# Introduction to Non-Equilibrium QFT, and the 2-PI Effective Action

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#### Motivation

- Understand: foundations of QFT, general/unconstrained setting
- ► Find: models for Heavy-Ion collisions, post inflationary physics, strongly quenched dilute Bose gases, ...
- ► Provide: "nice" measurable quantities for experiments to test against in thermal equilibrium

#### What is Equilibrium classically

Thermodynamics and Statistical (classical) Physics:

▶ Ignore details: variables of state, e.g. volume V, pressure P, temperature T.

Find Equilibrium: **thermodynamic potential**  $\Phi$  and  $\delta \Phi = 0$ 

Equilibrium: variables of state constrained by equation of state



#### What is Equilibrium in Quantum Mechanics?

#### Quantum Statistical Physics:

Statistical uncertainty: density matrix:

$$\rho_0 = \sum_{n} p[\psi_n] \cdot |\psi_n\rangle \langle \psi_n|$$

▶ Equilibrium:  $\rho_0 = \rho_\beta \sim e^{-\beta H}$ , with  $|\psi_n\rangle = |E_n\rangle$  and

$$p[|E_n\rangle] \sim e^{-\beta \cdot E_n}$$

Expectation values:

$$\langle \mathcal{O}(t) \rangle = tr\{\rho_0(t)\mathcal{O}(t)\}$$



#### What is Equilibrium QFT?

Thermal and Vacuum Quantum Field Theory:

- ▶ Rewrite:  $\rho_{\beta} = e^{i(i\beta)H} = e^{-i(\tau (-\tau))H}$ , with  $2i\tau = \beta$
- Derive Path integral:

$$\mathcal{Z}_{\beta} \sim \int_{\phi(+\tau) = \phi(-\tau)} [\mathcal{D}\phi] e^{-i \int_{-\tau}^{+\tau} \int_{\mathsf{x}} \mathcal{L}[\phi]} \tag{1}$$

▶ In comparison: "Vacuum" QFT result:

$$\mathcal{Z}_0 \sim \int [\mathcal{D}\phi] e^{-i\int_{-\infty}^{+\infty} \int_x \mathcal{L}[\phi]}$$



# Back to square one

lacktriangle Knowing  $\mathcal{Z}[\mathcal{J}] \ \leftrightarrow \$  Knowing all correlation functions

**Example SFT**:  $\mathcal{G}^n \sim \langle \phi_1 .... \phi_n \rangle$ , with notation  $\phi_i = \phi(x_i)$ 

Consider:  $\langle \mathcal{O}\left(t\right) \rangle$  and  $\rho_{0}\left(t\right) = \mathcal{U}\left(t,t_{0}\right)\rho_{0}~\mathcal{U}\left(t_{0},t\right)$ , then  $\left\langle \mathcal{O}\left(t\right) \right\rangle = tr\{\rho_{0}~\mathcal{U}\left(t_{0},t\right)\mathcal{O}\left(t\right)\mathcal{U}\left(t,t_{0}\right)\}$ 

#### From First Principles: the Keldysh Contour

$$\langle \mathcal{O}(t) \rangle = tr\{\rho_0 \,\mathcal{U}(t_0, t) \,\mathcal{O}(t) \,\mathcal{U}(t, t_0)\}$$

▶ Visualizing this "forward-backward" evolution with a time-contour  $\mathcal{C} = \mathcal{C}^+ \cup \mathcal{C}^-$ :

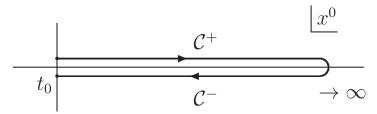


Figure: Taken from [Ber15]

#### Vacuum QFT from first principles

Expectation values of Vacuum theory:

$$\left\langle 0,t\right|\mathcal{O}\left(t\right)\left|0,t\right\rangle =\left\langle 0,-\infty\right|\mathcal{U}\left(-\infty,t\right)\mathcal{O}\left(t\right)\mathcal{U}\left(t,-\infty\right)\left|0,-\infty\right\rangle$$

Interactions adiabatically turned on/off, i.e. in/out Vacua equal up to phase  $e^{i\mathcal{L}}$ :

$$\mathcal{U}\left(-\infty,+\infty\right)\left|0\right\rangle=e^{i\mathcal{L}}\left|0\right\rangle$$

This leads to

$$\langle 0, t | \mathcal{O}(t) | 0, t \rangle = \frac{\langle 0 | \mathcal{U}(-\infty, t) \mathcal{O}(t) \mathcal{U}(t, +\infty) | 0 \rangle}{e^{i\mathcal{L}}}$$



... have we seen this before?

Compare to "standard" result (Gell-Mann Low Theorem):

$$\left\langle \Omega | \mathcal{O}\left(t\right) | \Omega \right
angle = rac{\left\langle 0 | U\left(-\infty,t\right) \mathcal{O}\left(t\right) U\left(t,+\infty\right) | 0 \right
angle}{\left\langle 0 | U\left(-\infty,+\infty\right) | 0 
ight
angle}$$

Comparing both formulas, we find

$$e^{i\mathcal{L}} = \langle 0 | \mathcal{U}(-\infty, +\infty) | 0 \rangle$$

 $\Rightarrow e^{i\mathcal{L}} \triangleq \mathsf{Vacuum}$  fluctuations

# Where's the Non-Equilibrium?

▶ Consider:  $\rho_0 \sim e^{\mathcal{P}(\phi)}$ , and  $\mathcal{P}$  polynomial:

$$\mathcal{P}(\phi) = \alpha_1 \cdot \phi + \alpha_2 \cdot \phi \phi + \dots$$

- ▶ Derive Path integral: complete set of states  $|\phi_0^{\pm}\rangle$
- ▶ ... yielding for a SFT:

$$\mathcal{Z}[\mathcal{J};\rho_0] = \int [\mathcal{D}\phi_0^{\pm}] \left\langle \phi_0^+ \right| \rho_0 \left| \phi_0^- \right\rangle \int_{\phi_0^+}^{\phi_0^-} [\mathcal{D}\phi] e^{-i\left(\mathcal{S}_{SFT}[\phi] + \int_{\mathcal{C}} J \cdot \phi\right)}$$



#### The 1-PI Effective Action

$$\Gamma[\phi] = \mathcal{W}[\mathcal{J}] - \int_{\mathcal{X}} (\mathcal{J} \cdot \phi)(x)$$

- ▶  $\Gamma$ : **Legendre trasform** of W, changing  $J \rightarrow \phi$
- ▶ Source  $\mathcal{J}$ , macroscopic field  $\phi \leftrightarrow B_{\mathsf{ext}}$ , magnetization M
- ightharpoonup arGamma: "effective", full quantum action, irreducible building blocks of theory

#### The 2-PI Effective Action

$$\Gamma[\phi, G] = \Gamma[\phi] - \frac{1}{2} \int_{x,y} (G(x,y) + \phi(x)\phi(y)) \mathcal{R}_2(x,y)$$

- $(G + \phi\phi)$ : full propagator; G: connected propagator
- Quantum E.O.M.:

$$\frac{\delta \Gamma}{\delta \phi(y)} = -\mathcal{J}(y) - \int_{x} (\phi(x) \cdot \mathcal{R}_{2}(x, y))$$
$$\frac{\delta \Gamma}{\delta G(x, y)} = -\frac{1}{2} \mathcal{R}_{2}(x, y)$$

# Some interesting E.O.M.

► Consider:  $F(x,y) = \frac{1}{2} \{\phi(x), \phi(y)\}$ , "Statistical Function":

$$[\Box_{x} + M^{2}(x)]F(x,y) = -\int_{t_{0}}^{x_{0}} dz \; \Sigma^{\rho}(x,z) F(z,y) + \int_{t_{0}}^{y_{0}} dz \; \Sigma^{F}(x,z) \rho(z,y)$$

► Consider:  $\rho(x,y) = \frac{i}{2} [\phi(x), \phi(y)]$ , "Spectral Function":

$$\left[\Box_{x}+M^{2}\left(x\right)\right]\rho\left(x,y\right)=\int_{x_{0}}^{y_{0}}\mathsf{d}z\;\Sigma^{\rho}\left(x,z\right)\rho\left(z,y\right)$$

# Bringing it all together...

Remember: general Path Integral

$$\mathcal{Z}[\mathcal{J}] = \int [\mathcal{D}\phi_0^{\pm}] \left\langle \phi_0^+ \right| e^{\mathcal{P}} \left| \phi_0^- \right\rangle \int_{\phi_0^+}^{\phi_0^-} [\mathcal{D}\phi] e^{-i\left(\mathcal{S}_{SFT}[\phi] + \int_{\mathcal{C}} J \cdot \phi\right)}$$

and polynomial

$$\mathcal{P}(\phi) = \alpha_1 \cdot \phi + \alpha_2 \cdot \phi \phi + \dots$$

▶ Interpret  $\alpha$ 's as sources for operators  $\phi, \phi\phi, ...$ , at time  $t_0$ 

#### Bringing it all together...

- ▶ Interpret  $\alpha$ 's as sources for operators  $\phi, \phi\phi, ...$ , at time  $t_0$
- $\blacktriangleright$  Absorb sources at  $t_0$  into general sources, e.g.:

$$\mathcal{J}(t) \longrightarrow \mathcal{J}(t) + \alpha_1 \cdot \delta(t_0)$$

▶ Re-write Path Integral, absorb initial conditions:

$$\mathcal{Z}\left[\mathcal{J},\mathcal{R}_{2};lpha_{1},lpha_{2},...
ight]\sim\int[\mathcal{D}\phi]\mathrm{e}^{-i\left(\mathcal{S}_{SFT}\left[\phi
ight]+\int_{\mathcal{C}}J\cdot\phi+rac{1}{2}\int_{\mathcal{C}}\mathcal{R}_{2}\cdot\phi\phi+...
ight)}$$

Bringing it all together...

Punchline: E.O.M. get initial values

# Some interesting E.O.M. (again!)

► Consider:  $F(x,y) = \frac{1}{2} \{\phi(x), \phi(y)\}$ , "Statistical Function":

$$[\Box_{x} + M^{2}(x)]F(x,y) = -\int_{t_{0}}^{x_{0}} dz \; \Sigma^{\rho}(x,z) F(z,y) + \int_{t_{0}}^{y_{0}} dz \; \Sigma^{F}(x,z) \rho(z,y)$$

► Consider:  $\rho(x,y) = \frac{i}{2} [\phi(x), \phi(y)]$ , "Spectral Function":

$$\left[\Box_{x}+M^{2}\left(x\right)\right]\rho\left(x,y\right)=\int_{x_{0}}^{y_{0}}\mathsf{d}z\;\Sigma^{\rho}\left(x,z\right)\rho\left(z,y\right)$$

#### **Thermalization**

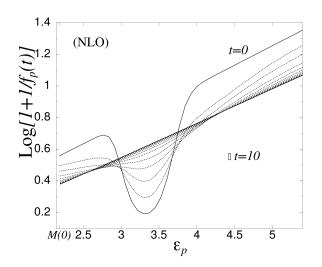


Figure: Taken from [Ber15]

# Thank you for your attention, Questions?

#### References Theory (with links!)

- Ber15 J. Berges: "From Cold Atoms to Cosmology", arXiv:1503.02907
- Kam05 A. Kamenev: "Many-Body Theory of Non-Equilibirum System", arXiv:cond-mat/0412296
- Kam09 A. Kamenev: "Introduction to the Keldysh Formalism", http://www.capri-school.eu/capri09/lectures/ Kamenev\_Capri09.pdf
  - Wei14 T. Weigand: "Quantum Field Theory I+II", Lecture Notes
     http://www.capri-school.eu/capri09/lectures/
     Kamenev\_Capri09.pdf

# Back-up slides

#### Resolving the time Contour

To recover "intuitive" picture, get rid of contour C, e.g. SFT:

- ightharpoonup Resolving  $\mathcal C$  as follows:

$$\int_{\mathcal{C}} \phi \, dt = \int_{\mathcal{C}^+} \phi^+ dt + \int_{\mathcal{C}^-} \phi^- dt = \int_{t_0}^{\infty} \left( \phi^+ - \phi^- \right) \, dt$$

- ▶ new fields:  $\varphi \sim (\phi^+ \phi^-)$  and  $\Phi \sim (\phi^+ + \phi^-)$
- ightharpoonup arphi: "quantum"/"response",  $\Phi$ : "classical"/"statistical" field

#### The n-PI Effective Action

Why stop at single Legendre transform?

$$\Gamma[\phi, G, V^{(3)}, ..., V^{(n)}]] = \Gamma[..., V^{(n-1)}] - \int_{x_1, x_2, ..., x_n} \left(V^{(n)} \cdot \mathcal{R}_n\right)$$

- $\triangleright$   $V^{(n)}$ , full n-point functions, i.e. correlation functions
- Need **source** terms  $\mathcal{R}_n$  in  $\mathcal{W}$  that couple to  $V^{(n)}$ 's