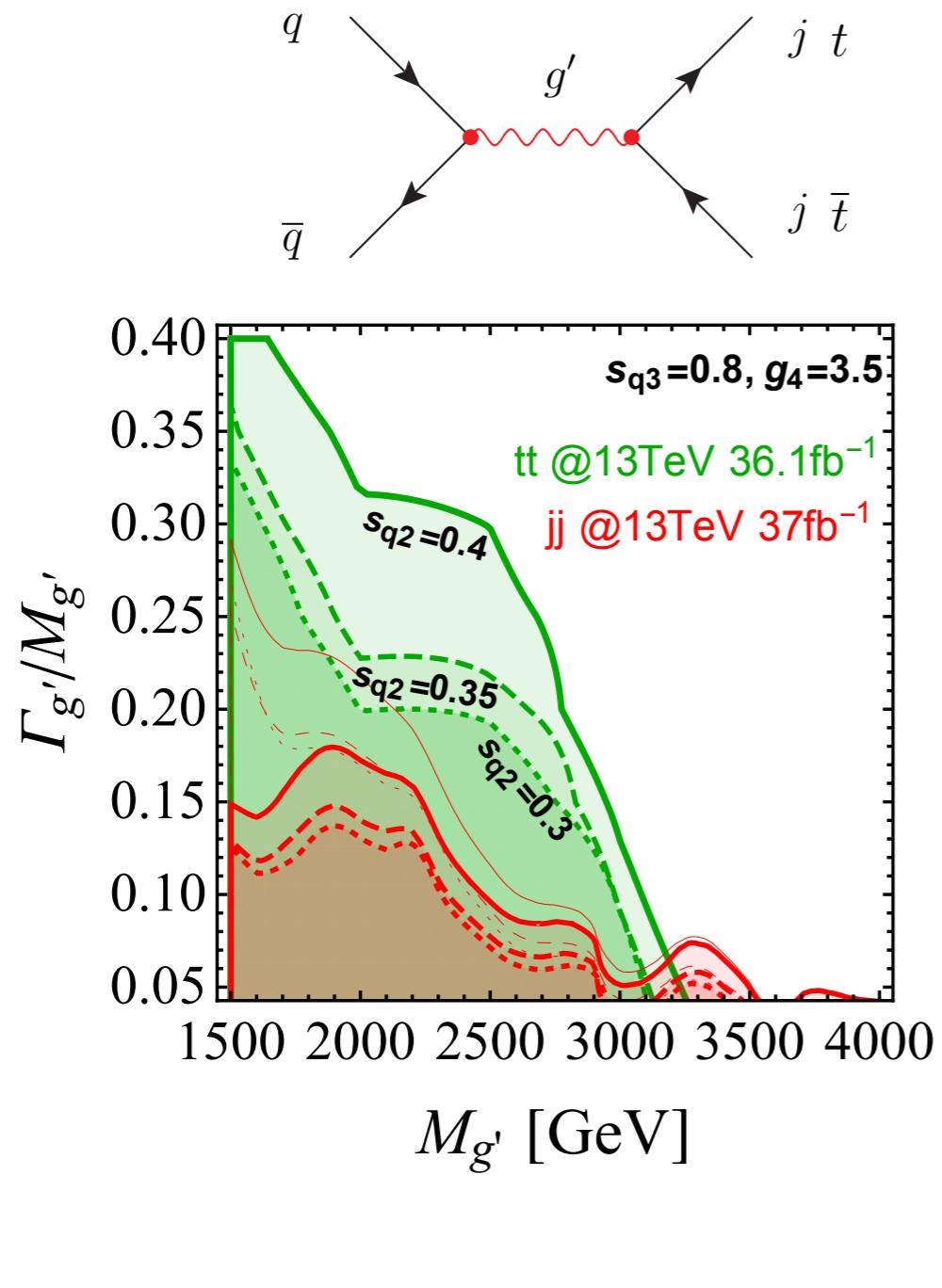


$$C_{bs}^{LL} \sim \Delta R_{D^{(*)}}^2 M_L^2$$



High-pT highlights

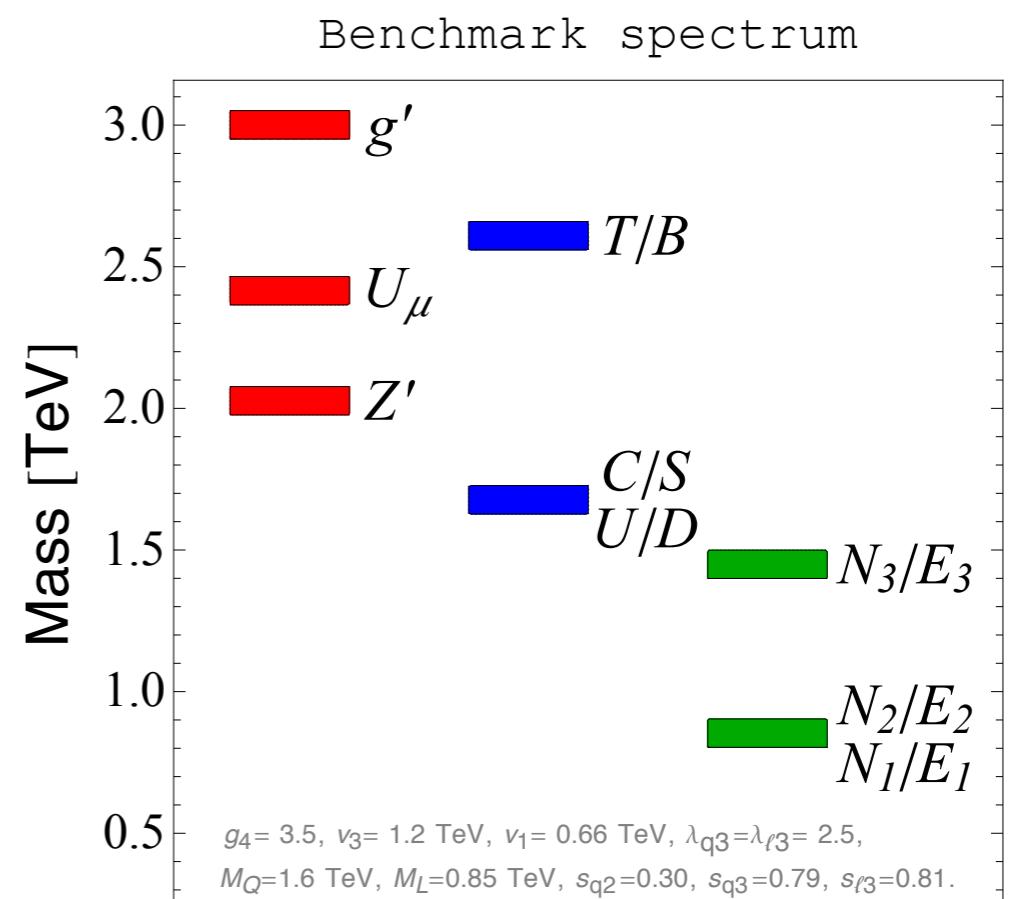
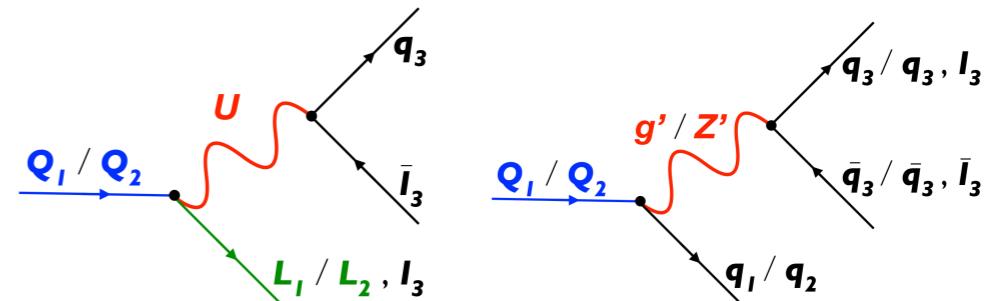
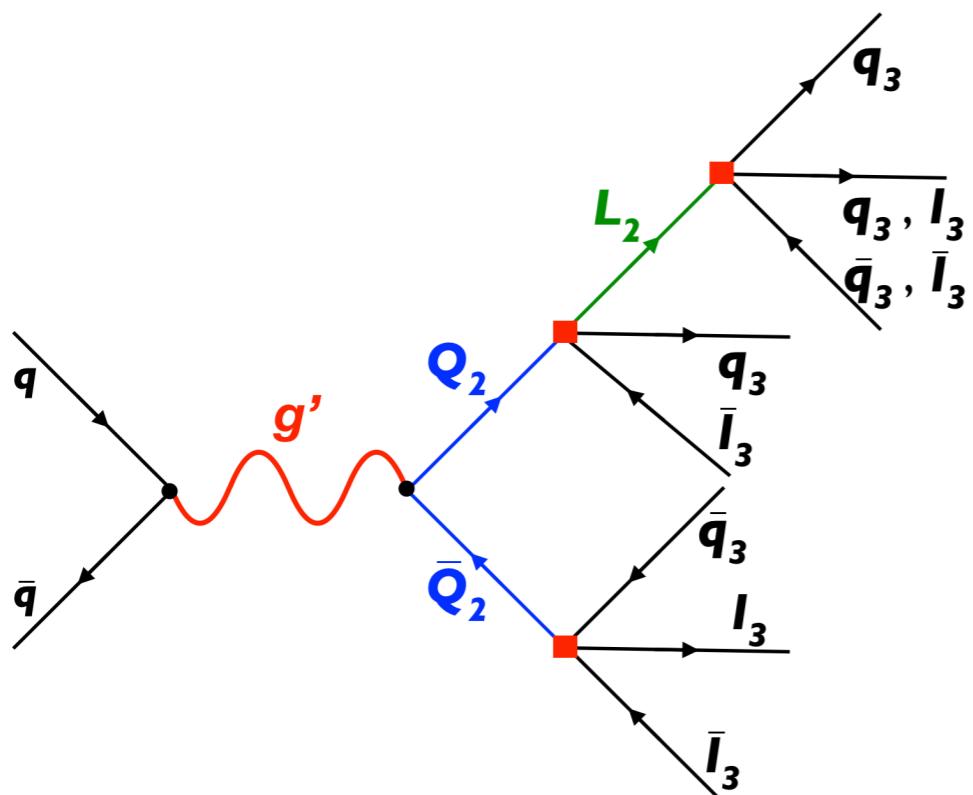
- Coloron searches push the whole spectrum up



High-pT highlights

- Coloron searches push the whole spectrum up
- Exotic multi-lepton & multi-jet signatures

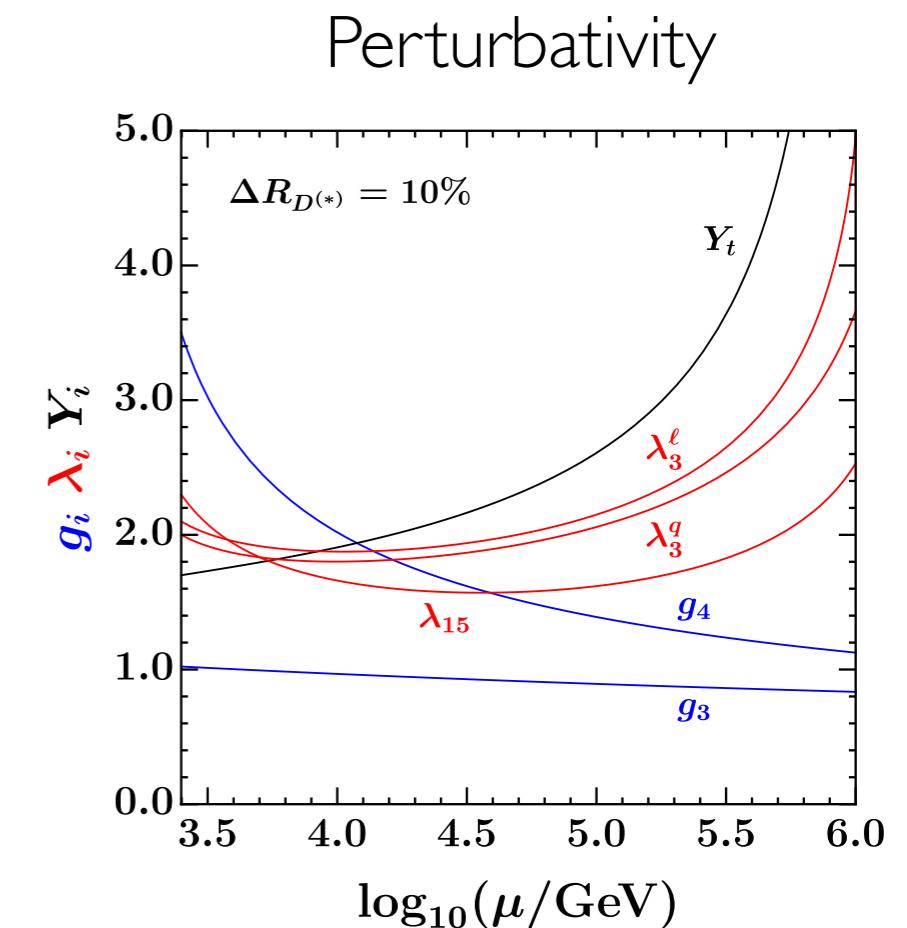
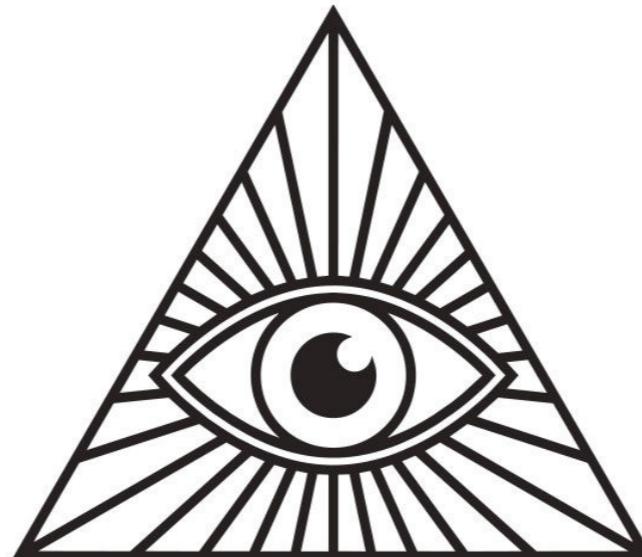
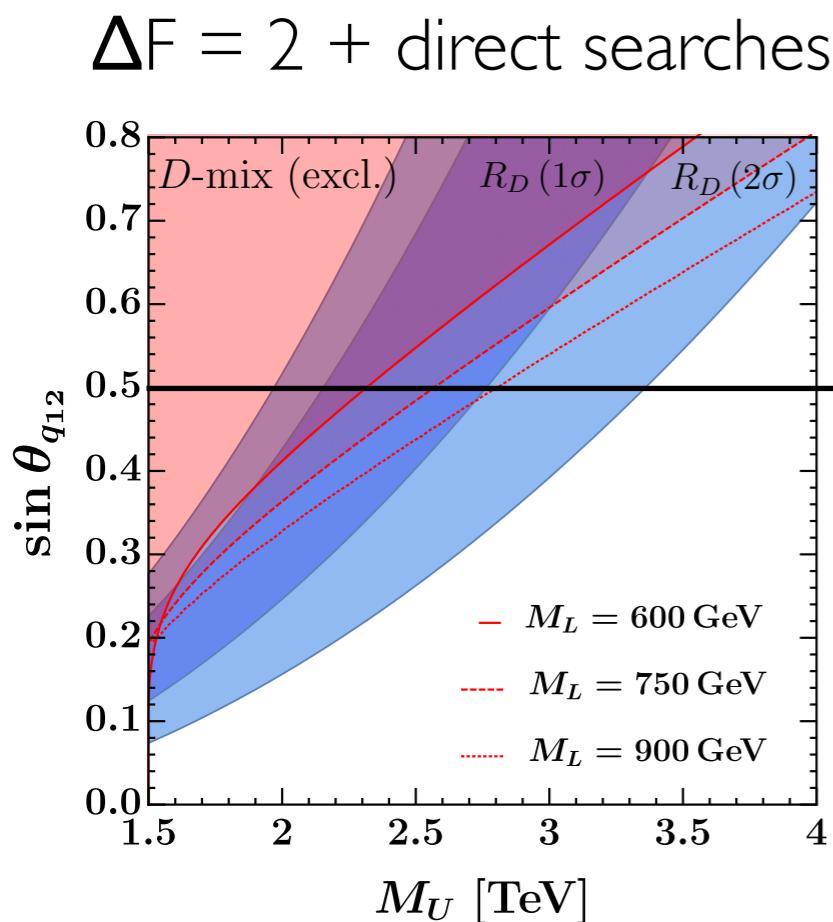
[Dominant decays of new fermions are $I \rightarrow 3$]



The leptoquark paradox

- NP expected to be seen yesterday ?

$$\Delta R_{D^{(*)}} \approx 0.2 \left(\frac{2 \text{ TeV}}{M_U} \right)^2 \left(\frac{g_4}{3.5} \right)^2 \sin(2\theta_{LQ}) \left(\frac{s_{\ell_3}}{0.8} \right)^2 \left(\frac{s_{q_3}}{0.8} \right) \left(\frac{s_{q_2}}{0.3} \right)$$



Conclusions

1. Early speculations point to TeV-scale vector leptoquark [$R(D^{(*)})+R(K^{(*)})$ explanation]
 - who ordered that ?
 - connection to EW naturalness and SM flavour ?
2. In the meanwhile, lesson from 4321 [UV complete / calculable model]
 - unexpected experimental signatures (coloron, vector-like leptons, ...)
+ playground to compute correlations
3. Situation looks tough, but not impossible [e.g. if deviation in $R(D^{(*)})$ gets reduced]
4. Without $R(D^{(*)})$ still (too) many possibilities...

$$\sqrt{s}_{R_D} < 9.2 \text{ TeV}$$

$$\sqrt{s}_{R_K} < 84 \text{ TeV}$$

Backup slides

More on R(D^(*)) fits

$$\begin{aligned} \mathcal{L}_{\text{eff}} = & -2\sqrt{2}G_F V_{ud} \left[(1 + g_{V_L}) (\bar{u}_L \gamma_\mu d_L) (\bar{\ell}_L \gamma^\mu \nu_L) + g_{V_R} (\bar{u}_R \gamma_\mu d_R) (\bar{\ell}_L \gamma^\mu \nu_L) \right. \\ & \left. + g_{S_L}(\mu) (\bar{u}_R d_L) (\bar{\ell}_R \nu_L) + g_{S_R}(\mu) (\bar{u}_L d_R) (\bar{\ell}_R \nu_L) + g_T(\mu) (\bar{u}_R \sigma_{\mu\nu} d_L) (\bar{\ell}_R \sigma^{\mu\nu} \nu_L) \right] + \text{h.c.}, \end{aligned} \quad (9)$$

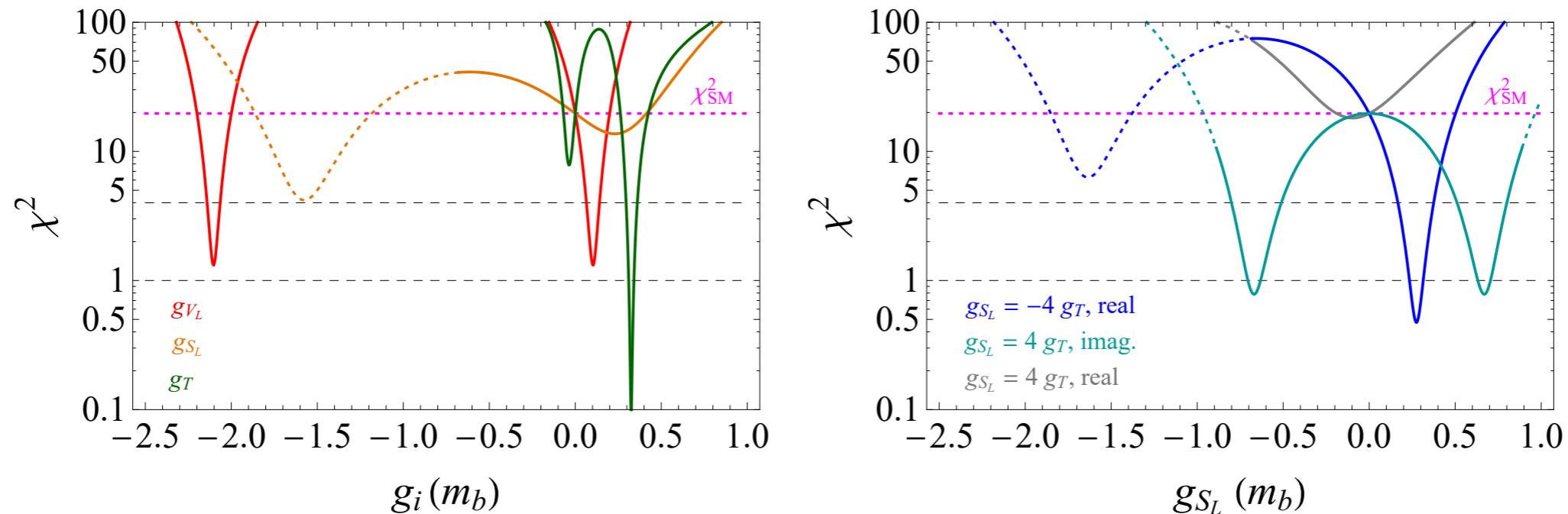


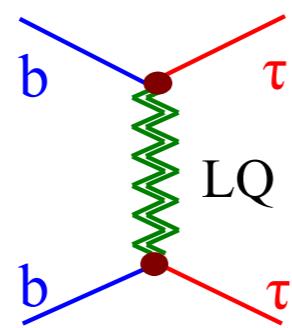
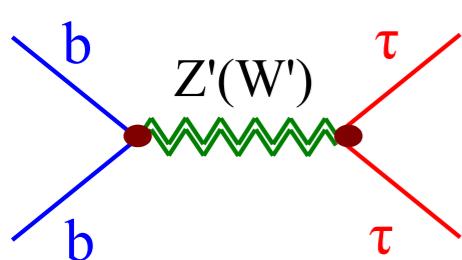
Figure 2: χ^2 values for individual effective coefficients fits of R_D and R_{D^*} , compared to the SM value, $\chi^2_{\text{SM}} \approx 19.7$. In the left panel, χ^2 is plotted against g_{V_L} , g_{S_L} and g_T at $\mu = m_b$. In the right panel, χ^2 is plotted against $g_{S_L}(m_b)$ by assuming $g_{S_L} = \pm 4 g_T$ at $\mu = 1$ TeV, for purely imaginary and real couplings. The dashed regions correspond to the values excluded by the B_c -lifetime constraints, see text for details.

[Angelescu et al 1808.08179]

EFT [problems]

- Three main problems mainly driven by $R(D)$ [*in the pure mixing scenario*]

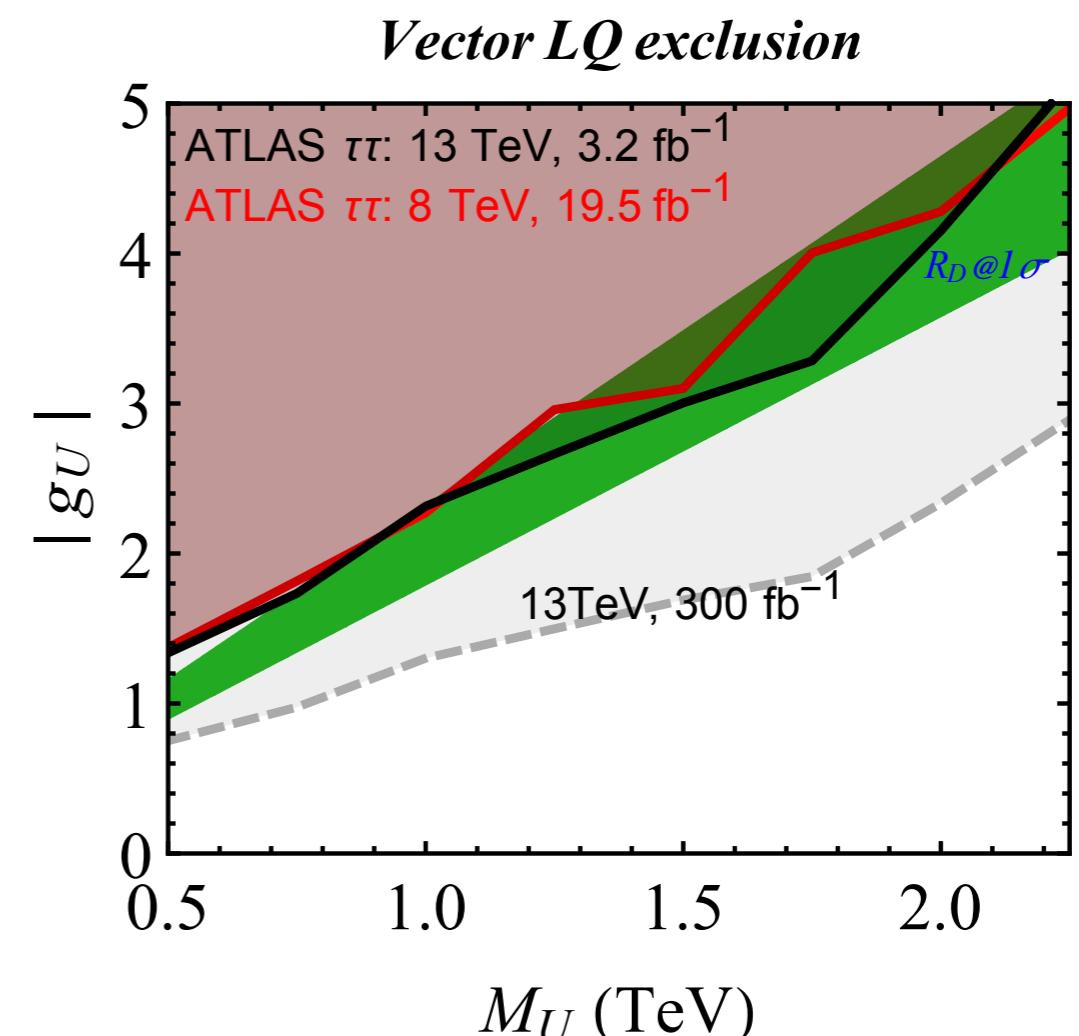
I. High- p_T constraints



$$\bar{Q}_3 Q_3 \longrightarrow V_{cb} \bar{c}_L b_L$$

$$\frac{1}{\Lambda_{R_D}^2} = \frac{V_{cb}}{\Lambda_{33}^2}$$

$$\Lambda_{33} = \sqrt{V_{cb}} \Lambda_{R_D} \simeq 0.7 \text{ TeV}$$

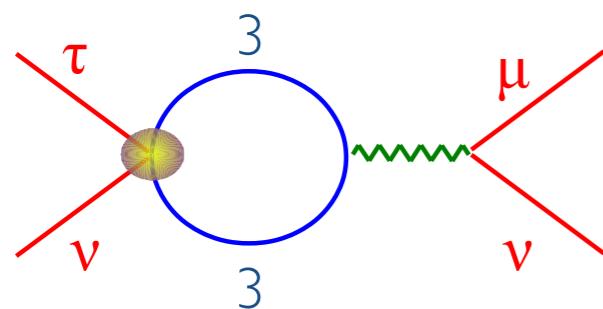


[Faroughy, Greljo, Kamenik | 1609.07138]

EFT [problems]

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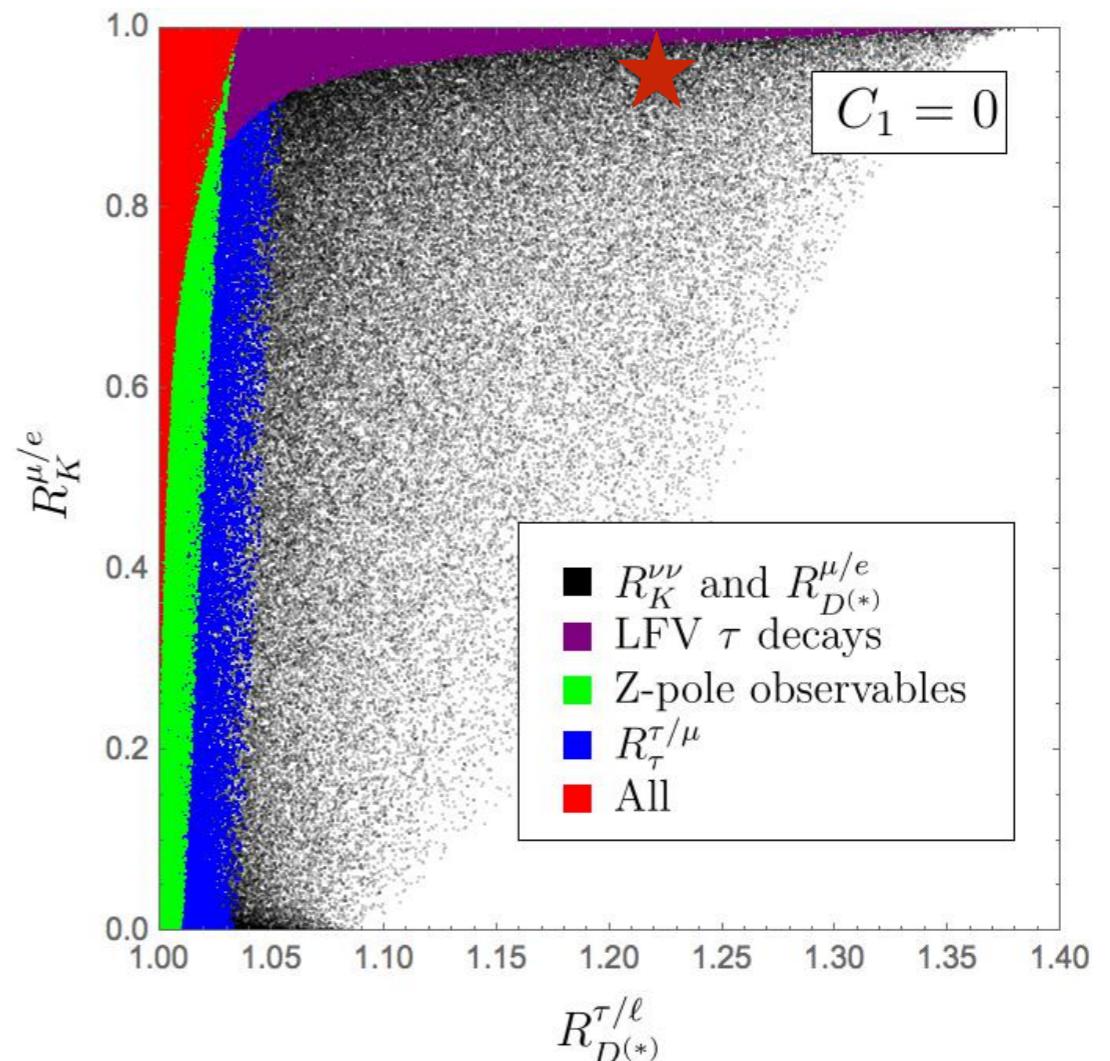
1. High- p_T constraints
2. Radiative constraints



$$\bar{Q}_3 Q_3 \rightarrow V_{cb} \bar{c}_L b_L$$

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[Feruglio, Paradisi, Pattori | 1606.00524, 1705.00929]

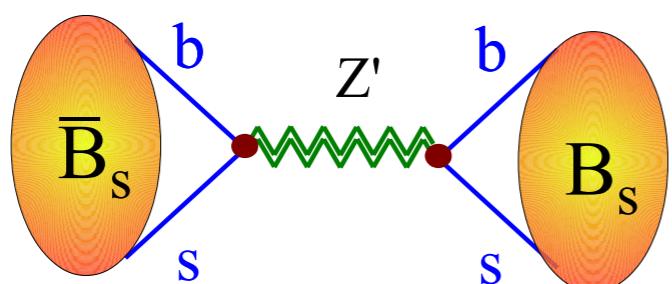
EFT [problems]

- Three main problems mainly driven by R(D)

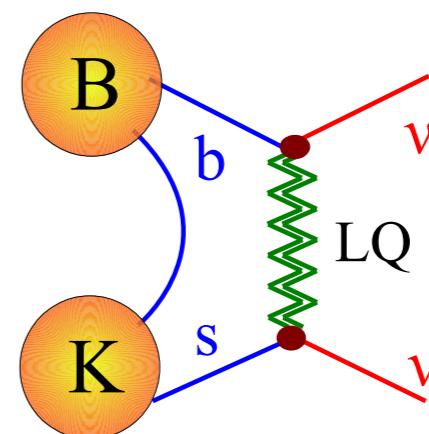
1. High- p_T constraints

2. Radiative constraints

3. Flavour bounds



(absent at tree-level with LQ)



(consequence of $SU(2)_L$ invariance)

EFT [solutions]

- Tension gets drastically alleviated if [Zürich's guide for combined explanations, 1706.07808]

I. Triplet + Singlet operator (more freedom in $SU(2)_L$ structure)

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} - \frac{1}{v^2} \lambda_{ij}^q \lambda_{\alpha\beta}^\ell \left[C_T (\bar{Q}_L^i \gamma_\mu \sigma^a Q_L^j)(\bar{L}_L^\alpha \gamma^\mu \sigma^a L_L^\beta) + C_S (\bar{Q}_L^i \gamma_\mu Q_L^j)(\bar{L}_L^\alpha \gamma^\mu L_L^\beta) \right]$$

EFT [solutions]

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2. Deviation from pure-mixing scenario

$$\bar{Q}^i \lambda_{ij}^q Q^j = \begin{pmatrix} \bar{u}^k V_{ki} & \bar{d}^i \end{pmatrix} \lambda_{ij}^q \begin{pmatrix} V_{jl}^\dagger u^l \\ d^j \end{pmatrix} \supset \bar{c} (V_{cb} \lambda_{bb}^q + V_{cs} \lambda_{sb}^q + \dots) b$$

$$R_{D^{(*)}}^{\tau\ell} \approx 1 + 2C_T \left(1 - \lambda_{sb}^q \frac{V_{tb}^*}{V_{ts}^*} \right) \quad \xrightarrow{\text{red arrow}} \quad \lambda_{sb}^q > \mathcal{O}(V_{cb}) \quad \text{allows for larger NP scale}$$

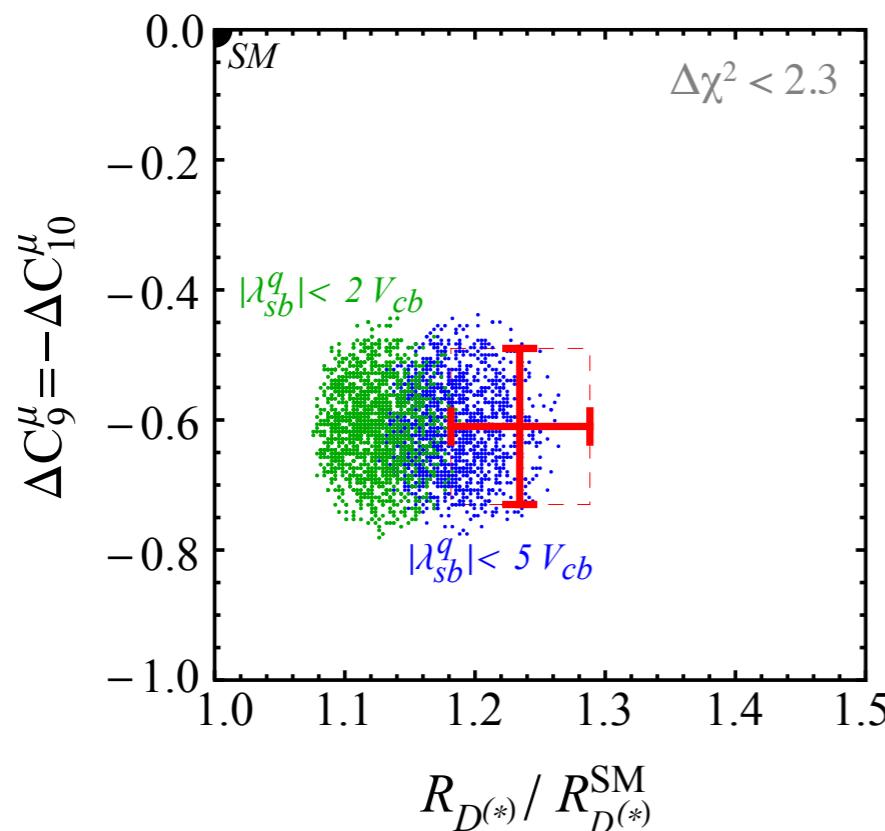
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2. Deviation from pure-mixing scenario

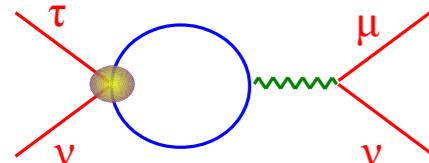


$\lambda_{sb}^q > \mathcal{O}(V_{cb})$ allows for larger NP scale

EFT [details]

- 4 parameters fit: $C_S, C_T, \lambda_{bs}^q, \lambda_{\mu\mu}^\ell$ ($\lambda_{bb}^q = \lambda_{\tau\tau}^\ell = 1$) [Zürich's guide for combined explanations, 1706.07808]

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} - \frac{1}{v^2} \lambda_{ij}^q \lambda_{\alpha\beta}^\ell \left[C_T (\bar{Q}_L^i \gamma_\mu \sigma^a Q_L^j)(\bar{L}_L^\alpha \gamma^\mu \sigma^a L_L^\beta) + C_S (\bar{Q}_L^i \gamma_\mu Q_L^j)(\bar{L}_L^\alpha \gamma^\mu L_L^\beta) \right]$$



LH Z - τ - τ coupling

LH Z - v - v coupling

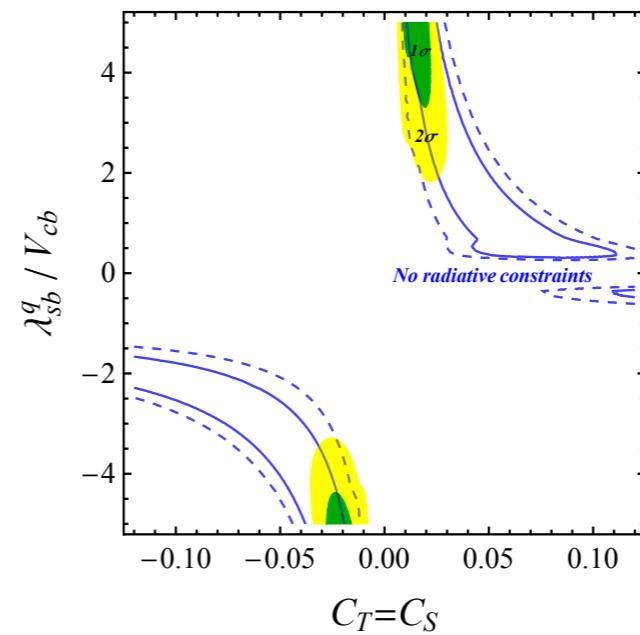
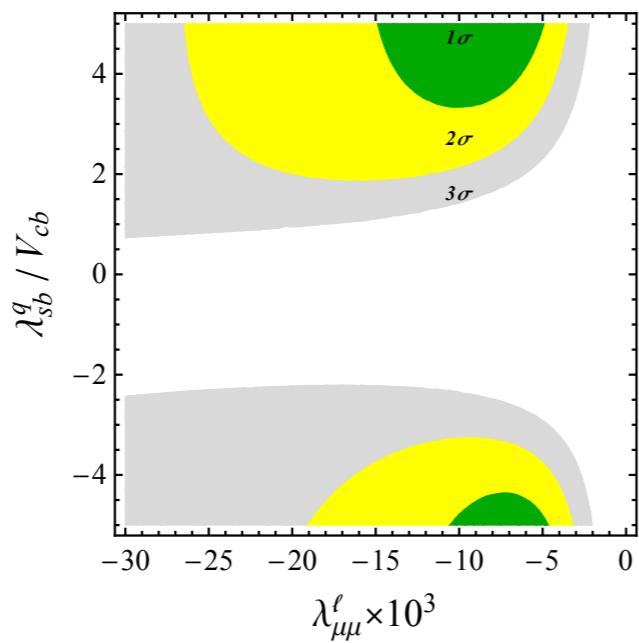
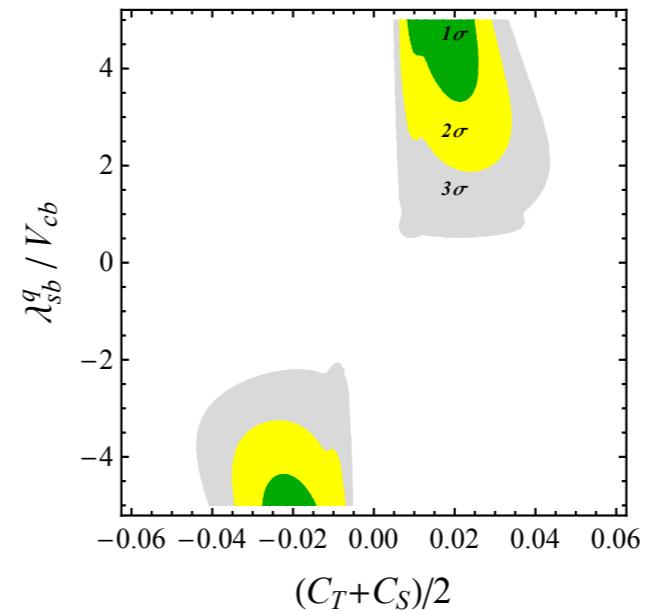
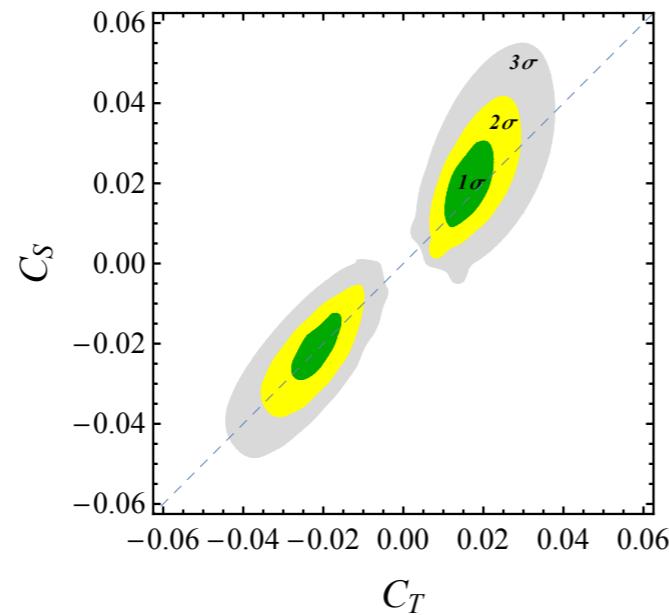
LFUV in τ decays

LFV in τ decays

Observable	Experimental bound	Linearised expression
$R_{D^{(*)}}^{\tau\ell}$	1.237 ± 0.053	$1 + 2C_T(1 - \lambda_{sb}^q V_{tb}^*/V_{ts}^*)(1 - \lambda_{\mu\mu}^\ell/2)$
$\Delta C_9^\mu = -\Delta C_{10}^\mu$	-0.61 ± 0.12 [36]	$-\frac{\pi}{\alpha_{\text{em}} V_{tb} V_{ts}^*} \lambda_{\mu\mu}^\ell \lambda_{sb}^q (C_T + C_S)$
$R_{b \rightarrow c}^{\mu e} - 1$	0.00 ± 0.02	$2C_T(1 - \lambda_{sb}^q V_{tb}^*/V_{ts}^*) \lambda_{\mu\mu}^\ell$
$B_{K^{(*)}\nu\bar{\nu}}$	0.0 ± 2.6	$1 + \frac{2}{3} \frac{\pi}{\alpha_{\text{em}} V_{tb} V_{ts}^* C_\nu^{\text{SM}}} (C_T - C_S) \lambda_{sb}^q (1 + \lambda_{\mu\mu}^\ell)$
$\delta g_{\tau_L}^Z$	-0.0002 ± 0.0006	$0.033C_T - 0.043C_S$
$\delta g_{\nu_\tau}^Z$	-0.0040 ± 0.0021	$-0.033C_T - 0.043C_S$
$ g_\tau^W/g_\ell^W $	1.00097 ± 0.00098	$1 - 0.084C_T$
$\mathcal{B}(\tau \rightarrow 3\mu)$	$(0.0 \pm 0.6) \times 10^{-8}$	$2.5 \times 10^{-4} (C_S - C_T)^2 (\lambda_{\tau\mu}^\ell)^2$

EFT [details]

- 4 parameters fit: $C_S, C_T, \lambda_{bs}^q, \lambda_{\mu\mu}^\ell$ ($\lambda_{bb}^q = \lambda_{\tau\tau}^\ell = 1$) [Zürich's guide for combined explanations, 1706.07808]



Flavour structure

- Pick-up a basis exploiting $U(3)^7$ symmetry of kinetic term

$$\mathcal{L}_{\text{SM-like}} = -\bar{q}'_L \hat{Y}_d d'_R H - \bar{q}'_L V^\dagger \hat{Y}_u u'_R \tilde{H} - \bar{\ell}'_L \hat{Y}_e e'_R H$$

$$\mathcal{L}_{\text{mix}} = -\bar{q}'_L \lambda_q \Psi_R \Omega_3 - \bar{\ell}'_L \lambda_\ell \Psi_R \Omega_1 - \bar{\Psi}_L \hat{M} \Psi_R$$

*hat denotes a diagonal matrix

Field	$SU(4)$	$SU(3)'$	$SU(2)_L$	$U(1)'$
q'^i_L	1	3	2	1/6
u'^i_R	1	3	1	2/3
d'^i_R	1	3	1	-1/3
ℓ'^i_L	1	1	2	-1/2
e'^i_R	1	1	1	-1
Ψ^i_L	4	1	2	0
Ψ^i_R	4	1	2	0
H	1	1	2	1/2
Ω_3	1/4	3	1	1/6
Ω_1	1/4	1	1	-1/2

$$\Psi = \begin{pmatrix} Q' \\ L' \end{pmatrix}$$

Flavour structure

- $\mathcal{L}_{\text{mix}} \rightarrow 0$

$$\mathcal{L}_{\text{SM-like}} = -\bar{q}'_L \hat{Y}_{\textcolor{red}{d}} d'_R H - \bar{q}'_L V^\dagger \hat{Y}_{\textcolor{red}{u}} u'_R \tilde{H} - \bar{\ell}'_L \hat{Y}_{\textcolor{red}{e}} e'_R H$$

- A well-known story:

- $Y_u \rightarrow 0 : U(1)_d \times U(1)_s \times U(1)_b$
- $Y_d \rightarrow 0 : U(1)_u \times U(1)_c \times U(1)_t$

Flavour structure

- $\mathcal{L}_{\text{mix}} \rightarrow 0$

$$\mathcal{L}_{\text{SM-like}} = -\bar{q}'_L \hat{Y}_{\textcolor{red}{d}} d'_R H - \bar{q}'_L V^\dagger \hat{Y}_{\textcolor{red}{u}} u'_R \tilde{H} - \bar{\ell}'_L \hat{Y}_{\textcolor{red}{e}} e'_R H$$

- A well-known story:

- $$\begin{array}{l}
 - Y_u \rightarrow 0 : U(1)_d \times U(1)_s \times U(1)_b \quad \boxed{\hspace{-1cm} \xrightarrow{SU(2)_L} \quad U(1)_{d+u} \times U(1)_{s+c} \times U(1)_{b+t} \xrightarrow{V} U(1)_B } \\
 - Y_d \rightarrow 0 : U(1)_u \times U(1)_c \times U(1)_t
 \end{array}$$

- Collective breaking in the SM ensures:

- I. No FCNC in either up or down sector [forbidden by the two $U(1)^3$ in isolation]
 2. FCCC from up/down misalignment [due to $\text{CKM} \neq 1$]

Flavour structure

- Let us assume:

$$\mathcal{L}_{\text{mix}} = -\bar{q}'_L \lambda_{\mathbf{q}} \Psi_R \Omega_3 - \bar{\ell}'_L \lambda_{\boldsymbol{\ell}} \Psi_R \Omega_1 - \overline{\Psi}_L \hat{M} \Psi_R$$

$$\mathcal{L}_{\text{SM-like}} = -\bar{q}'_L \hat{Y}_{\mathbf{d}} d'_R H - \bar{q}'_L V^\dagger \hat{Y}_{\mathbf{u}} u'_R \tilde{H} - \bar{\ell}'_L \hat{Y}_{\mathbf{e}} e'_R H$$

$$\lambda_q = \text{diag}(\lambda_{12}^q, \lambda_{12}^q, \lambda_3^q)$$

$$\lambda_\ell = \text{diag}(\lambda_1^\ell, \lambda_2^\ell, \lambda_3^\ell) W \quad W = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{LQ} & \sin \theta_{LQ} \\ 0 & -\sin \theta_{LQ} & \cos \theta_{LQ} \end{pmatrix} \quad \hat{M} \propto \mathbb{1}$$

Flavour structure

- Let us assume:

$$\mathcal{L}_{\text{mix}} = -\bar{q}'_L \cancel{\lambda_q} \Psi_R \Omega_3 - \cancel{\bar{\ell}'_L \lambda_\ell} \Psi_R \Omega_1 - \overline{\Psi}_L \hat{M} \Psi_R$$

$$\mathcal{L}_{\text{SM-like}} = -\bar{q}'_L \hat{Y}_d d'_R H - \bar{q}'_L V^\dagger \hat{Y}_u u'_R \tilde{H} - \bar{\ell}'_L \hat{Y}_e e'_R H$$

$$\lambda_q = \text{diag}(\lambda_{12}^q, \lambda_{12}^q, \lambda_3^q)$$

$$\lambda_\ell = \text{diag}(\lambda_1^\ell, \lambda_2^\ell, \lambda_3^\ell) W \quad W = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{LQ} & \sin \theta_{LQ} \\ 0 & -\sin \theta_{LQ} & \cos \theta_{LQ} \end{pmatrix} \quad \hat{M} \propto \mathbb{1}$$

- $\lambda_\ell \rightarrow 0$

$$\mathcal{G}_Q = U(2)_{q'+\Psi} \times U(1)_{q'_3+\Psi_3} \quad [\text{promoting approximate } U(2)_{q'} \text{ of SM to NP}]$$

- No tree-level FCNC in the down sector (λ_q and Y_d diagonal in the same basis)
- CKM-induced tree-level FCNC in the up sector (D-mixing) protected by $U(2)_{q'}$

$$C_1^D \propto (V_{cb} V_{ub}^*)^2 \sim 10^{-8}$$

Flavour structure

- Let us assume:

$$\mathcal{L}_{\text{mix}} = -\bar{q}'_L \cancel{\lambda_q} \Psi_R \Omega_3 - \bar{\ell}'_L \cancel{\lambda_\ell} \Psi_R \Omega_1 - \overline{\Psi}_L \hat{M} \Psi_R$$

$$\mathcal{L}_{\text{SM-like}} = -\bar{q}'_L \hat{Y}_d d'_R H - \bar{q}'_L V^\dagger \hat{Y}_u u'_R \tilde{H} - \bar{\ell}'_L \hat{Y}_e e'_R H$$

$$\lambda_q = \text{diag}(\lambda_{12}^q, \lambda_{12}^q, \lambda_3^q)$$

$$\lambda_\ell = \text{diag}(\lambda_1^\ell, \lambda_2^\ell, \lambda_3^\ell) W \quad W = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{LQ} & \sin \theta_{LQ} \\ 0 & -\sin \theta_{LQ} & \cos \theta_{LQ} \end{pmatrix} \quad \hat{M} \propto \mathbb{1}$$

- $\lambda_q \rightarrow 0$

$$\mathcal{G}_L = U(1)_{\ell'_1 + \tilde{\Psi}_1} \times U(1)_{\ell'_2 + \tilde{\Psi}_2} \times U(1)_{\ell'_3 + \tilde{\Psi}_3} \quad [\tilde{\Psi} = W\Psi]$$

1. No tree-level FCNC in the lepton sector (λ_ℓ and Y_e diagonal in the same basis)
2. W is unphysical

Flavour structure

- Let us assume:

$$\mathcal{L}_{\text{mix}} = -\bar{q}'_L \lambda_{\mathbf{q}} \Psi_R \Omega_3 - \bar{\ell}'_L \lambda_{\ell} \Psi_R \Omega_1 - \bar{\Psi}_L \hat{M} \Psi_R$$

$$\mathcal{L}_{\text{SM-like}} = -\bar{q}'_L \hat{Y}_{\mathbf{d}} d'_R H - \bar{q}'_L V^\dagger \hat{Y}_{\mathbf{u}} u'_R \tilde{H} - \bar{\ell}'_L \hat{Y}_{\mathbf{e}} e'_R H$$

$$\lambda_q = \text{diag}(\lambda_{12}^q, \lambda_{12}^q, \lambda_3^q)$$

$$\lambda_\ell = \text{diag}(\lambda_1^\ell, \lambda_2^\ell, \lambda_3^\ell) W \quad W = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{LQ} & \sin \theta_{LQ} \\ 0 & -\sin \theta_{LQ} & \cos \theta_{LQ} \end{pmatrix} \quad \hat{M} \propto \mathbb{1}$$

- Collective breaking (Q and L locked by SU(4) gauge symmetry)

$$\mathcal{G}_Q \cap \mathcal{G}_L \xrightarrow{SU(4)+W} U(1)_{q'_1 + \ell'_1 + \Psi_1} \times U(1)_{q' + \ell' + \Psi}$$

1. no FV involving down and electrons

2. only LQ feels W matrix

$$\Psi_L = (Q'_L, L'_L)^T = (Q_L, WL_L)^T$$



$$i\bar{\Psi}_L \gamma^\mu D_\mu \Psi_L \supset \frac{g_4}{\sqrt{2}} U_\mu \bar{Q}_L \gamma^\mu WL_L$$

A suggestive analogy*

321	4321
θ_C	θ_{LQ}
V	W
W^μ	U^μ
$q_L = \begin{pmatrix} u_L \\ Vd_L \end{pmatrix}$	$\Psi_L = \begin{pmatrix} Q_L \\ WL_L \end{pmatrix}$
Y_u, Y_d	λ_q, λ_ℓ
$SU(2)_L$	$SU(4)$
$U(1)_u \times U(1)_c \times U(1)_t$	$U(2)_{q'+\Psi} \times U(1)_{q'_3+\Psi_3}$
$U(1)_d \times U(1)_s \times U(1)_b$	$U(1)_{\ell'_1+\tilde{\Psi}_1} \times U(1)_{\ell'_2+\tilde{\Psi}_2} \times U(1)_{\ell'_3+\tilde{\Psi}_3}$
$U(1)_B$	$U(1)_{q'_1+\ell'_1+\Psi_1} \times U(1)_{q'+\ell'+\Psi}$
$u \rightarrow d$ tree level	$Q \rightarrow L$ tree level
$u_i \rightarrow u_j$ loop level	$Q_i \rightarrow Q_j$ loop level
$d_i \rightarrow d_j$ loop level	$L_i \rightarrow L_j$ loop level

* symmetries in 321 accidental, in 4321 imposed (still, helpful for understanding pheno)

Fermion mass basis

$$\mathcal{M}_u = \begin{pmatrix} V^\dagger \hat{Y}_u \frac{v}{\sqrt{2}} & \hat{\lambda}_q \frac{v_3}{\sqrt{2}} \\ 0 & \hat{M}_Q \end{pmatrix}, \quad \mathcal{M}_d = \begin{pmatrix} \hat{Y}_d \frac{v}{\sqrt{2}} & \hat{\lambda}_q \frac{v_3}{\sqrt{2}} \\ 0 & \hat{M}_Q \end{pmatrix},$$

$$\mathcal{M}_N = \begin{pmatrix} 0 & \hat{\lambda}_\ell \frac{v_1}{\sqrt{2}} \\ 0 & \hat{M}_L \end{pmatrix}, \quad \mathcal{M}_e = \begin{pmatrix} \hat{Y}_e \frac{v}{\sqrt{2}} & \hat{\lambda}_\ell W^\dagger \frac{v_1}{\sqrt{2}} \\ 0 & \hat{M}_L \end{pmatrix},$$

$$M_{L_i} = \sqrt{\frac{|\lambda_i^\ell|^2 v_1^2}{2} + \hat{M}_L^2}, \quad M_{Q_i} = \sqrt{\frac{|\lambda_i^q|^2 v_3^2}{2} + \hat{M}_Q^2},$$

$$m_{f_i} \approx |\hat{Y}_f^i| \cos \theta_{f_i} \frac{v}{\sqrt{2}} \quad (f = u, d, e).$$

$$\sin \theta_{q_i} = \frac{\lambda_i^q v_3}{\sqrt{|\lambda_i^q|^2 v_3^2 + 2 \hat{M}_Q^2}},$$

$$\sin \theta_{\ell_i} = \frac{\lambda_i^\ell v_1}{\sqrt{|\lambda_i^\ell|^2 v_1^2 + 2 \hat{M}_L^2}},$$

LQ interactions

I. Large quark-lepton transitions in 3-2 sector

$$\mathcal{L}_U \supset \frac{g_4}{\sqrt{2}} \beta_{ij} \bar{q}_L^i \gamma^\mu \ell_L^j U_\mu$$

$$\beta = \text{diag}(s_{q_{12}}, s_{q_{12}}, s_{q_3}) W \text{diag}(0, s_{\ell_2}, s_{\ell_3}) = \begin{pmatrix} 0 & 0 & 0 \\ 0 & c_{\theta_{LQ}} s_{q_{12}} s_{\ell_2} & s_{\theta_{LQ}} s_{q_{12}} s_{\ell_3} \\ 0 & -s_{\theta_{LQ}} s_{q_3} s_{\ell_2} & c_{\theta_{LQ}} s_{q_3} s_{\ell_3} \end{pmatrix}$$

$$\Delta R_{D^{(*)}} = \frac{g_4^2 v^2}{2 M_U^2} \beta_{b\tau} \left(\beta_{b\tau} - \beta_{s\tau} \frac{V_{tb}^*}{V_{ts}^*} \right)$$

$\beta_{s\tau} > V_{ts} \sim 0.04$  allows to raise the LQ mass scale

we need: $\theta_{LQ} \sim \pi/4$ $\theta_{\ell_3} \sim \pi/2$ $\theta_{q_3} \sim \pi/2$ $\theta_{q_{12}} \sim \mathcal{O}(1)$

Z' / g' interactions

$$\mathcal{L}_{g'} \supset g_s \frac{g_4}{g_3} g'_\mu{}^a \left[\kappa_q^{ij} \bar{q}^i \gamma^\mu T^a q^j + \kappa_u^{ij} \bar{u}_R^i \gamma^\mu T^a u_R^j + \kappa_d^{ij} \bar{d}_R^i \gamma^\mu T^a d_R^j \right]$$

$$\mathcal{L}_{Z'} \supset \frac{g_Y}{2\sqrt{6}} \frac{g_4}{g_1} Z'_\mu \left[\xi_q^{ij} \bar{q}^i \gamma^\mu q^j + \xi_u^{ij} \bar{u}_R^i \gamma^\mu u_R^j + \xi_d^{ij} \bar{d}_R^i \gamma^\mu d_R^j - 3 \xi_\ell^{ij} \bar{\ell}^i \gamma^\mu \ell^j - 3 \xi_e^{ij} \bar{e}_R^i \gamma^\mu e_R^j \right]$$

$$\kappa_q \approx \begin{pmatrix} s_{q_1}^2 & 0 & 0 \\ 0 & s_{q_2}^2 & 0 \\ 0 & 0 & s_{q_3}^2 \end{pmatrix} - \frac{g_3^2}{g_4^2} \mathbb{1},$$

$$\xi_q \approx \begin{pmatrix} s_{q_1}^2 & 0 & 0 \\ 0 & s_{q_2}^2 & 0 \\ 0 & 0 & s_{q_3}^2 \end{pmatrix} - \frac{2g_1^2}{3g_4^2} \mathbb{1},$$

$$\xi_\ell \approx \begin{pmatrix} s_{\ell_1}^2 & 0 & 0 \\ 0 & s_{\ell_2}^2 & 0 \\ 0 & 0 & s_{\ell_3}^2 \end{pmatrix} - \frac{2g_1^2}{3g_4^2} \mathbb{1},$$

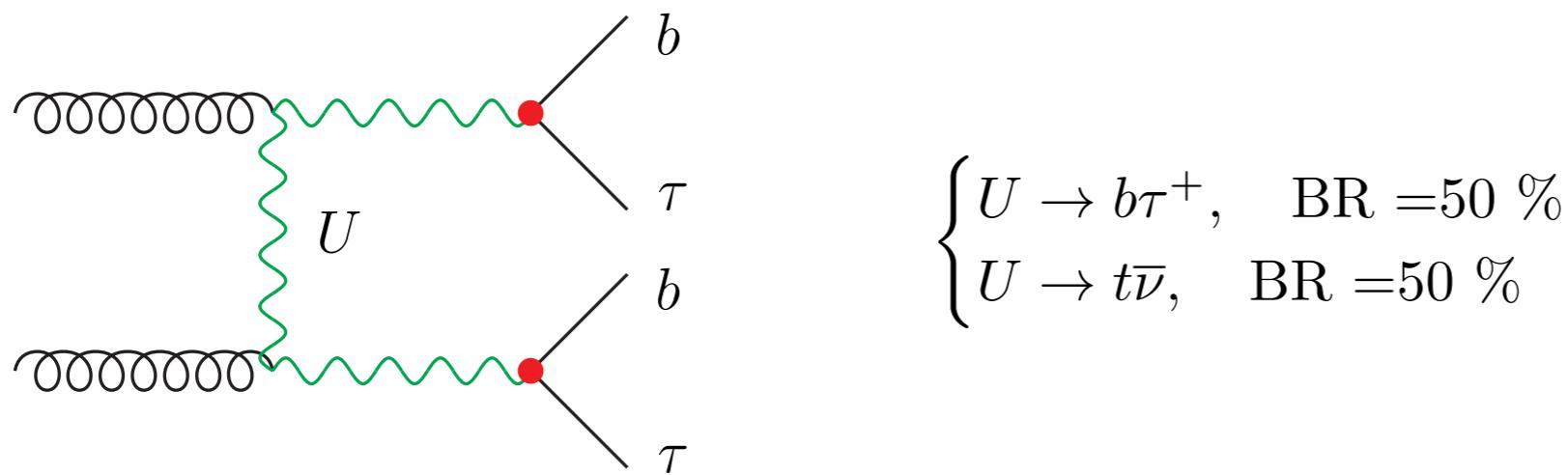
$$\kappa_u \approx \kappa_d \approx -\frac{g_3^2}{g_4^2} \mathbb{1},$$

$$\xi_u \approx \xi_d \approx -\frac{2g_1^2}{3g_4^2} \mathbb{1},$$

$$\xi_e \approx -\frac{2g_1^2}{3g_4^2} \mathbb{1}.$$

High-p_T searches

- LQ pair production via QCD
 - 3rd generation final states (fixed by anomaly and $SU(2)_L$ invariance)



[CMS search for spin-0, 1703.03995
recast for spin-1 1706.01868 (see also 1706.05033) + Moriond EW update]

$$m_U \gtrsim 1.5 \text{ TeV} \quad \xrightarrow{\text{red arrow}} \quad \text{LQ mass sets the overall scale: } M_{g'} \simeq \sqrt{2} M_U \quad M_{Z'} \simeq \frac{1}{\sqrt{2}} M_U$$

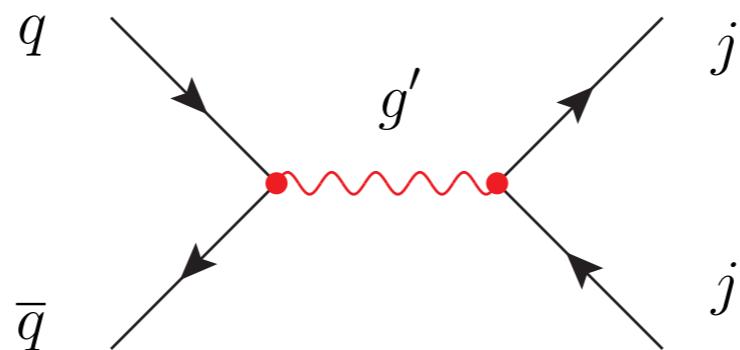
High-p_T searches

- LQ pair production via QCD
- Z' Drell-Yan production naturally suppressed

$$\sin \theta_{Z'} = \sqrt{\frac{3}{2}} \frac{g_Y}{g_4} \simeq 0.09 \quad \xrightarrow{\text{red arrow}} \quad \text{requires } g_4 \gtrsim 3$$

- g' resonant di-jet searches [ATLAS, 1703.09127]

$$\sin \theta_{g'} = \frac{g_s}{g_4} \simeq 0.3 \quad \xrightarrow{\text{red arrow}} \quad 2 \text{ TeV coloron naively excluded}$$



Coloron

