



# INTRODUCTION TO HL-LHC & CMS PHASE 2

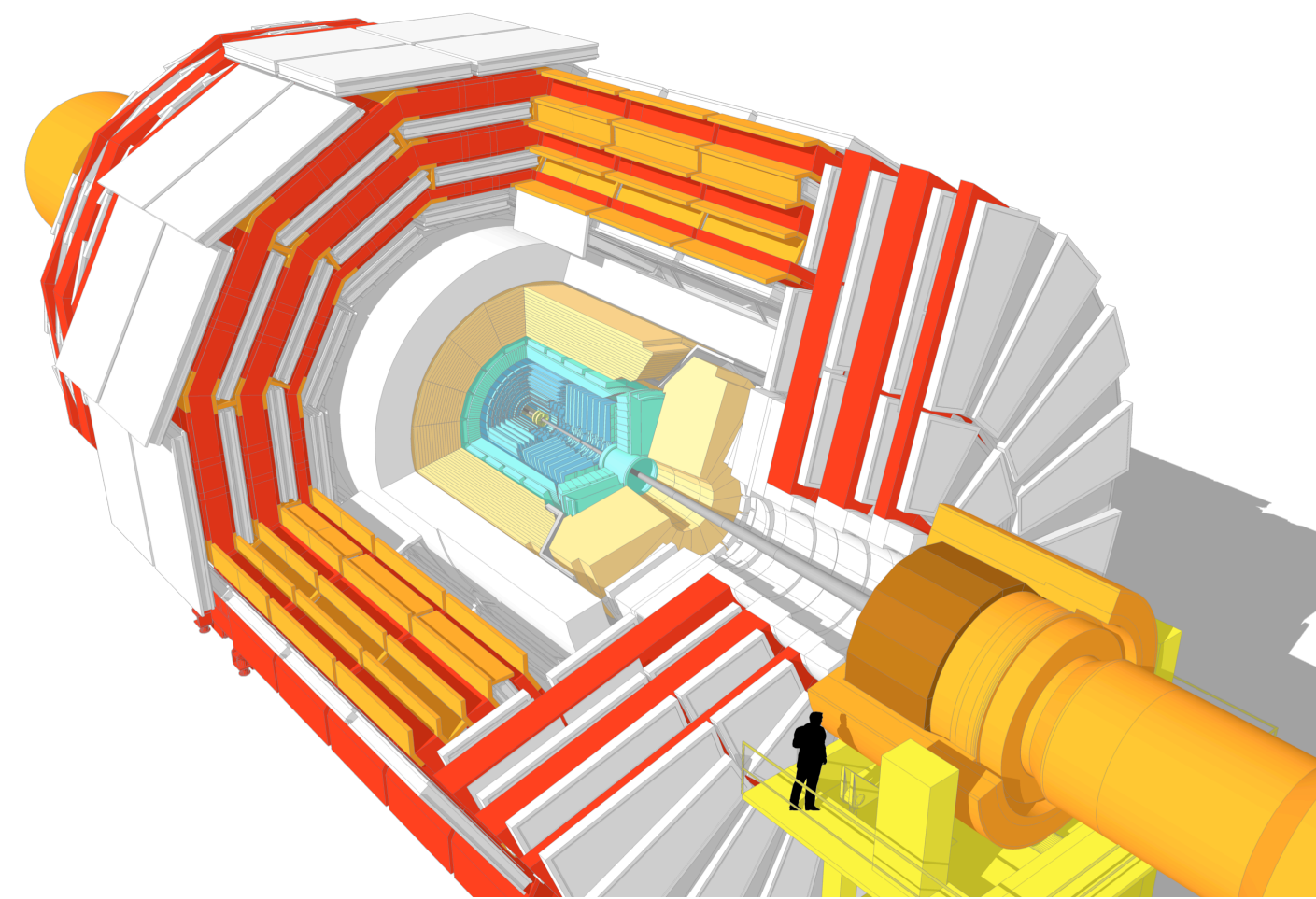
## PPAP 2019

12TH SEPTEMBER 2019

SUDAN PARAMESVARAN (UNIVERSITY OF BRISTOL) ON BEHALF OF CMS UK

(MANY THANKS TO MONICA D'ONOFRIO FOR VERY HELPFUL SUGGESTIONS ON HL-LHC PHYSICS)

---



Imperial College  
London

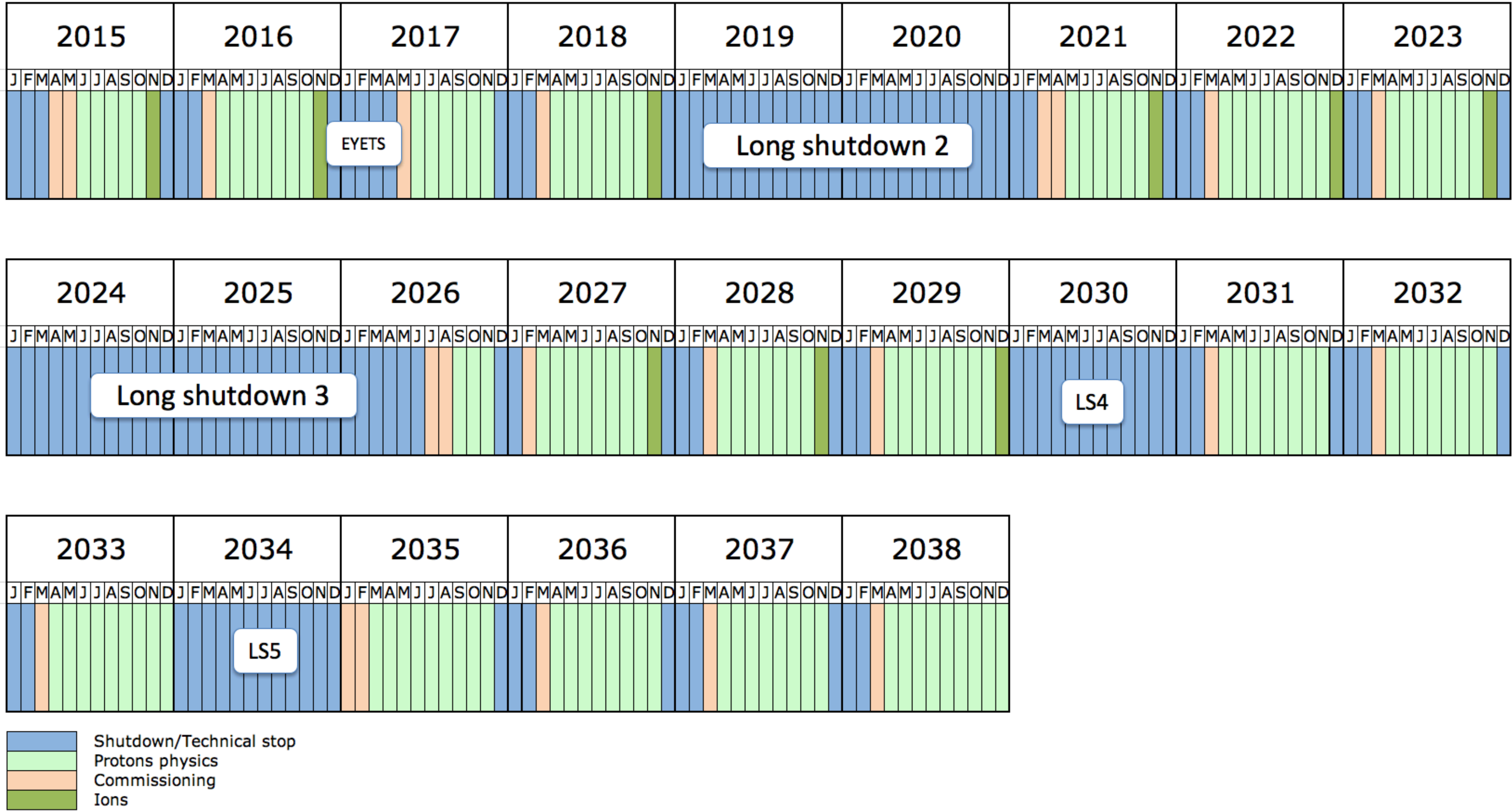


Science & Technology Facilities Council  
Rutherford Appleton Laboratory

- ▶ HL-LHC
  - ▶ Goals/Timeline
  - ▶ Physics reach
- ▶ CMS HL-LHC (Phase 2) program
  - ▶ Common technology
  - ▶ L1 Trigger
  - ▶ Tracker
  - ▶ ECAL/ HGC

# HL-LHC

---





## Goal of HL-LHC as fixed in 2010

From FP7 HiLumi LHC Design Study application

The main objective of HiLumi LHC Design Study is to determine a hardware configuration and a set of beam parameters that will allow the LHC to reach the following targets:

A peak luminosity of  $L_{\text{peak}} = 5 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$  **with levelling**, allowing:

An integrated luminosity of **250 fb<sup>-1</sup> per year**, enabling the goal of  $L_{\text{int}} = 3000 \text{ fb}^{-1}$  twelve years after the upgrade.

This luminosity is more than ten times the luminosity reach of the first 10 years of the LHC lifetime.

**Ultimate** performance established 2015-2016: with same hardware and same beam parameters: use of **engineering margins**:

$L_{\text{peak ult}} \cong 7.5 \cdot 10^{34} \text{ cm}^{-2}\text{s}^{-1}$  and **Ultimate Integrated**  $L_{\text{int ult}} \sim 4000 \text{ fb}^{-1}$

LHC should not be the limit. would Physics require more...

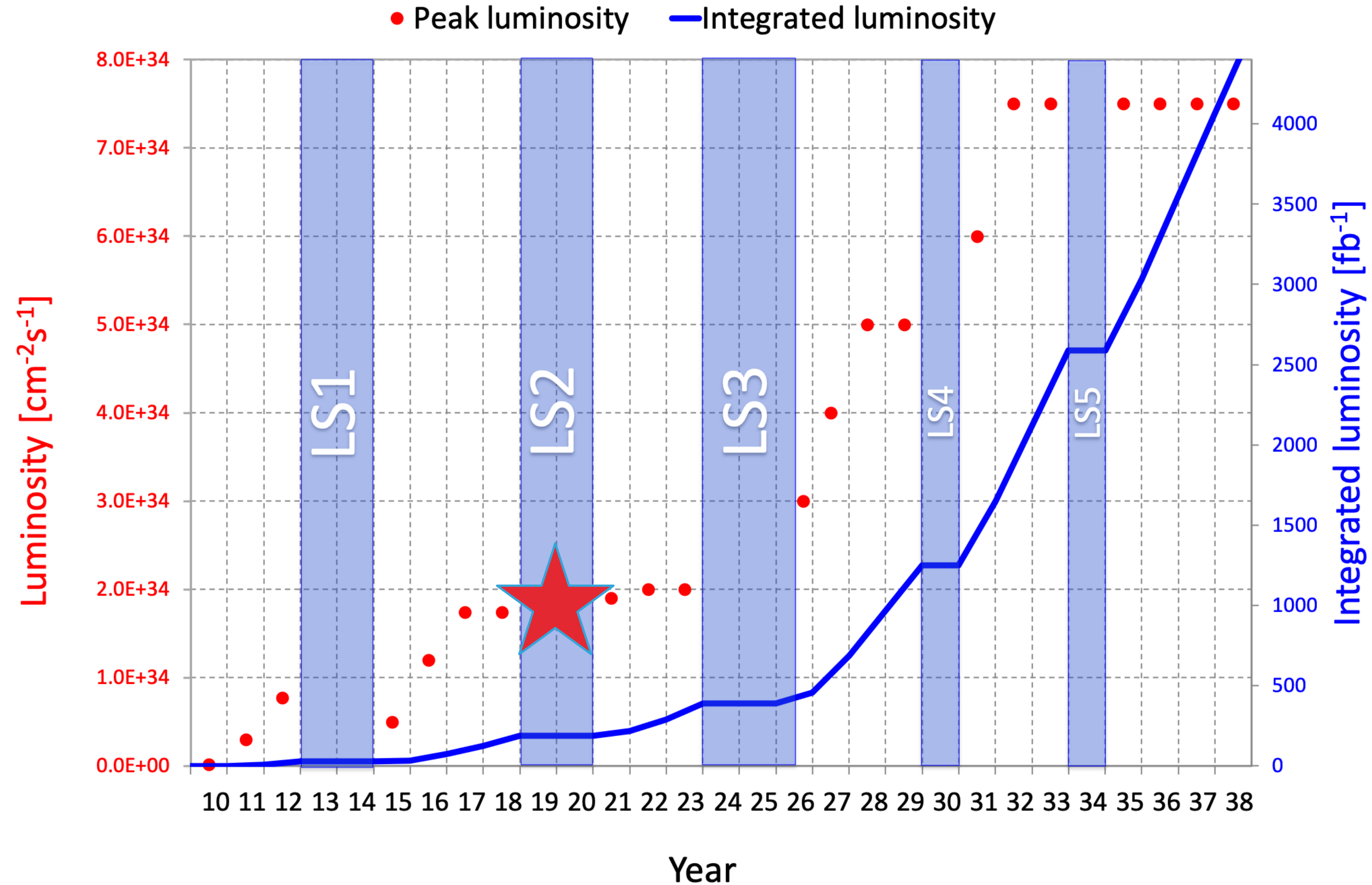
**Project approved by CERN Council in June 2016**



Lucio Rossi - 8th HiLumi Collaboration Meeting 2018

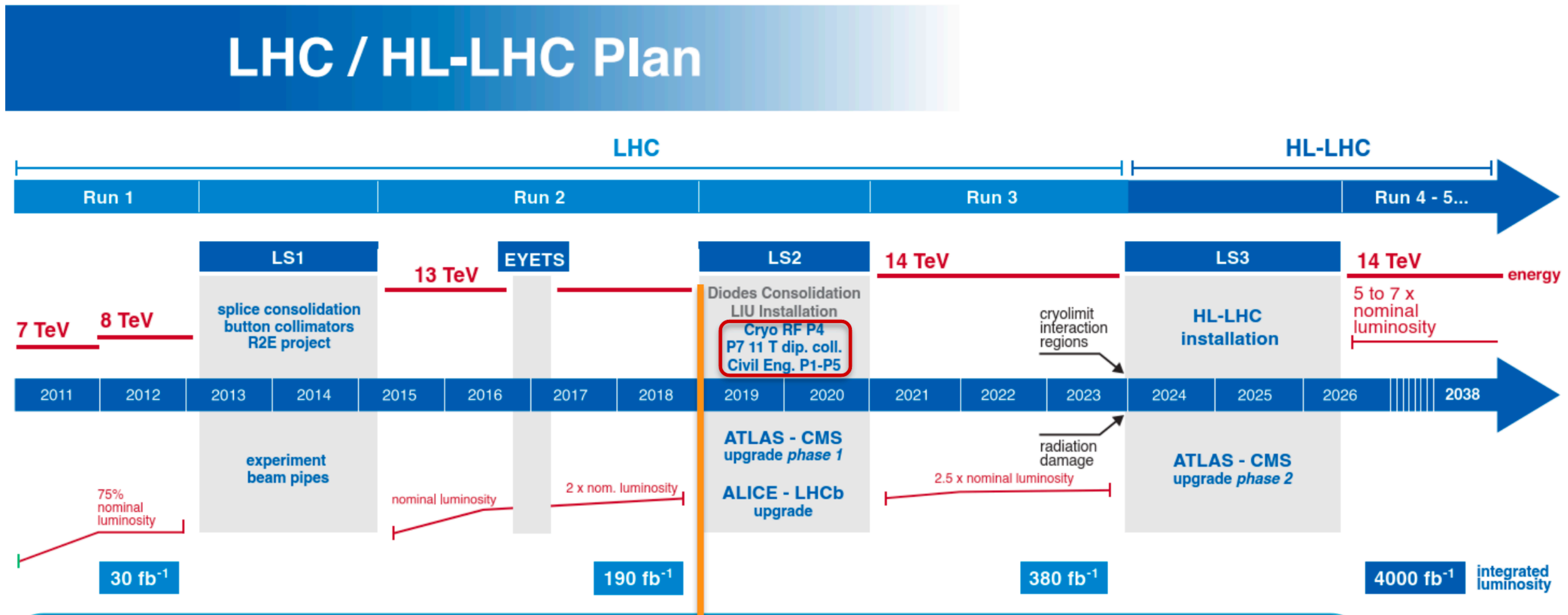
# HL-LHC TIMELINE – ULTIMATE PERFORMANCE

6





Parameters	Nominal LHC (Design report) <sup>1</sup>	LHC 2018 max values	HL-LHC (standard)	HL-LHC 8b+4e <sup>12</sup>	HL-LHC (Ultimate) <sup>1</sup>
Beam energy in collision [TeV]	7	6.5	7	7	7
N <sub>b</sub>	1.15E+11	1.15E+11	2.2E+11	2.2E+11	2.2E+11
n <sub>b</sub>	2808	2556	2760	1972	2760
Number of collisions in IP1 and IP5 <sup>1</sup>	2808	<a href="#">2544</a>	<a href="#">2748</a>	1967	<a href="#">2748</a>
N <sub>tot</sub>	3.2E+14	2.9E+14	6.1E+14	4.3E+14	6.1E+14
beam current [A]	0.58	0.52	1.1	0.79	1.1
x-ing angle [μrad]	285	320 ==> 260	500	470 <sup>10</sup>	500
beam separation [σ] <sup>11</sup>	9.4	10.3 ==> 6.8	10.5	10.5 <sup>10</sup>	10.5
β* [m]	0.55	0.30 ==> 0.25	0.15	0.15	0.15
ε <sub>n</sub> [μm]	3.75	2 ==> 2.5	2.50	2.20	2.50
r.m.s. bunch length [m]	7.55E-02	8.25E-02	7.61E-02	7.61E-02	7.61E-02
Total loss factor R0 without crab-cavity			0.342	0.342	0.342
Total loss factor R1 with crab-cavity <sup>13</sup>			0.716	0.749	0.716
Virtual Luminosity with crab-cavity: L <sub>peak</sub> *R1/R0 [cm <sup>-2</sup> s <sup>-1</sup> ] <sup>13</sup>			1.70E+35	1.44E+35	1.70E+35
Luminosity [cm <sup>-2</sup> s <sup>-1</sup> ] or Leveling luminosity for HL-LHC	1.00E+34	2.00E+34	5.0E+34 <sup>5</sup>	3.82E+34	7.5E+34 <sup>5</sup>
Events / crossing (with leveling and crab-cavities for HL-LHC) <sup>8</sup>	27	55	131	140	197
Peak line density of events [event/mm] (max over stable beams)	0.21	0.38	1.3	1.3	1.9
Leveling time [h] (assuming no emittance growth) <sup>8, 13</sup>	-		7.2	7.2	3.5



HL-LHC established in summer 2010 in view of FP7-Hilumi LHC DS  
Installation of equipment will start in 2024 and HWC in 2026; today Oct. 2018 we are  
**HALF WAY through the project duration!**

# HL-LHC PHYSICS REACH

---



- ▶ Since 2017, there has been a joint LHC experiments + theorists effort to update and document systematically the physics programme for HL-LHC.
  - ▶ <https://twiki.cern.ch/twiki/bin/view/LHCPhysics/HLHELHCWorkshop>
- ▶ This served as input to the update of the European Strategy of Particle Physics
- ▶ Broken down into 5 areas: SM, Higgs, BSM, Flavour, Heavy Ion
- ▶ **UK has been heavily involved in this process from the start and occupied leading roles in four of these five groups**
  - ▶ **Convenor roles in SM, Higgs, BSM, Flavour**
  - ▶ **Also UK role in overall steering group**

- ▶ UK also strongly represented through the actual analyses that form the bulk of the reports.
  - ▶ e.g  $W$  mass,  $\sin^2\theta_{\text{eff}}$ , Higgs combination, trilinear coupling, SUSY searches, resonances, B-physics
- ▶ As such a huge amount of UK input has gone into the summary document that was submitted to the European Strategy

## The physics potential of HL-LHC

UK convenors

### Editors:

Workshop steering group: A. Dainese, M.L. Mangano, A.B. Meyer, A. Nisati, G.P. Salam, M. Vesterinen

WG1 conveners: P. Azzi, S. Farry, P. Nason, A. Tricoli, and D. Zeppenfeld

WG2 conveners: M. Cepeda, S. Gori, P. Ilten, M. Kado, and F. Riva,

WG3 conveners: X. Cid-Vidal, M. D'Onofrio, P. J. Fox, R. Torre, and K. Ulmer

WG4 conveners: A. Cerri, V.V. Gligorov, S. Malvezzi, J. Martin Camalich, and J. Zupan

WG5 conveners: Z. Citron, J. F. Grosse-Oetringhaus, J. M. Jowett, Y.-J. Lee, U. Wiedemann, M. Winn

Contributing authors: see Addendum

### ABSTRACT

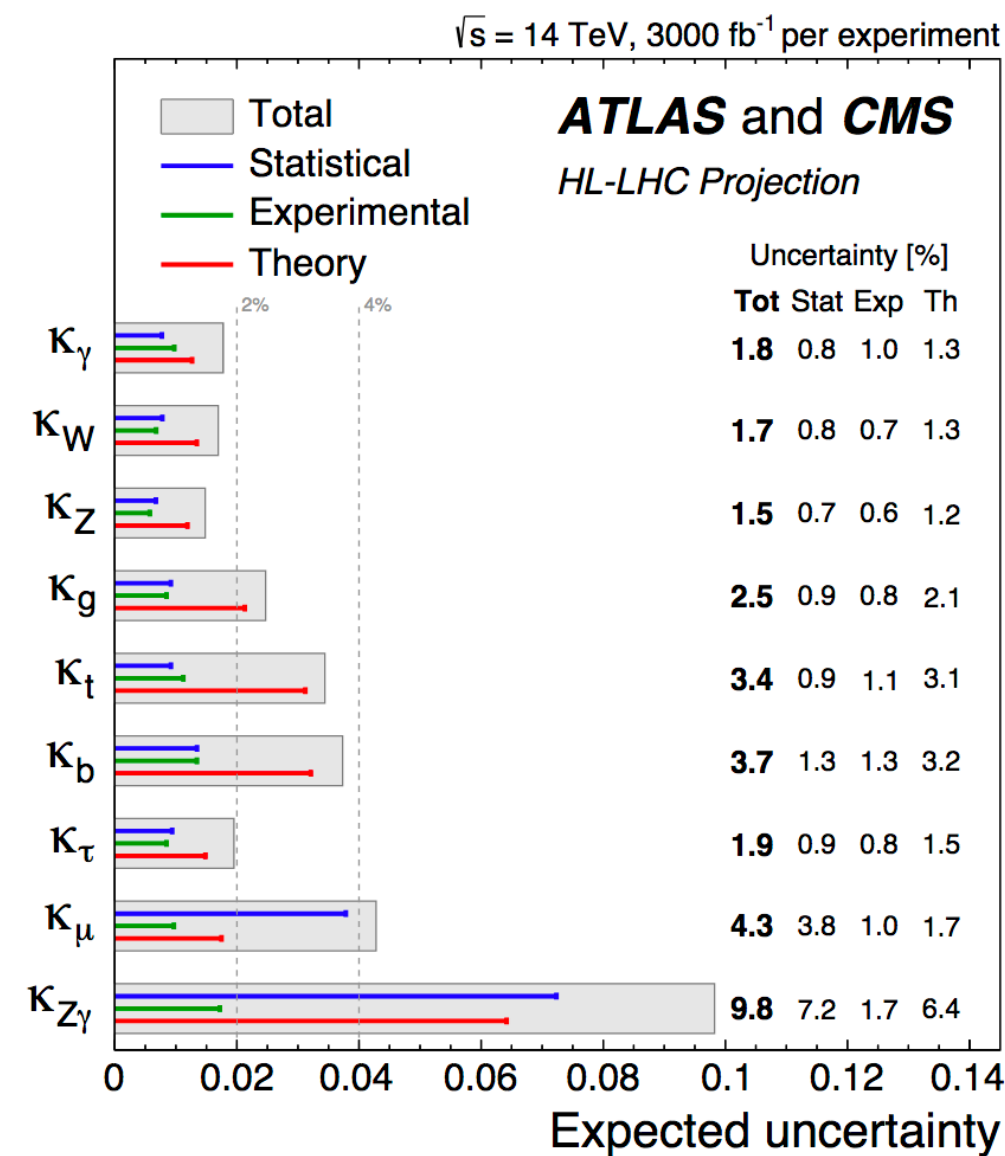
This document presents the executive summary of the findings of the Workshop on "The physics of HL-LHC, and perspectives on HE-LHC", which has run for over a year since its kick-off meeting on 30 October – 1 November 2017. We discuss here the HL-LHC physics programme. As approved today, this covers (a) pp collisions at 14 TeV with an integrated luminosity of  $3 \text{ ab}^{-1}$  each for ATLAS and CMS, and  $50 \text{ fb}^{-1}$  for LHCb, and (b) Pb–Pb and p–Pb collisions with integrated luminosities of  $13 \text{ nb}^{-1}$  and  $50 \text{ nb}^{-1}$ , respectively. In view of possible further upgrades of LHCb and of the ions programme, the WG reports assume  $300 \text{ fb}^{-1}$  of luminosity delivered to an Upgrade II of LHCb,  $1.2 \text{ pb}^{-1}$  of integrated luminosity for p–Pb collisions, and the addition of collisions with other nuclear species. A separate submission covers the HE-LHC results.

The activity has been carried out by five working groups (WGs): "Standard Model" (WG1), "Higgs" (WG2), "Beyond the Standard Model" (WG3), "Flavour" (WG4) and "QCD matter at high density" (WG5). Their reports, extending this executive summary with more results and details, are available on the CERN Document Server [1–5], and will appear on arXiv. The WG results include both phenomenological studies and detailed simulations of the anticipated performance of the LHC detectors under HL-LHC conditions. These latter studies implement the knowledge acquired during the preparation of the technical design reports for the upgraded detectors, and reflect the experience gained by the experiments during the first two runs of the LHC. The documents describing in full detail the HL-LHC studies performed by the experiments can be found in Ref. [6] (available in early 2019) and in Ref. [7].

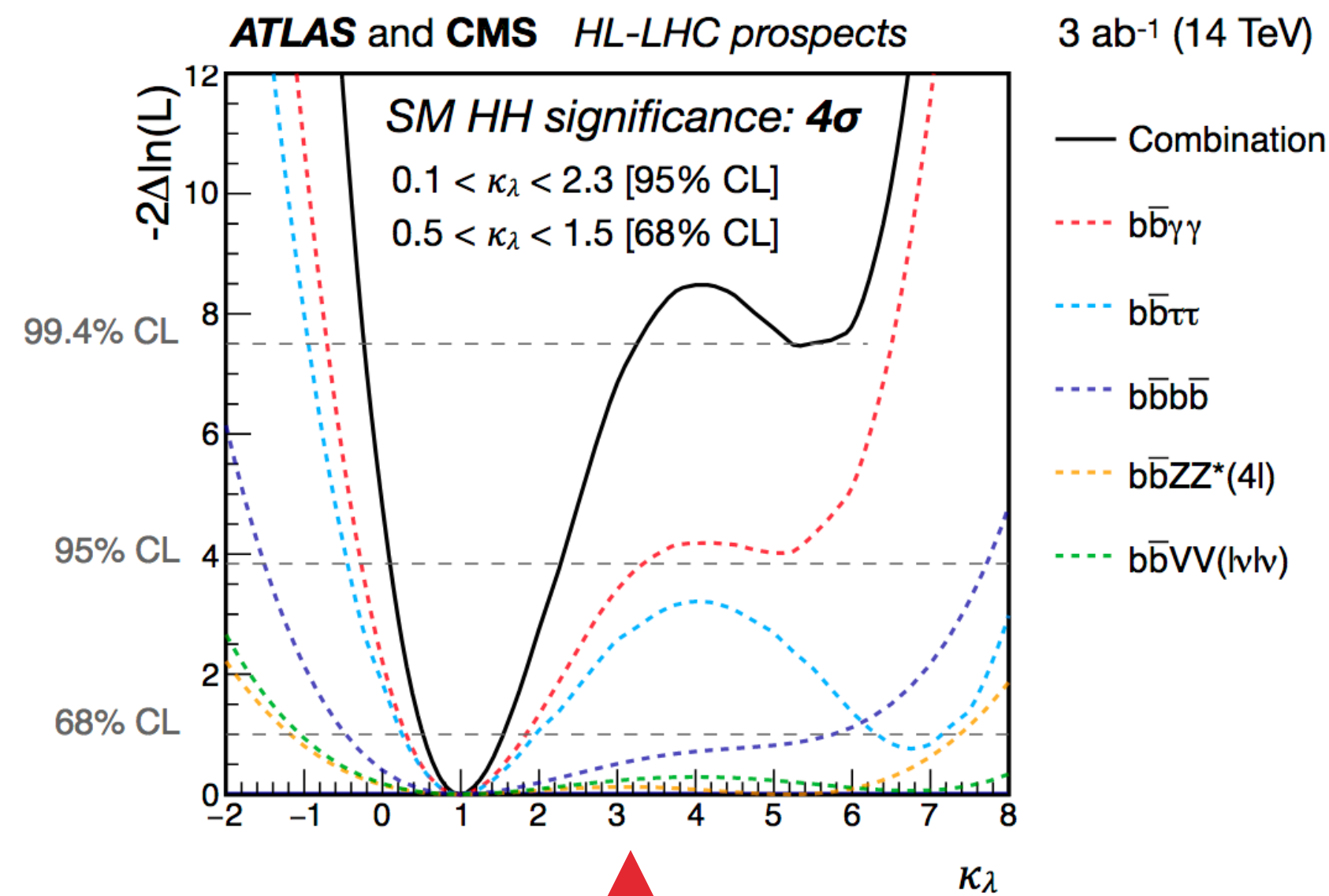
Three goals have been set for the Workshop: (i) to update and extend the projections for the precision and reach of the HL-LHC measurements, and for their interpretation; (ii) to highlight new opportunities for discovery of phenomena beyond the Standard Model (BSM), in view of the latest theoretical developments and of recent data; (iii) to explore possible new directions and/or extensions of the approved HL-LHC programme, particularly in the area of flavour, in the search for elusive BSM phenomena, and in the study of QCD matter at high density. In addition to enriching and consolidating the physics plans for HL-LHC, and highlighting the significant advances that the full HL-LHC programme will bring relative to today's landscape, this contribution to the European Strategy for Particle Physics Update process is intended to help put in perspective the physics potential of future projects beyond HL-LHC.



- Higgs SM couplings will be measured to the percent level
- Large statistics will particularly help with complex final states



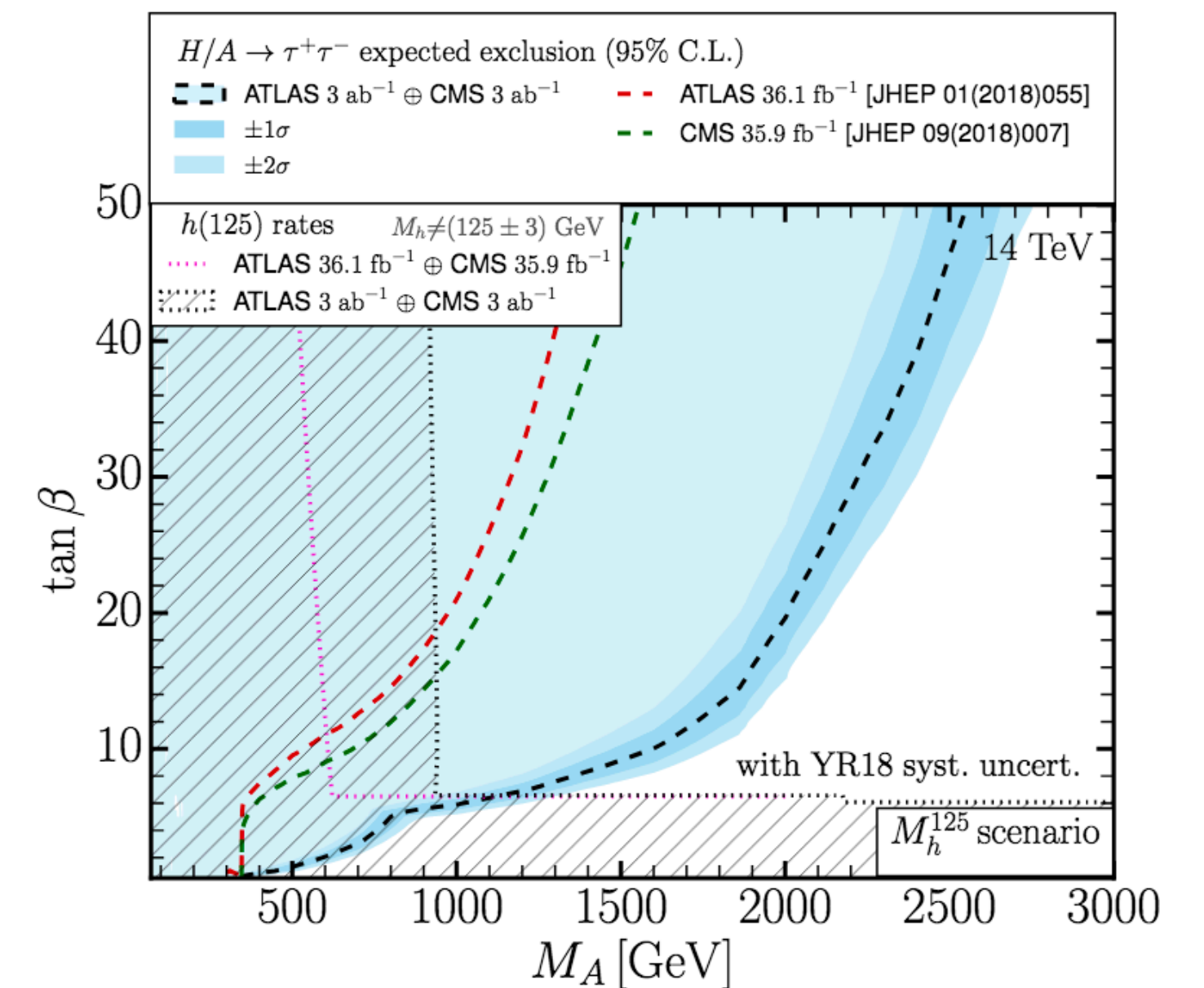
**Figure 1.** Projected uncertainties on  $\kappa_i$ , combining ATLAS and CMS: total (grey box), statistical (blue), experimental (green) and theory (red). From Ref. [2].



- Assuming SM Higgs self-coupling  $\lambda$  - ATLAS and CMS project sensitivity of  $3\sigma$  each,  $4\sigma$  combined,.

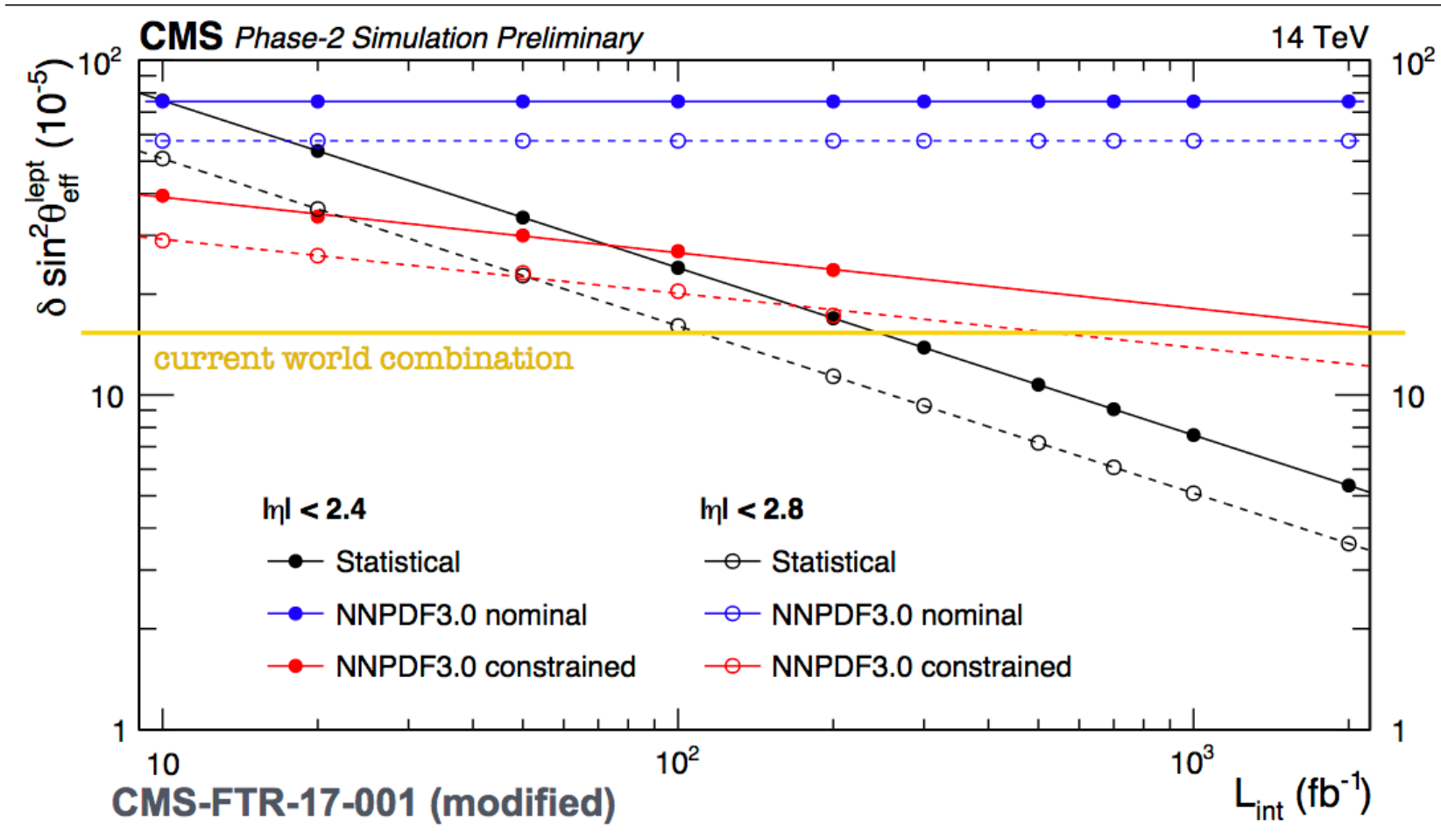
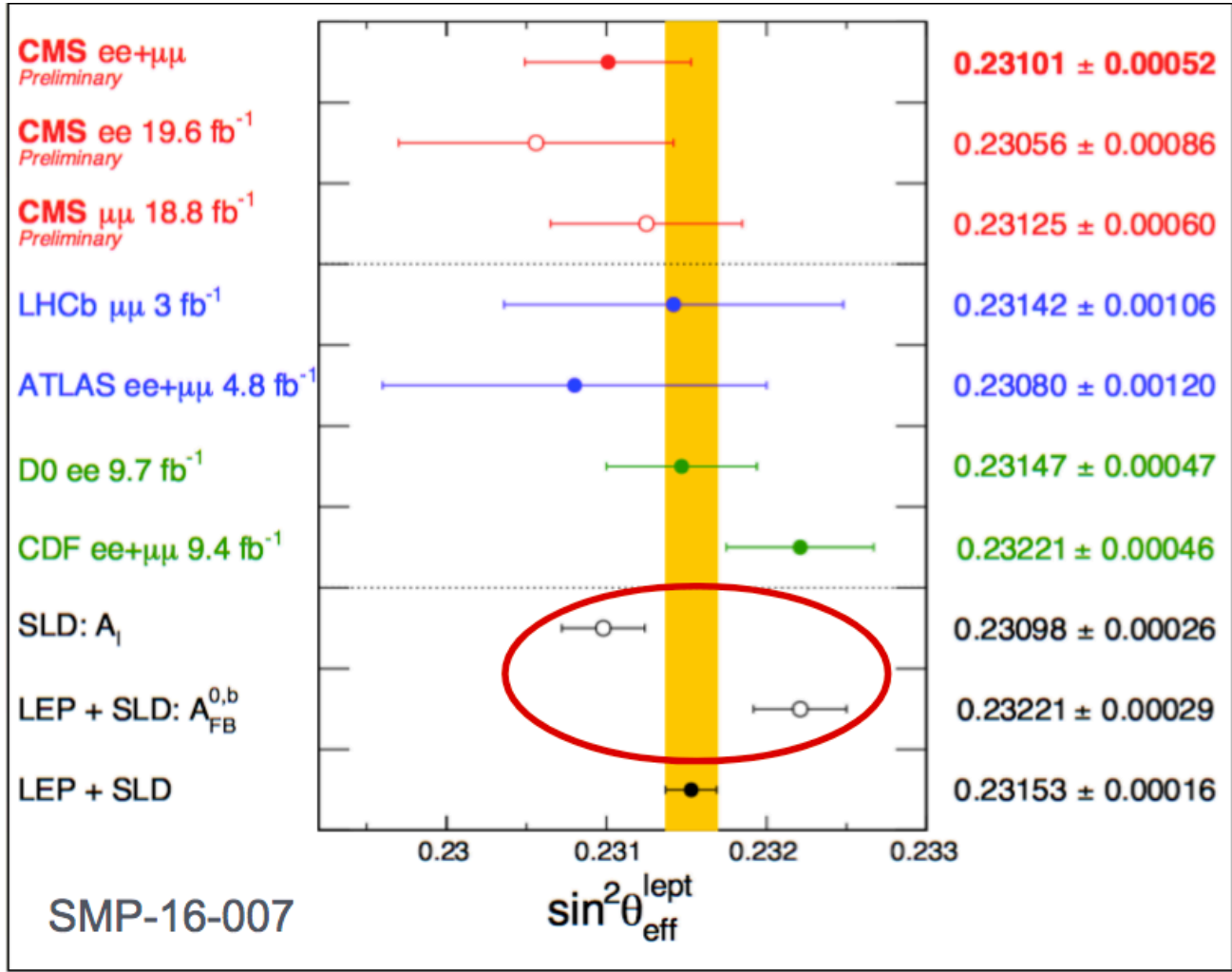


- Large statistics will improve sensitivity to new Higgs bosons.
- One example decaying to  $\tau\tau$  will have reach up to 2.5 TeV for  $\tan\beta > 50$ .

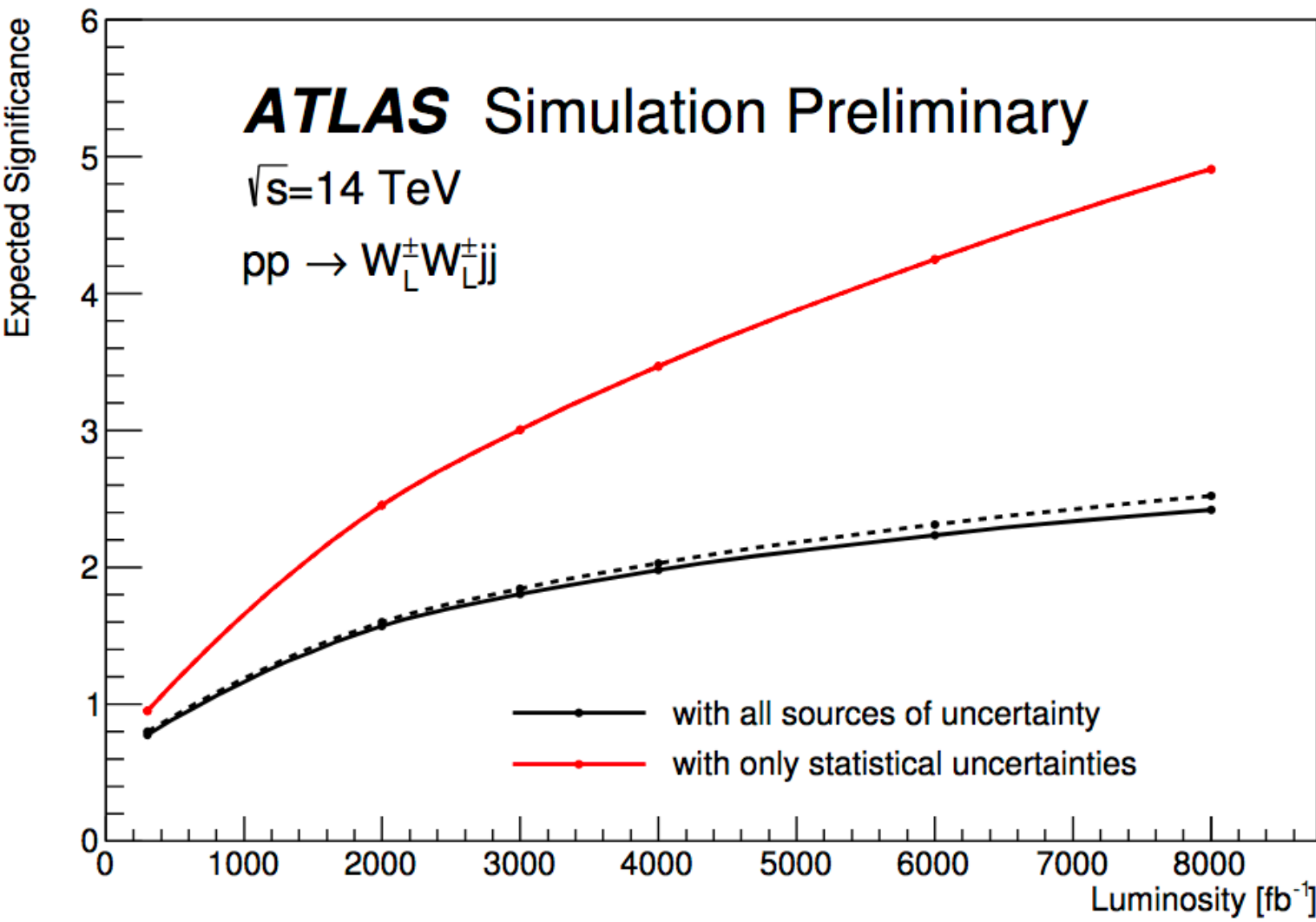


- Obtaining the most precise measurement of the weak mixing angle:  $\sin^2\theta_{\text{eff}}$ 
  - Most precise measurements to date from LEP and SLD but differ by 3 s.d.

• **With ATLAS, CMS and LHCb should be able to achieve better than  $5 \cdot 10^{-5}$**

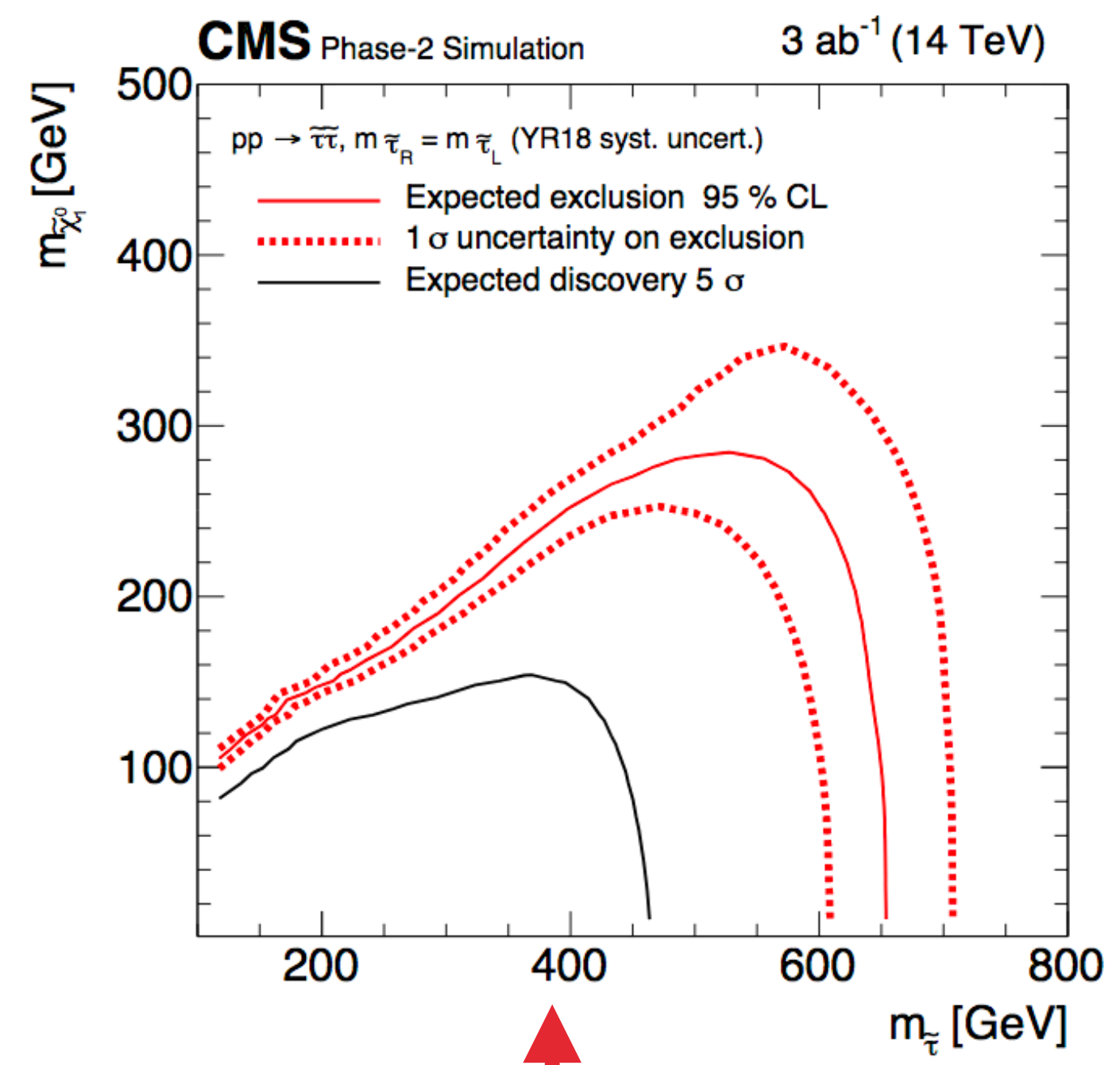
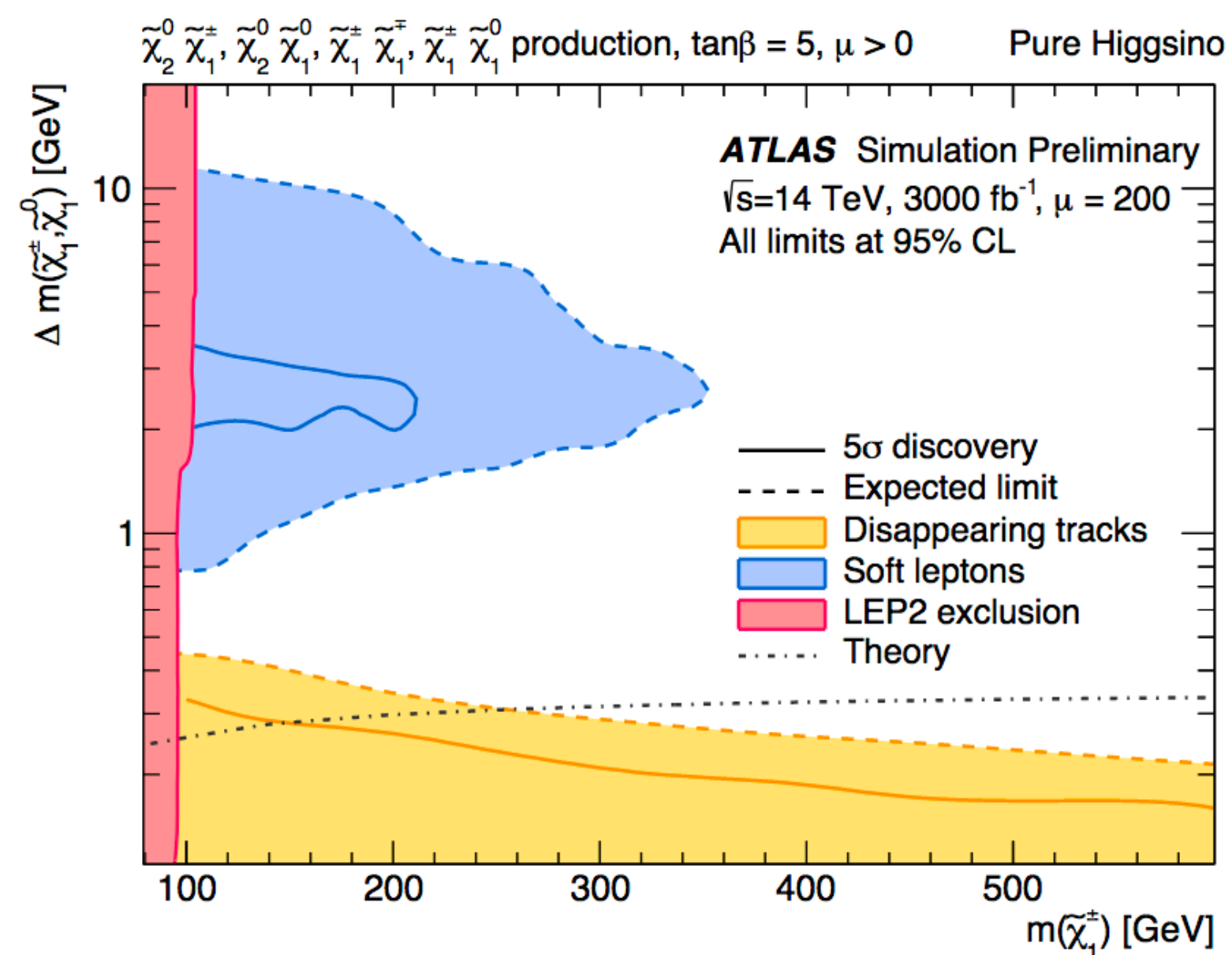


- Huge luminosities will give rise to EW multiple boson production not yet accessible at the LHC.
- Sensitivity to new physics i.e anomalous EW couplings
- Extraction of longitudinal polarisation components to same-sign WW scattering should give  $3\sigma$  evidence (CMS+ATLAS)



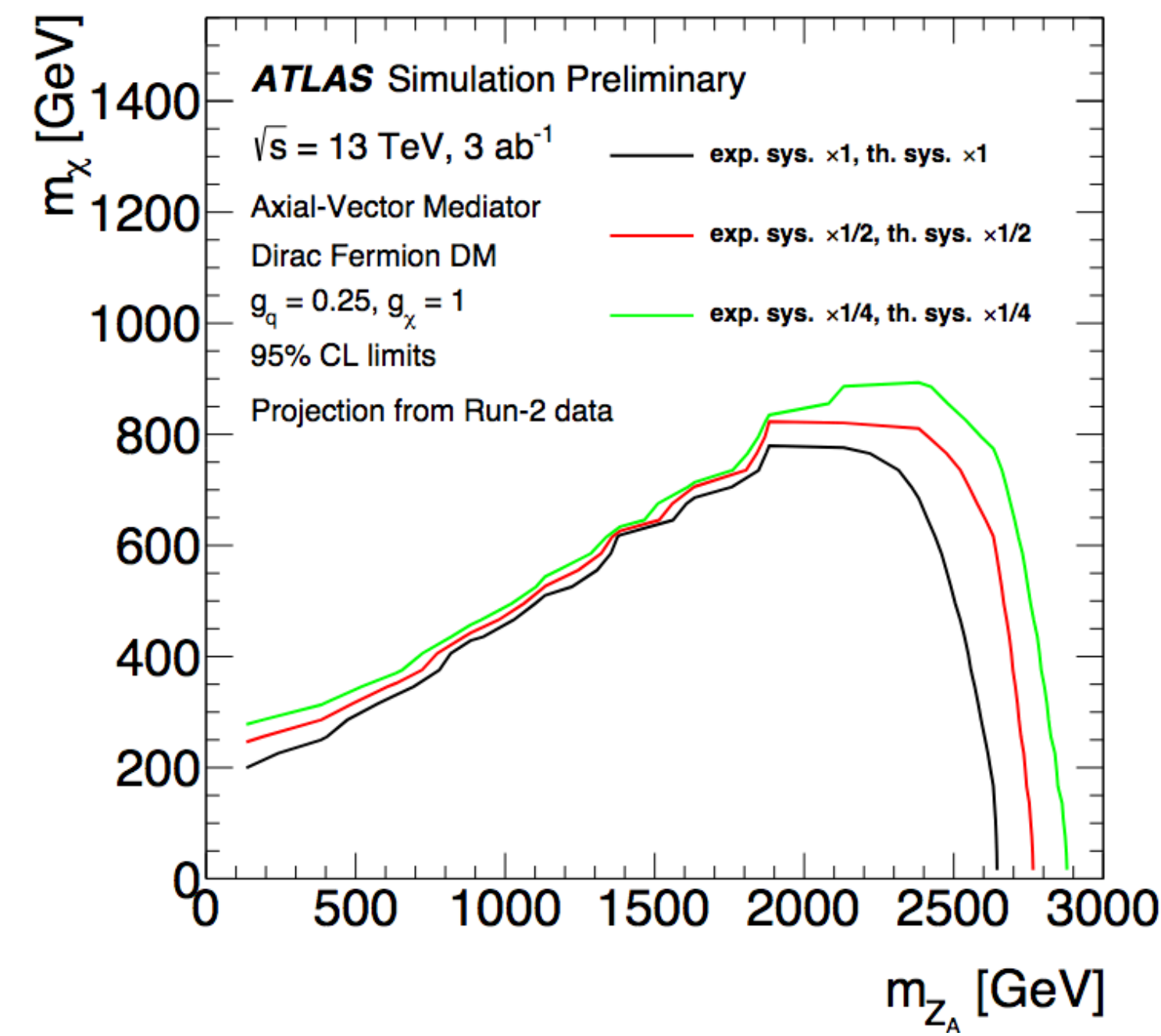


- Huge increase in sensitivity to SUSY signatures.
- E,g. Compressed SUSY models where difference in mass between new particles is small have barely been covered so far.
- With HL-LHC will significantly extend our reach



- Currently challenging scenario = di-stau production
- Only excluding ~ 100 GeV currently
- Will be able to reach ~500 GeV for discovery at HL-LHC

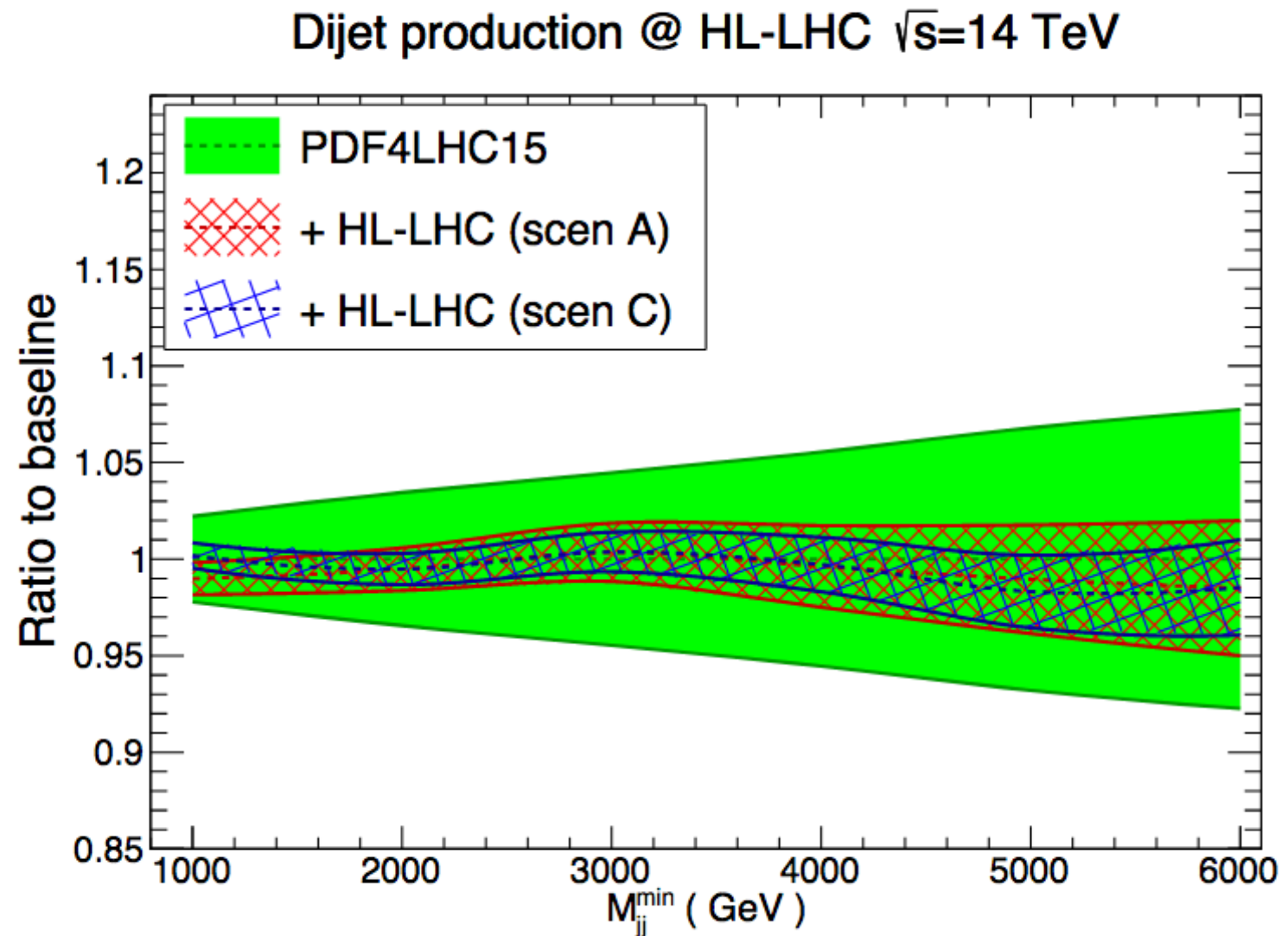
- In searches for Dark Matter will have much improved discovery reach - on the order of a ~ TeV for a key search using the monojet + missing energy signature



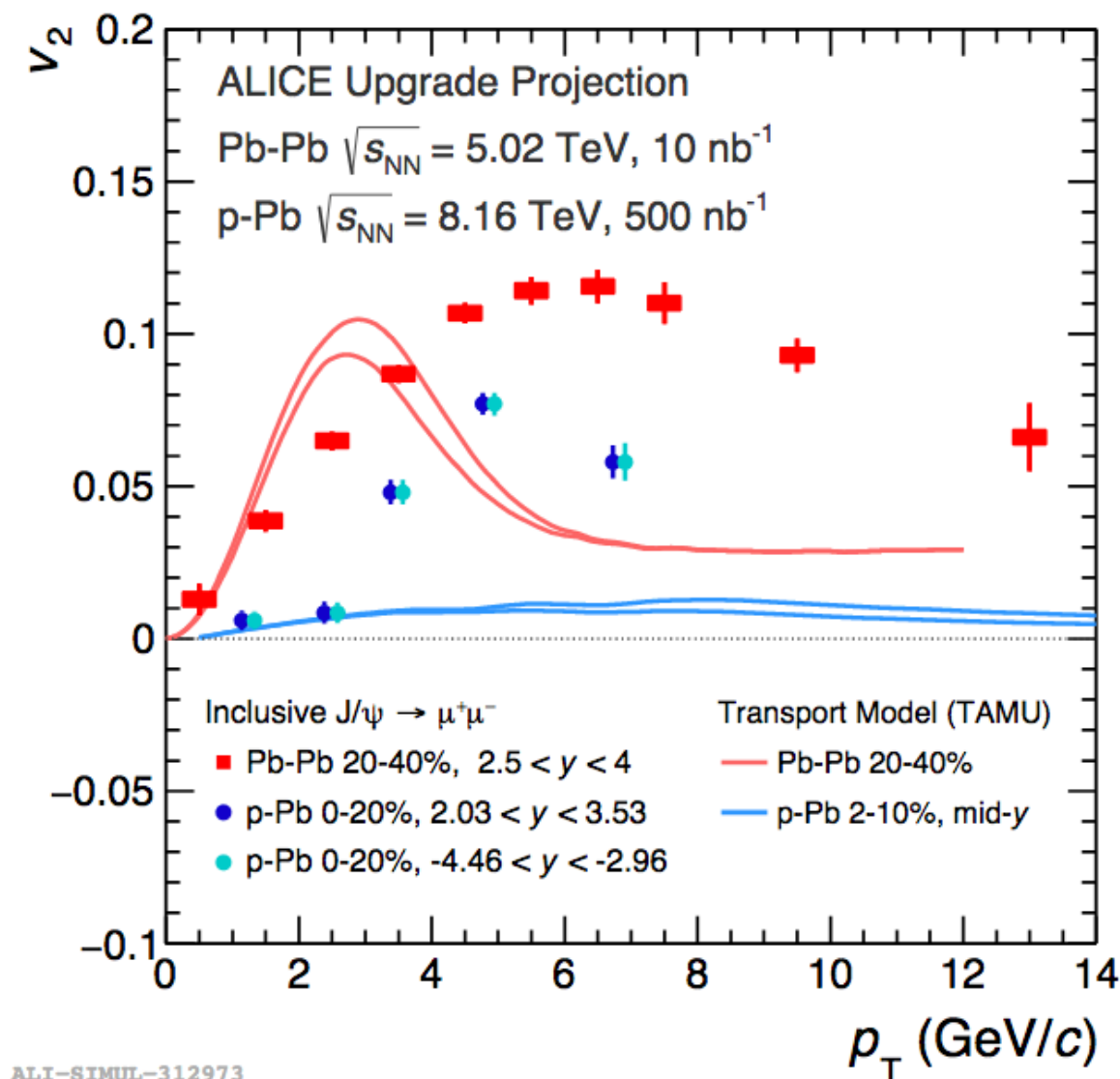
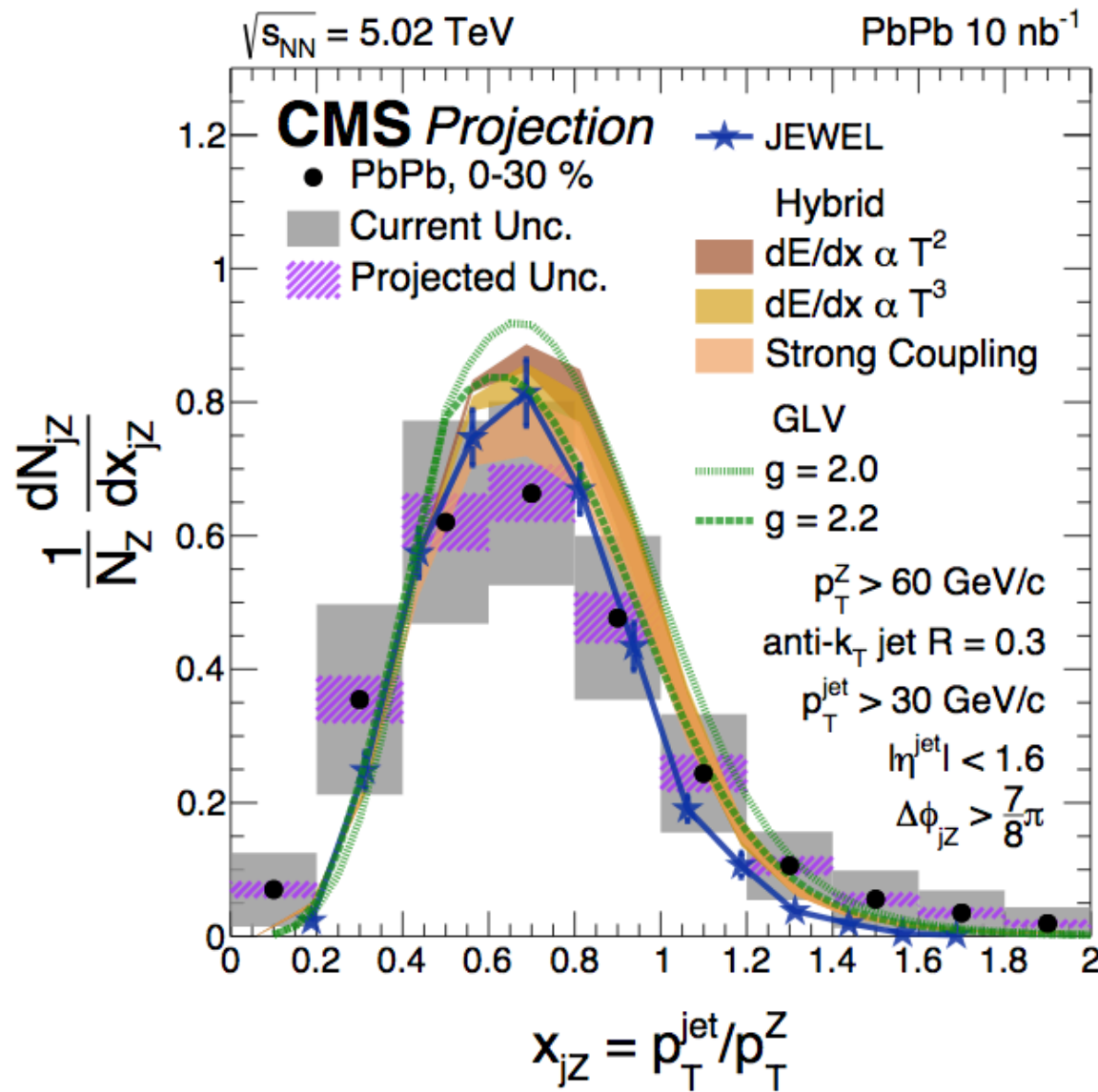
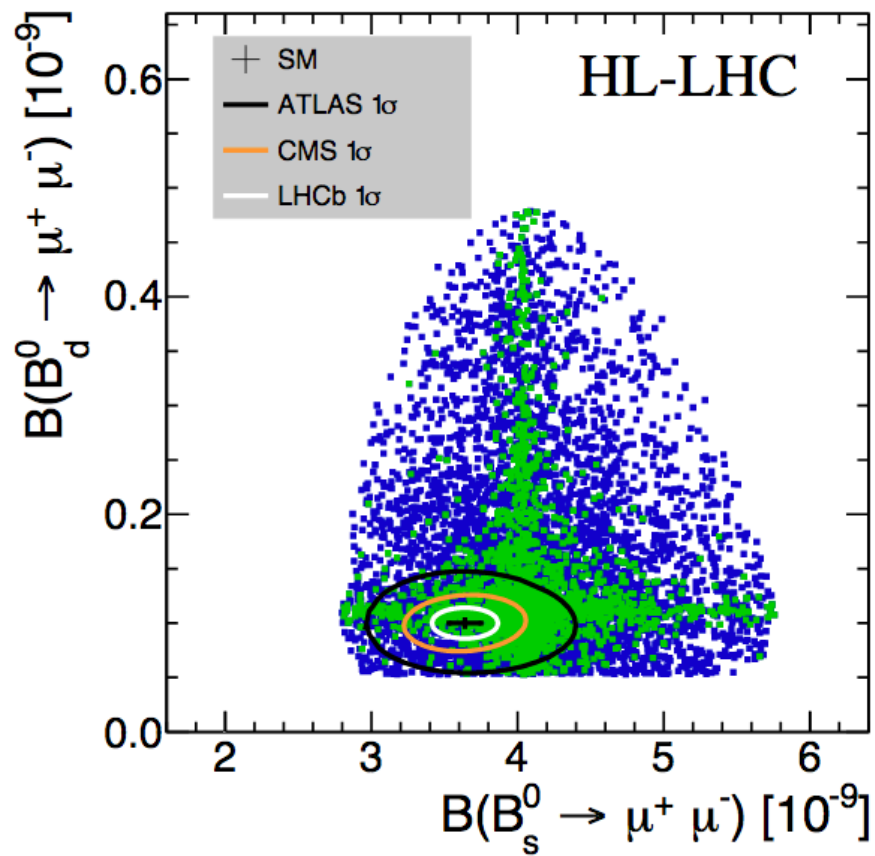
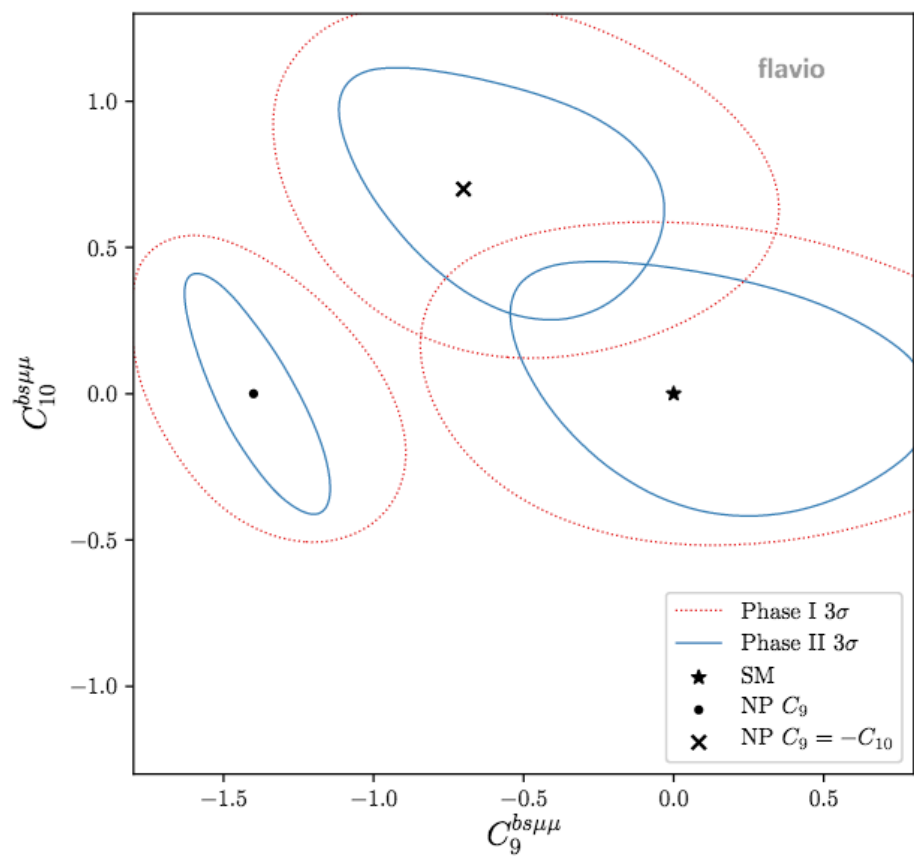


# MANY OTHER IMPORTANT PHYSICS GOALS!!

- Reducing errors significantly on PDFs - will feed in to improved theoretical predictions to help SM and BSM physics
- Flavour physics: pushing FCNC measurements (stats limited), extremely sensitive probe to New Physics.
- Heavy Ion physics: microscopic structure of the Quark-Gluon Plasma, with much reduced uncertainties



combined ATLAS, CMS, LHCb



# CMS PHASE 2

---



## CMS HL-LHC Upgrade

Technical proposal CERN-LHCC-2015-010 <https://cds.cern.ch/record/2020886>

Scope Document CERN-LHCC-2015-019 <https://cds.cern.ch/record/2055167/files/LHCC-G-165.pdf>

### L1-Trigger/HLT/DAQ

<https://cds.cern.ch/record/2283192>

<https://cds.cern.ch/record/2283193>

- Tracks in L1-Trigger at 40 MHz
- PFlow-like selection 750 kHz output
- HLT output 7.5 kHz

### Calorimeter Endcap

<https://cds.cern.ch/record/2293646>

- 3D showers and precise timing
- Si, Scint+SiPM in Pb/W-SS

### Tracker <https://cds.cern.ch/record/2272264>

- Si-Strip and Pixels increased granularity
- Design for tracking in L1-Trigger
- Extended coverage to  $\eta \approx 3.8$

### Barrel Calorimeters

<https://cds.cern.ch/record/2283187>

- ECAL crystal granularity readout at 40 MHz with precise timing for e/ $\gamma$  at 30 GeV
- ECAL and HCAL new Back-End boards

### Muon systems

<https://cds.cern.ch/record/2283189>

- DT & CSC new FE/BE readout
- RPC back-end electronics
- New GEM/RPC  $1.6 < \eta < 2.4$
- Extended coverage to  $\eta \approx 3$

### Beam Radiation Instr. and Luminosity, and Common Systems and Infrastructure

<https://cds.cern.ch/record/2020886>

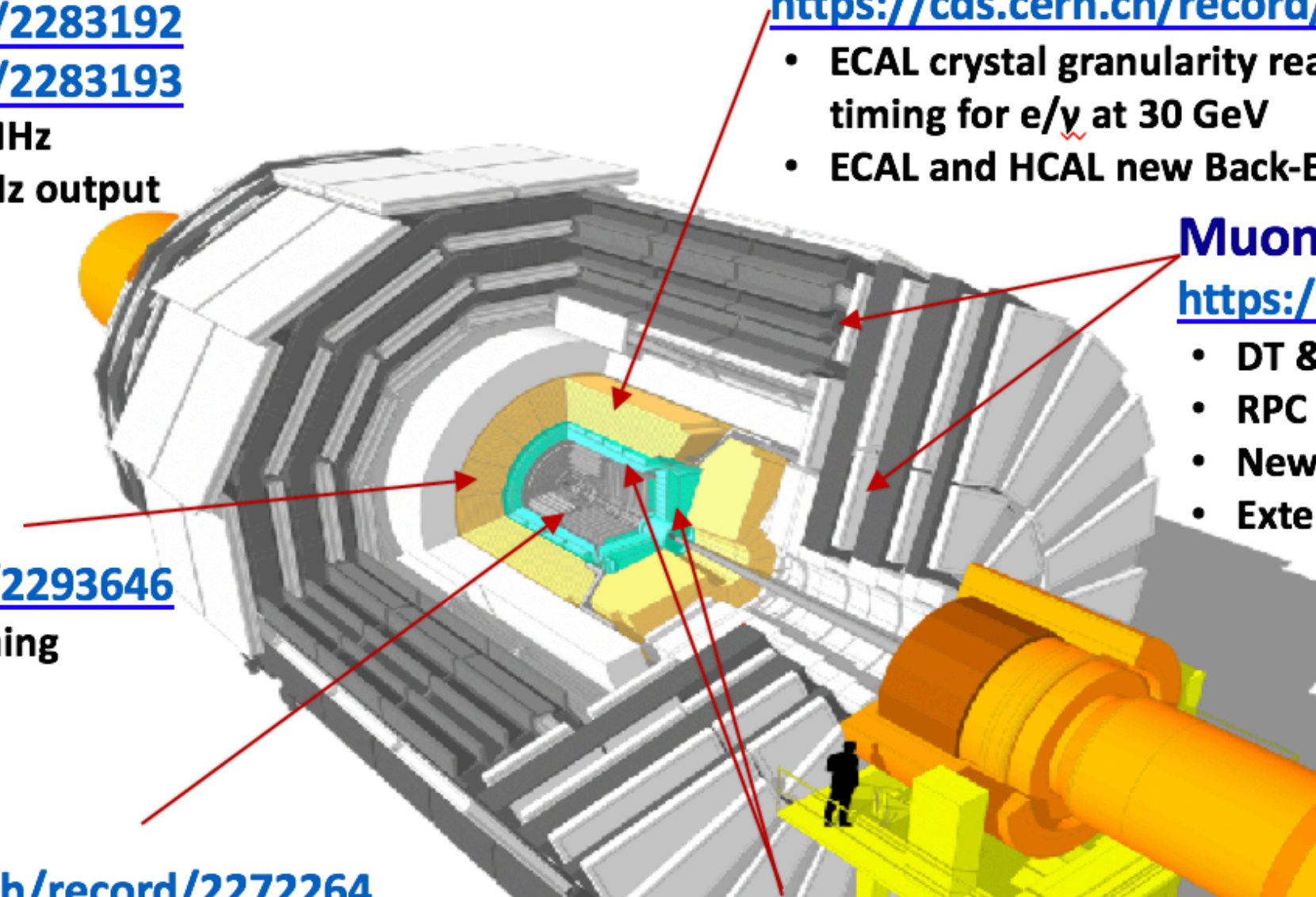
### MIP Timing Detector

<https://cds.cern.ch/record/2296612>

Precision timing with:

- Barrel layer: Crystals + SiPMs
- Endcap layer: Low Gain Avalanche Diodes

**New paradigms (design/technology) for an HEP experiment to fully exploit HL-LHC luminosity**





## CMS HL-LHC Upgrade

Technical proposal CERN-LHCC-2015-010 <https://cds.cern.ch/record/2020886>

Scope Document CERN-LHCC-2015-019 <https://cds.cern.ch/record/2055167/files/LHCC-G-165.pdf>

### L1-Trigger/HLT/DAQ

<https://cds.cern.ch/record/2283192>

<https://cds.cern.ch/record/2283193>

- Tracks in L1-Trigger at 40 MHz
- PFlow-like selection 750 kHz output
- HLT output 7.5 kHz

### Barrel Calorimeters

<https://cds.cern.ch/record/2283187>

- ECAL crystal granularity readout at 40 MHz with precise timing for  $e/\gamma$  at 30 GeV
- ECAL and HCAL new Back-End boards

### Muon systems

<https://cds.cern.ch/record/2283189>

- DT & CSC new FE/BE readout
- RPC back-end electronics
- New GEM/RPC  $1.6 < \eta < 2.4$
- Extended coverage to  $\eta \simeq 3$

### Calorimeter Endcap

<https://cds.cern.ch/record/2293646>

- 3D showers and precise timing
- Si, Scint+SiPM in Pb/W-SS

### Tracker <https://cds.cern.ch/record/2272264>

- Si-Strip and Pixels increased granularity
- Design for tracking in L1-Trigger
- Extended coverage to  $\eta \simeq 3.8$

### Beam Radiation Instr. and Luminosity, and Common Systems and Infrastructure

<https://cds.cern.ch/record/2020886>

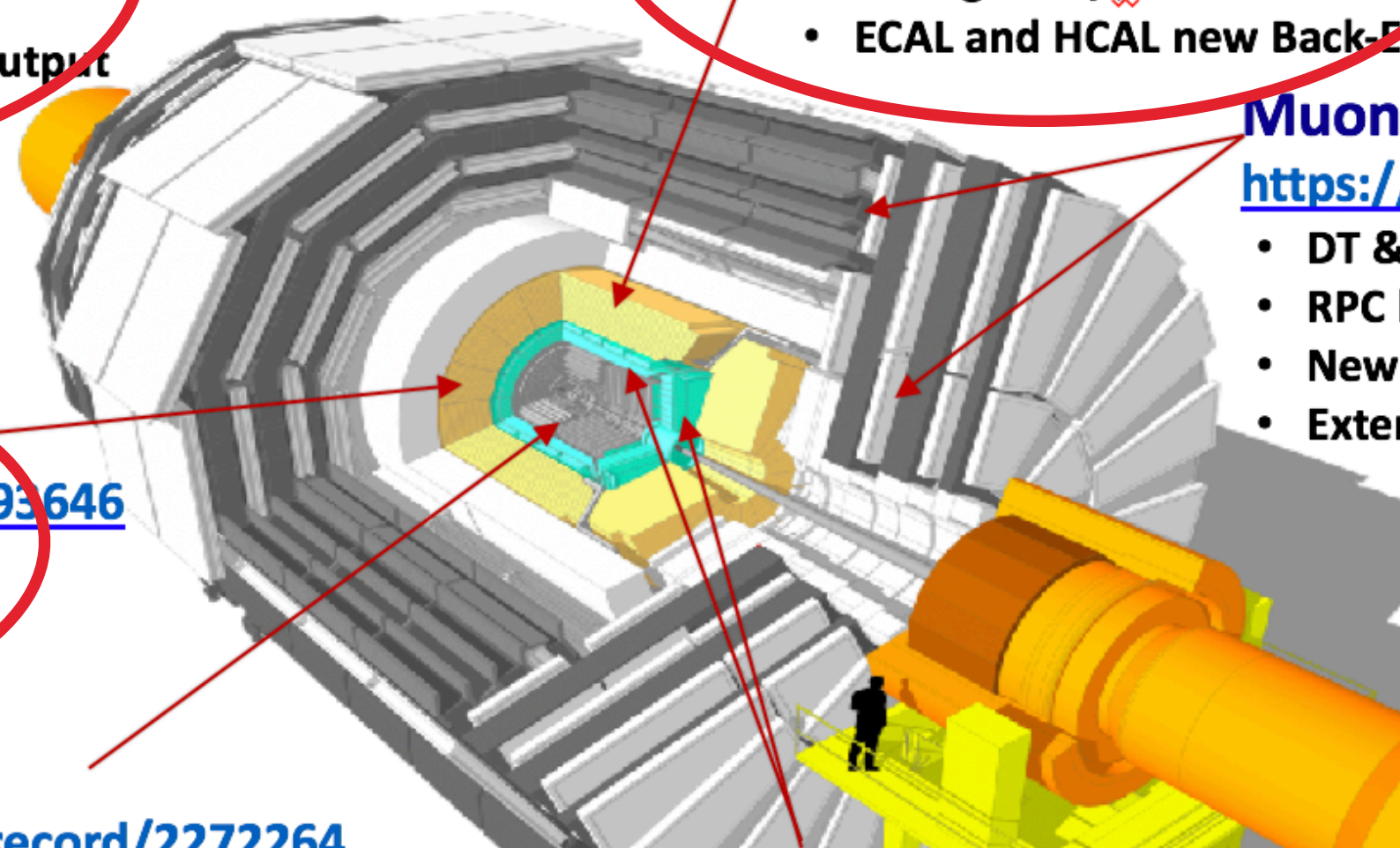
### MIP Timing Detector

<https://cds.cern.ch/record/2296612>

Precision timing with:

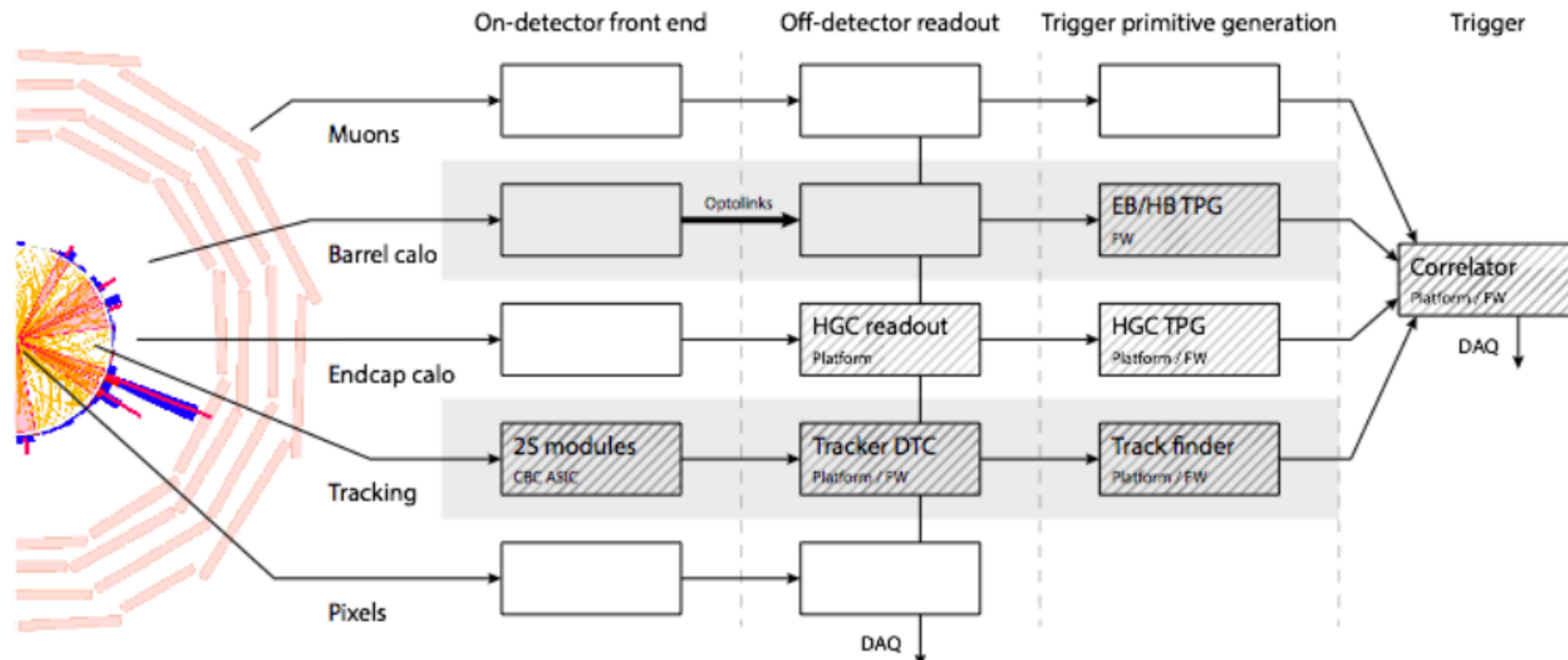
- Barrel layer: Crystals + SiPMs
- Endcap layer: Low Gain Avalanche Diodes

**New paradigms (design/technology) for an HEP experiment to fully exploit HL-LHC luminosity**





- ▶ Strategy to build on success of original and Phase 1 UK-led projects
- ▶ Create common technology work package
- ▶ Maintain intellectual lead in all areas we participate in





## Prototype ATCA card to provide back-end electronic services for CMS

- Flexible, dual FPGA card
- Flexible, pluggable FPGA units

## Small production of 15 cards

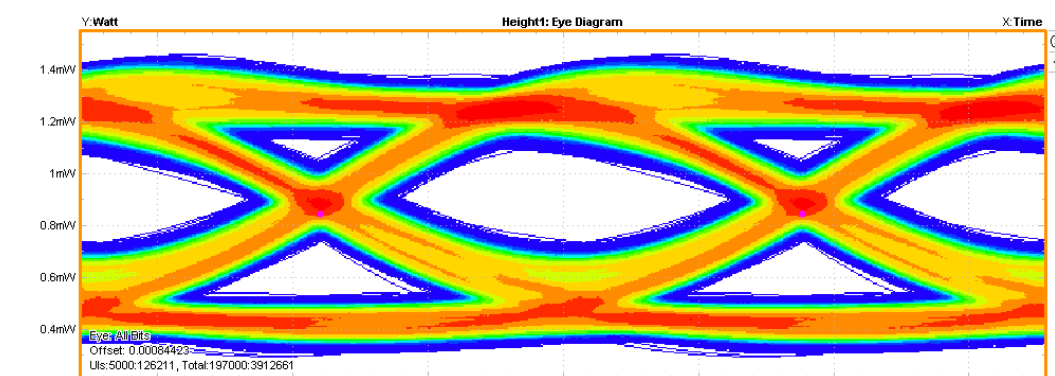
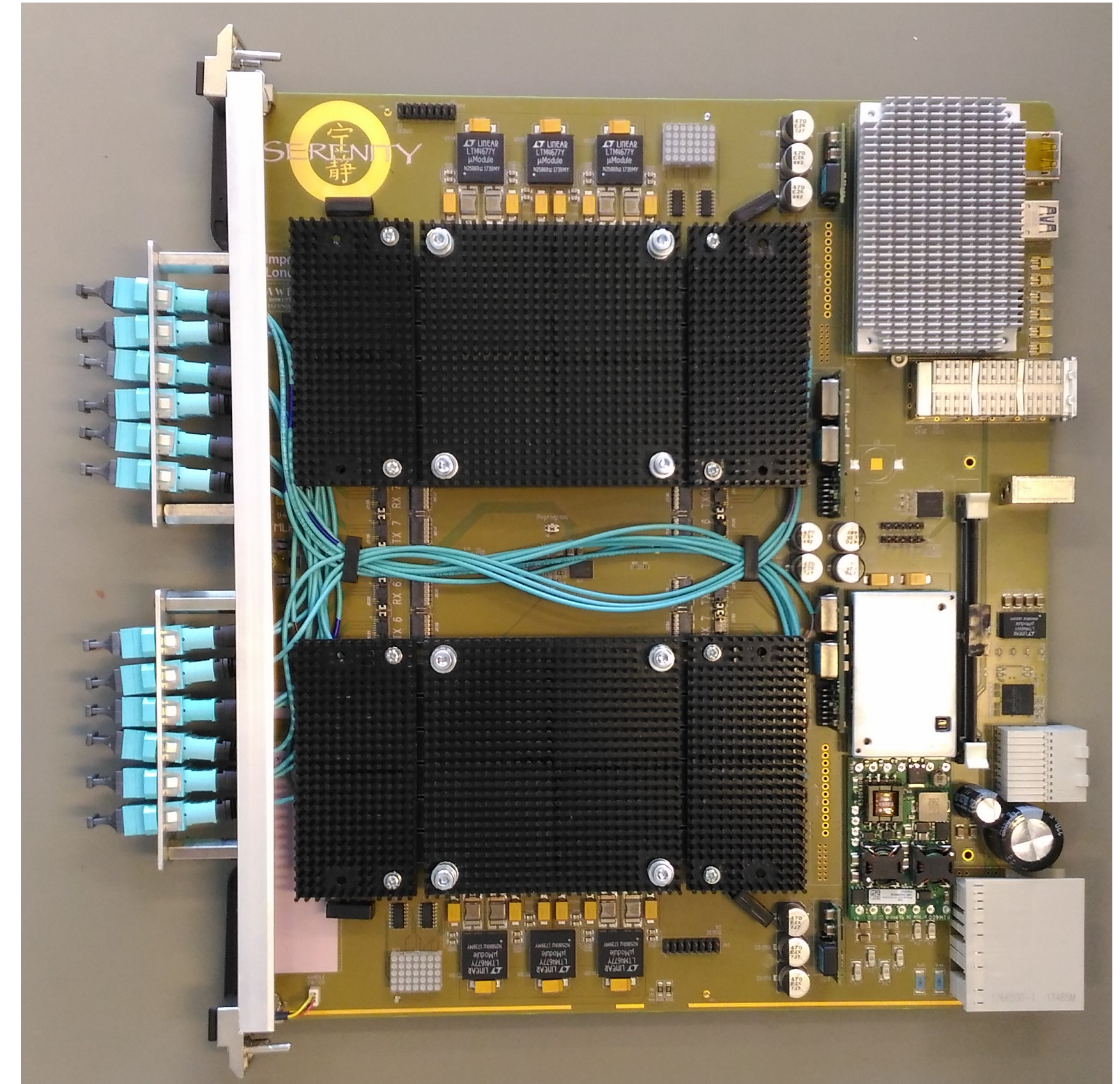
- 9 delivered with 6 more on the way.
- For use in Calorimeter, Trigger & Tracker

## Focus has now shifted

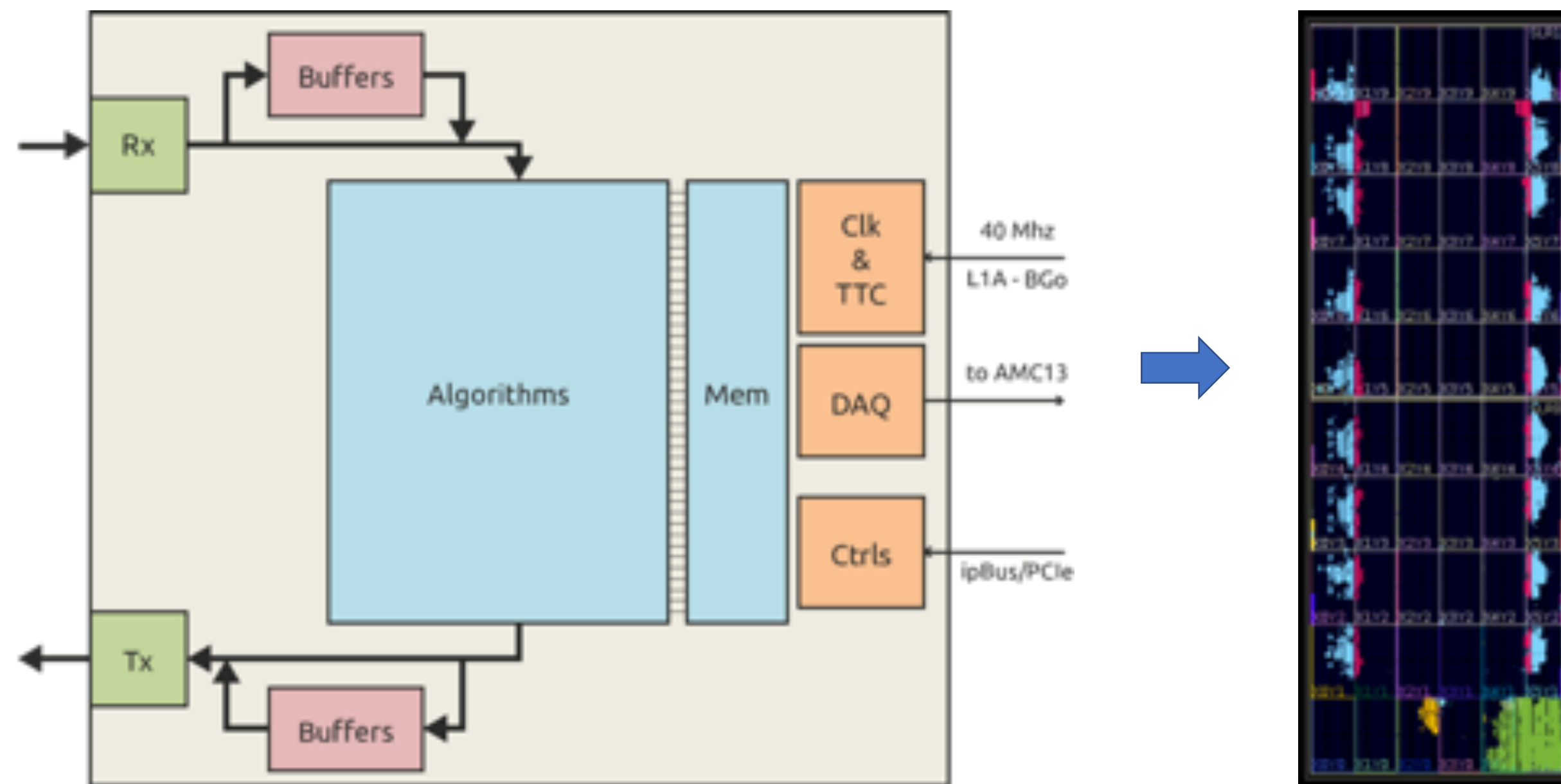
- Completing infrastructure firmware & software
- Integrating application specific payload firmware
- System integration
- Documentation
  - <http://cern.ch/serenity>

**7Tb/s: 288 fibres @ 25Gb/s via 12x MTP24s**

Feeds directly  
into all other  
UK upgrade  
projects







## Challenge now will move to

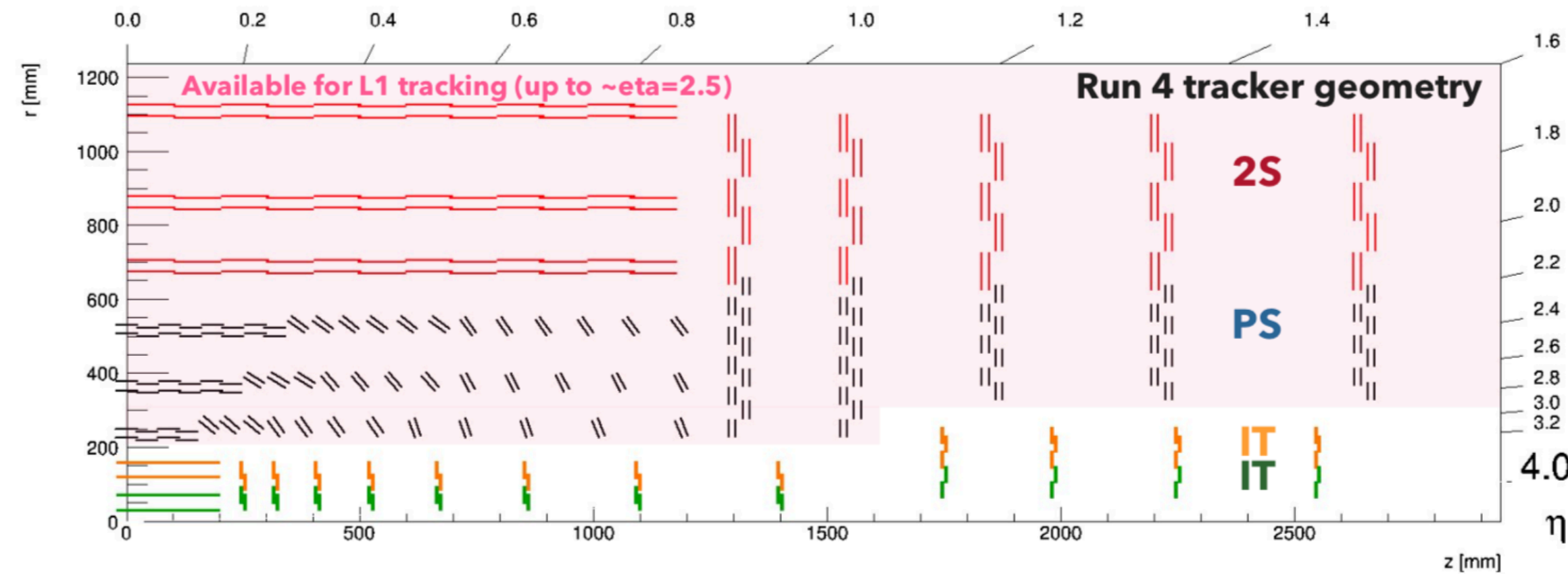
- Delivering system, rather than a few individual cards.
- Procurement of 100s of cards.
  - **Multi-million CHF purchase.**
- **Significant quality assurance task.**
- Looking towards next iteration of the card, particularly production aspects

## Infrastructure firmware & software provides common services

- Communication,
- Fast Control & Feedback (trigger, timing, throttle)
- SerDes Links (aligned, fixed, low latency)
- Providing input & output buffering
- DAQ path

## Commissioning Phase

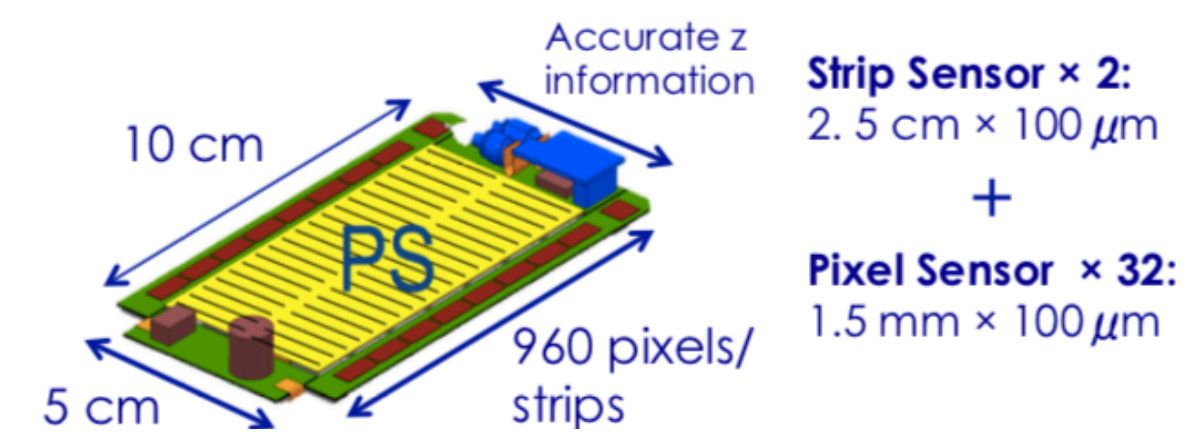
- Still several years away, but planning for this is already starting



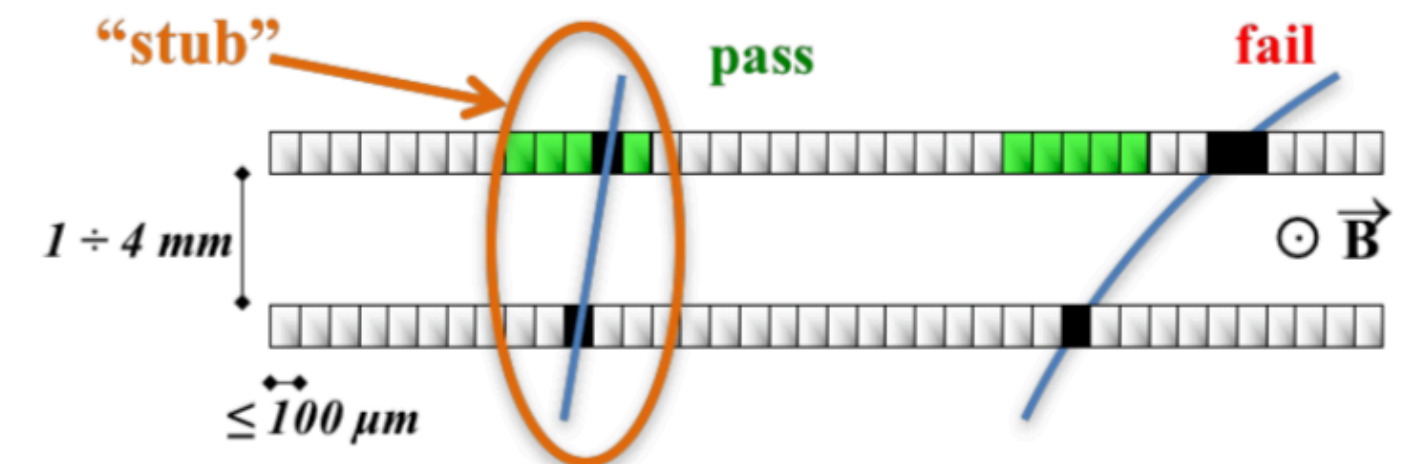
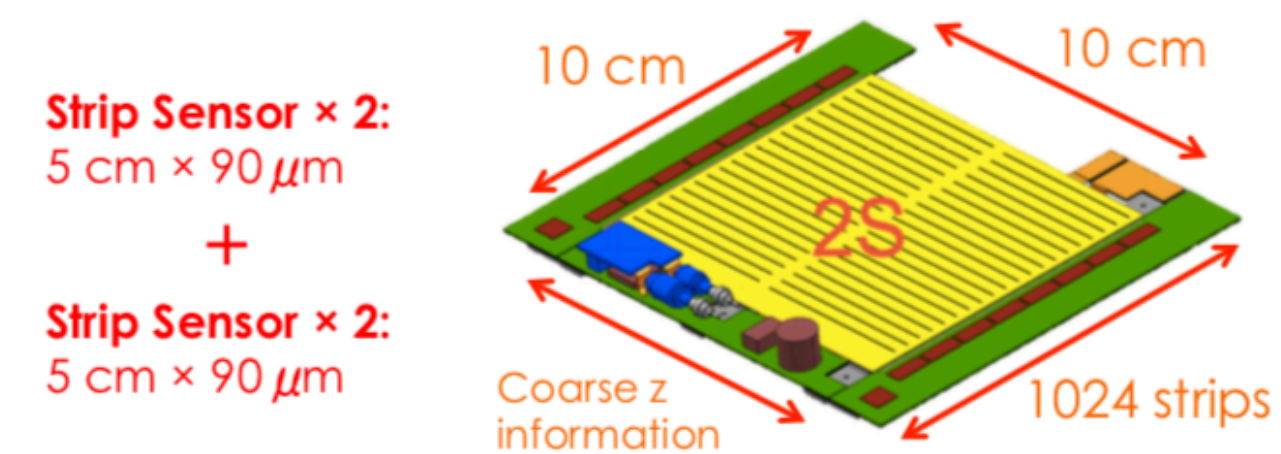
## Two key areas of UK involvement:

- Developed concept of “ $p_T$ ” modules to discriminate high  $p_T$  tracks - including leading design and production of readout ASIC to be used in the outer layer “2S” modules (CBC)
- Developed and led all-FPGA solution to provide tracking information to L1 trigger at 40 MHz

“PS” Pixel + Strip Modules  $20 < r < 60$  cm



“2S” 2 Strip Modules  $r > 60$  cm

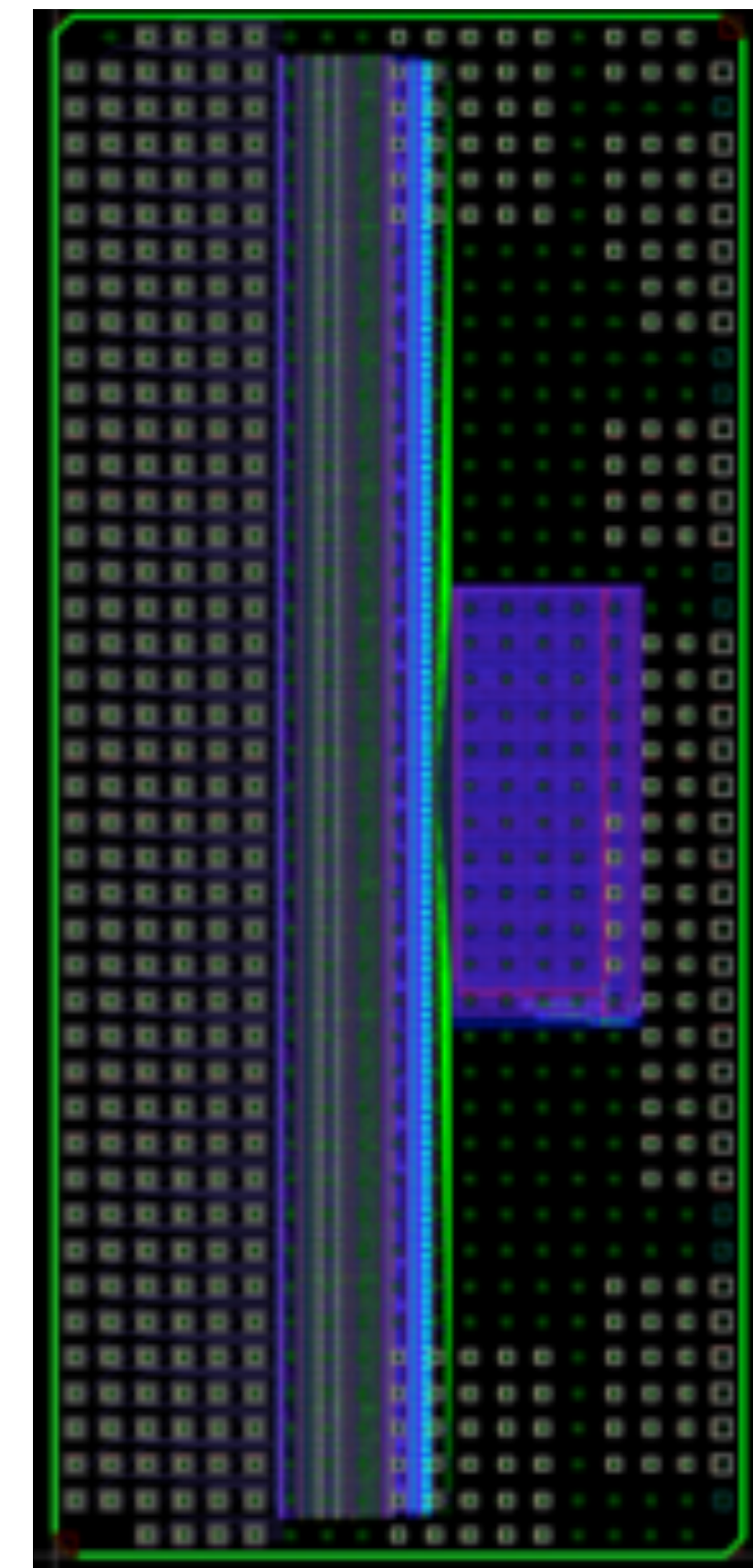
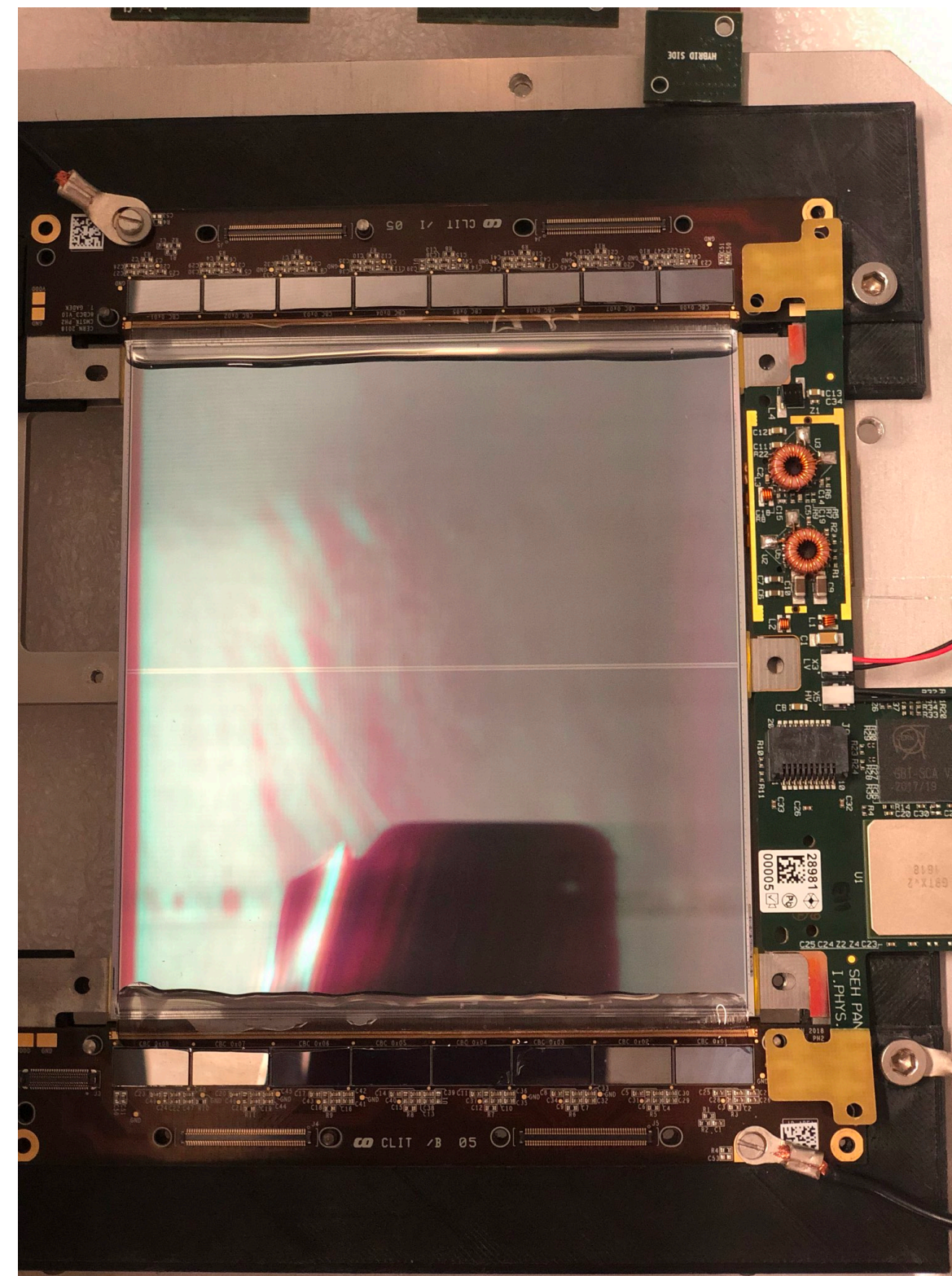




## CMS Binary Chip (CBC)

- Front-End read-out ASIC for “2S” modules. 130nm CMOS.
- 254 Channels @ 320 MHz readout, latency 12.8 us
- CBC development is now complete.
- 24 CBC3.1 wafers have been received to evaluate for production yield, another 24 expected next month.

**These are the final pre-production orders, and then would move on to large scale module assembly**



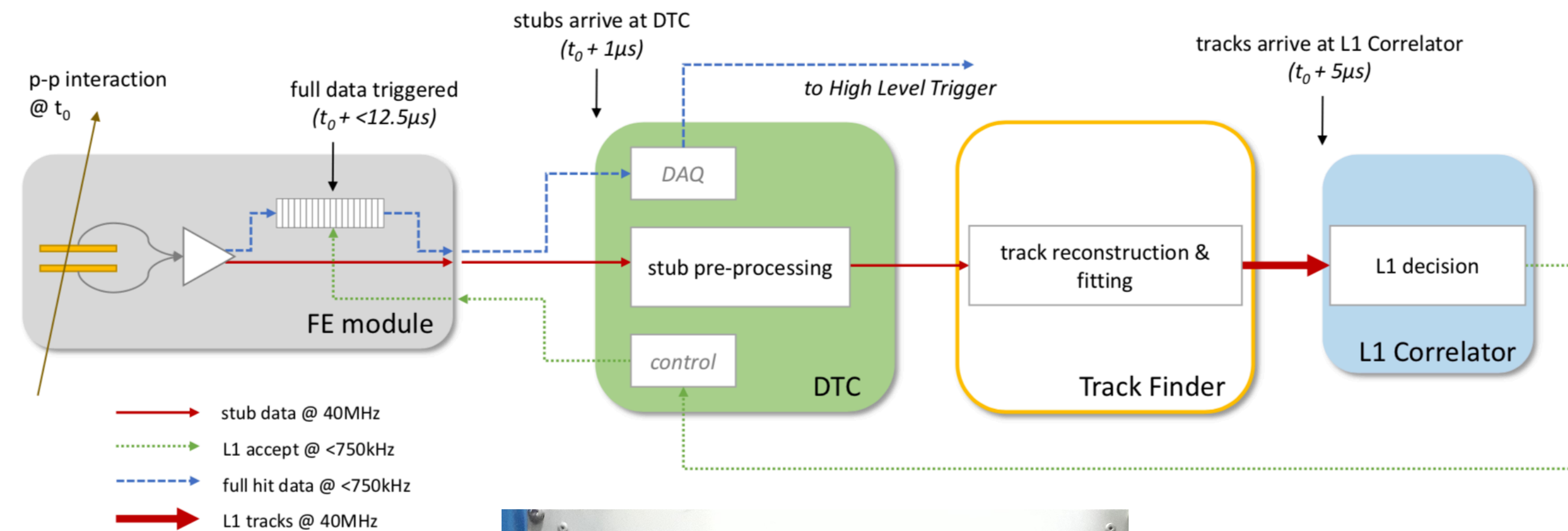


## UK lead:

- Developing concept of L1 Track-Trigger
- Implementing all-FPGA working solution including SW/FW/HW demonstrator
- For overall project UK has responsibility for Data, Trigger & Control card (DTC), and for developing Track-Finding algorithms

## Current status:

- Preliminary firmware for stub processing in DTC completed and running at 360 MHz
- TMTT L1 Tracking algorithm run on Serenity at 320 MHz
- Hybrid algo with US contribution working in software, being translated to firmware via HLS
- Integration tests at CERN scheduled to start this month

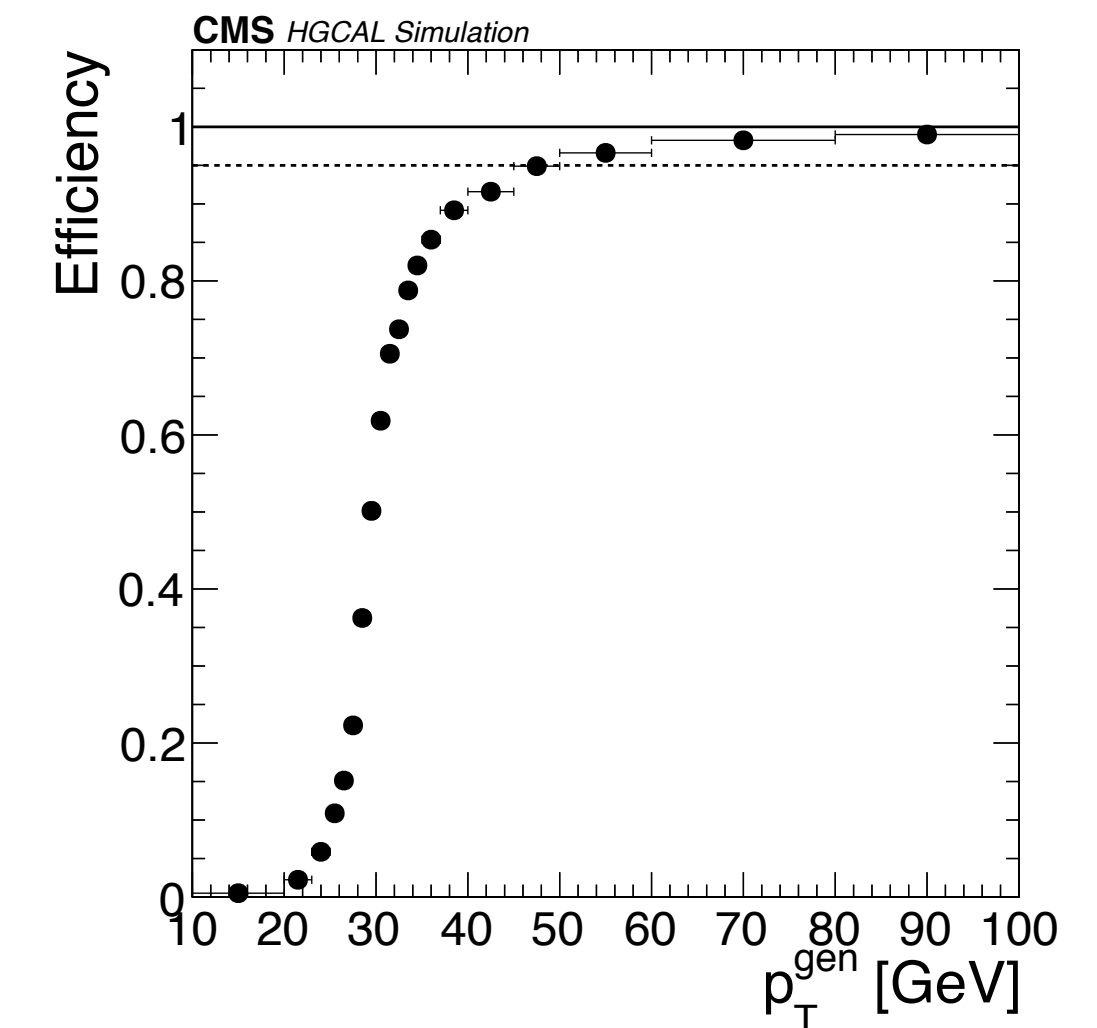
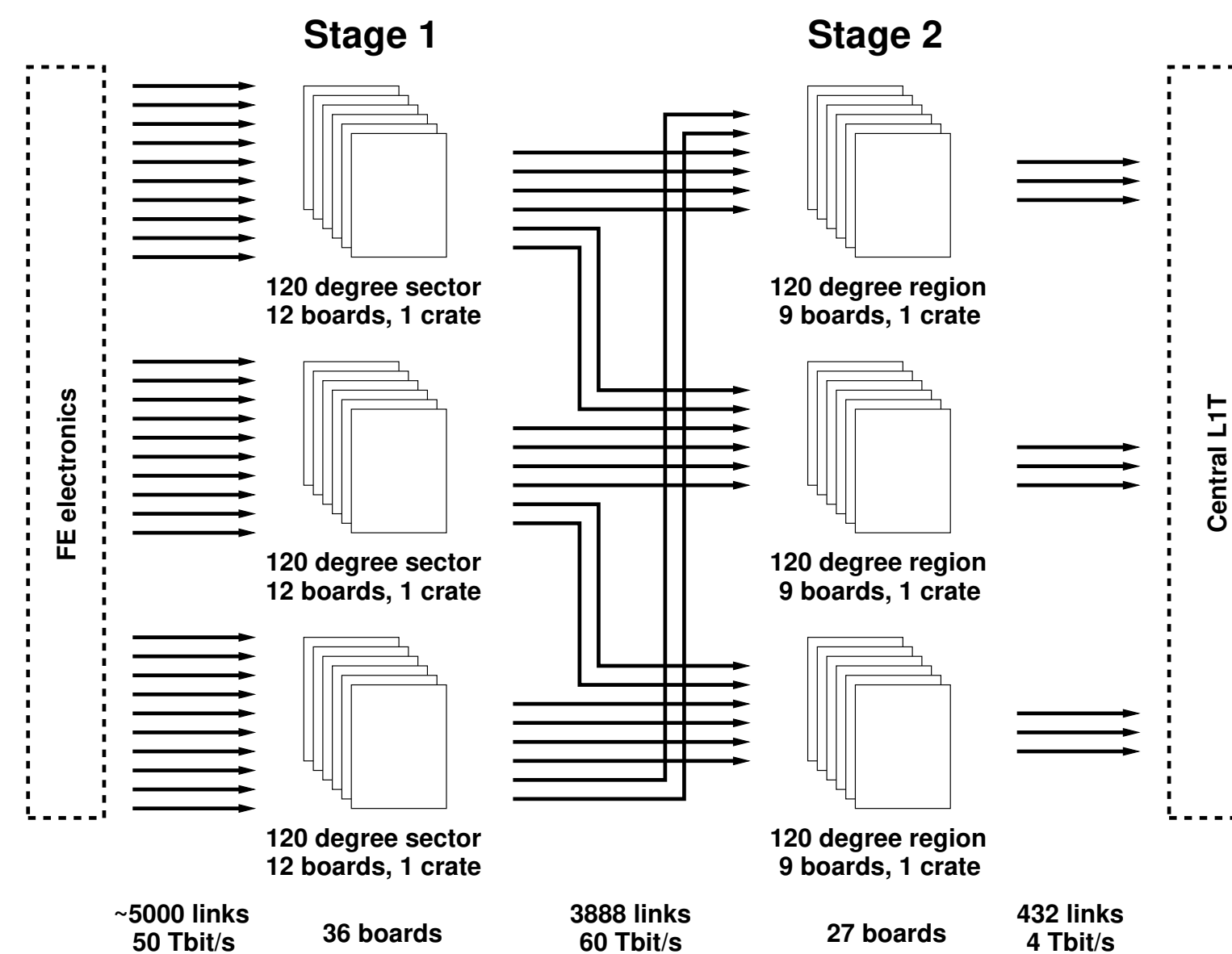




## Key areas of UK involvement:

- UK developed and led the concept of new paradigm in calorimetry.
- Leading role in Trigger primitive development and electronics, and simulation

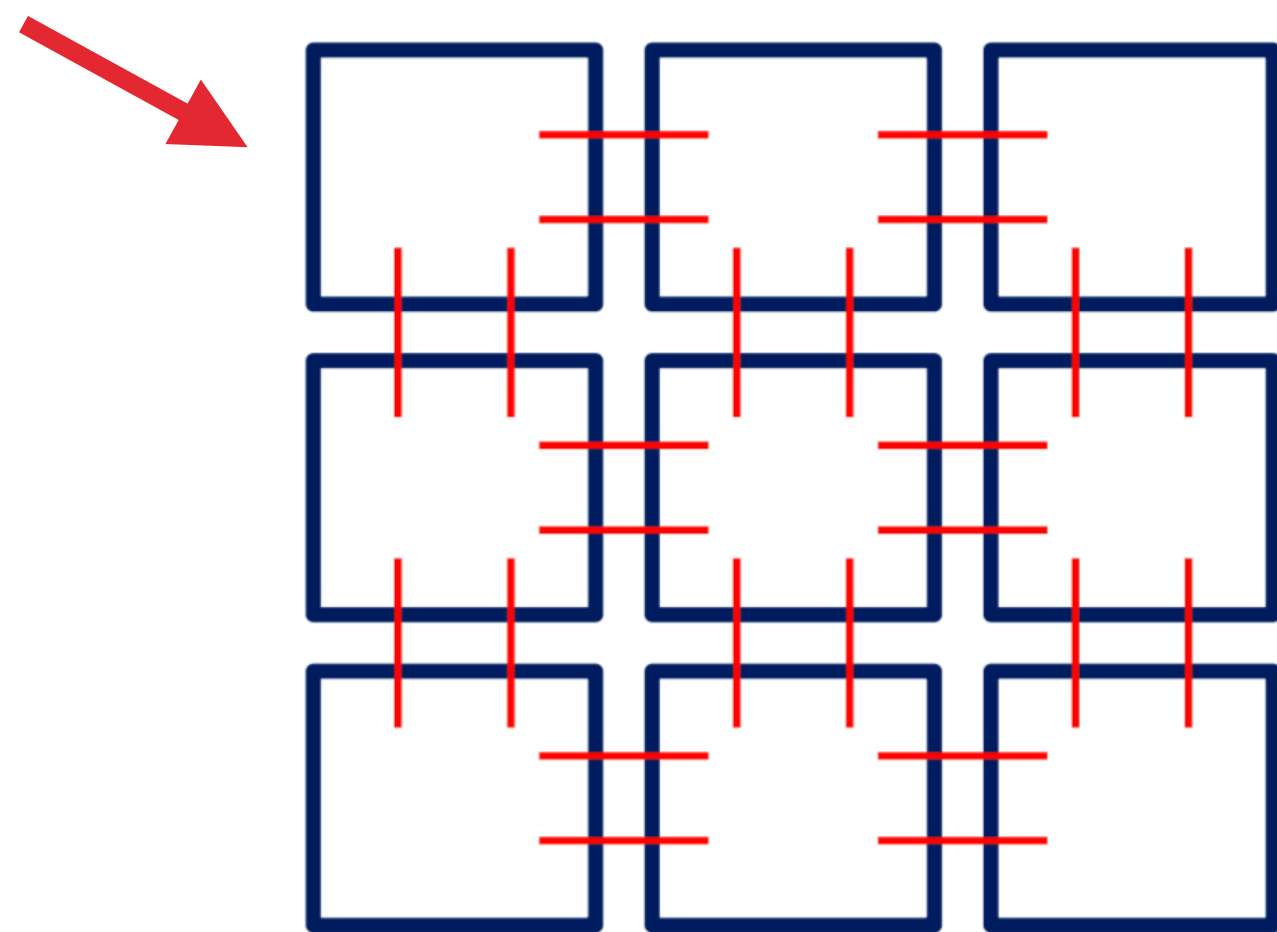
### TP Architecture for one end-cap



- Large and complex project pushing technology to the limit
- Huge number of trigger channels
- Very high data occupancy.
- However simulation shows excellent efficiency and resolution can be achieved.

## Key areas of UK involvement:

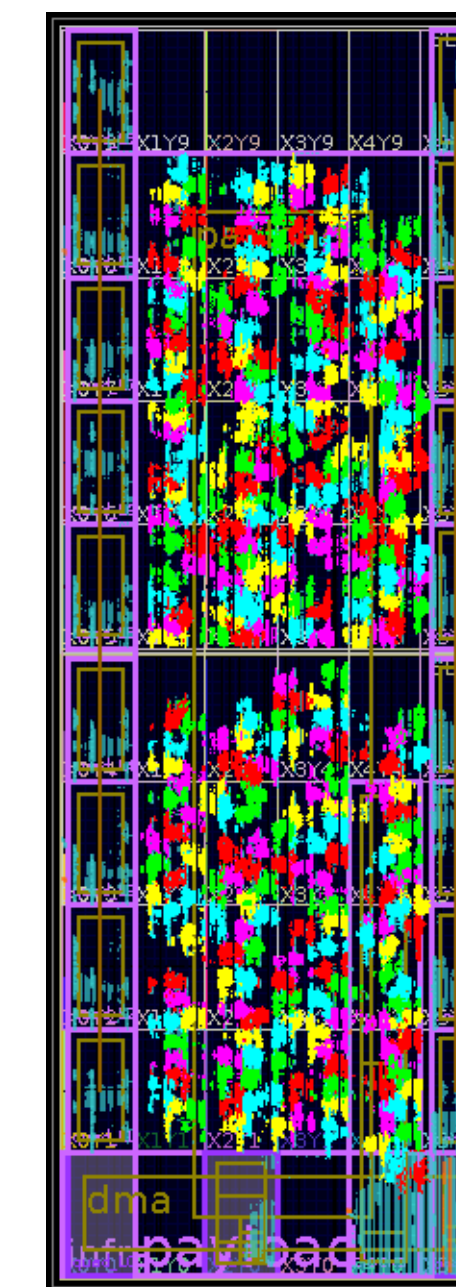
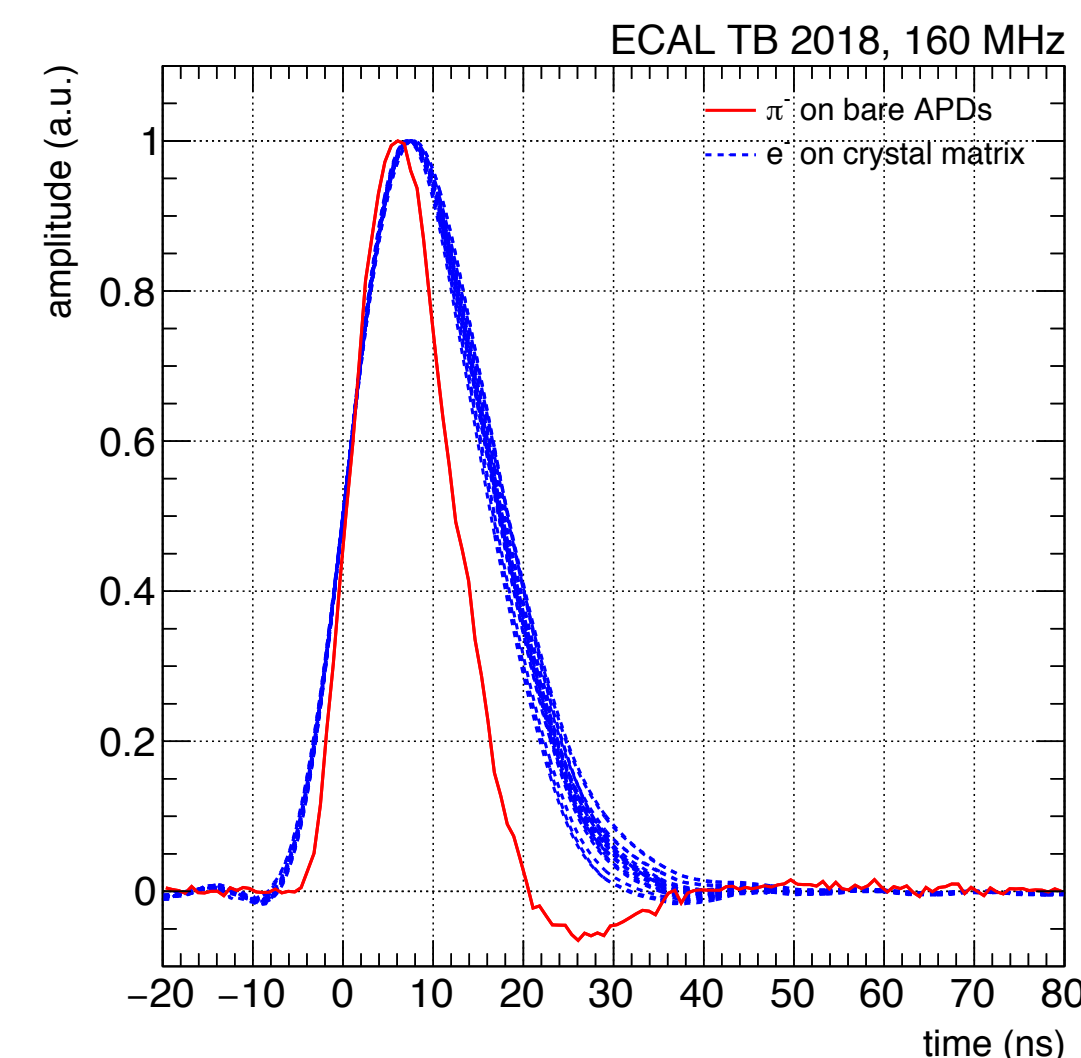
- Trigger primitive software and firmware for upgraded ECAL barrel
- Optical fibre routing



**Developed preliminary ECAL crystal sharing scheme based on Barrel Calorimeter TDR design**

sharing of crystal data between 216 trigger processor FPGAs serving 36 ECAL Barrel supermodules  
using technology developed for CMS Phase 1 Calorimeter Trigger upgrade

Now being revised with an updated and expanded sharing scheme



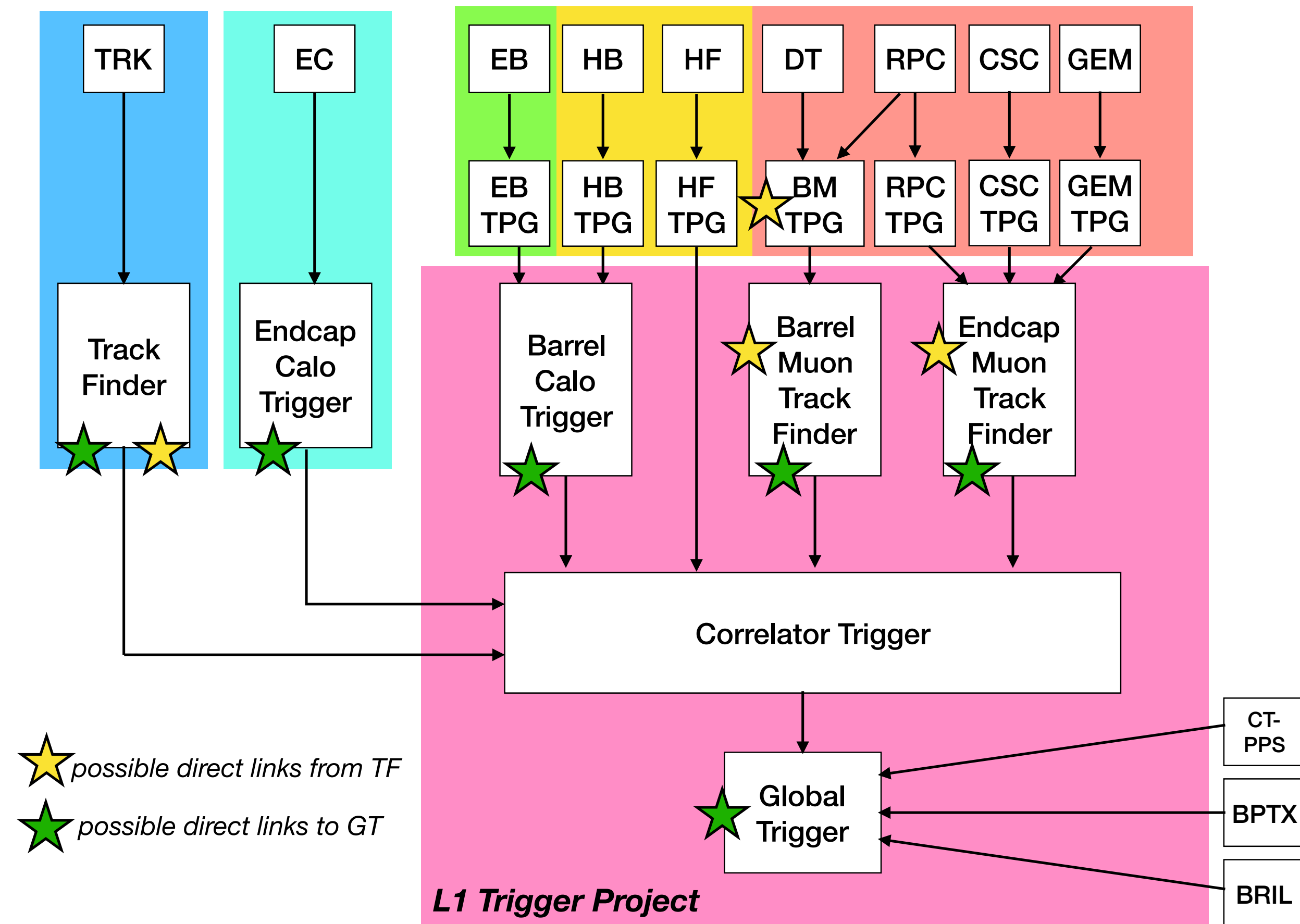
**Developed first version of ECAL trigger primitive firmware to reject direct signals (“spikes”) in APD photodetectors**

*based on differences in pulse shapes between spike and electron/photon signals*

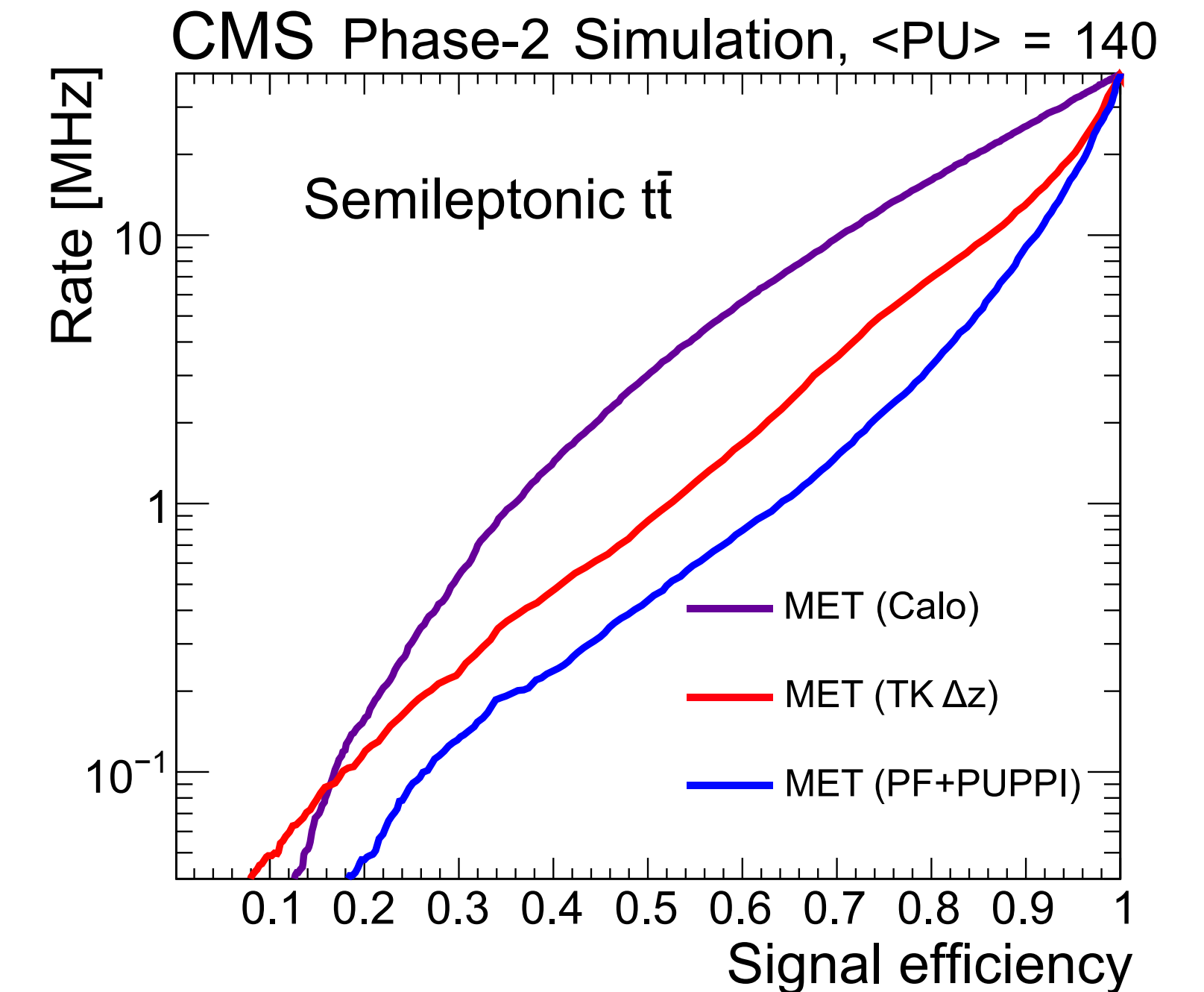
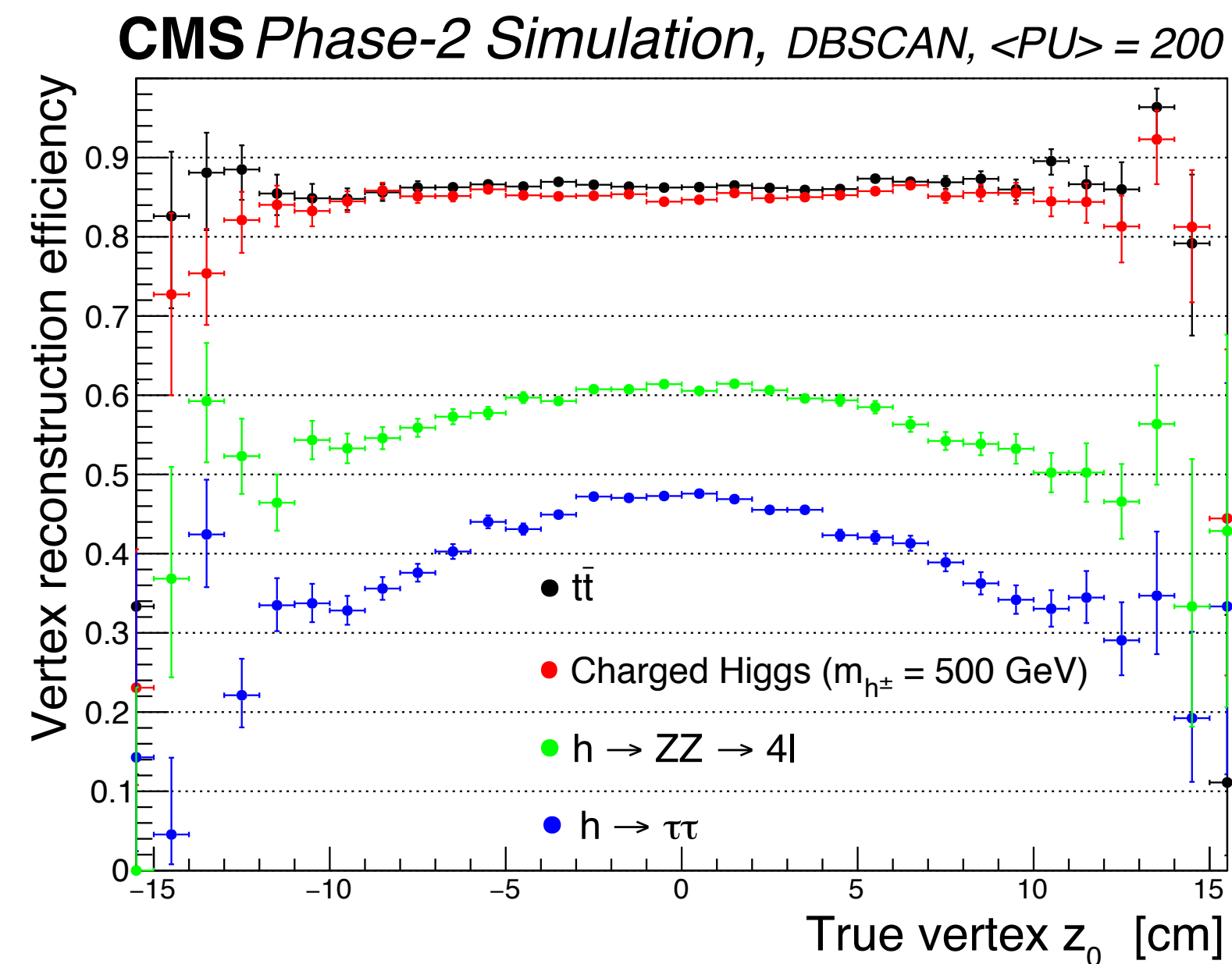
Now updating algorithm to optimise FPGA resource usage and developing emulator software



- L1T will receive data from all sub-detectors, inc tracking
- Increased readout capability
  - L1 rate < 750kHz
  - L1 latency < 12.5us
- Full replacement of L1T system
  - Xilinx Ultrascale/+ FPGAs
  - Link speeds up to 25Gb/s
- Aim to maintain current L1 trigger thresholds through to HL-LHC



- Reconstruct physics objects using **particle-flow** techniques
- Receive tracks, clusters, muon stubs
- Identify vertices
- Identify particle candidates
- Reconstruct jets, taus, electrons, photons, energy sums etc.
- Demonstrator programme based on Serenity hardware well underway
- Rapid algorithm prototyping using Vivado HLS





- ▶ HL-LHC provides an unrivalled opportunity to advance the collider physics program massively.
- ▶ A wealth of studies have already been done to prepare for Physics exploitation - and techniques/tools will improve in the next years to stay on the cutting edge
- ▶ CMS UK Phase 2 program is well underway- involved in the key CMS projects in mission-critical roles.
  - ▶ In addition developed many of the concepts that underpin the CMS Phase 2 detector
- ▶ Will continue to punch above our weight and ensure CMS UK remains an essential contributor to CMS