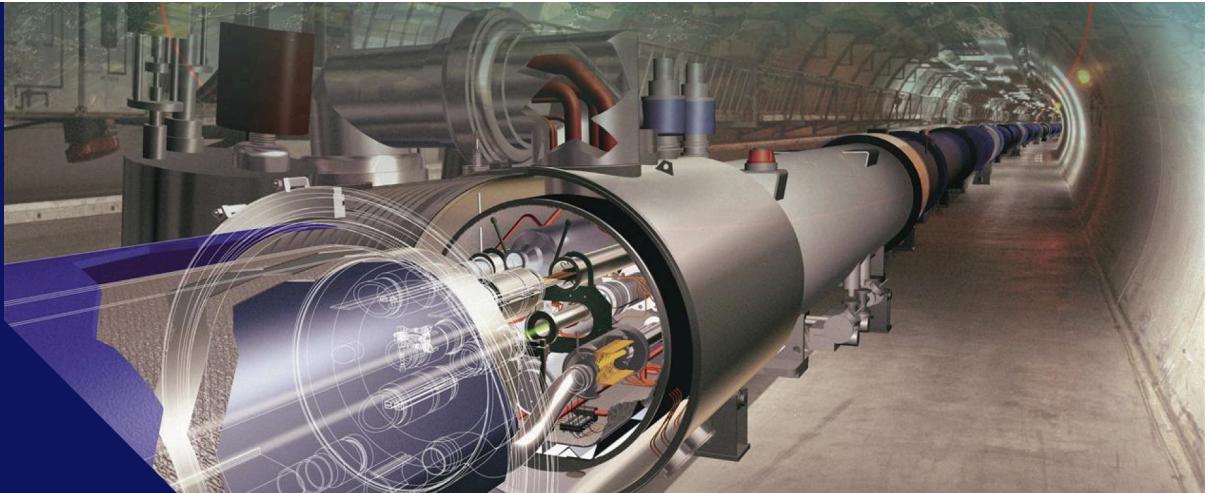


PDF4LHC  
IPPP, Durham  
17 – 18 Sept. 2019

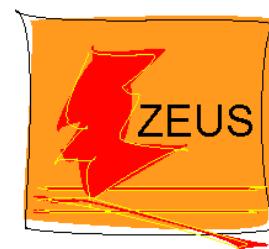


# HERAPDF2.0Jets NNLO

completion of the HERAPDF2.0 family of PDFs  
and extraction of  $\alpha_s(M_Z)$

Claire Gwenlan, Oxford

on behalf of the  
H1, ZEUS, NNLOJet and APPLfast  
collaborations



# Overview

[H1prelim-19-041](#)

[ZEUS-prel-19-001](#)

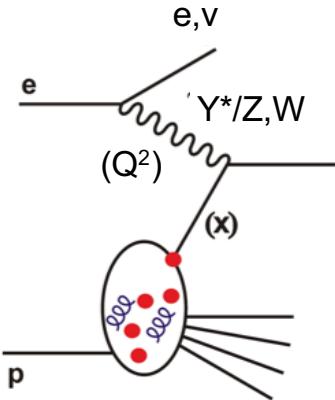
- **completing the HERAPDF2.0 family of PDFs**
- previously produced (arXiv:[1506.06042](#)): HERAPDF2.0LO, NLO and NNLO;  
**HERAPDF2.0Jets were only at NLO**
- **HERAPDF2.0Jets updated here with NNLO jet predictions from NNLOJet, as implemented in APPLfast**
- plus addition of new H1 low  $Q^2$  jet data
- **NEW PDFs at NNLO QCD for  $\alpha_s(M_Z)=0.118$  and  $0.115$**
- **PLUS free  $\alpha_s(M_Z)$  fit, with preferred value significantly lower at NNLO than at NLO**
- **NNLO:**

$$\alpha_s(M_Z^2) = 0.1150 \pm 0.0008 \text{ (exp)} {}^{+0.0002}_{-0.0005} \text{ (model/par.)} \pm 0.0006 \text{ (had)} \pm 0.0027 \text{ (scale)}$$

- **NLO result, as published:**

$$\alpha_s(M_Z^2) = 0.1183 \pm 0.0009 \text{ (exp)} \pm 0.0005 \text{ (model/par.)} \pm 0.0012 \text{ (had)} {}^{+0.0037}_{-0.0030} \text{ (scale)}$$

# HERA and DIS



- Kinematic variables:
  - $Q^2 = -q^2 = -(k - k')^2$  Virtuality of the exchanged boson
  - $x = \frac{Q^2}{2p \cdot q}$  Bjorken scaling parameter
  - $y = \frac{p \cdot q}{p \cdot k}$  Inelasticity parameter
  - $s = (k + p)^2 = \frac{Q^2}{xy}$  Invariant c.o.m.

### **Neutral Current:**

# LO expressions

$$\frac{d^2\sigma_{NC}^\pm}{dx dQ^2} = \frac{2\alpha\pi^2}{x Q^4} (Y_+ F_2 \mp Y_- x F_3 - y^2 F_L)$$
$$F_2 \sim \sum_i e_i^2 (x q_i + x \bar{q}_i) \quad x F_3 \sim \sum_i (x q_i - x \bar{q}_i) \quad F_L \sim \alpha_s \times g$$

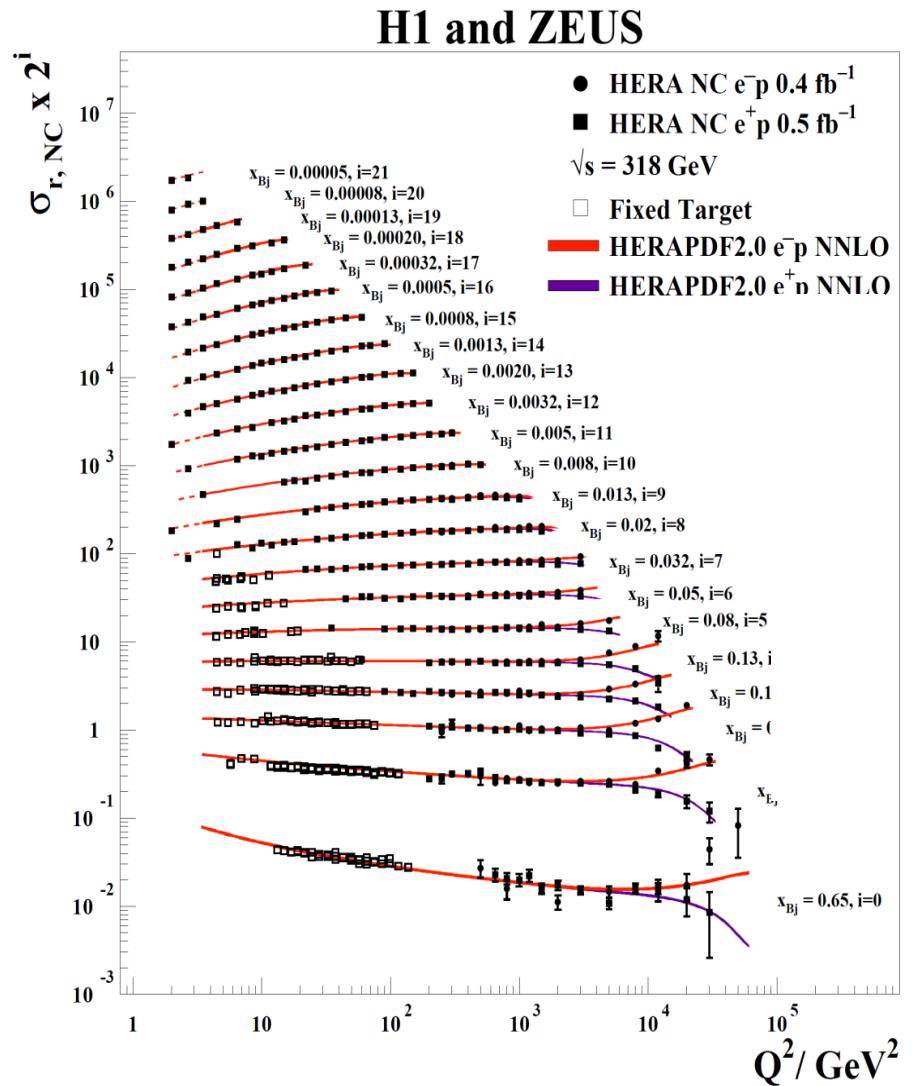
quarks pdfs                      valence quarks              gluon via  $\mathcal{O}(\alpha_s)$

## *Charged Current:*

$$\frac{d^2\sigma_{CC}^-}{dx dQ^2} = \frac{G_F^2}{2\pi} \frac{M_W^2}{M_W^2 + Q^2} \left( u + c + (1 - y^2)(\bar{d} + \bar{s}) \right)$$

$$\frac{d^2\sigma_{\text{CC}}^+}{dx dQ^2} = \frac{G_F^2}{2\pi} \frac{M_W^2}{M_W^2 + Q^2} \left( \bar{u} + \bar{c} + (1 - y^2)(d + s) \right)$$

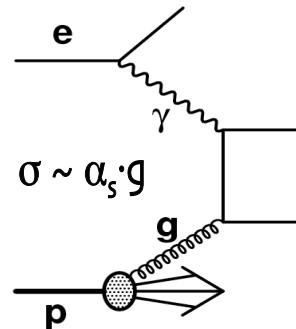
## flavour decomposition



arXiv:[1506.06042](https://arxiv.org/abs/1506.06042)

# why jet data?

simultaneous fit of DIS inclusive and jet cross sections allows determination of  $\alpha_s(M_Z)$

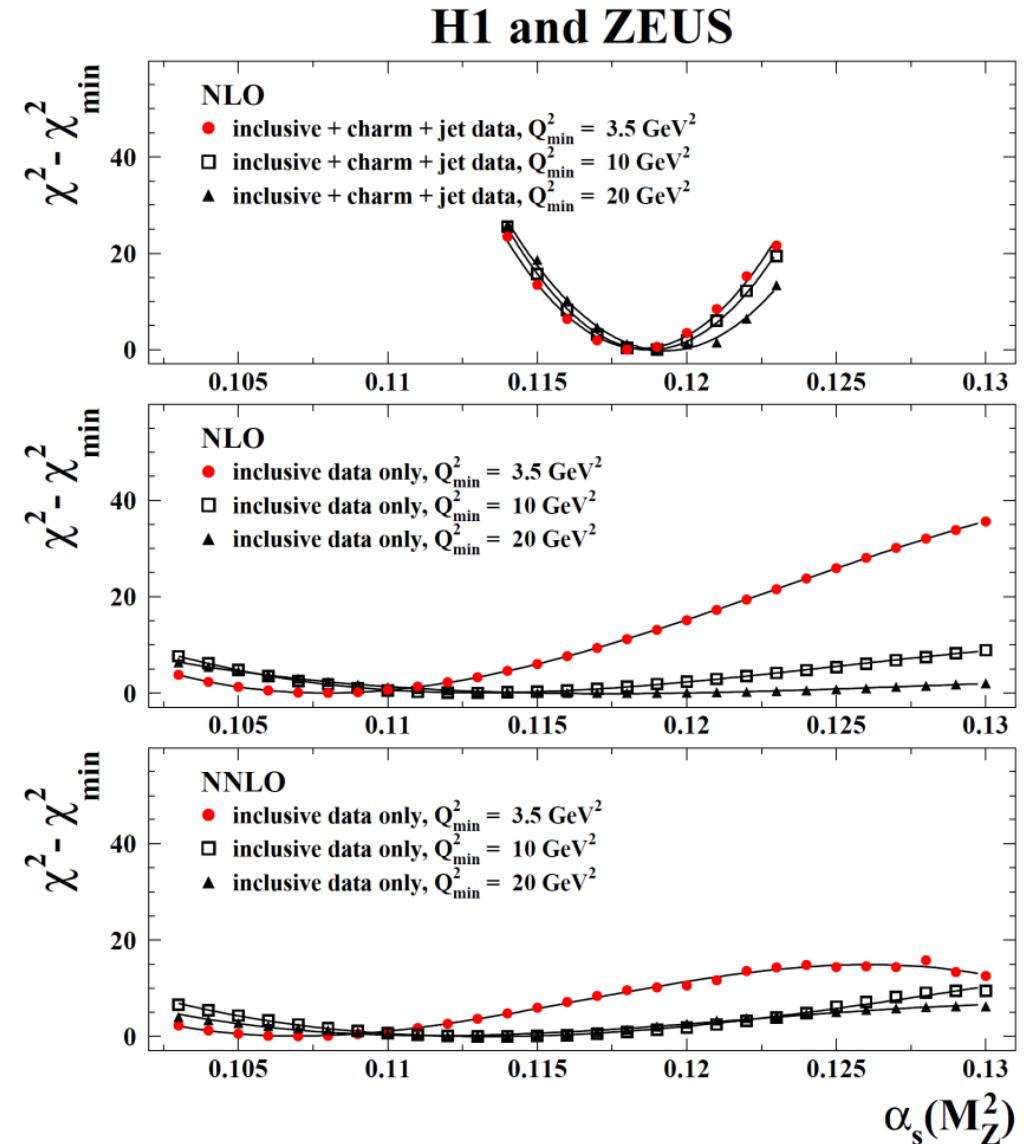


different dependencies on gluon and  $\alpha_s$  gives improved constraints on both

previous result at NLO →  
arXiv:[1506.06042](https://arxiv.org/abs/1506.06042)

**NOW:**

NNLO QCD calculations for DIS jets available in **NNLOJet**  
(arXiv:[1606.03991](https://arxiv.org/abs/1606.03991), [1703.05977](https://arxiv.org/abs/1703.05977)), and  
implemented in **APPLfast**  
(arXiv:[1906.05303](https://arxiv.org/abs/1906.05303))



# jet data used in the current NNLO analysis

strong overlap with those used in previous NLO QCD analysis

Data Set	taken from to	$Q^2[\text{GeV}^2]$ range from to	$\mathcal{L} \text{ pb}^{-1}$	$e^+/\bar{e}^-$	$\sqrt{s} \text{ GeV}$	normalised	all points	used points
H1 HERA I normalised jets	1999 – 2000	150 15000	65.4	$e^+ p$	319	yes	24	24
H1 HERA I jets at low $Q^2$	1999 – 2000	5 100	43.5	$e^+ p$	319	no	28	16
H1 normalised inclusive jets at high $Q^2$	2003 – 2007	150 15000	351	$e^+ p/e^- p$	319	yes	30	24
H1 normalised dijets at high $Q^2$	2003 – 2007	150 15000	351	$e^+ p/e^- p$	319	yes	24	24
H1 normalised inclusive jets at low $Q^2$	2005 – 2007	5.5 80	290	$e^+ p/e^- p$	319	yes	48	32
H1 normalised dijets at low $Q^2$	2005 – 2007	5.5 80	290	$e^+ p/e^- p$	319	yes	48	32
ZEUS inclusive jets	1996 – 1997	125 10000	38.6	$e^+ p$	301	no	30	30
ZEUS dijets	1998 – 2000 &	125 20000	374	$e^+ p/e^- p$	318	no	22	16

low  $Q^2$  H1 datasets added (published 2016) that were not used in the previous NLO analysis

## some other data sets removed cf. NLO analysis:

- trijet data, since no NNLO QCD calculations;
- 6 dijet data points at low pt, since predictions unreliable;
- low scale data  $\mu = \sqrt{(Q^2 + pt^2)} < 13.5 \text{ GeV}$ , for which scale variations large

all systematic and statistical correlations implemented

# scale choice for jet data

**factorisation scale choice is  $\mu_F^2 = (Q^2 + pt^2)$**

cf.  $\mu_F^2 = Q^2$  in previous NLO analysis; updated since not a good choice for low  $Q^2$  jet data; change makes almost no difference for high  $Q^2$  jet data

**renormalisation scale choice is  $\mu_R^2 = (Q^2 + pt^2)$**

cf.  $\mu_R^2 = (Q^2 + pt^2)/2$  in previous NLO analysis

NB, optimal scale choice – where ‘optimal’ here means lower  $\chi^2$  – is different for NLO vs NNLO; NNLO fit with  $\mu_R^2 = (Q^2 + pt^2)$  gives  $\Delta\chi^2 = -15$  cf.  $\mu_R^2 = (Q^2 + pt^2)/2$  and vice versa for NLO fit

**consequences of scale changes also explored**

†  $pt$  denotes  $pt^{\text{jet}}$  in the case of inclusive jet cross sections and  $\langle pt \rangle$  for dijets

# HERA PDF approach

- HERAPDF uses only HERA data
- combination of HERA data yields very precise and consistent dataset for 4 different processes: **e+p** and **e–p neutral and charged current** reactions; also, for e+p, neutral current at 4 beam energies
- single consistent dataset; conventional  $\chi^2$  tolerance,  $\Delta\chi^2 = 1$
- use of proton target means no need for heavy target/deuterium corrections
- d-valence extracted from CC e+p without assuming d in proton = u in neutron
- all data at  $W > 15$  GeV, so high-x higher twist effects negligible
- HERAPDF evaluates model and parameterisation uncertainties in addition to experimental uncertainties
- HERAPDF2.0 based on FINAL combination of HERA I and HERA II data, which supercedes the HERA I combination and all previous HERAPDFs
- HERAPDF2.0Jets add HERA jet data to this

# HERAPDF parameterisation

$$\begin{aligned}
 xg(x) &= A_g x^{B_g} (1-x)^{C_g} - A'_g x^{B'_g} (1-x)^{C'_g}, \\
 xu_v(x) &= A_{u_v} x^{B_{u_v}} (1-x)^{C_{u_v}} (1 + E_{u_v} x^2), \\
 xd_v(x) &= A_{d_v} x^{B_{d_v}} (1-x)^{C_{d_v}}, \\
 x\bar{U}(x) &= A_{\bar{U}} x^{B_{\bar{U}}} (1-x)^{C_{\bar{U}}} (1 + D_{\bar{U}} x), \\
 x\bar{D}(x) &= A_{\bar{D}} x^{B_{\bar{D}}} (1-x)^{C_{\bar{D}}}.
 \end{aligned}$$

QCD sum rules constrain  
 $A_g, A_{uv}, A_{dv}$   
 $x\bar{s} = f_s x\bar{D}$  sets size of  
 strange PDF  
 constraints  $B_U = B_D$  and  
 $A_{\bar{U}} = A_{\bar{D}}(1 - f_s)$  ensure  
 $x\bar{u} \rightarrow x\bar{d}$  as  $x \rightarrow 0$

- **14 free parameters in central fit**, established by saturation of  $\chi^2$
- extra D, E parameters added to all PDF flavours for parameterisation uncertainties,  $A_g' = 0$  also checked
- QCDNUM used for QCD DGLAP evolution, within xFitter framework, and cross checked with independent code
- Thorne-Roberts Optimised Variable Flavour Number Scheme (RT-VFN)
- jet predictions from NNLOJet (arXiv:[1606.03991](#), [1703.05977](#)) interfaced to APPLfast (arXiv:[1906.05303](#))
- $\alpha s(M_Z) = 0.118, 0.115$ ; plus free  $\alpha s$  fit

# HERAPDF sources of uncertainty

## experimental:

Hessian uncertainties: 14 eigenvector pairs evaluated with  $\Delta\chi^2=1$ ; cross checked uncertainties using rms of MC replicas

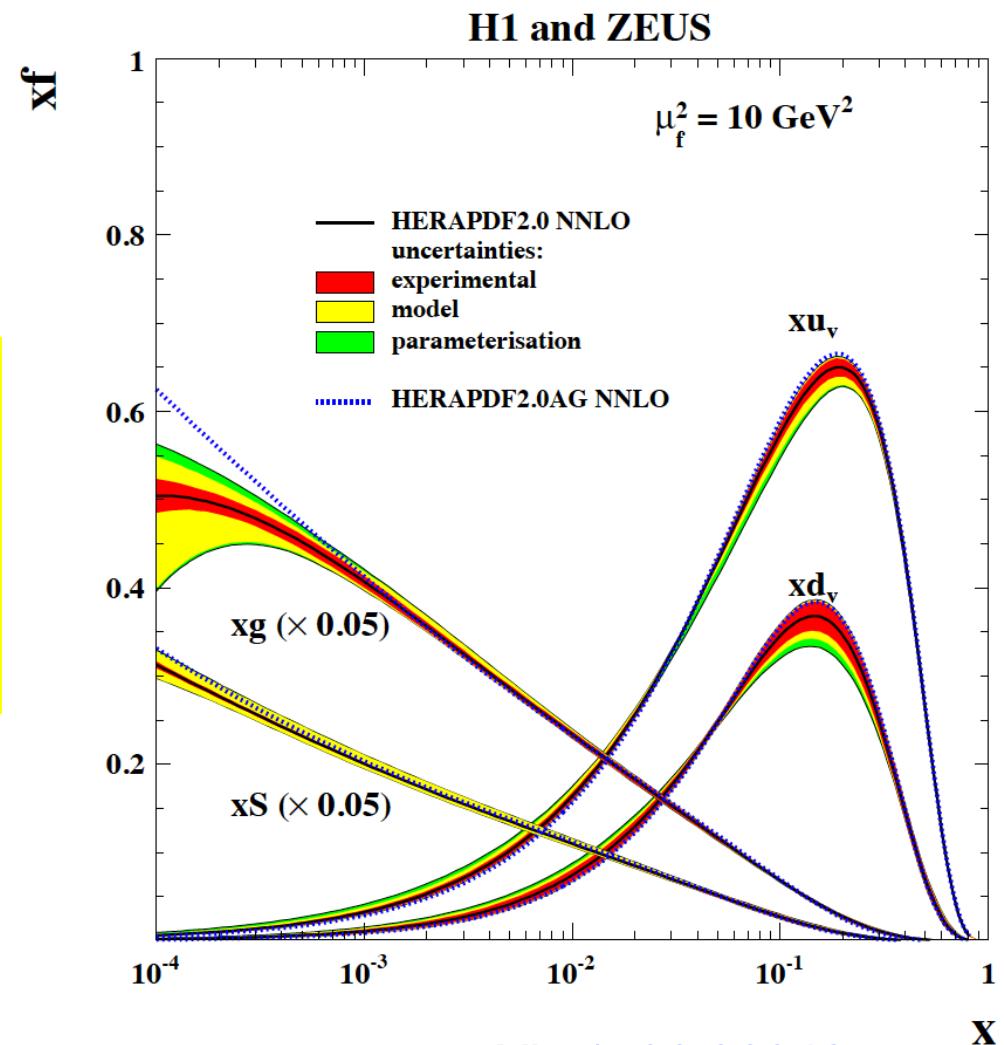
## model:

variation of input assumptions; and c,b masses  
 $f_s = 0.4 \pm 0.1$   
 $M_c = 1.43 \pm 0.06 \text{ GeV}$   
 $M_b = 4.5 \pm 0.25 \text{ GeV}$   
 $Q^2\text{min} = 3.5_{-1}^{+1.5} \text{ GeV}$

## parameterisation:

variation of  $Q^2_0 = 1.9 \pm 0.3 \text{ GeV}^2$ , plus addition of 15th parameter(s)  
(variations as for HERAPDF2.0 analysis)

NB, for **jet cross sections**, hadronisation uncertainties also included



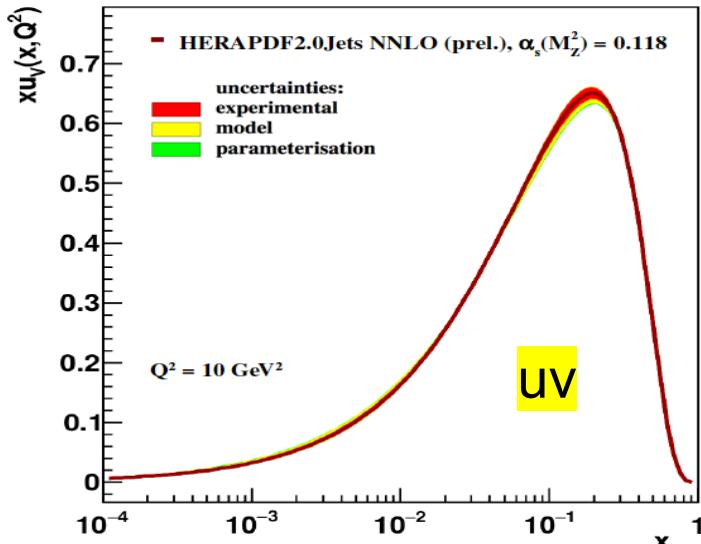
HERAPDF2.0, arXiv:[1506.06042](https://arxiv.org/abs/1506.06042)

# HERAPDF2.0Jets NNLO

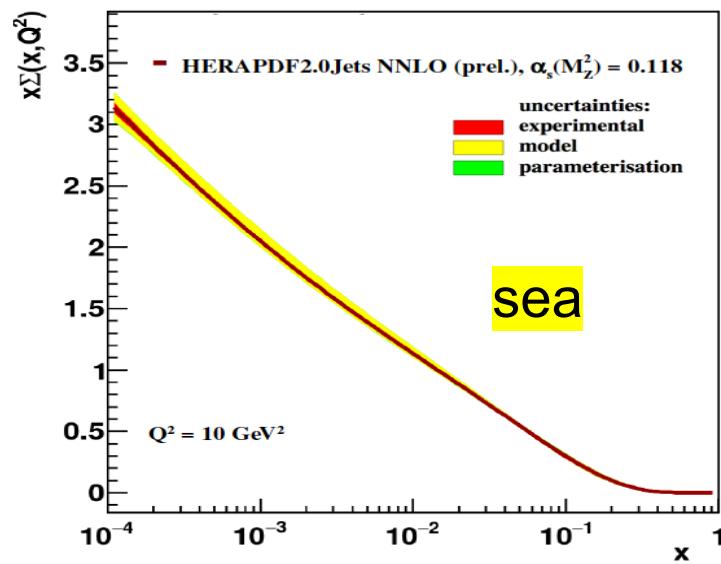
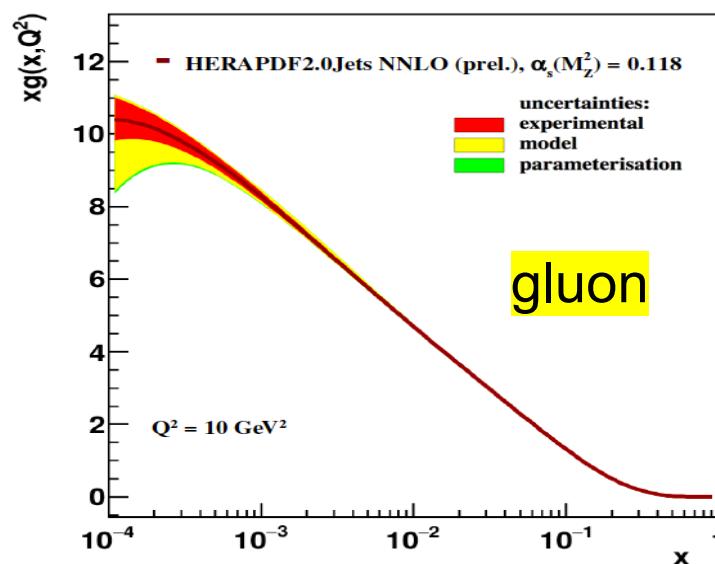
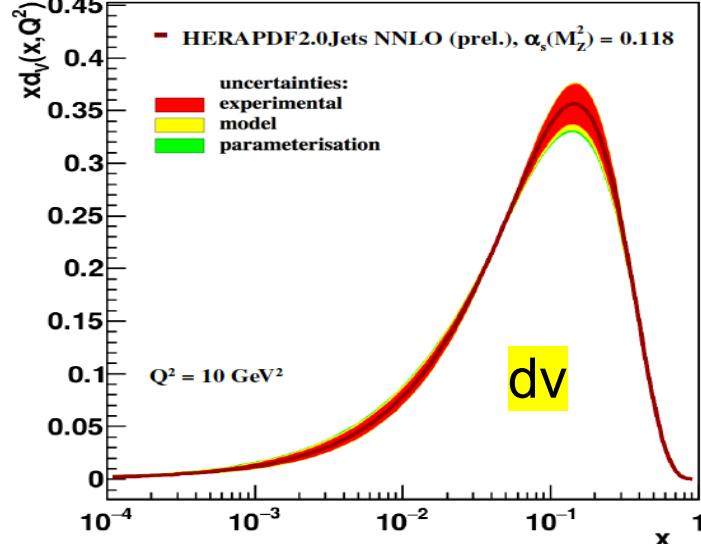
$\alpha_s(M_Z) = 0.118$

fixed

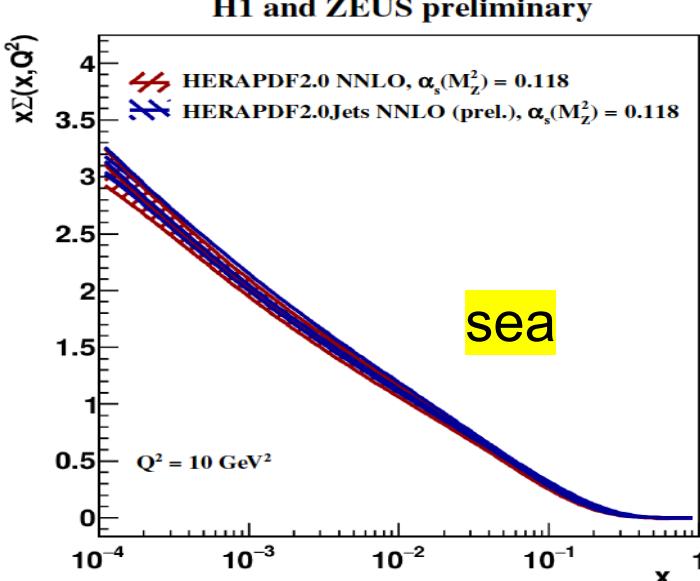
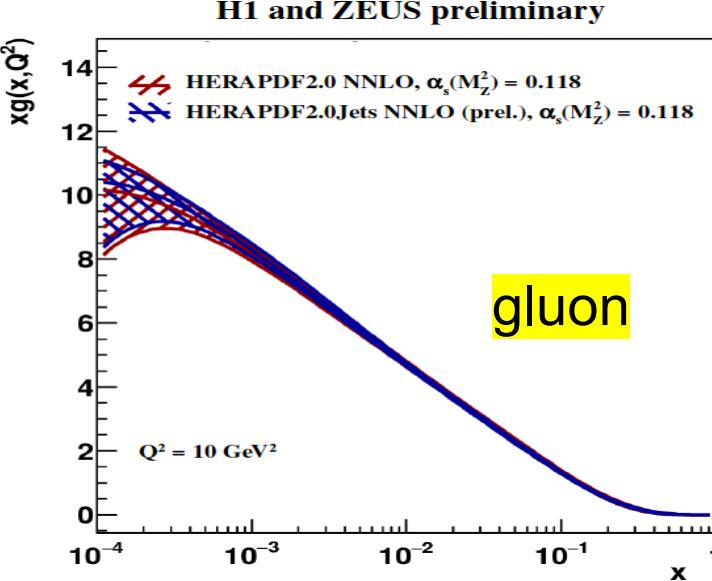
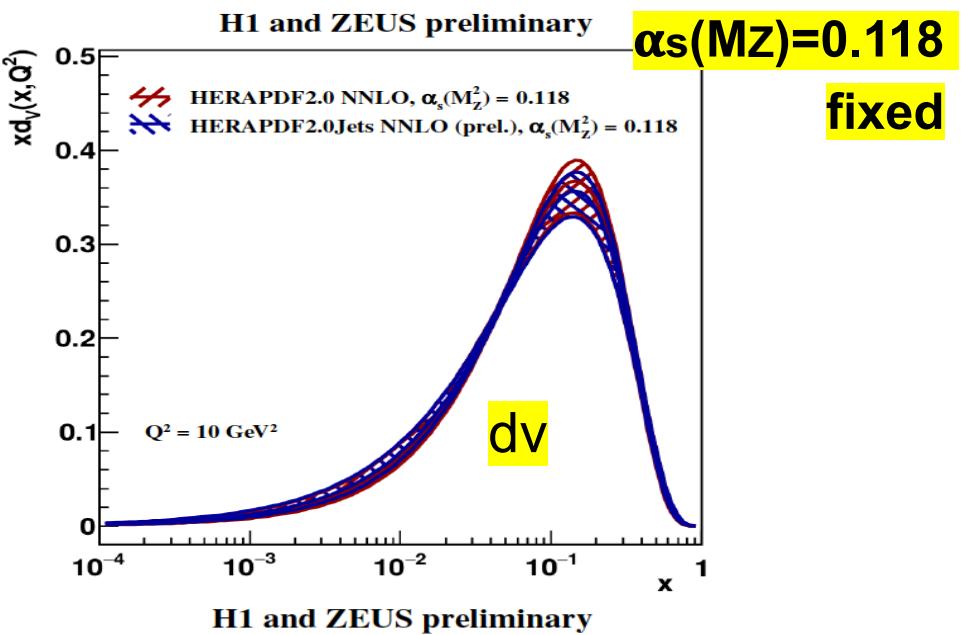
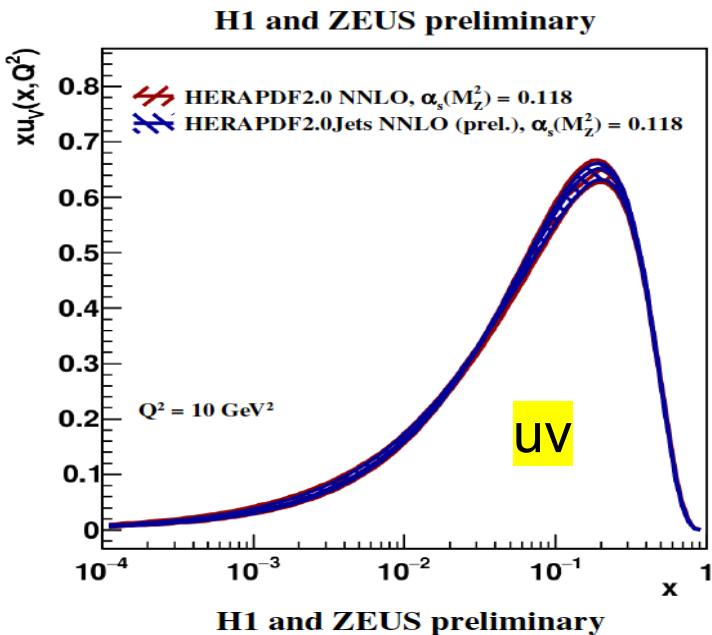
H1 and ZEUS preliminary



H1 and ZEUS preliminary

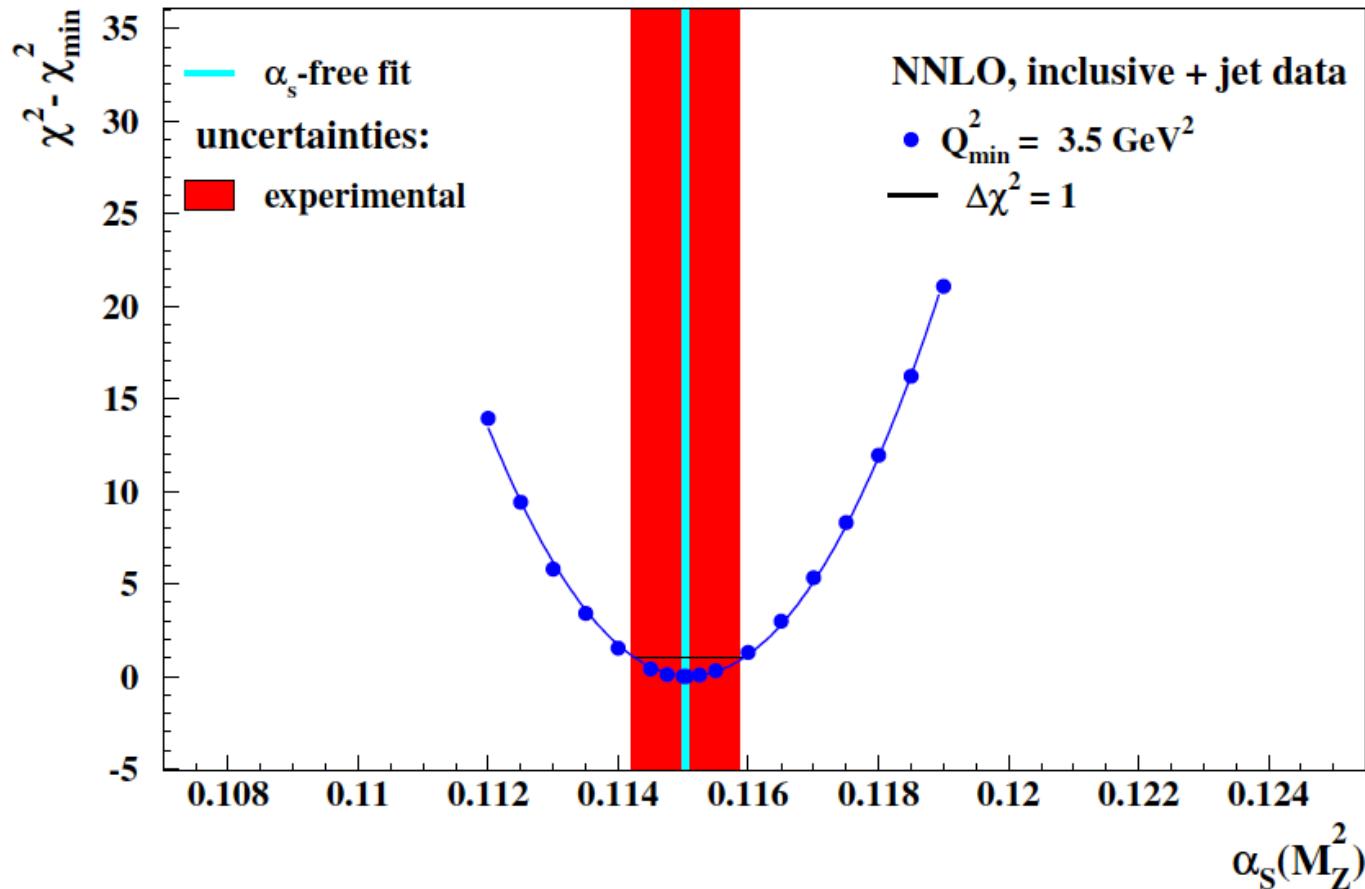


# HERAPDF2.0 vs HERAPDF2.0Jets NNLO



# HERAPDF2.0Jets NNLO $\alpha_s$ fits

H1 and ZEUS preliminary

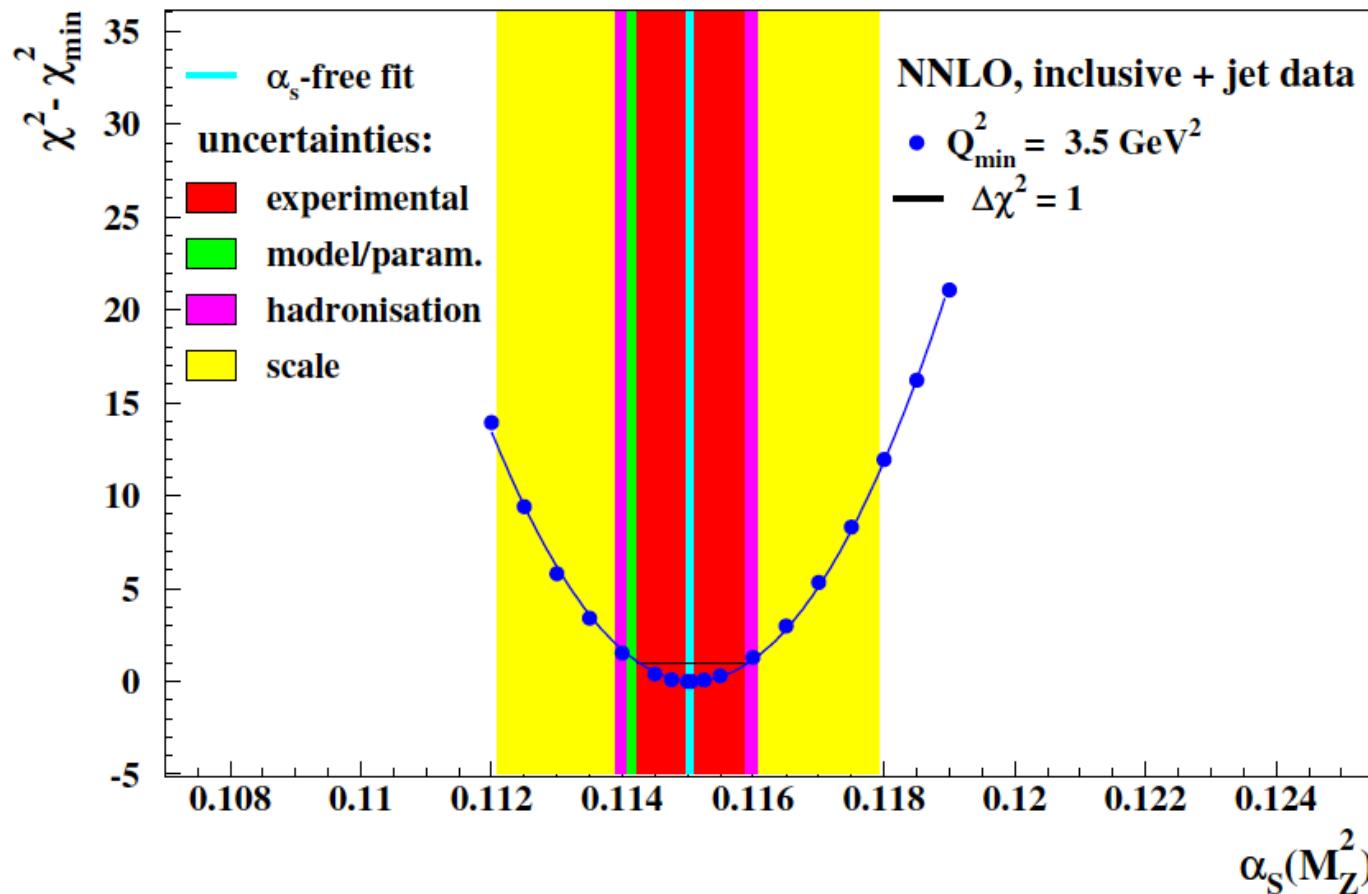


standard HERAPDF value is  $\alpha_s(M_Z) = 0.118$  (shown on previous slides)

fits also performed with **free  $\alpha_s(M_Z)$** , compared here to **X<sup>2</sup> scan over fixed  $\alpha_s(M_Z)$**   
perfect agreement in minimum and uncertainty

# HERAPDF2.0Jets NNLO $\alpha_s$ fits

## H1 and ZEUS preliminary



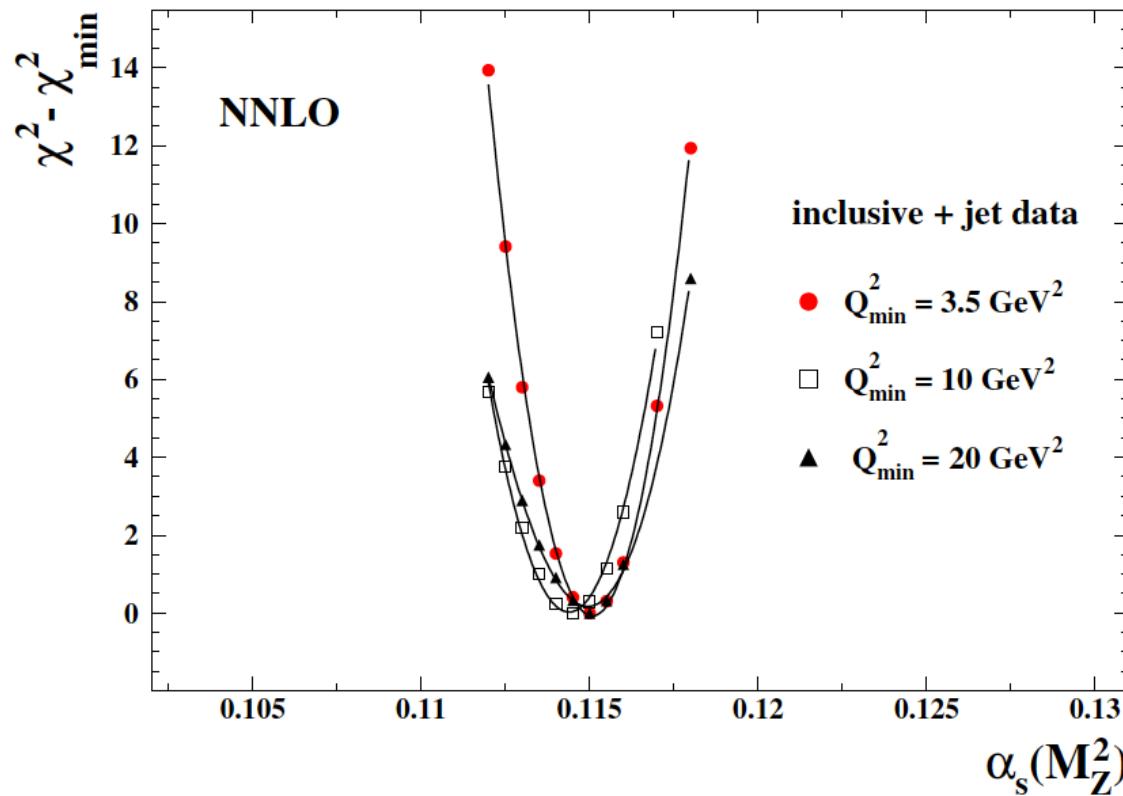
and with full uncertainties:

$$\alpha_s(M_Z^2) = 0.1150 \pm 0.0008 \text{ (exp)}^{+0.0002}_{-0.0005} \text{ (model/par.)} \pm 0.0006 \text{ (had)} \pm 0.0027 \text{ (scale)}$$

[NB, scale uncertainty dominates; 7-point variation considered, with  $\mu_R$ ,  $\mu_F$  varied by factor of 2]

# sensitivity to minimum $Q^2$ cut

H1 and ZEUS preliminary



central values from the 3 scans:

$$\alpha_s(M_Z^2) = 0.1150 \pm 0.0008 \text{ (exp)} \quad Q^2 > 3.5 \text{ GeV}^2$$

$$\alpha_s(M_Z^2) = 0.1144 \pm 0.0010 \text{ (exp)} \quad Q^2 > 10 \text{ GeV}^2$$

$$\alpha_s(M_Z^2) = 0.1148 \pm 0.0010 \text{ (exp)} \quad Q^2 > 20 \text{ GeV}^2$$

EG, arXiv:[1506.06042](https://arxiv.org/abs/1506.06042), [1710.05935](https://arxiv.org/abs/1710.05935)

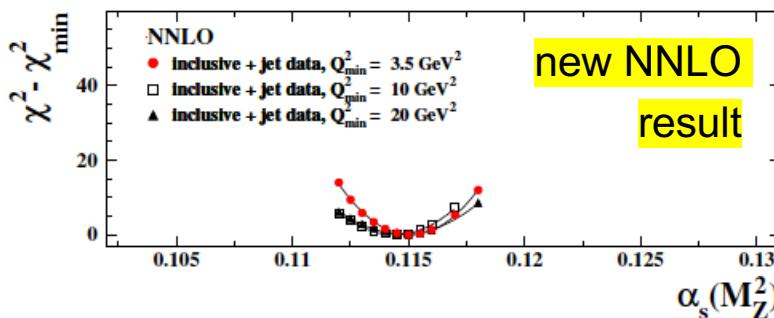
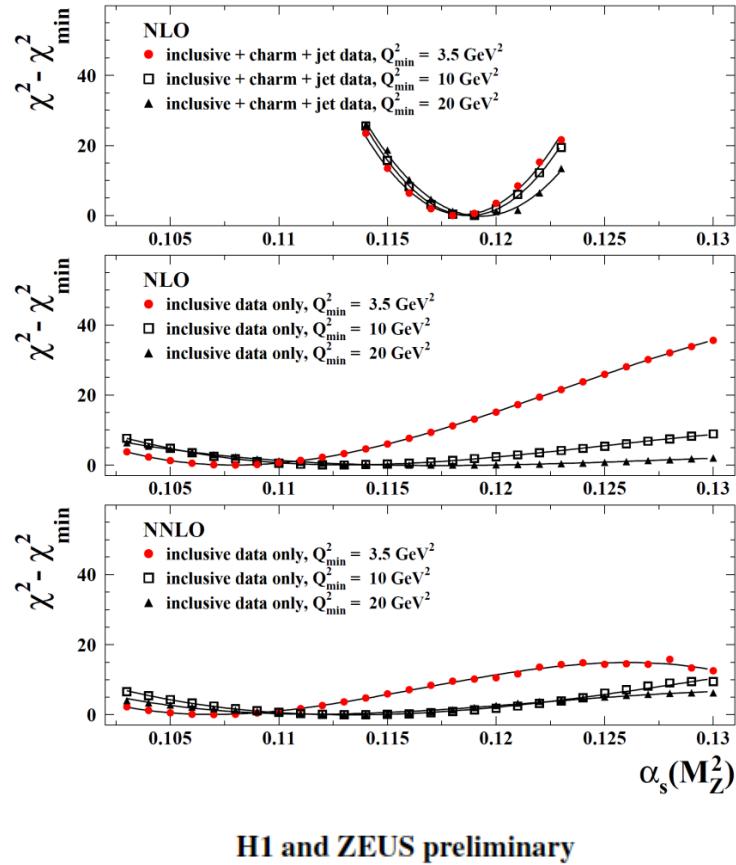
HERA data at low  $x$ ,  $Q^2$  may be subject to need for  $\ln(1/x)$  resummation or higher twist effects;  
 $\chi^2$  scans also performed with harder minimum  $Q^2$  cuts

no significant change to extracted value of  $\alpha_s(M_Z)$

# comparison to previous NLO result

arXiv:[1506.06042](https://arxiv.org/abs/1506.06042)

H1 and ZEUS



## NNLO scans using inclusive and jet data

are compared to previously published scans at NLO, plus corresponding scans using only inclusive data

similar level of precision at NNLO and NLO

smaller value of  $\alpha_s(M_Z)$  preferred at NNLO

NB, conclusion holds independent of updated scale choices;  
with old scales, NNLO result would be even lower at 0.1135

## NNLO:

$$\alpha_s(M_Z^2) = 0.1150 \pm 0.0008 \text{ (exp)}^{+0.0002}_{-0.0005} \text{ (model/par.)} \pm 0.0006 \text{ (had)} \pm 0.0027 \text{ (scale)}$$

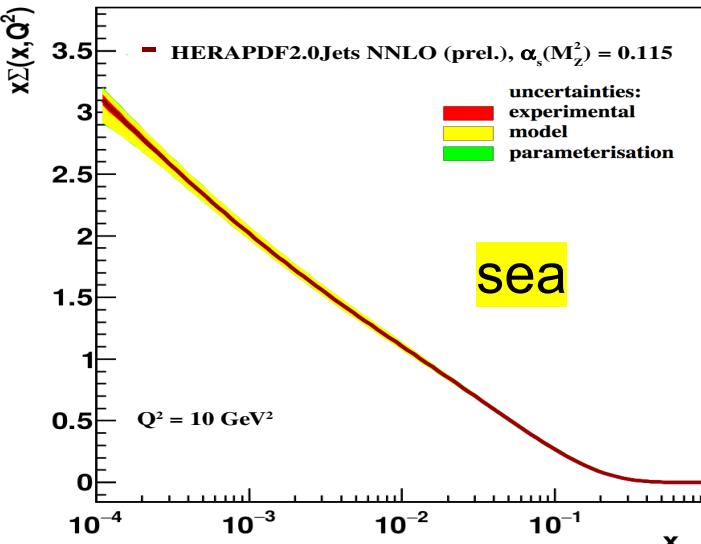
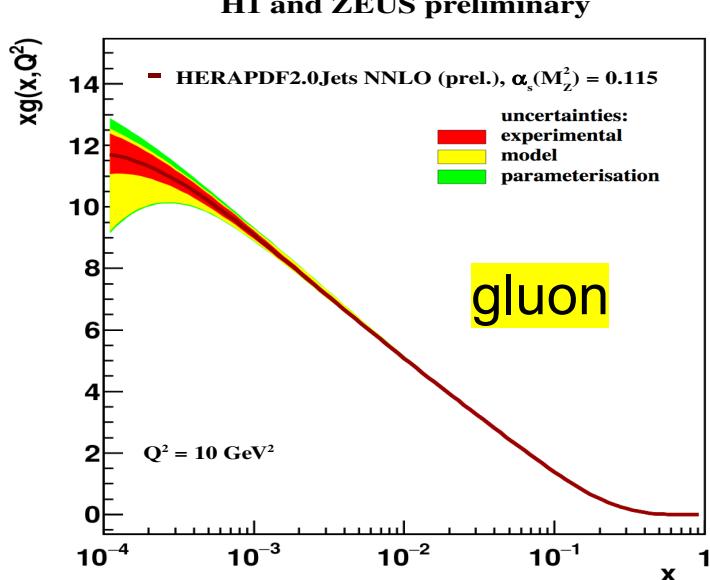
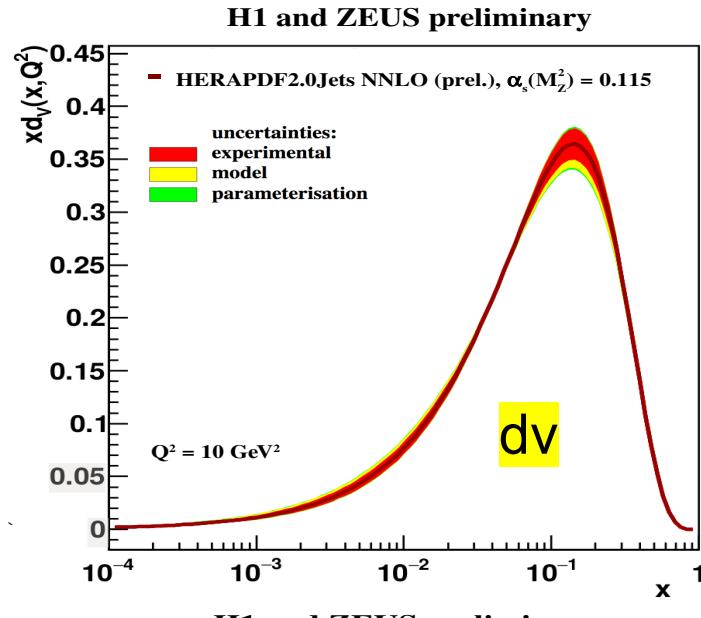
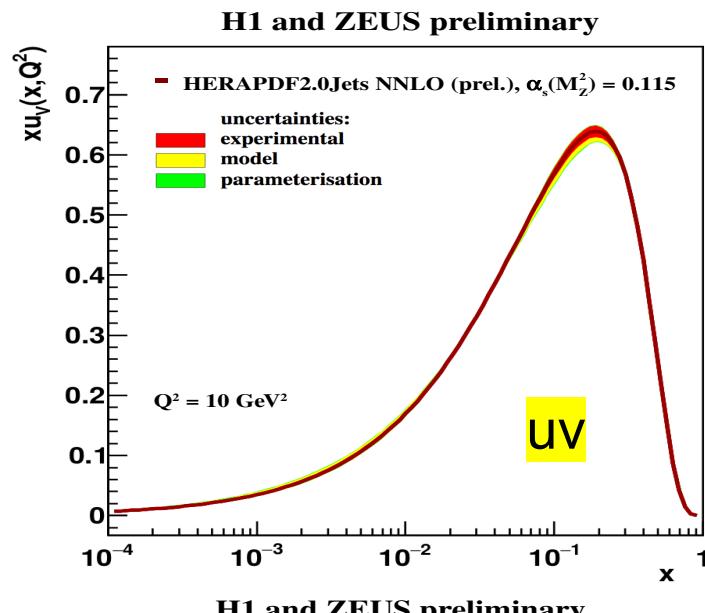
cf. NLO: arXiv:[1506.06042](https://arxiv.org/abs/1506.06042)

$$\alpha_s(M_Z^2) = 0.1183 \pm 0.0009 \text{ (exp)} \pm 0.0005 \text{ (model/par.)} \pm 0.0012 \text{ (had)}^{+0.0037}_{-0.0030} \text{ (scale)}$$

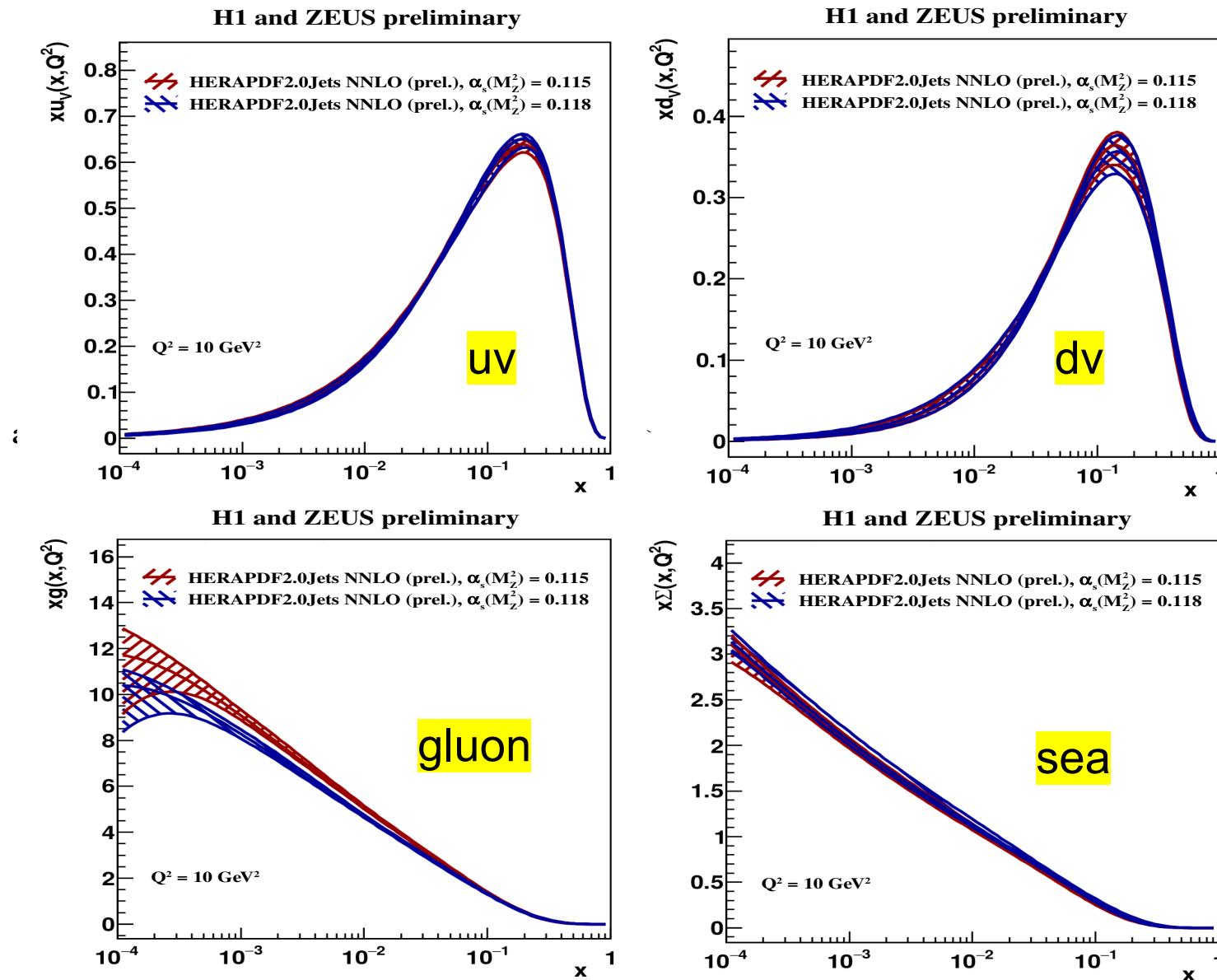
# HERAPDF2.0Jets NNLO

$\alpha_s(M_Z) = 0.115$

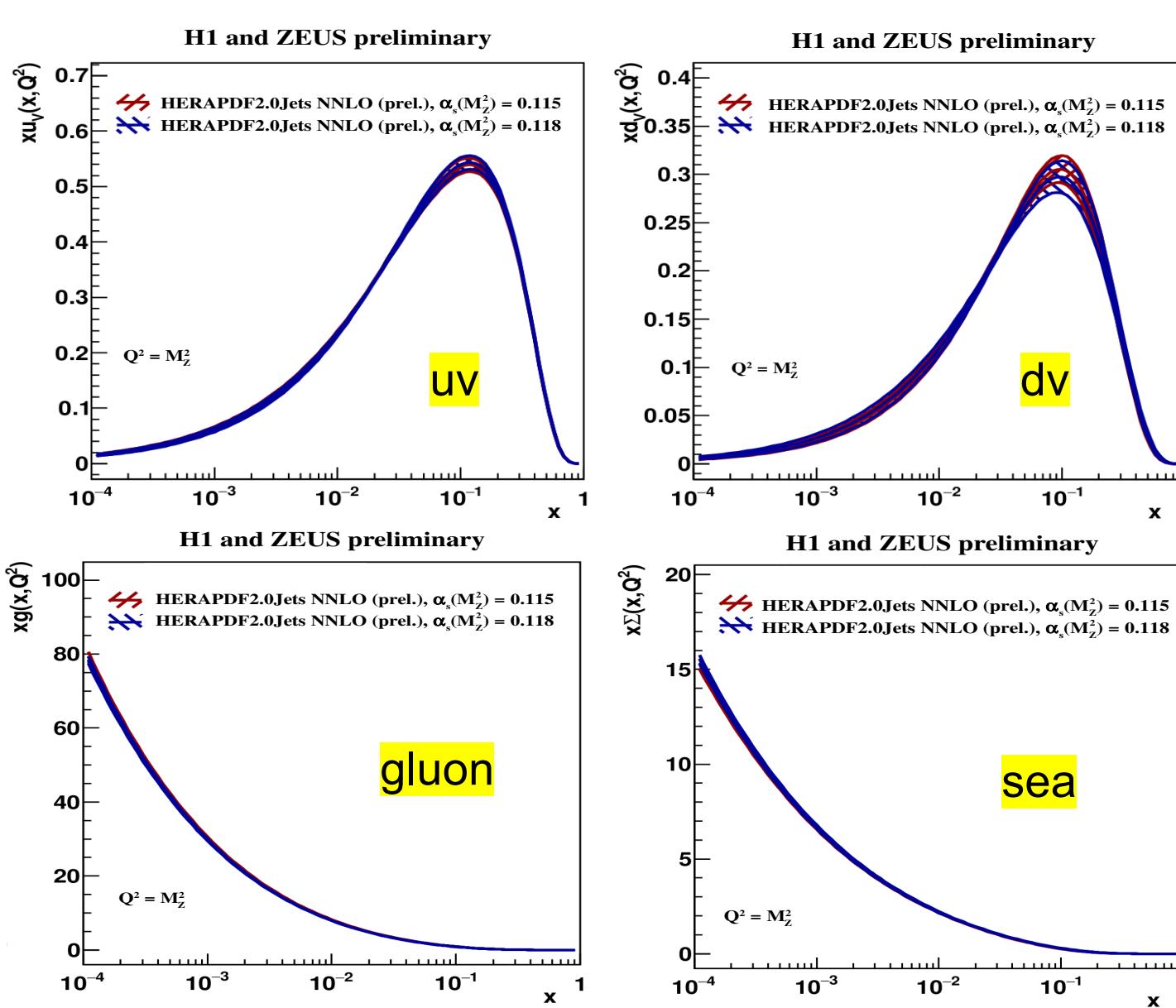
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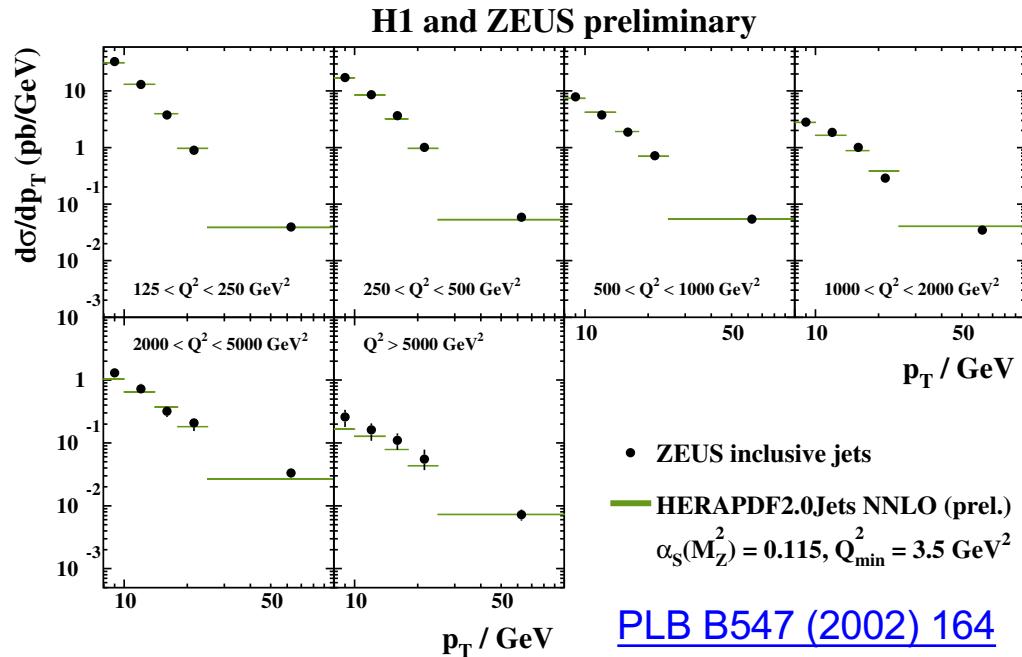


# comparison of $\alpha_s(M_Z) = 0.115$ and $0.118$



# comparison of $\alpha_s(M_Z) = 0.115$ and $0.118$

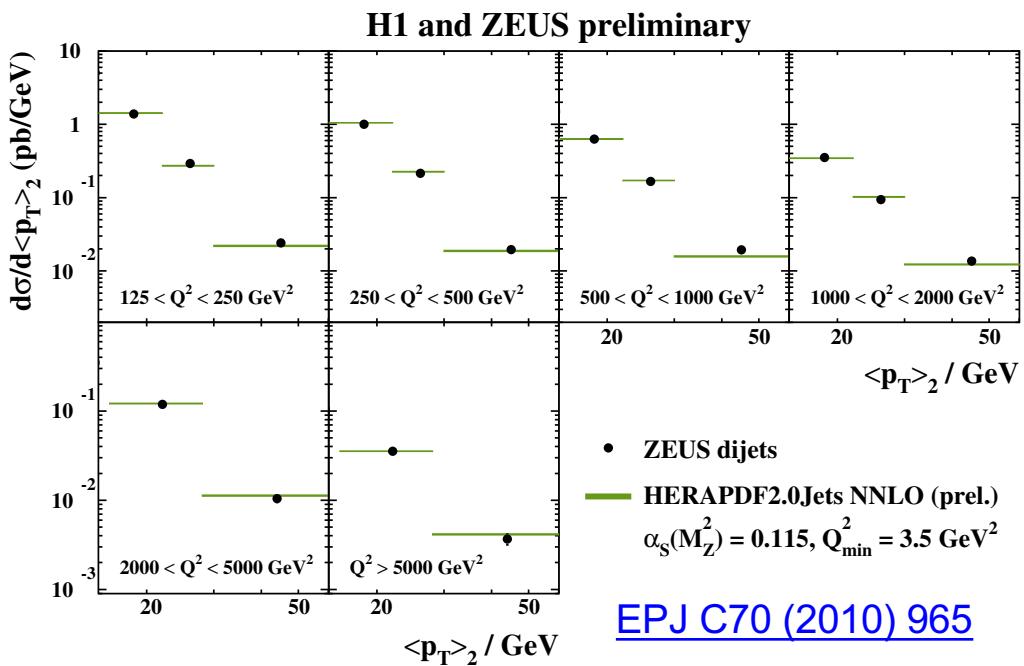




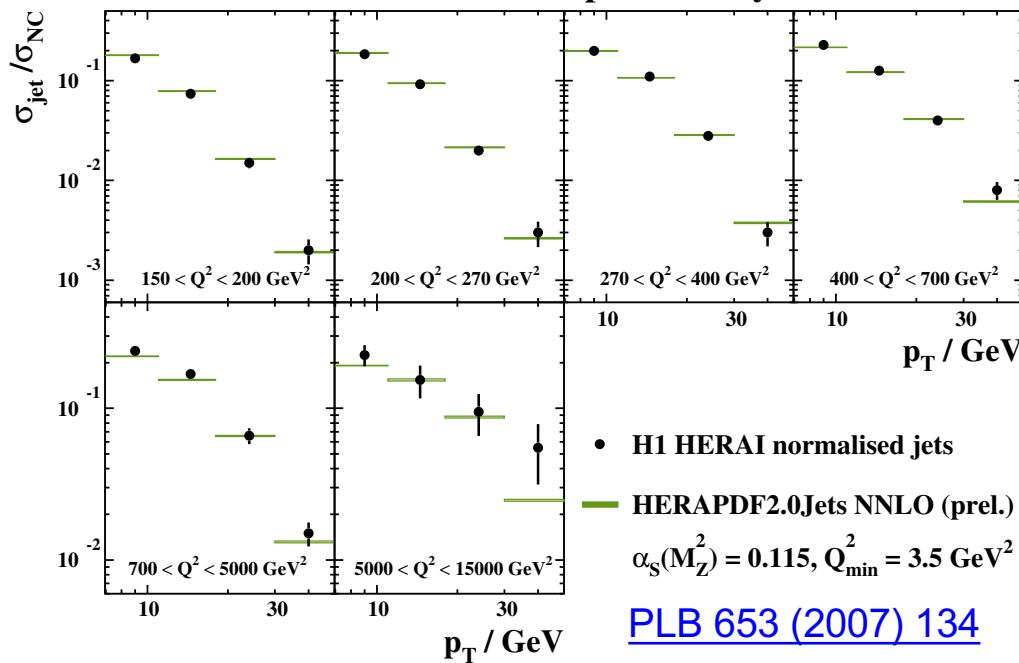
cf. HERA jet data

HERAPDF2.0JetsNLO

$\alpha_S(M_Z) = 0.115$



### H1 and ZEUS preliminary

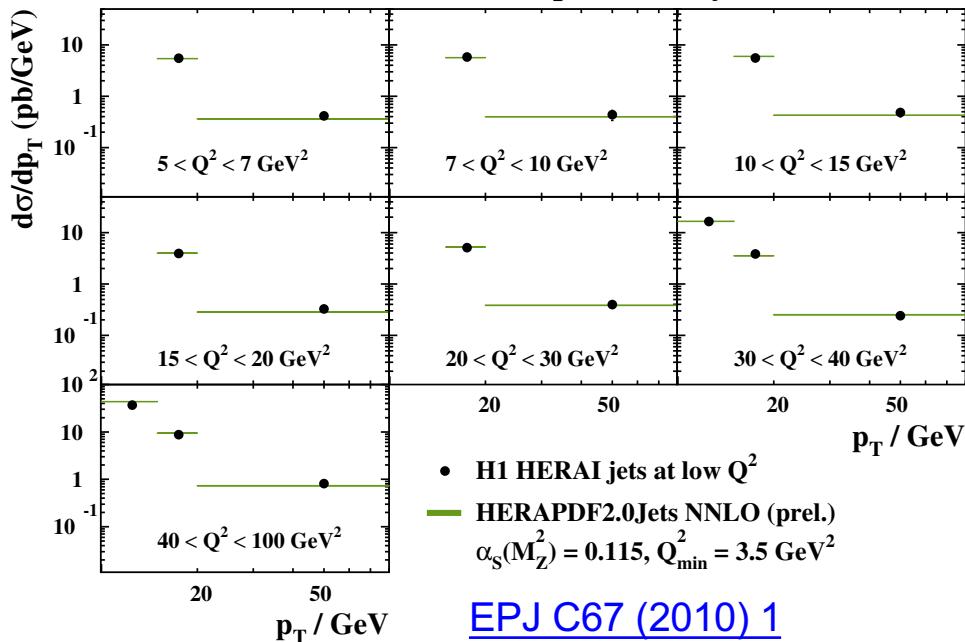


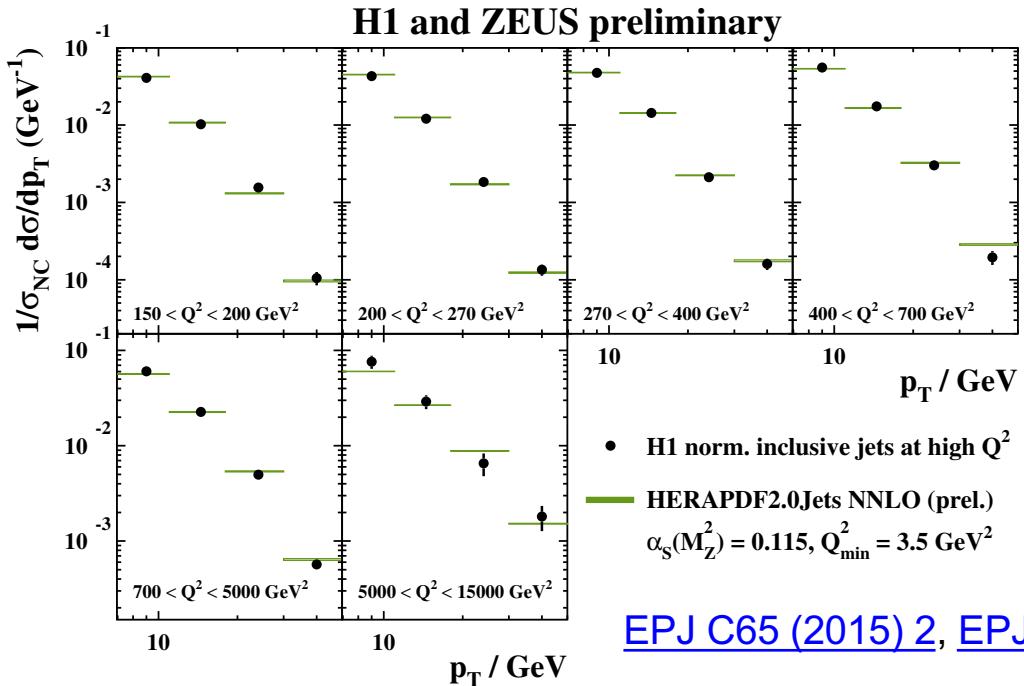
cf. HERA jet data

HERAPDF2.0JetsNLO

$\alpha_s(M_Z) = 0.115$

### H1 and ZEUS preliminary



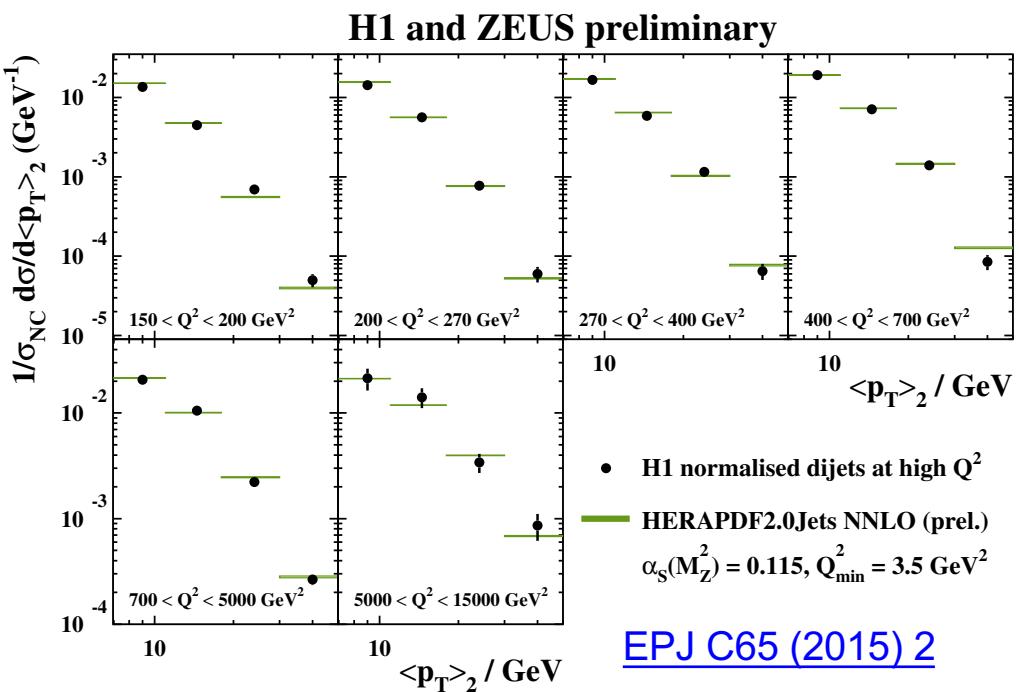


cf. HERA jet data

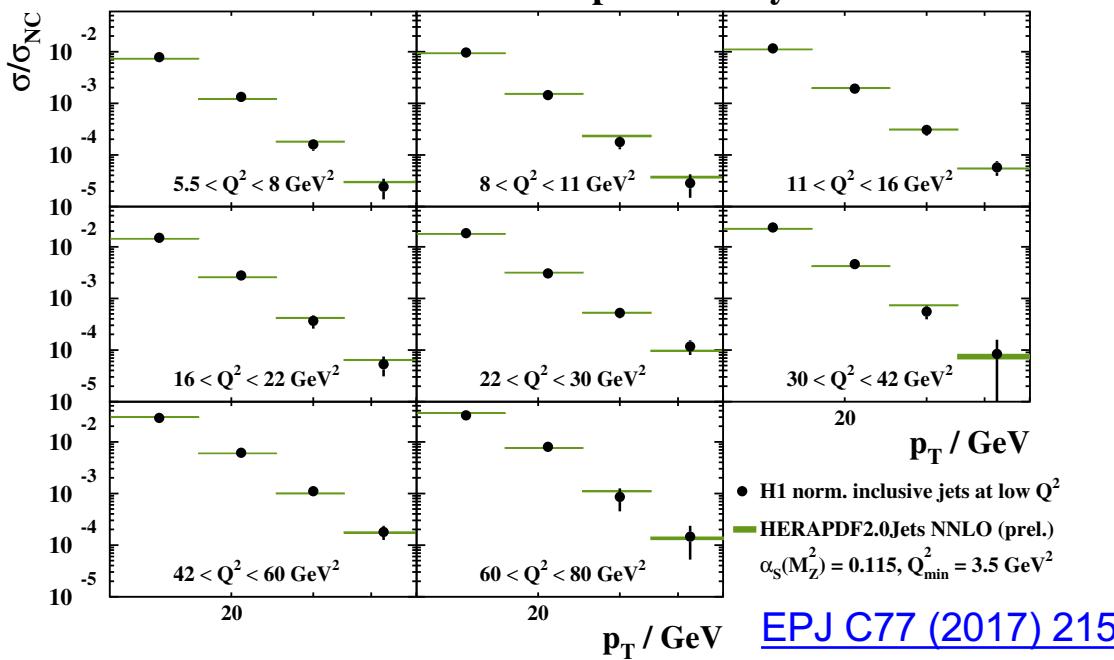
HERAPDF2.0JetsNLO

$\alpha_s(M_Z) = 0.115$

[EPJ C65 \(2015\) 2](#), [EPJ C77 \(2017\) 215](#)



## H1 and ZEUS preliminary

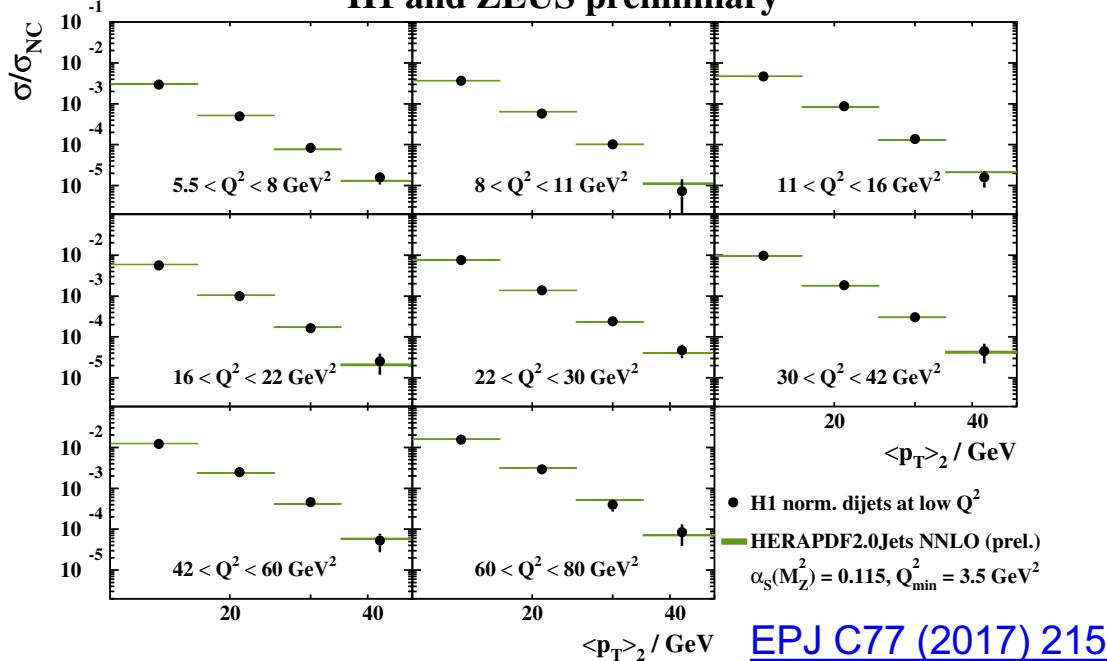


cf. HERA jet data

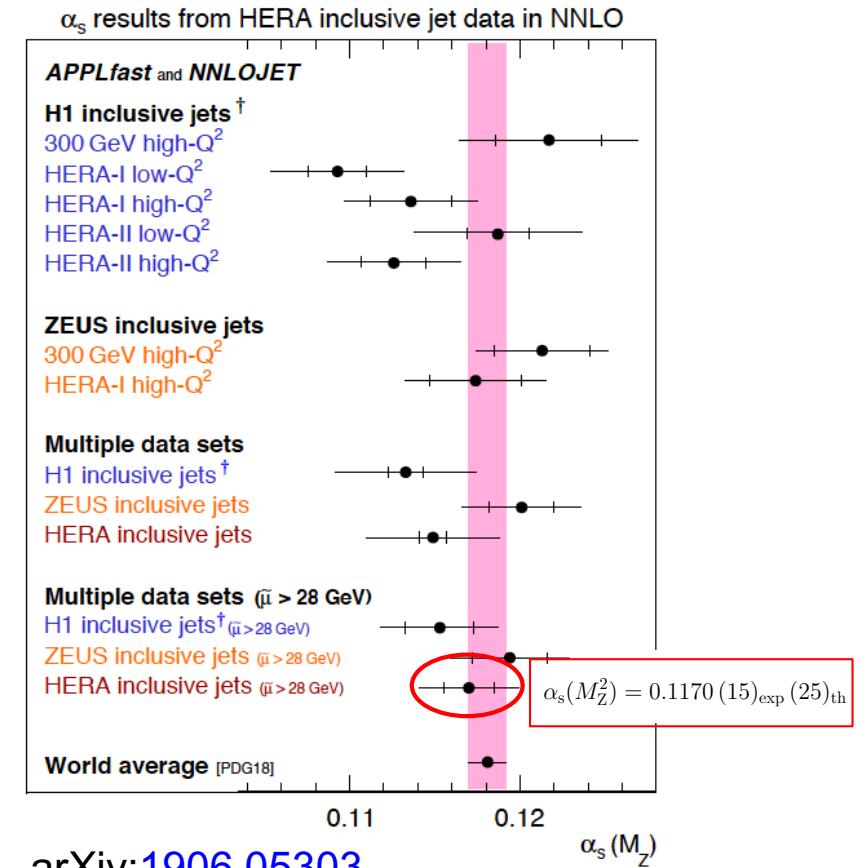
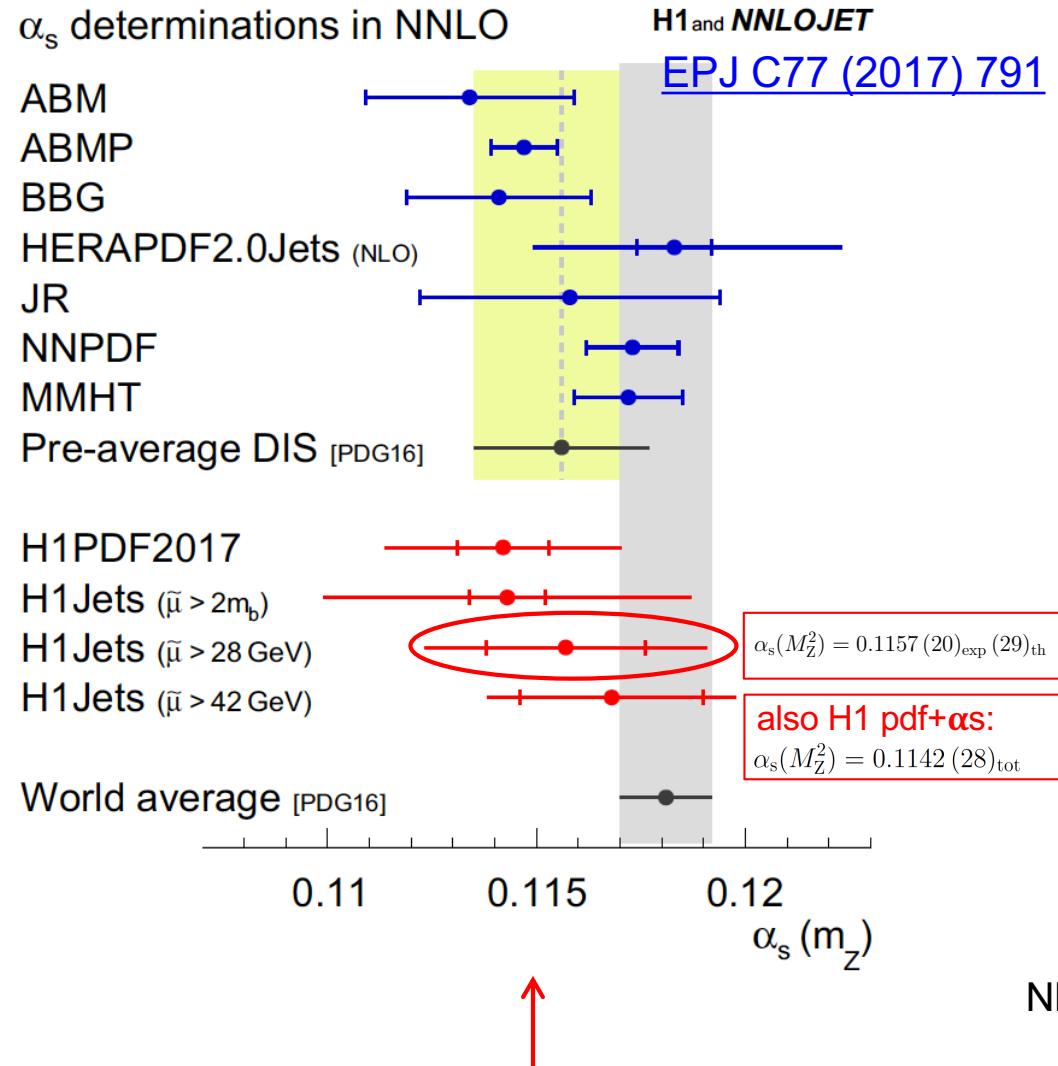
HERAPDF2.0JetsNLO

$\alpha_s(M_Z) = 0.115$

## H1 and ZEUS preliminary

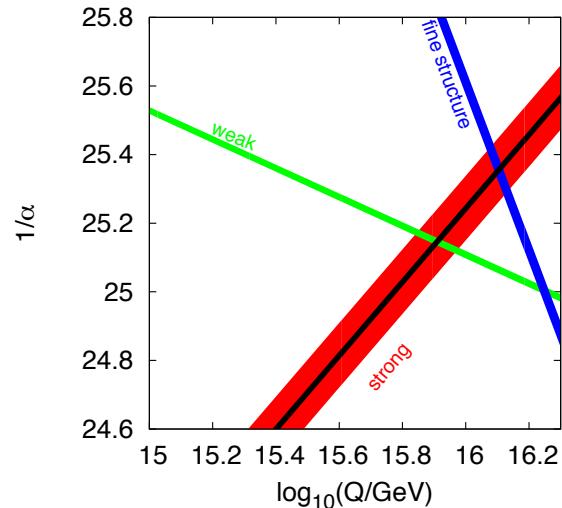


# cf. other NNLO determinations



NNLOJet+APPLfast colls., **new result**,  
HERA inclusive jets

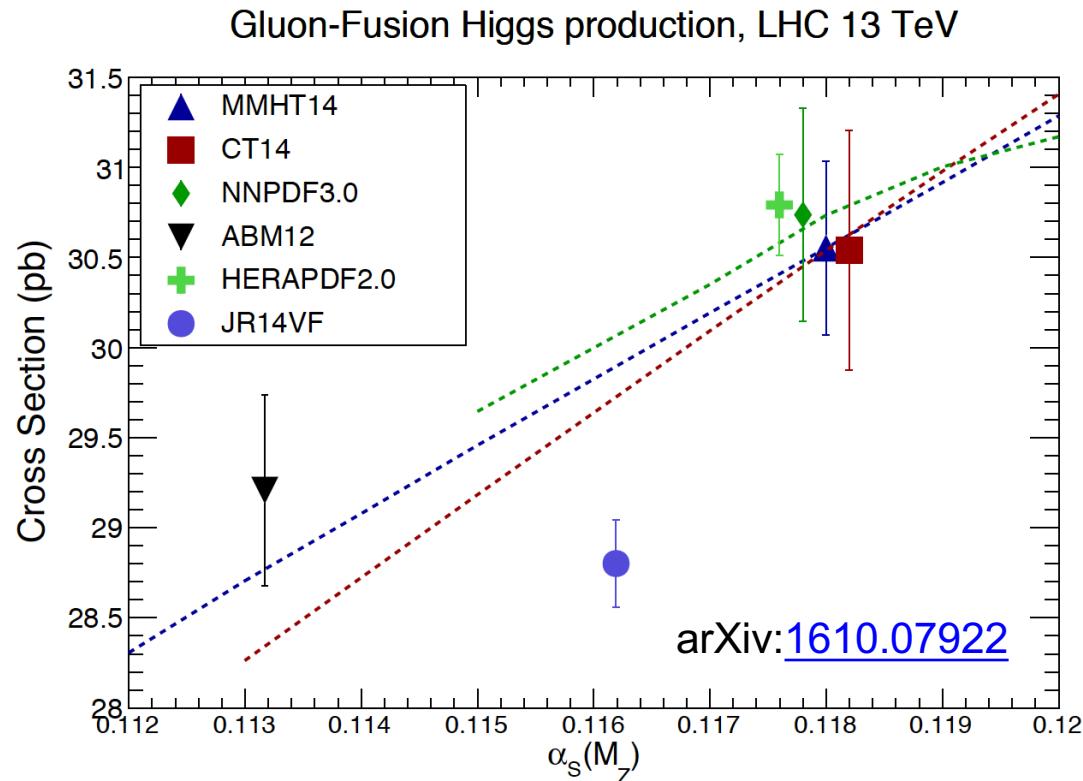
# impact at LHC



- $\alpha_s$  is least known coupling constant;  
needed to constrain GUT scenarios;  
cross section predictions, including Higgs; ...

**PDG18:**  $\alpha_s = 0.1181 \pm 0.0011$

( $\alpha_s = 0.1174 \pm 0.0016$ ; w/o lattice QCD)



- what is true central value and uncertainty?  
new precise determinations have important role to play

# Summary

**HERAPDF2.0 family of PDFs completed by performing an NNLO fit  
including HERA DIS jet data**

possible only due to recent **theoretical** and **grid technology** developments  
(NNLOJet, APPLfast)

**TWO new PDF sets:**

HERAPDF2.0JetsNNLO  $\alpha_s(M_Z)=0.118$  (the PDG value)

HERAPDF2.0JetsNNLO  $\alpha_s(M_Z)=0.115$  (value favoured by our new fits)

**the jet data allows us to constrain  $\alpha_s(M_Z)$ ; NNLO value:**

$$\alpha_s(M_Z^2) = 0.1150 \pm 0.0008 (\text{exp})^{+0.0002}_{-0.0005} (\text{model/par.}) \pm 0.0006 (\text{had}) \pm 0.0027 (\text{scale})$$

**cf. NLO value:**

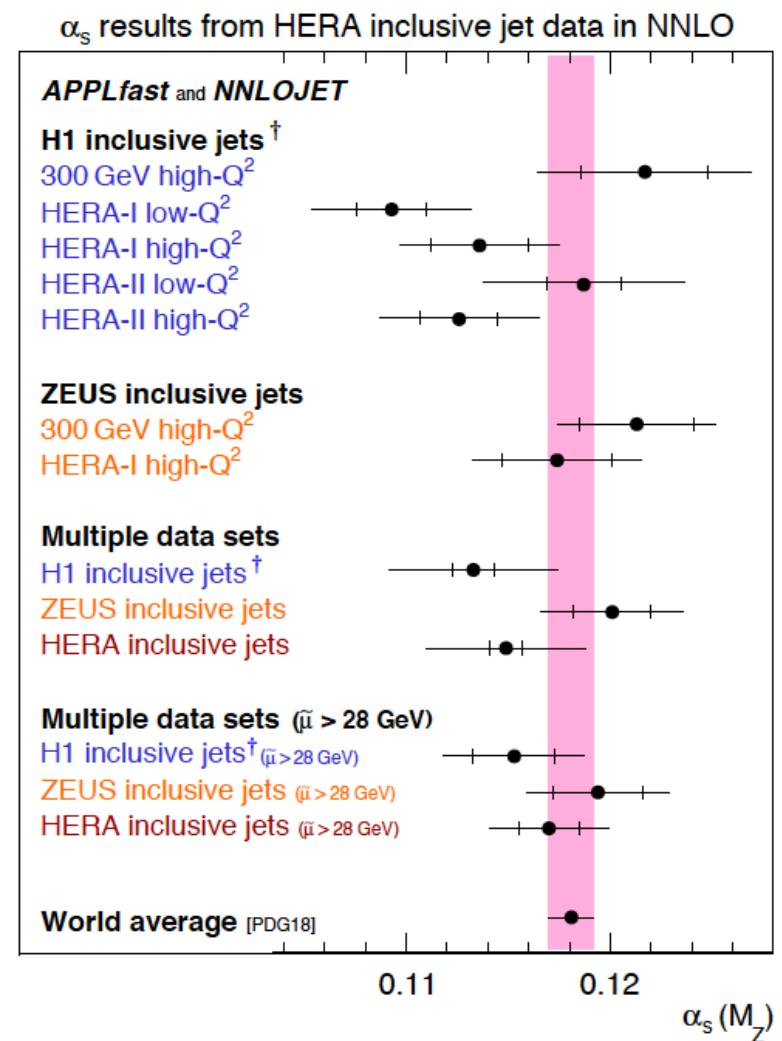
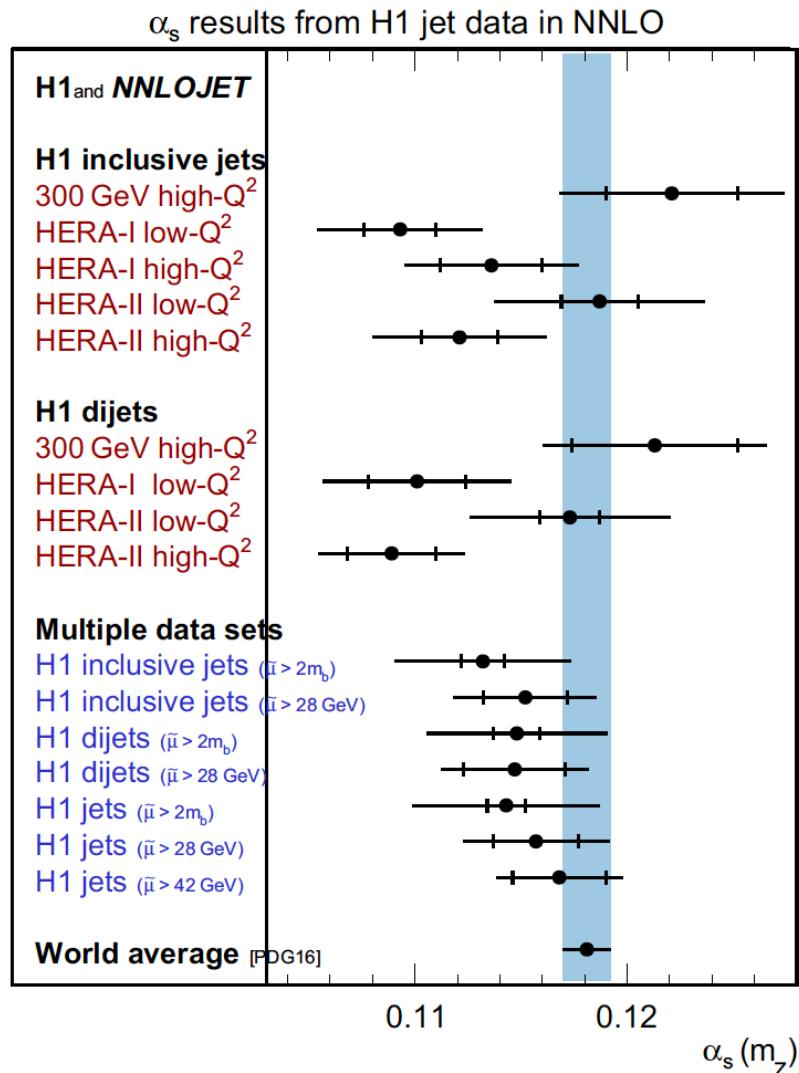
$$\alpha_s(M_Z^2) = 0.1183 \pm 0.0009 (\text{exp}) \pm 0.0005 (\text{model/par.}) \pm 0.0012 (\text{had})^{+0.0037}_{-0.0030} (\text{scale})$$

systematic shift downwards at NNLO even taking scale change into account



extras

# cf. other NNLO results using HERA jets



H1, NNLOJet, APPLfast colls., [EPJ C77 \(2017\) 791](#)

NNLOJET+APPLfast, arXiv:[1906.05303](#)