

Theoretical Models for combined explanations of the B-physics anomalies

Gino Isidori

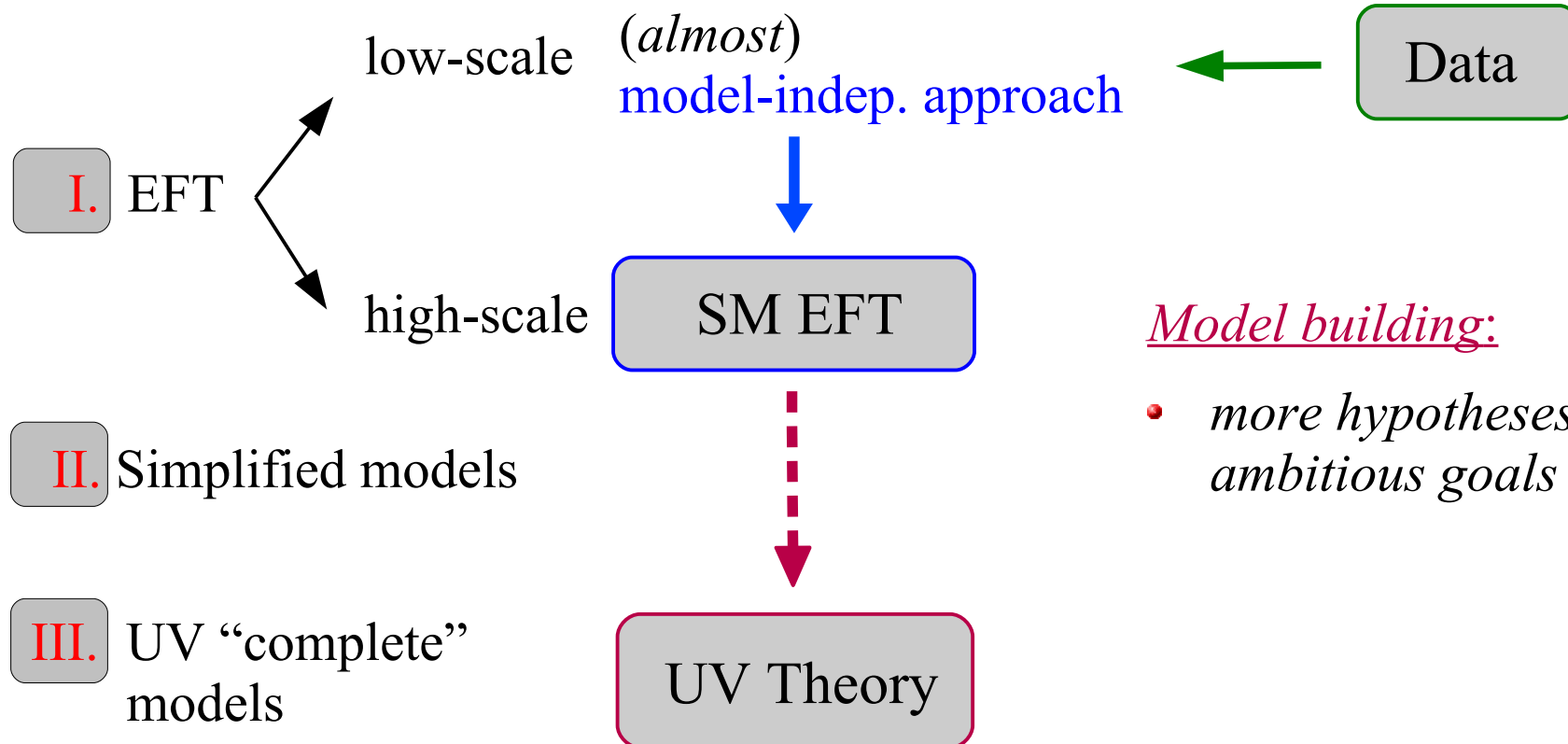
[*University of Zürich*]

- ▶ Introduction [*From the SMEFT to UV models*]
- ▶ LFU anomalies & Flavor symmetries [*The $U(2)^n$ case*]
- ▶ Simplified models: The Return of the Leptoquark
- ▶ Non-universal gauge interactions [*The PS^3 hypothesis*]
- ▶ Conclusions



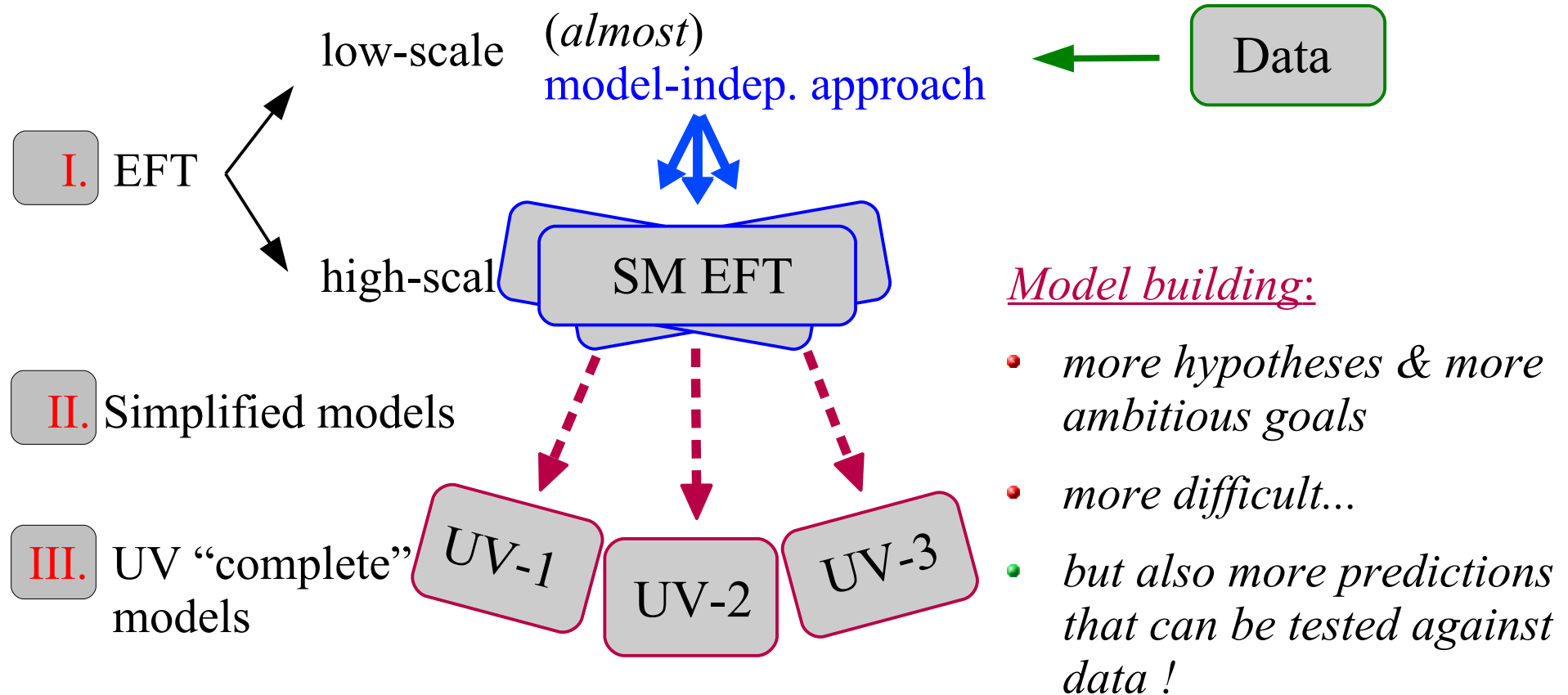
► Introduction

We can adopt different theoretical approaches to describe the anomalies:



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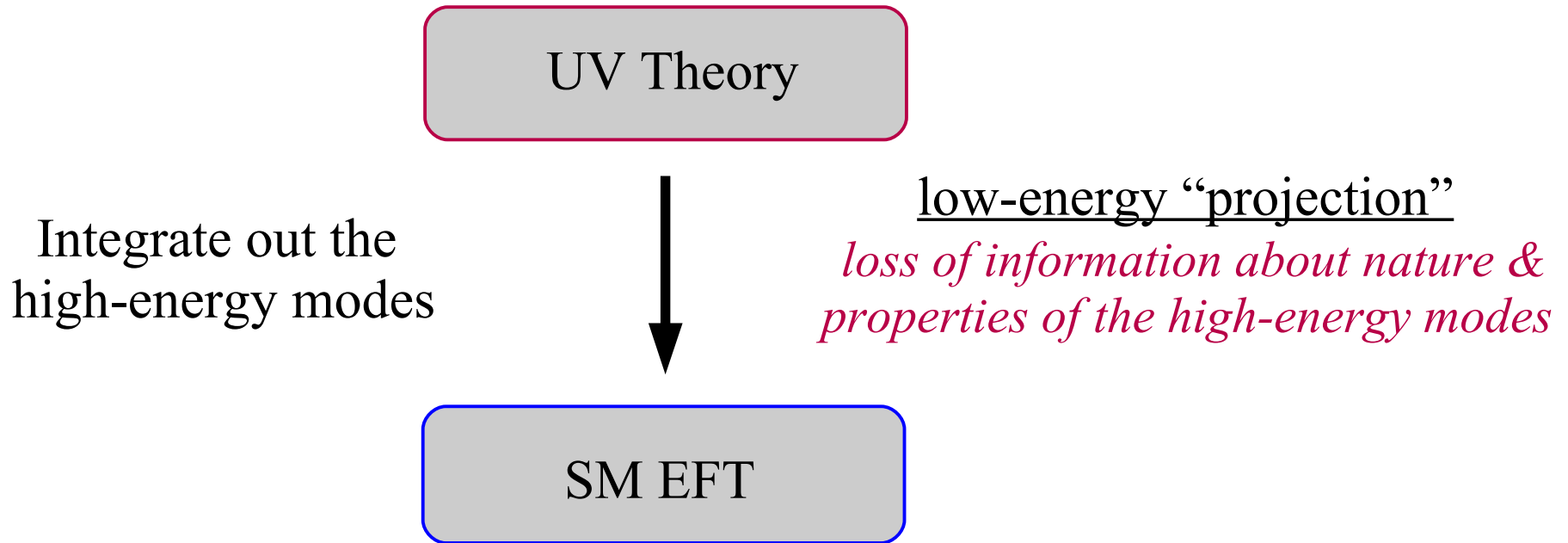
We can adopt different theoretical approaches to describe the anomalies:



Goals (↔ “quality measure”) of the model-building attempts (*while waiting more data...*):

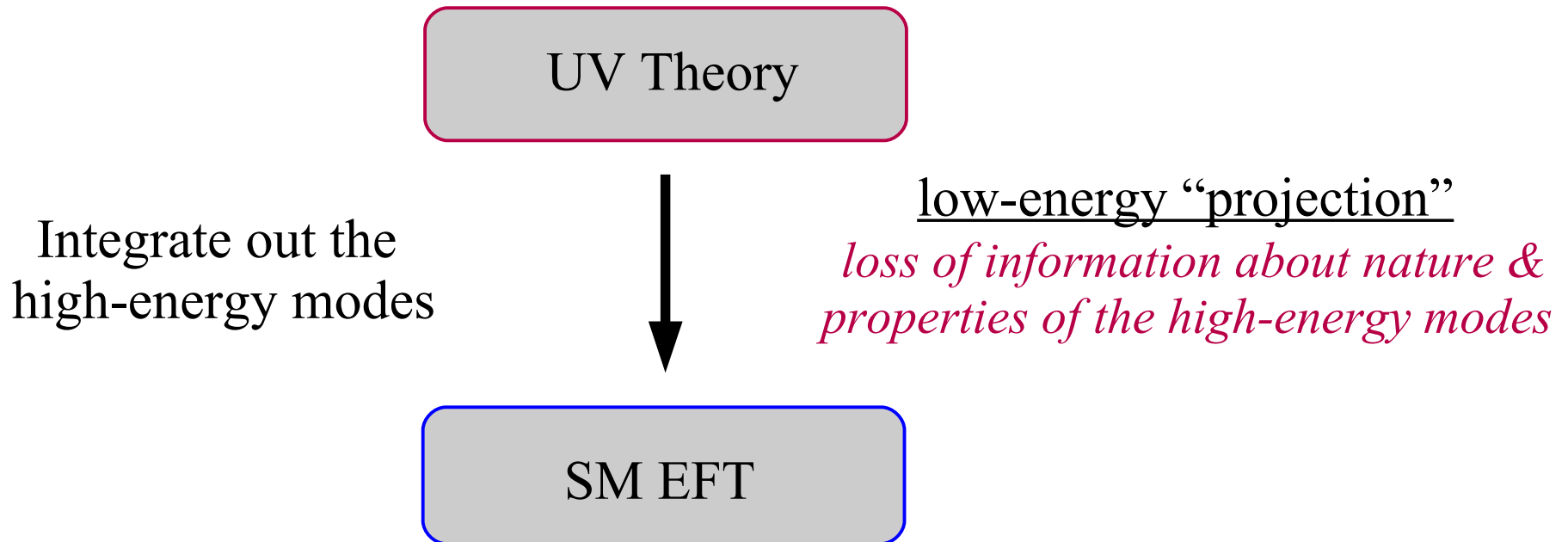
- Solve the anomalies with no/small fine tuning with other data
- Link the solutions of the anomalies to solutions of existing SM problems

► Introduction



Reconstructing the UV theory from its low-energy limit is a very difficult problem with no unique solution [*~ 35 years from the Fermi Theory to the GSW model...*]

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Reconstructing the UV theory from its low-energy limit is a very difficult problem with no unique solution [*~ 35 years from the Fermi Theory to the GSW model...*]

- The light fields appearing in the EFT are often superposition of the fundamental fields [*N.B.: true also for weak theories & gauge fields: $A_\mu = c_\theta B_\mu + s_\theta W_\mu$*]
- Many global symmetries of the EFT could be accidental low-energy properties
- The most interesting hints on UV dynamics come from *un-natural features* of the EFT... [*→ that's why we would like to link the anomalies to existing SM probl.*]

► Introduction

“trivial” low-energy projection

UV Theory

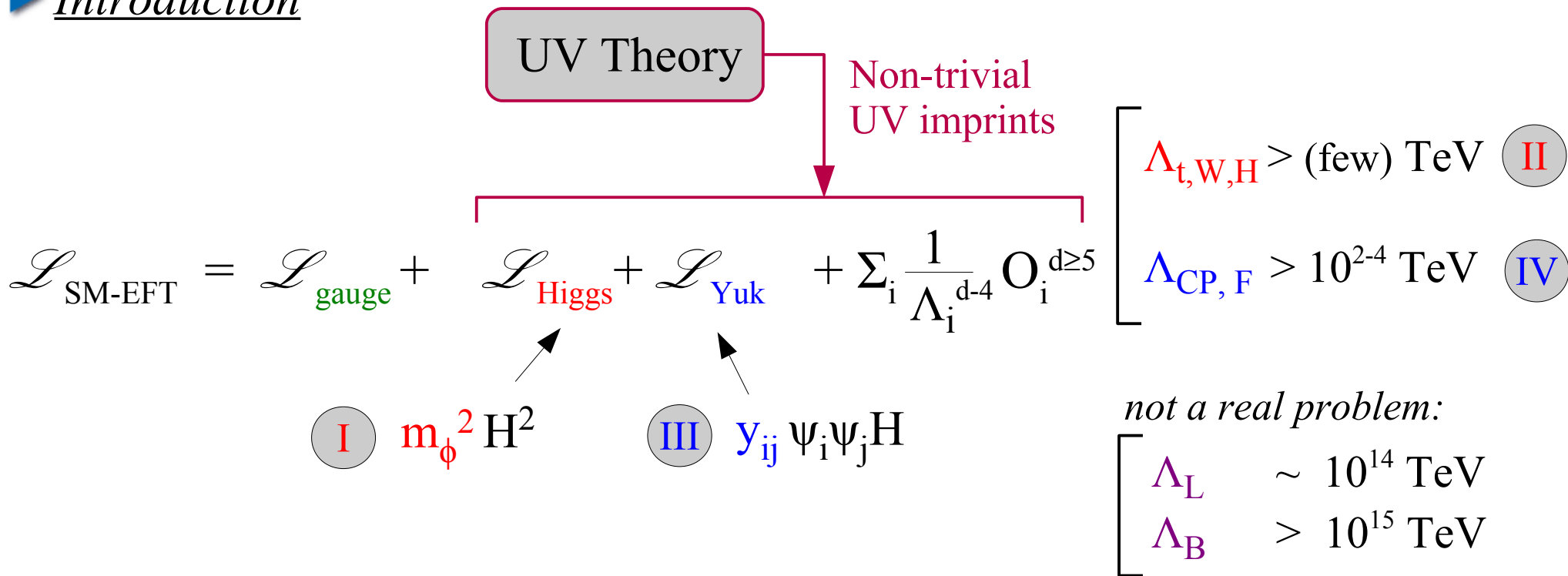
$$\mathcal{L}_{\text{SM-EFT}} = \mathcal{L}_{\text{gauge}} + \mathcal{L}_{\text{Higgs}} + \mathcal{L}_{\text{Yuk}} + \sum_i \frac{1}{\Lambda_i^{d-4}} \mathcal{O}_i^{d \geq 5}$$

Structure fully dictated by

- Number of light fields
- Their charges under long-range interactions

Contains only “natural” $\mathcal{O}(1)$ couplings

► Introduction



- **Hierarchy problem** (II vs. I): $m_\phi \ll \Lambda_{t,W,H}$
- **SM Flavor problem** (III): $y_e \ll y_t$ [N.B.: 5 orders of magnitude !]
- **NP Flavor problem** (IV vs. I): $m_\phi \ll \Lambda_{\text{CP,F}}$

► Introduction

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Non-trivial UV imprints

I

 $m_\phi^2 H^2$

III

 $y_{ij} \psi_i \psi_j H$

II

 $\Lambda_{t,W,H} > (\text{few}) \text{ TeV}$

IV

 $\Lambda_{\text{CP, F}} > 10^{2-4} \text{ TeV}$

The MFV “solution” (popular in the *pre-LHC era*):

- The genuine hierarchy problem is not too severe → **expect NP at TeV scale**
- Postpone the solution of III to very high scale, and assume no other sources of flavor-breaking at low-energies → **TeV scale NP is flavor-blind**

Try to separate the two problems & postpone the Flavor one

► Introduction

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Ⓘ $m_\phi^2 H^2$

↗

ⓓ $y_{ij} \psi_i \psi_j H$

↖

$\Lambda_{t,W,H} > (\text{few}) \text{ TeV}$ ⓓ

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*From high- p_T searches we now know that
if there is any NP at the TeV scale, then for sure it is not flavor universal...*

► Introduction

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(I) $m_\phi^2 H^2$

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(III) $y_{ij} \psi_i \psi_j H$

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(II) $\Lambda_{t,W,H} > (\text{few}) \text{ TeV}$

(IV) $\Lambda_{\text{CP, F}} > 10^{2-4} \text{ TeV}$

The path of flavor non-universal interactions (not so popular *yet...*):

- The hierarchical structure of the SM Yukawa couplings is a clear indication that all the new degrees of freedom are coupled in a non-universal way to SM fermion families → expect TeV scale NP coupled mainly to 3rd gen.
- Genuine hierarchy problem less severe for NP coupled mainly to 3rd gen.

We should not give up & should not try to separate the two problems

► Introduction

$$\mathcal{L}_{\text{SM-EFT}} = \mathcal{L}_{\text{gauge}} + \mathcal{L}_{\text{Higgs}} + \mathcal{L}_{\text{Yuk}} + \sum_i \frac{1}{\Lambda_i^{d-4}} \mathcal{O}_i^{d \geq 5}$$

Non-trivial UV imprints

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$\Lambda_{t,W,H} > (\text{few}) \text{ TeV}$

$\Lambda_{\text{LFU}} \sim \text{few TeV}$

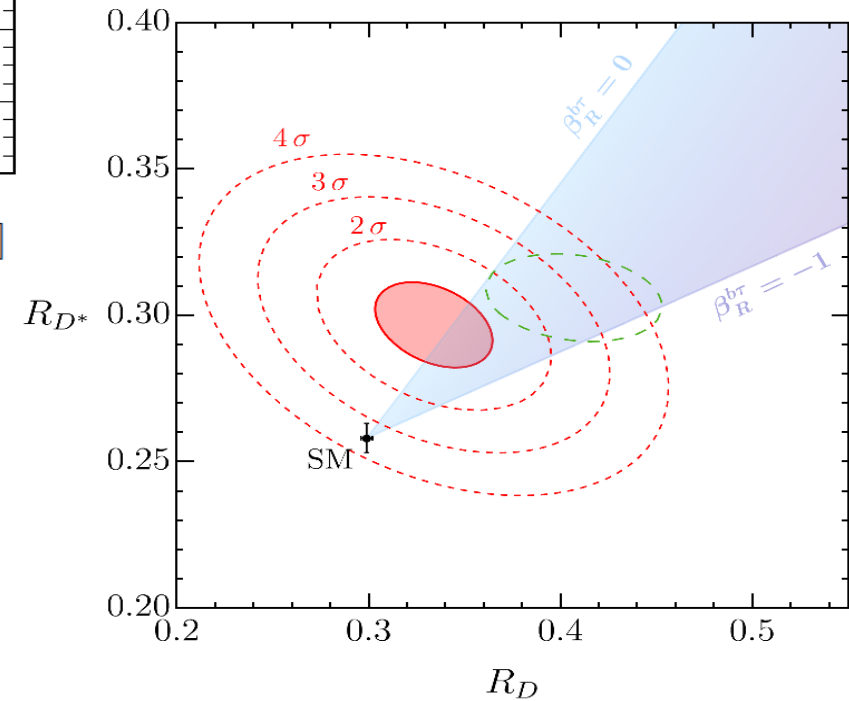
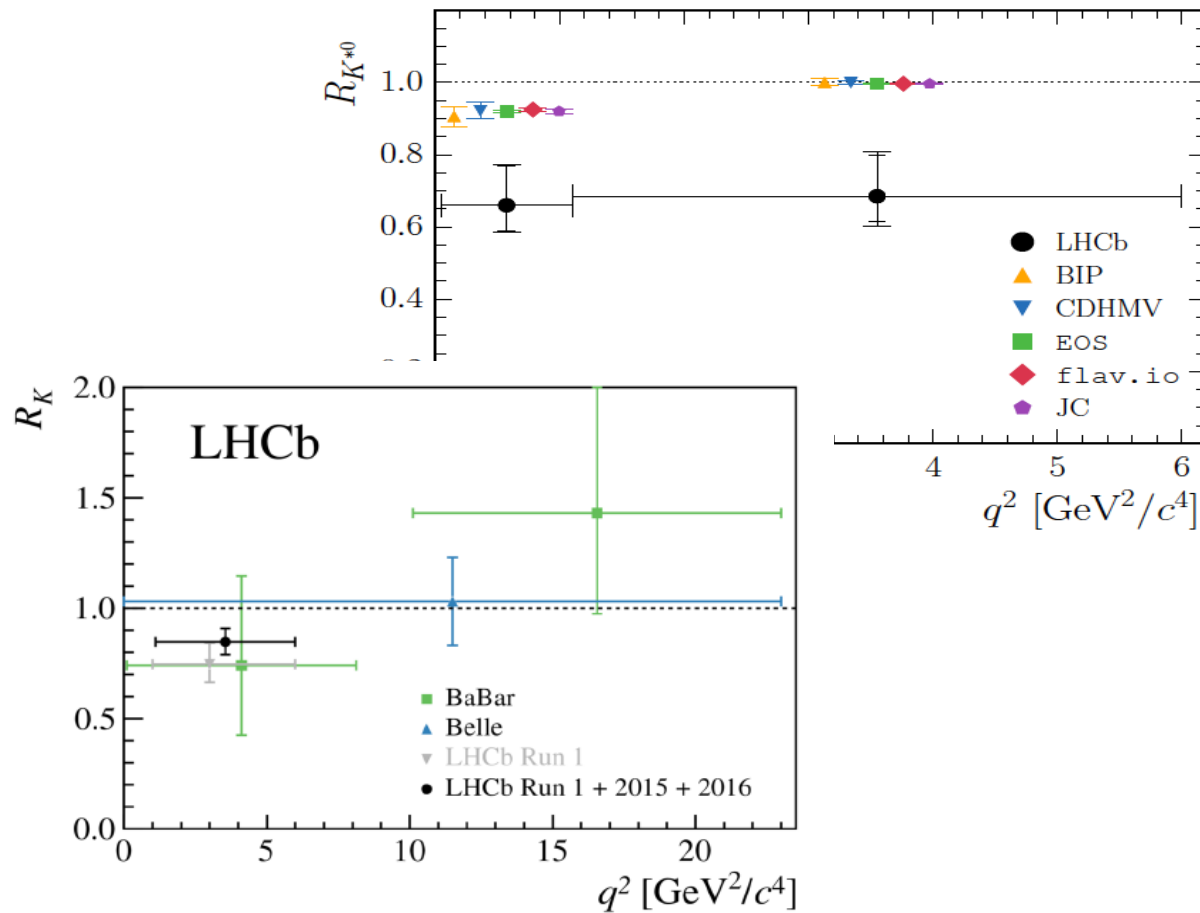
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- The hierarchical structure of the SM Yukawa couplings is a clear indication that all the new degrees of freedom are coupled in a non-universal way to SM fermion families → **expect TeV scale NP coupled mainly to 3rd gen.**

This is the path that seems to be indicated by the recent hints of **Lepton Flavor non Universality** in semi-leptonic B decays

LFU anomalies & Flavor symmetries



► On the LFU anomalies

Recent data show some convincing evidences of **L**epton **F**lavor **U**niversality violations

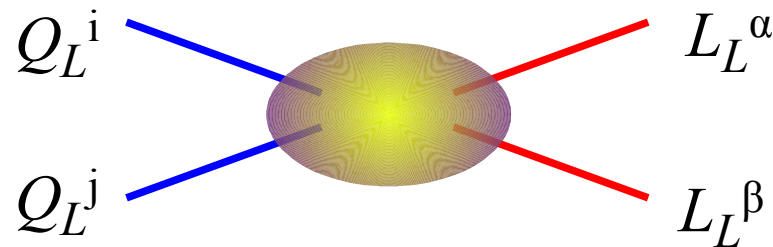
- **b** → **c** charged currents: τ vs. light leptons (μ , e) [R_D , R_{D^*}]
- **b** → **s** neutral currents: μ vs. e [R_K , R_{K^*} (+ P_5 *et al.*)]

IF taken together... this is probably the largest “coherent” set of deviations from the SM we have ever seen...

As we shall see, putting them together in a consistent way is quite non-trivial, but is “re-warding” from the model-building point of view

► On the LFU anomalies

- Anomalies are seen only in semi-leptonic (**quark**×**lepton**) operators
- We definitely need non-vanishing **left-handed** current-current operators although other contributions are also possible



Bhattacharya *et al.* '14
 Alonso, Grinstein, Camalich '15
 Greljo, GI, Marzocca '15
 (+many others...)

- Large coupling [*competing with SM tree-level*] in **bc** → $l_3 \nu_3$ [$\mathbf{R}_D, \mathbf{R}_{D^*}$]
- Small coupling [*competing with SM loop-level*] in **bs** → $l_2 l_2$ [$\mathbf{R}_K, \mathbf{R}_{K^*}, \dots$]



$$T_{ij\alpha\beta} = (\delta_{i3} \times \delta_{3j}) \times (\delta_{\alpha 3} \times \delta_{3\beta}) +$$

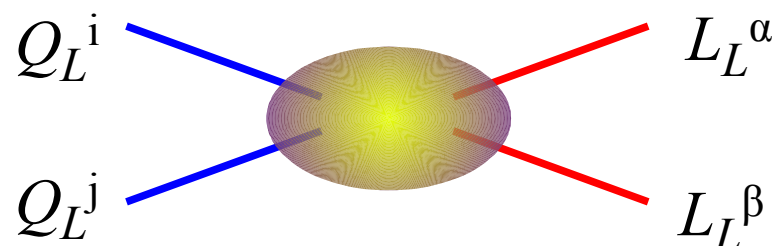
small terms
 for 2nd (& 1st)
 generations



*Link to pattern
 of the Yukawa
 couplings !*

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Long list of constraints [FCNCs + semi-leptonic b decays + π , K, τ decays + EWPO]



Essential role of *flavor symmetries*, not only to explain the pattern of the anomalies, but also to “protect” against too large effects in other low-energy observables

► LFU anomalies & the $U(2)^n$ flavor symmetry

A very good candidate to address both these issues ([link with the origin of the Yukawa couplings](#) + [compatibility with other low-energy data](#)) is a chiral flavor symmetry of the type $U(2)^n$

$$\begin{array}{c} \psi \\ \uparrow \end{array} = \begin{bmatrix} \left(\begin{array}{c} \Psi_1 \\ \Psi_2 \end{array} \right) \\ \dots\dots\dots \\ \Psi_3 \end{bmatrix} \begin{array}{l} \leftarrow \text{light generations (flavor doublet)} \\ \leftarrow \text{3}^{\text{rd}} \text{ generation (flavor singlet)} \end{array}$$

SM fermion (e.g. q_L)

....with suitable (small) symmetry-breaking terms, related to the structures observed in the SM Yukawa couplings

Barbieri, G.I.,
Jones-Perez,
Lodone, Straub, '11

NB: This flavor symmetry does not need to be a “fundamental” symmetry, it could well be an “accidental” symmetry, resulting from non-universal interactions that distinguish the 3^{rd} family

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E.g. up-sector: $U(2)_q \times U(2)_u$

$$Y_U = y_t \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{matrix} \leftarrow U(2)_q \\ \\ \uparrow U(2)_u \end{matrix} \quad \begin{matrix} \text{unbroken} \\ \text{symmetry} \end{matrix}$$

$$\rightarrow \begin{bmatrix} \Delta & V \\ \hline & 1 \end{bmatrix} \equiv \begin{bmatrix} \cdot & \bullet \\ \cdot & \blacksquare \\ \hline & \blacksquare \end{bmatrix} \quad \begin{matrix} \text{after symmetry} \\ \text{symmetry} \end{matrix}$$

Barbieri, G.I., Jones-Perez, Lodone, Straub, '11

Main idea: the same symmetry-breaking pattern control the mixing $3^{\text{rd}} \rightarrow 1^{\text{st}}, 2^{\text{nd}}$ gen. for the NP responsible for the anomalies

$$|V| \approx |V_{ts}| = 0.04$$

$$|\Delta| \approx y_c = 0.006$$

N.B.: this symmetry & symmetry-breaking pattern was proposed well-before the anomalies appeared [*it is not ambulance chasing...!*]

► LFU anomalies & the $U(2)^n$ flavor symmetry

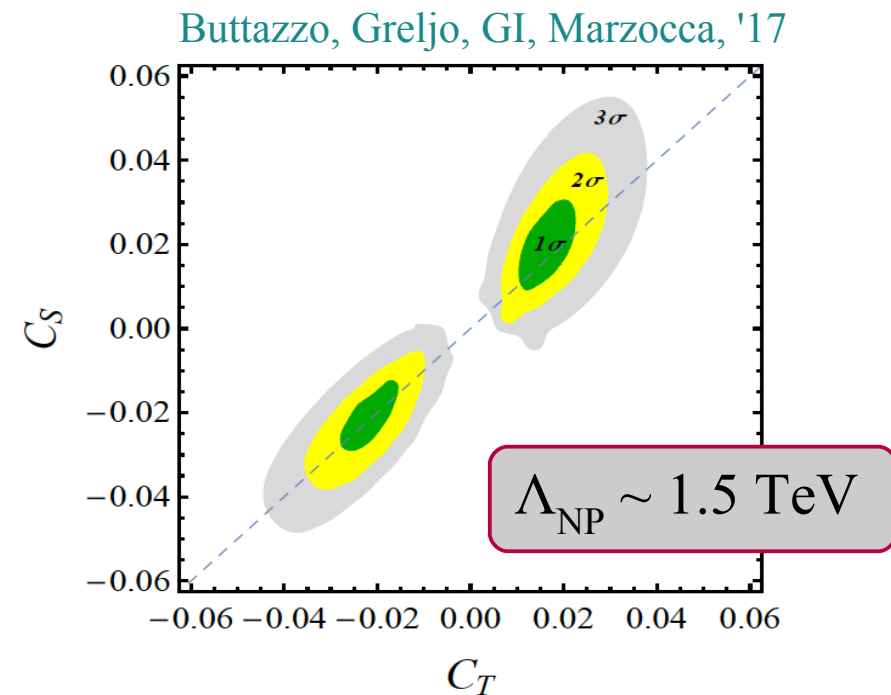
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An EFT based on the following two hypothesis:

- $U(2)_q \times U(2)_l$ chiral flavor symmetry
- NP in left-handed semi-leptonic operators only [*at the high-scale*]

provides an excellent fit to the data



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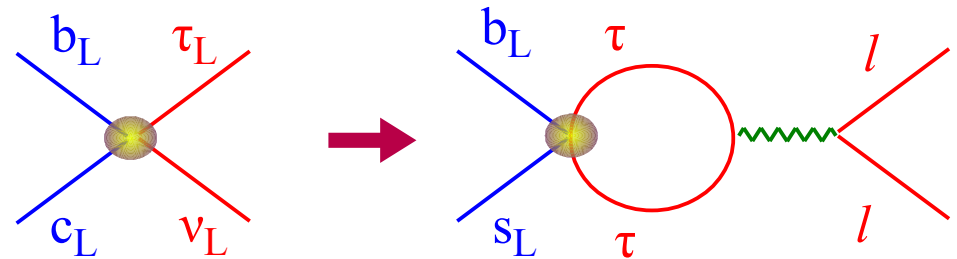
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The latest data have made this picture even more consistent:

- I. Higher NP scale given smaller central value of $b \rightarrow c$ anomaly
- II. Rising “evidence” of LFU contribution to C_9 , naturally expected in this framework:

Alguero *et al.* '19
Aebischer *et al.* '19



Crivellin, Greub, Muller, Saturnino '19

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- II. Rising “evidence” of LFU contribution to C_9 .
- III. Rising “evidence” of a suppression of $\text{BR}(B_s \rightarrow \mu\mu)$, naturally expected by $\Delta C_9 = -\Delta C_{10}$

Greljo, GI, Marzocca, '15

$$\text{BR}(B_s \rightarrow \mu\mu)_{\text{SM}} = (3.66 \pm 0.14) \times 10^{-9} \quad \text{Beneke et al. '19}$$

$$\text{BR}(B_s \rightarrow \mu\mu)_{\text{exp}} = (2.72 \pm 0.34) \times 10^{-9}$$

ATLAS+CMS+LHCb '19

2.6 σ

► LFU anomalies & the $U(2)^n$ flavor symmetry

N.B.: The flavor **symmetry hypothesis** alone + **chiral structure** allow us to make very interesting predictions for low-energy observables

→ talk by C. Cornella

E.g.:

I. [flavor]:


$$\frac{A(\mathbf{b} \rightarrow \mathbf{d} \ell \ell)_{\text{SM+NP}}}{A(\mathbf{b} \rightarrow \mathbf{s} \ell \ell)_{\text{SM+NP}}} = \frac{A(\mathbf{b} \rightarrow \mathbf{d} \ell \ell)_{\text{SM}}}{A(\mathbf{b} \rightarrow \mathbf{s} \ell \ell)_{\text{SM}}} \quad \frac{A(\mathbf{b} \rightarrow \mathbf{u} \ell \nu)_{\text{SM+NP}}}{A(\mathbf{b} \rightarrow \mathbf{c} \ell \nu)_{\text{SM+NP}}} = \frac{A(\mathbf{b} \rightarrow \mathbf{u} \ell \nu)_{\text{SM}}}{A(\mathbf{b} \rightarrow \mathbf{c} \ell \nu)_{\text{SM}}}$$

II. [chiral structure]:

$$R_\phi(B_s) \approx R_{\pi K}(B) \approx R(\Lambda_b)_\Lambda \approx R(\Lambda_b)_{pK} \approx \dots \approx R_K$$

exact in the limit where $(C_{10} + C_9)_{\text{SM}} / (C_{10} - C_9)_{\text{SM}} \rightarrow 0$

$$A_{\text{SM}} = (C_{10} + C_9)_{\text{SM}} \langle Q_9 + Q_{10} \rangle \left[1 + \frac{(C_{10} - C_9)_{\text{SM}}}{(C_{10} + C_9)_{\text{SM}}} \frac{\langle Q_9 - Q_{10} \rangle}{\langle Q_9 + Q_{10} \rangle} + \text{other ops...} \right]$$

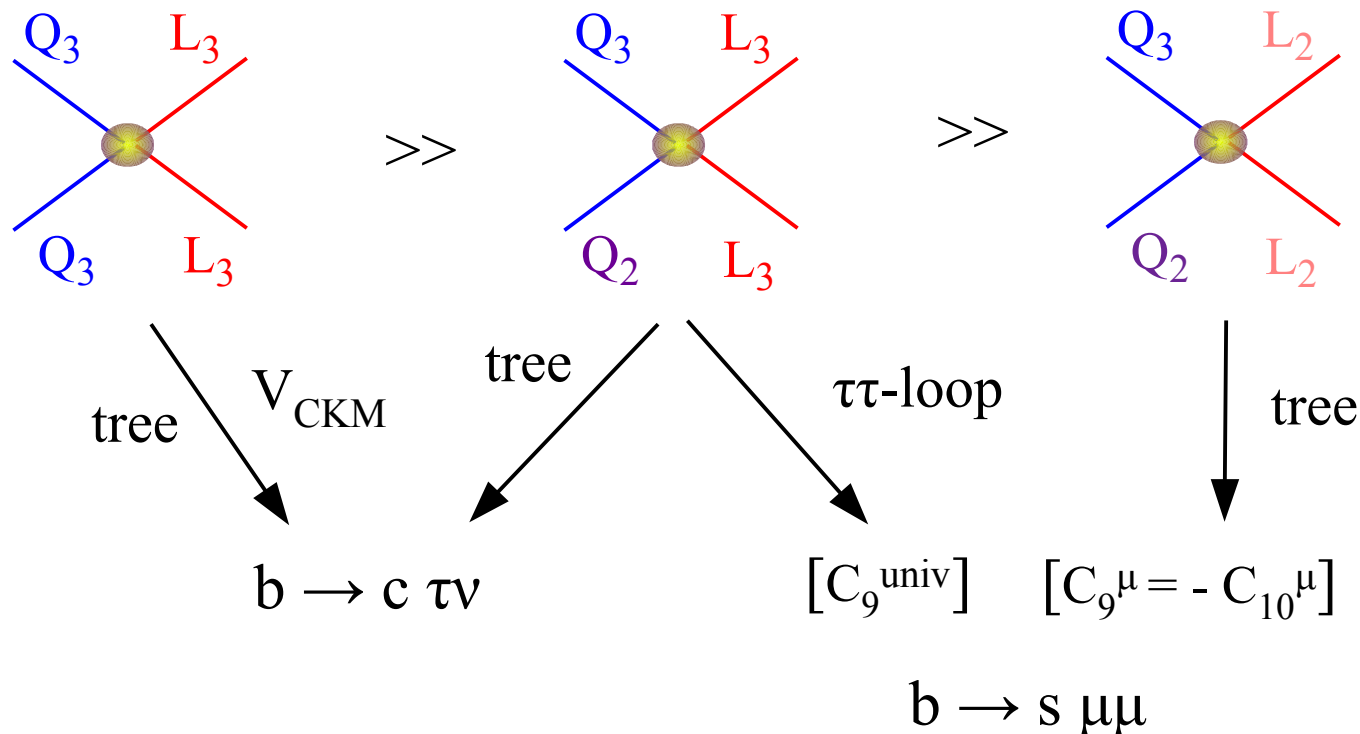


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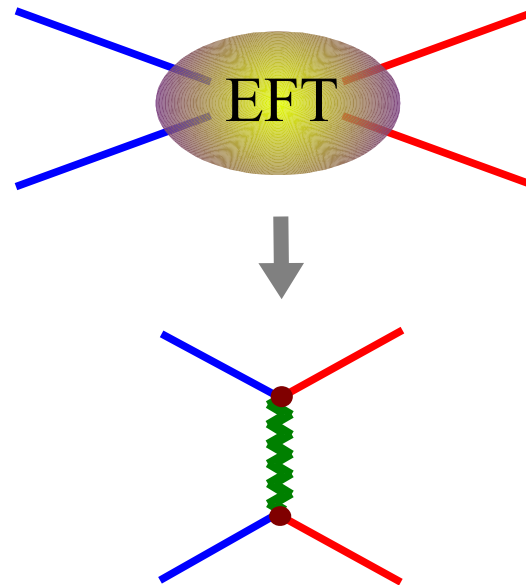
► LFU anomalies & the $U(2)^n$ flavor symmetry

N.B.: The flavor symmetry hypothesis alone + chiral structure allow us to make very interesting predictions for low-energy observables

N.B.: This consistent flavor symmetry hypothesis tell us that the connection between charged & neutral-current anomalies is not trivial:

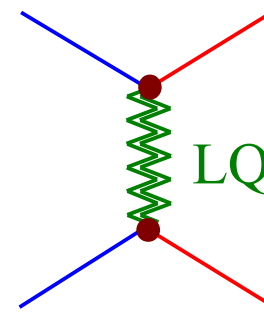
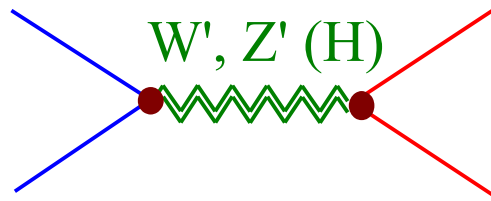


Simplified models: the return of the Leptoquark



► General considerations

Which tree-level mediators can generate the effective operators required for a successful EFT fit? Not many possibilities...



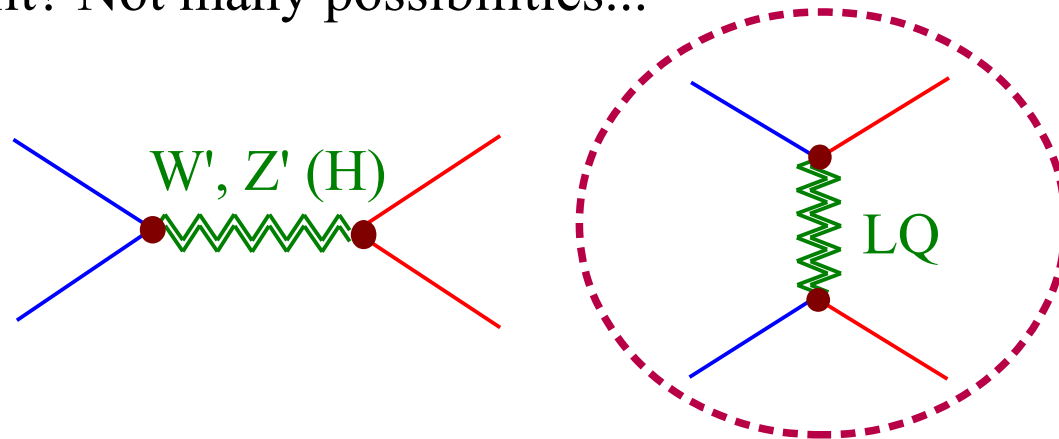
N.B.: Given the effective low-scale of NP, we are naturally lead to simplified models with tree-level leading mediators

These simplified models are not meant to be complete UV models, but rather a “tool” to connect

- low- vs. high-energy phenomenology,
- disconnected sectors of the EFT (e.g. semi-leptonic vs. $\Delta F=2$ ops.)

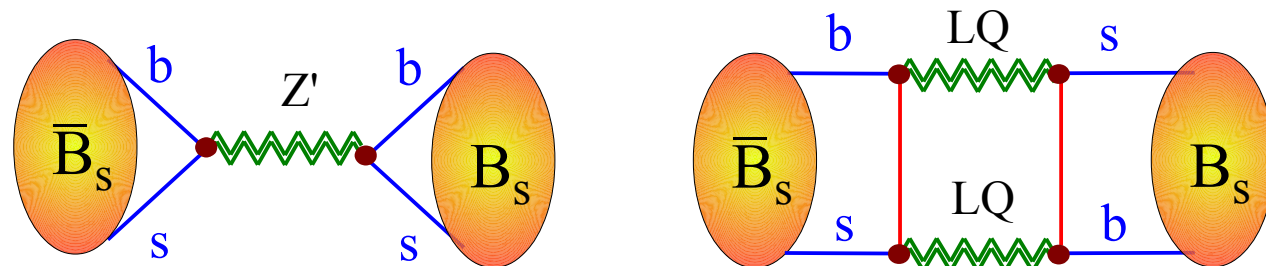
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LQ (both scalar and vectors) have two general strong advantages with respect to the other mediators:

I. $\Delta F=2$ &
 $\tau \rightarrow l\nu\nu$



II. Direct searches:

3rd gen. LQ are also in better shape as far as direct searches are concerned (*contrary to Z'...*).

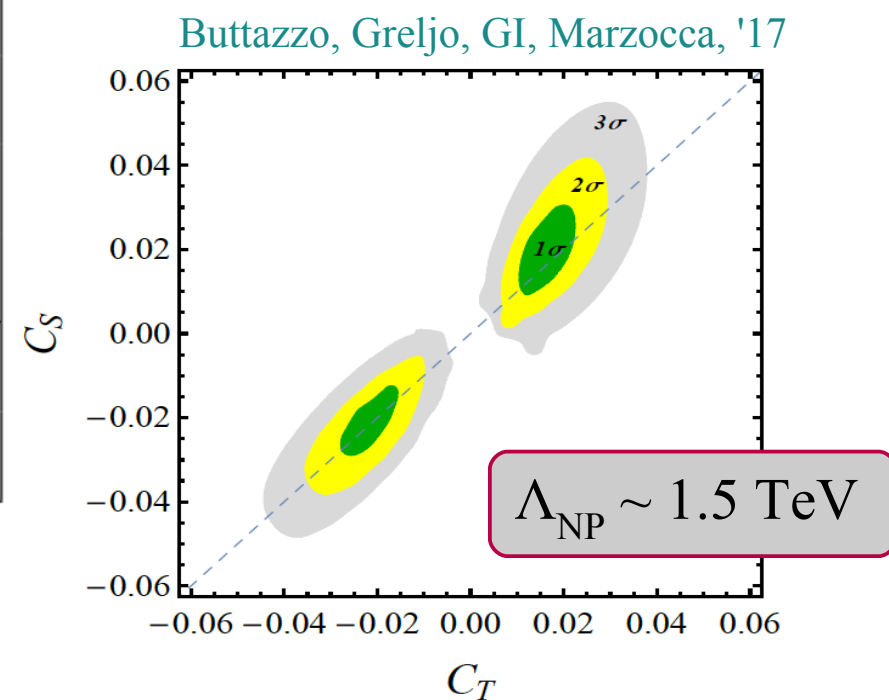
► General considerations

Which LQ explain which anomaly?

	Model	$R_{K(*)}$	$R_{D(*)}$	$R_{K(*)}$ & $R_{D(*)}$
Scalars	$S_1 = (\mathbf{3}, \mathbf{1})_{-1/3}$	✗	✓	✗
	$R_2 = (\mathbf{3}, \mathbf{2})_{7/6}$	✗	✓	✗
	$\tilde{R}_2 = (\mathbf{3}, \mathbf{2})_{1/6}$	✗	✗	✗
	$S_3 = (\mathbf{3}, \mathbf{3})_{-1/3}$	✓	✗	✗
Vector	$U_1 = (\mathbf{3}, \mathbf{1})_{2/3}$	✓	✓	✓
	$U_3 = (\mathbf{3}, \mathbf{3})_{2/3}$	✓	✗	✗

Angelescu, Becirevic, DAF, Sumensari [1808.08179]

There is one clear winner [U_1]...



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Vector	$U_1 = (\mathbf{3}, \mathbf{1})_{2/3}$	✓	✓	✓
	$U_3 = (\mathbf{3}, \mathbf{3})_{2/3}$	✓	✗	✗

There is one clear winner [U_1]...

...but the **single-mediator** case is definitely an **over simplification** [*as we learned in the last ~ 2 years...*]

3 interesting options:

- U_1 + colorless-vectors

Being a massive vector, U_1 requires an appropriate UV compl. → always accompanied by (at least) a Z'

Alonso, Grinstein, Camalich '15
Barbieri, GI, Pattori, Senia '15
+ wide literature

- S_1 & S_3

Good option for the EFT “pure-LH” solution

Crivellin, Muller, Ota '17
Buttazzo *et al.* '17
Marzocca '18

- R_2 & S_3

GUT-inspired option for EFT solution including also RH currents

Becirevic *et al.* '18

► A “consistent” simplified model for the U_1

- Initial attempts focused on LQ with few, purely LH couplings. However, the quantum numbers of the U_1 allow both RH and LH currents.
- A consistent reduction in the number of free parameters is achieved with the help of the flavor symmetry:

$$\mathcal{L} \supset \frac{g_U}{\sqrt{2}} U_1^\mu \left[\beta_{i\alpha}^L (\bar{q}_{L\mu}^i \gamma_\mu \ell_L^\alpha) - \beta_{i\alpha}^R (\bar{d}_{R\mu}^i \gamma_\mu e_R^\alpha) \right] + \text{h.c.}$$

to a good approximation...

$\beta_{q\ell}^L \sim$

0	0	10 ⁻²
0	10 ⁻²	10 ⁻¹
10 ⁻²	10 ⁻¹	1

+ O(10⁻³)

$O(|V_{ts}|)$

$\beta_{q\ell}^R \sim$

0	0	0
0	0	10 ⁻²
0	10 ⁻²	1

+ O(10⁻³)

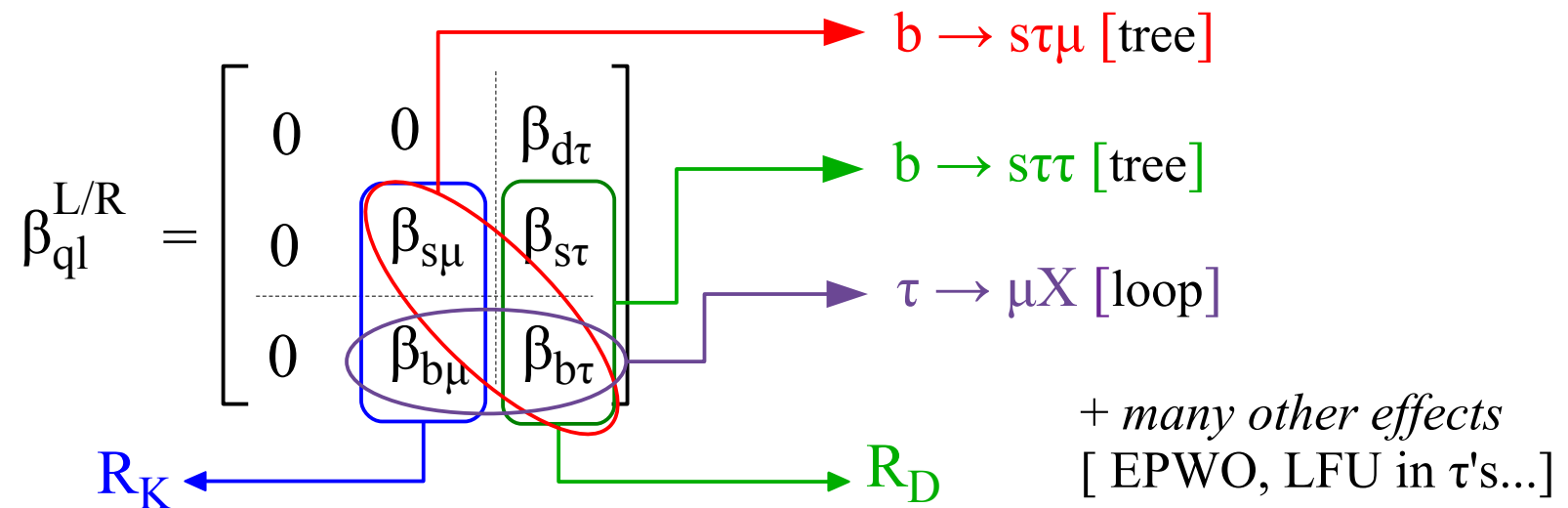
$O(|V_{ts}| m_s/m_b)$

$U(2)^n$ flavor symm. with Yukawa-like breaking

► A “consistent” simplified model for the U_1

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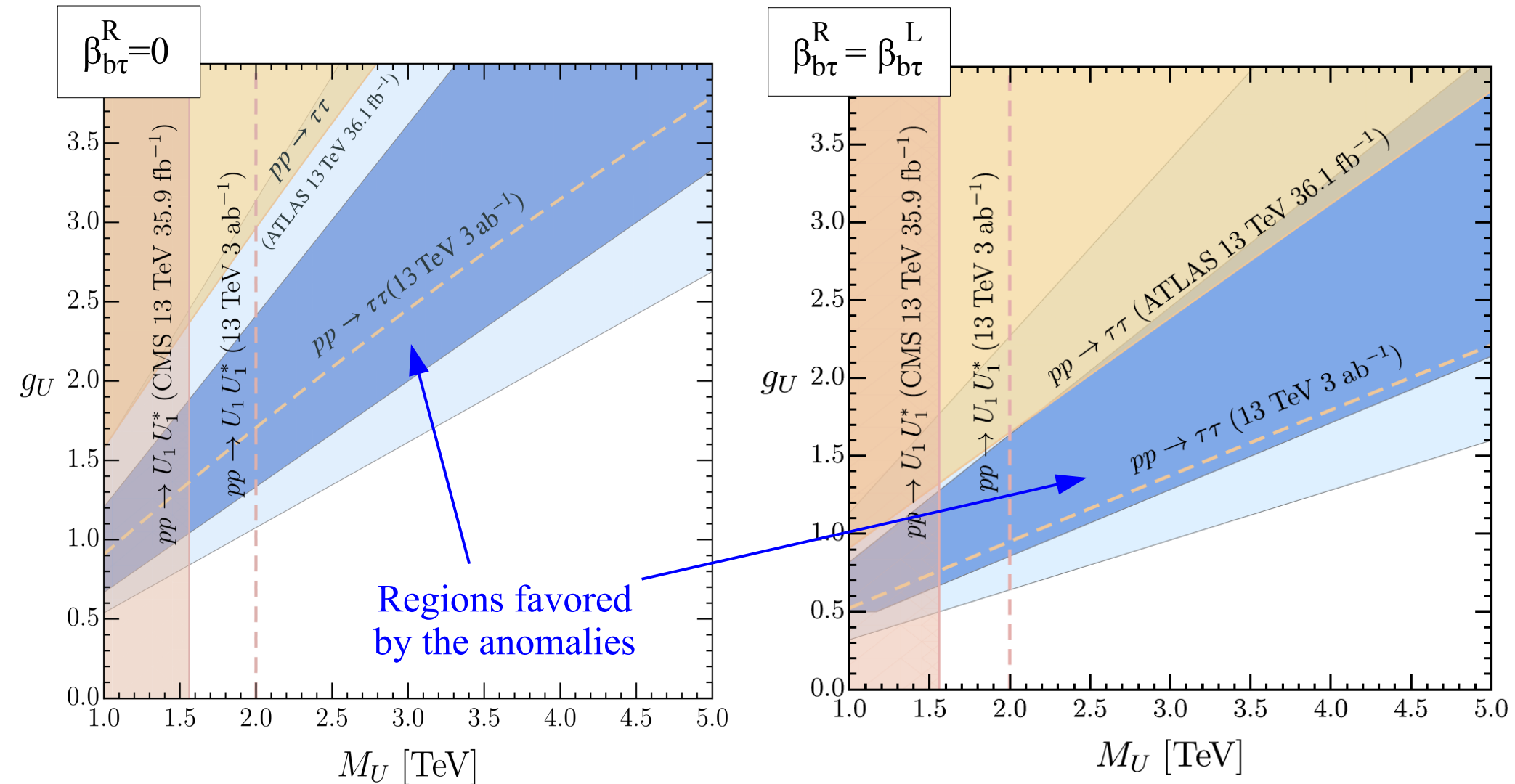
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The presence of the (motivated) extra coupling leads to a series of interesting effects at both low- and high-energies

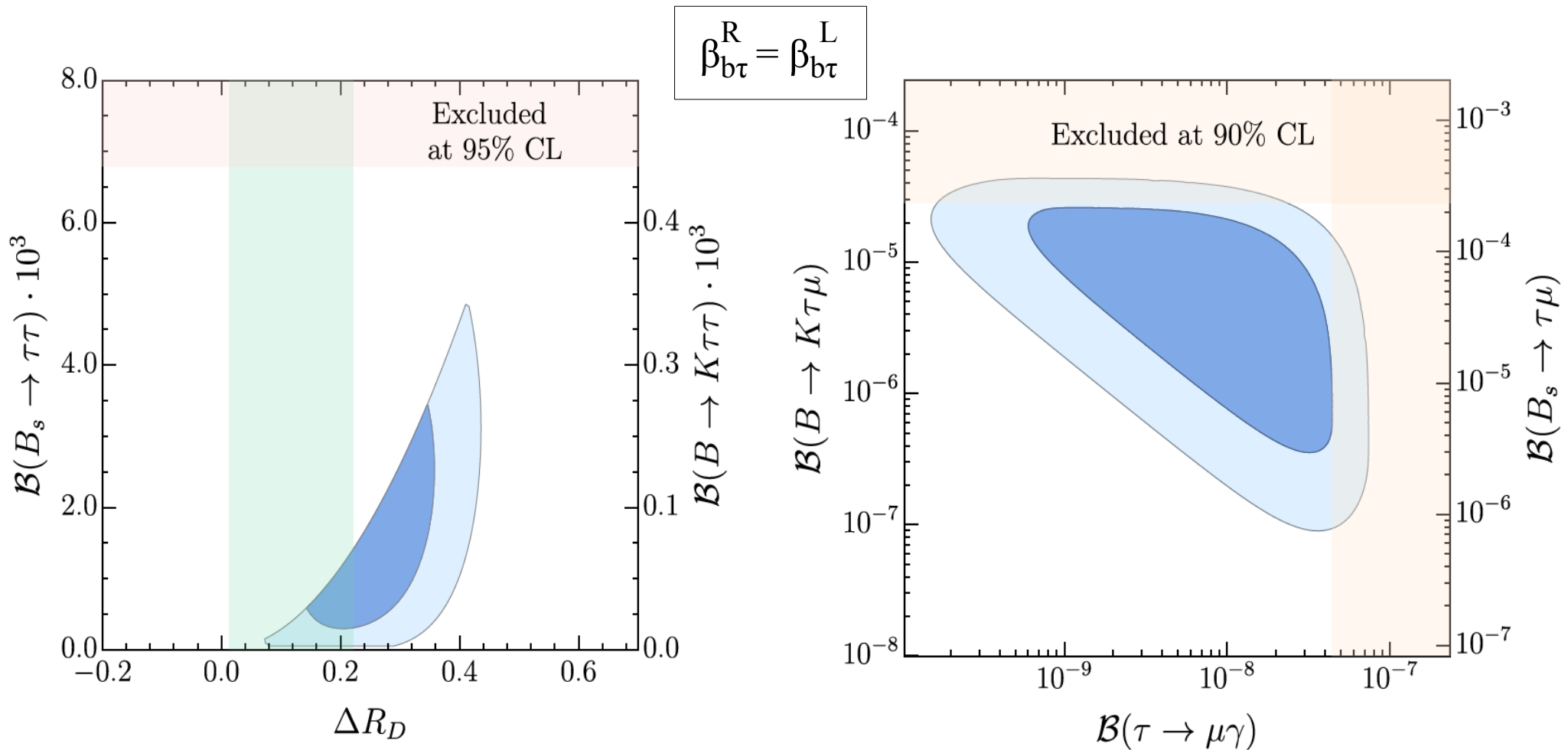
► A “consistent” simplified model for the U_1

The presence of RH couplings leads to significant differences at high- p_T :

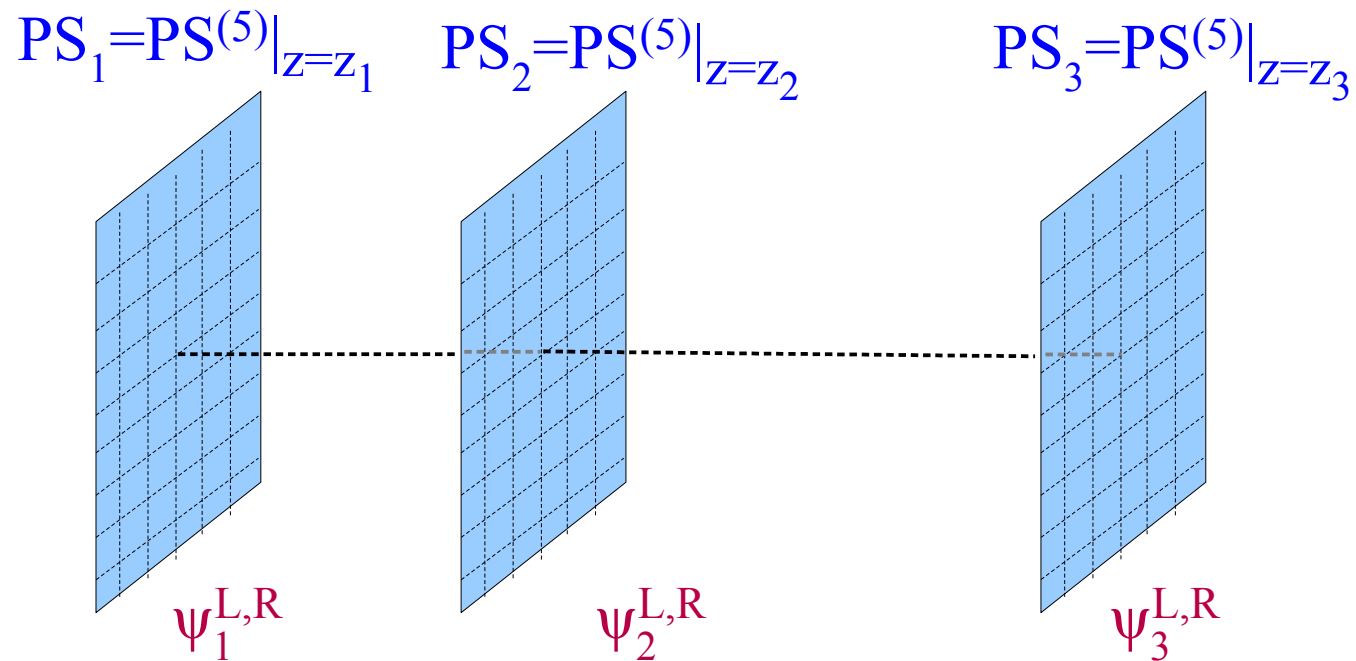


► A “consistent” simplified model for the U_1

Probably the most striking signature of large RH couplings is the (unavoidable) large enhancement of the helicity-suppressed $B(B \rightarrow \tau\tau)$ & $B(B \rightarrow \tau\mu)$:



Non-universal gauge interactions & the PS^3 hypothesis



► Toward a UV completion: the PS³ hypothesis

Starting observation: the gauge theory proposed in the 70's to unify quarks and leptons by Pati & Salam predicts a massive vector LQ with the correct quantum numbers to fit the anomalies:

Pati-Salam group: $SU(4) \times SU(2)_L \times SU(2)_R$

Fermions in SU(4):

$$\begin{bmatrix} Q_L^\alpha \\ Q_L^\beta \\ Q_L^\gamma \\ L_L \end{bmatrix} \quad \begin{bmatrix} Q_R^\alpha \\ Q_R^\beta \\ Q_R^\gamma \\ L_R \end{bmatrix}$$

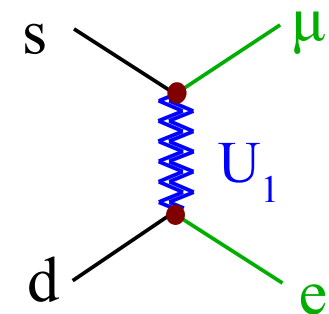
Main Pati-Salam idea:

Lepton number as “the 4th color”

The massive LQ [U_1] arise from the breaking $SU(4) \rightarrow SU(3)_C \times U(1)_{B-L}$

The problem of the “original PS model” are the strong bounds on the LQ couplings to 1st & 2nd generations [e.g. $M > 200 \text{ TeV}$ from $K_L \rightarrow \mu e$]

Interesting recent attempts to solve this problem adding extra fermions and/or modifying the gauge group [Calibbi, Crivellin, Li, '17; Di Luzio, Greljo, Nardecchia, '17]

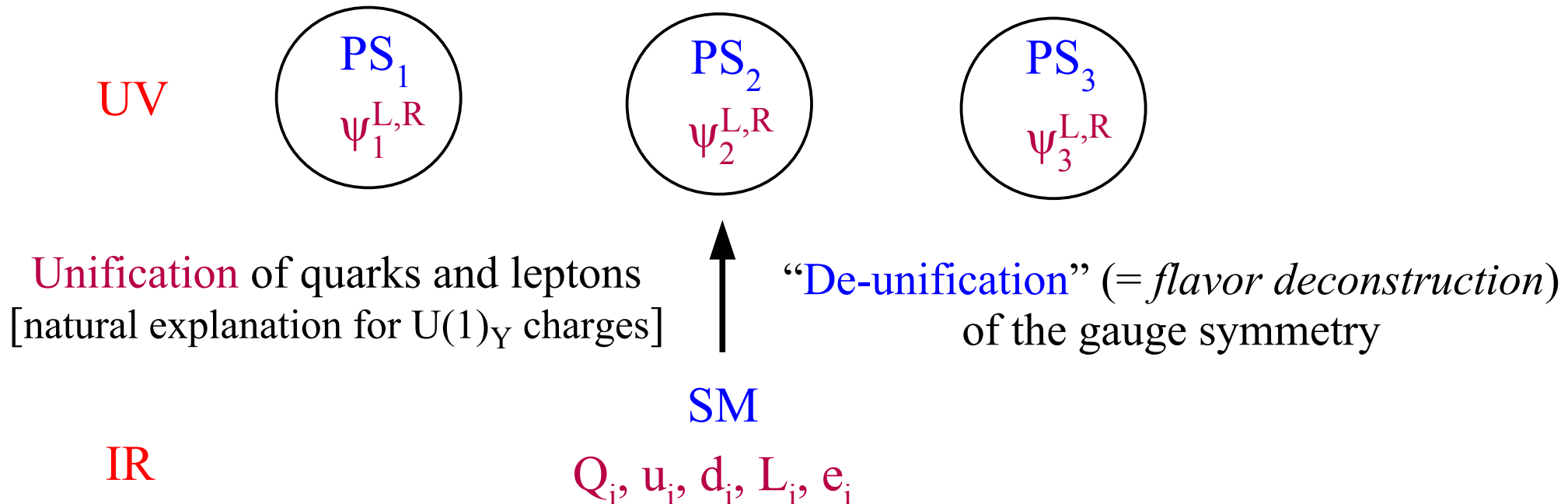


► The PS³ model

$$[\text{PS}]^3 = [\text{SU}(4) \times \text{SU}(2)_L \times \text{SU}(2)_R]^3$$

Bordone, Cornella,
Fuentes-Martin, GI, '17

Main idea: at high energies the 3 families are charged under 3 independent gauge groups (*gauge bosons carry a flavor index !*)



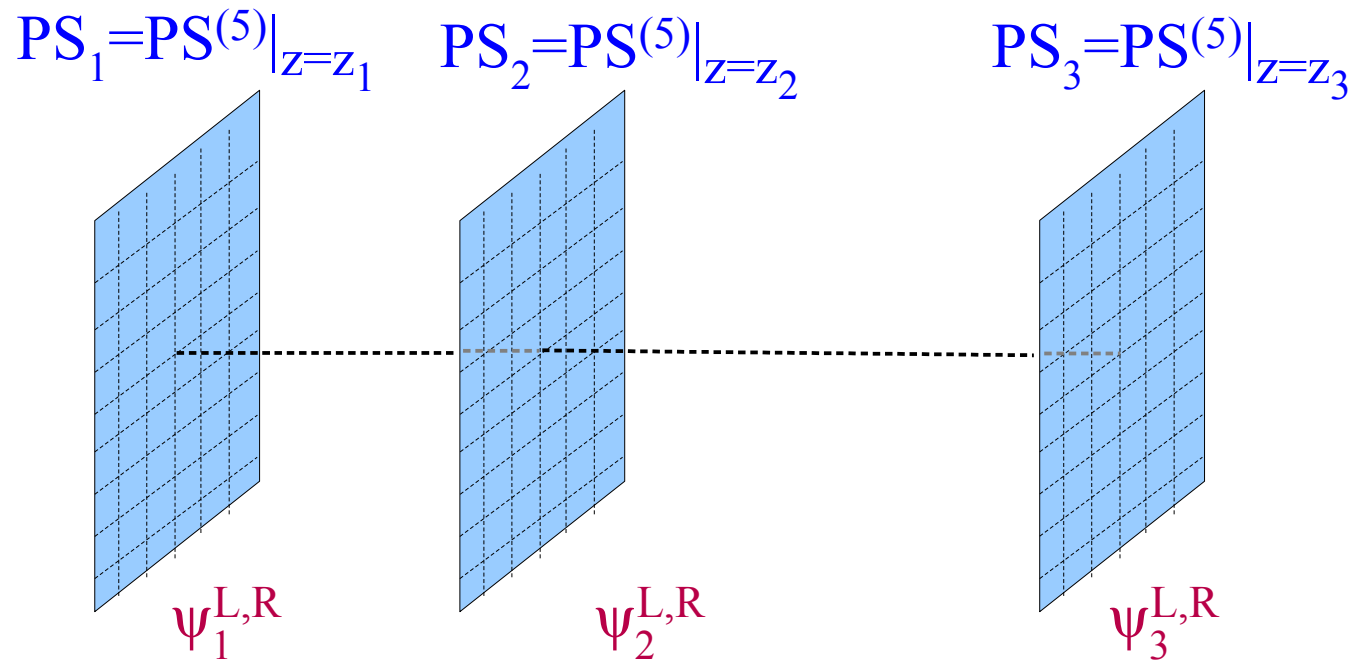
Key advantages:

- Light LQ coupled mainly to 3rd gen.
- Accidental U(2)⁵ flavor symmetry
- Natural structure of SM Yukawa couplings

► The PS³ model

$$[\text{PS}]^3 = [\text{SU}(4) \times \text{SU}(2)_L \times \text{SU}(2)_R]^3$$

Bordone, Cornella,
Fuentes-Martin, GI, '17



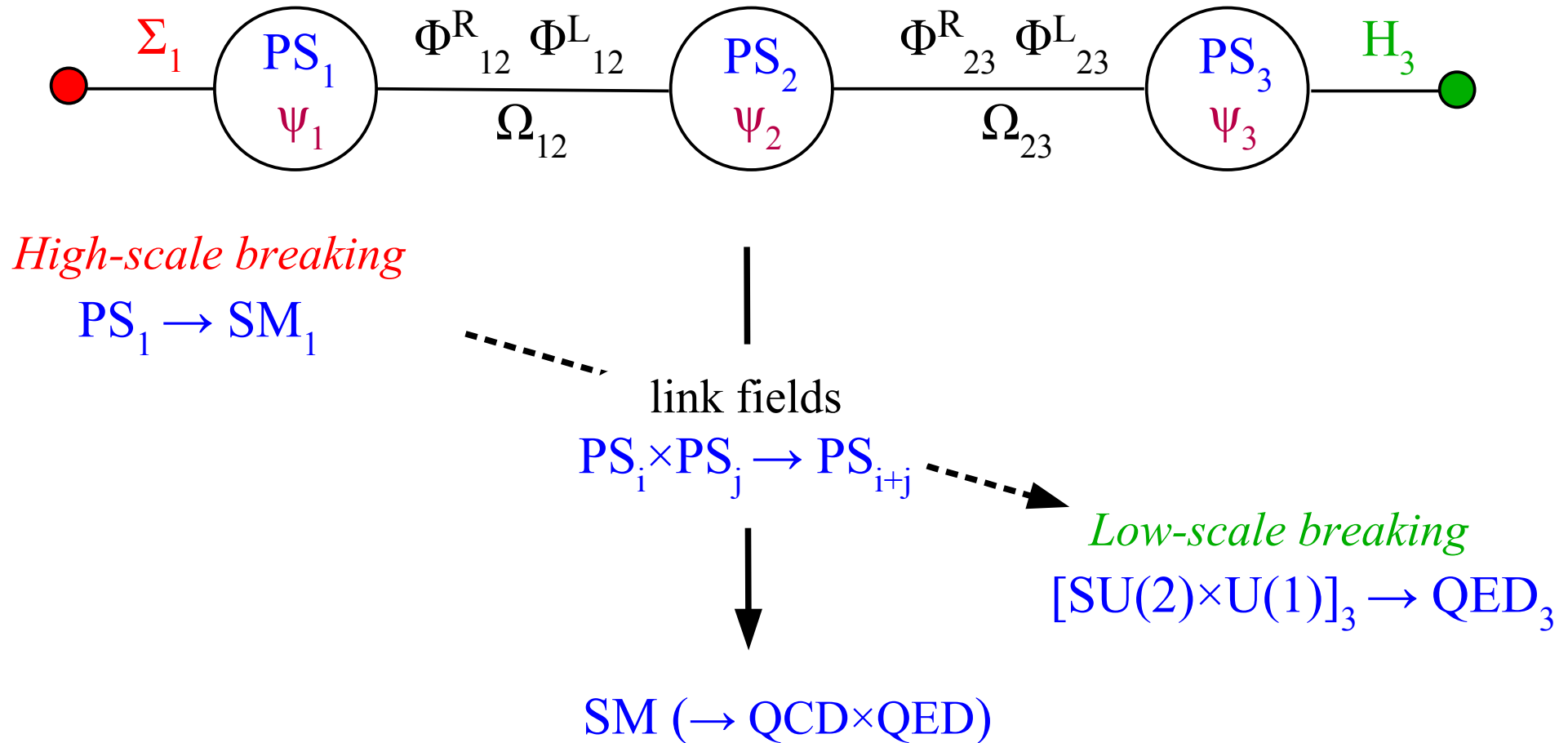
Unification
of quarks and leptons

“**De-unification**”
(= *flavor deconstruction*)
of the gauge symmetry

This construction can find a “natural” justification in the context of models with extra space-time dimensions

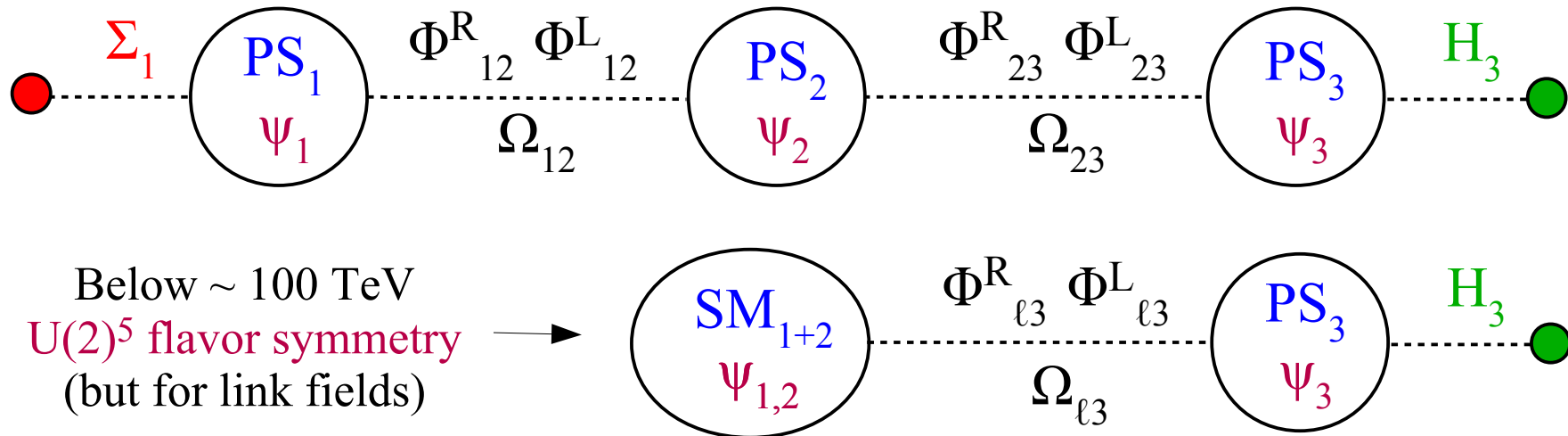
The 4D description is apparently more complex, but it allow us to derive precise low-energy phenomenological signatures (*4D renormalizable gauge model*)

► *The PS³ model*



- ★ The breaking to the diagonal SM group occurs via appropriate “link” fields, responsible also for the **generation of the hierarchy in the Yukawa couplings**.
- ★ The 2-3 breaking gives a **TeV-scale LQ** [+ Z' & G'] **coupled mainly to 3rd gen.**, as in the “4321” model [Di Luzio, Greljo, Nardecchia, '17]

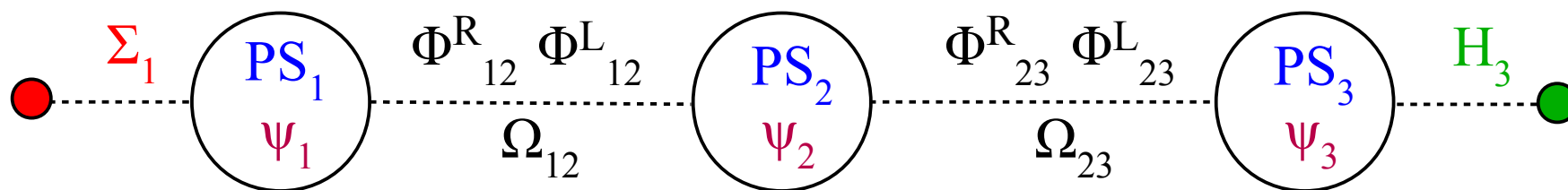
► The PS³ model



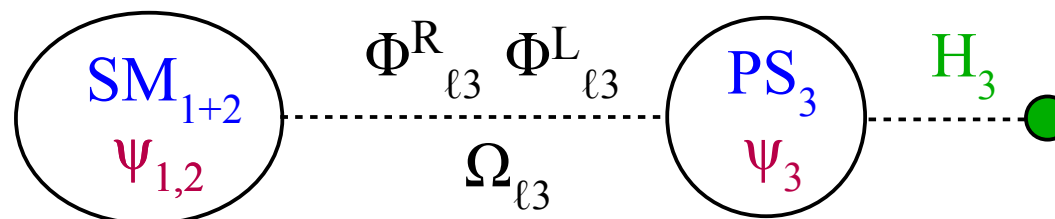
Leading flavor structure:

- Yukawa coupling for 3rd gen. only
- “Light” LQ field (from PS₃) coupled only to 3rd gen.
- $U(2)^5$ symmetry protects flavor-violating effects on light gen.

► The PS³ model



Below ~ 100 TeV
 $U(2)^5$ flavor symmetry
 (but for link fields)

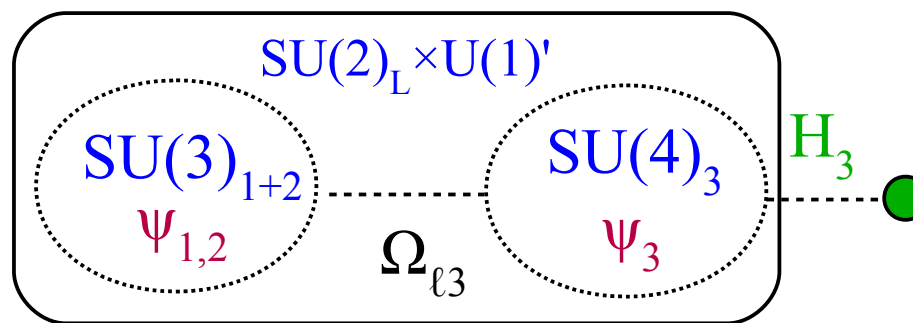


$\rightarrow W_L' + W_R' [\sim 5-10 \text{ TeV}]$

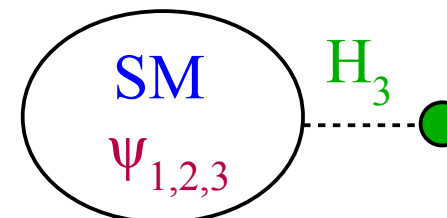
*Sub-leading Yukawa terms
 from higher dim ops:*

$$Y_U = \begin{bmatrix} \Delta & V \\ \vdots & y_t \end{bmatrix}$$

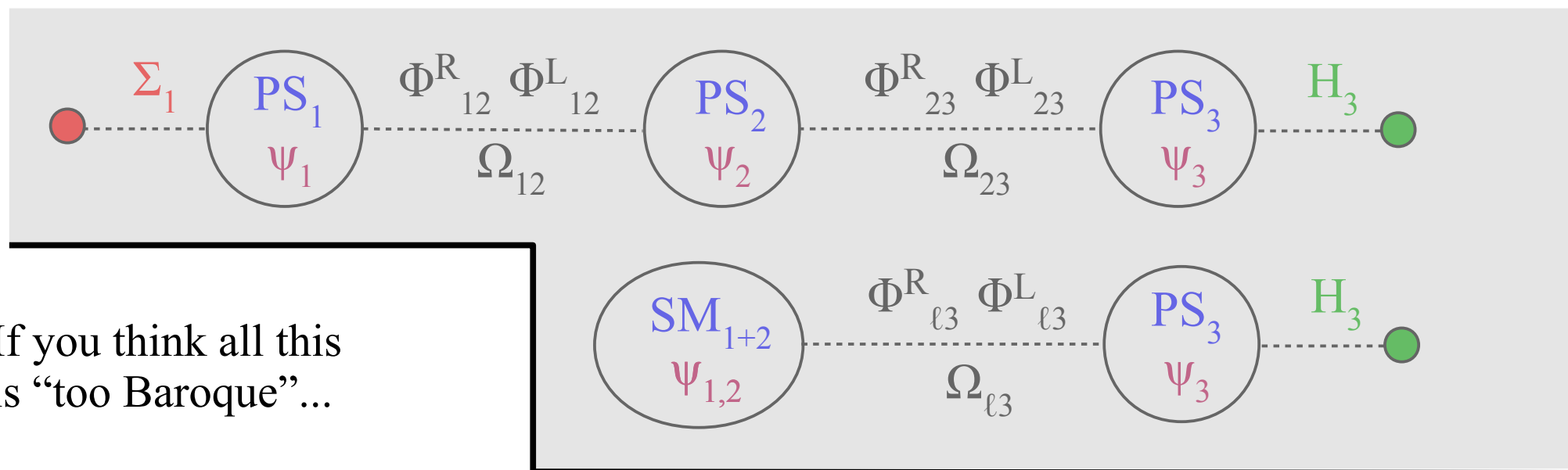
$$\frac{\langle \Phi_{\ell 3}^R \Phi_{\ell 3}^L \rangle}{(\Lambda_{23})^2} \quad \frac{\langle \Omega_{\ell 3} \rangle}{\Lambda_{23}}$$



$\rightarrow \text{LQ } [U_1] + Z' + G' [\sim 1-5 \text{ TeV}]$



► *The PS³ model*



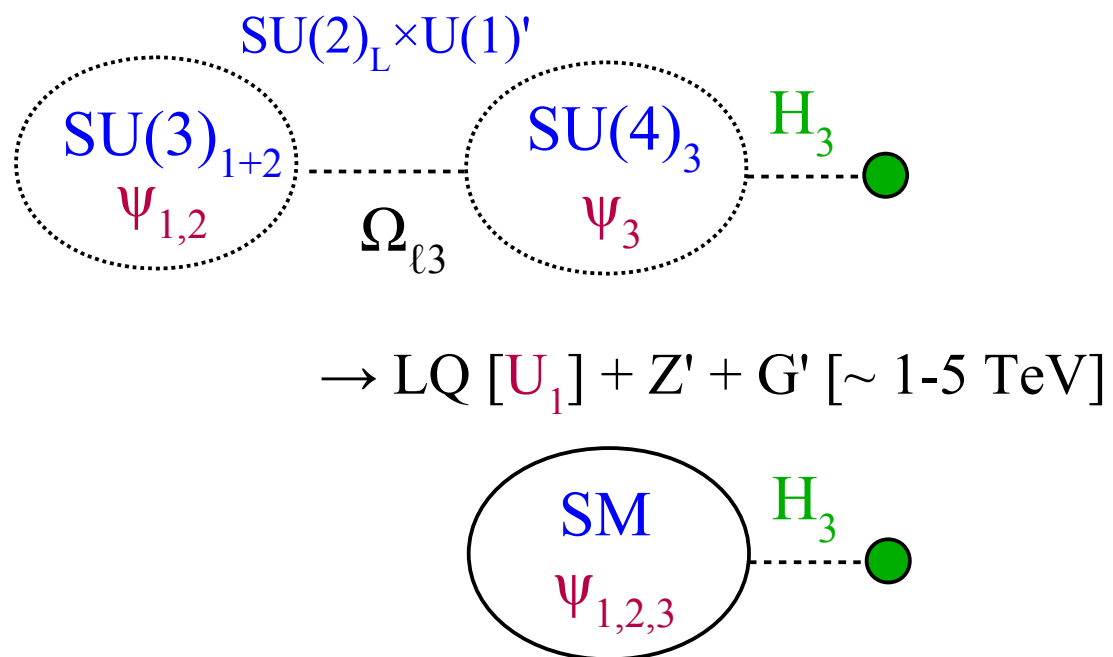
If you think all this is “too Baroque”...

...we can start here:

4321 (*flavor non-universal*) model:

$$Y_U = \begin{bmatrix} \Delta & V \\ \dots & y_t \end{bmatrix}$$

$\langle \Omega_{\ell 3} \rangle$
 Λ_{23}



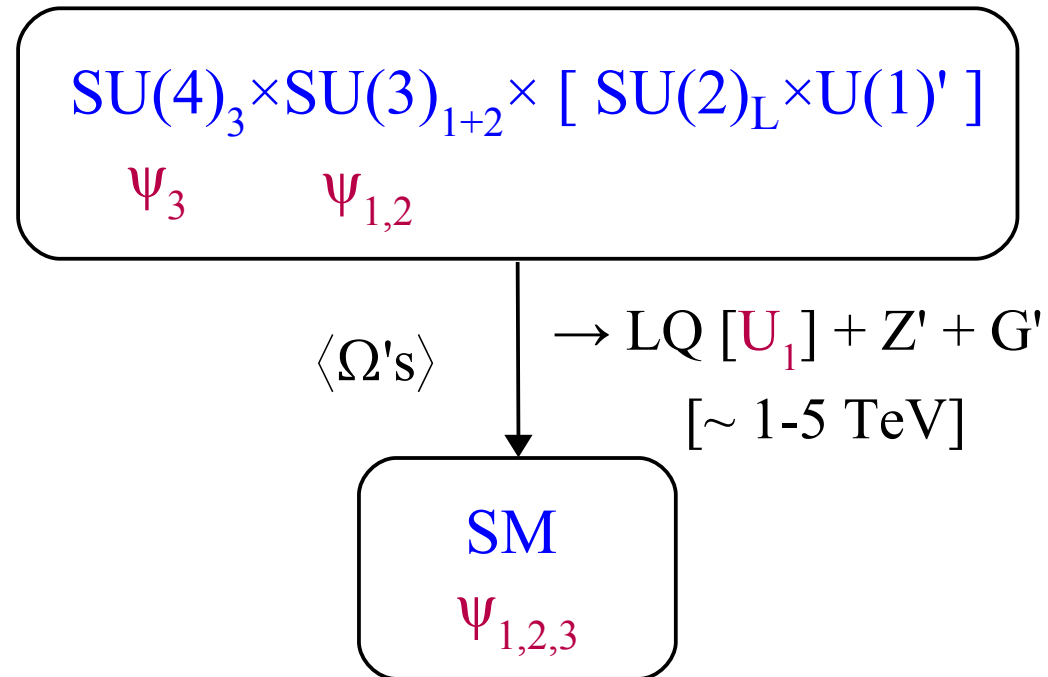
► The PS³ model

Present collider and low-energy pheno are all controlled by the last-step in the breaking chain [4321 → SM]

Despite the apparent complexity, the construction is highly constrained

Renormalizable structure (no d>5 ops) achieved with vector-like fermions

Field	SU(4)	SU(3)'	SU(2) _L	U(1)'
q_L^i	1	3	2	1/6
u_R^i	1	3	1	2/3
d_R^i	1	3	1	-1/3
ℓ_L^i	1	1	2	-1/2
e_R^i	1	1	1	-1
ψ'_L	4	1	2	0
ψ'_u	4	1	1	1/2
ψ'_d	4	1	1	-1/2
χ_L^i	4	1	2	0
χ_R^i	4	1	2	0
H_1	1	1	2	1/2
H_{15}	15	1	2	1/2
Ω_1	$\bar{4}$	1	1	-1/2
Ω_3	$\bar{4}$	3	1	1/6
Ω_{15}	15	1	1	0

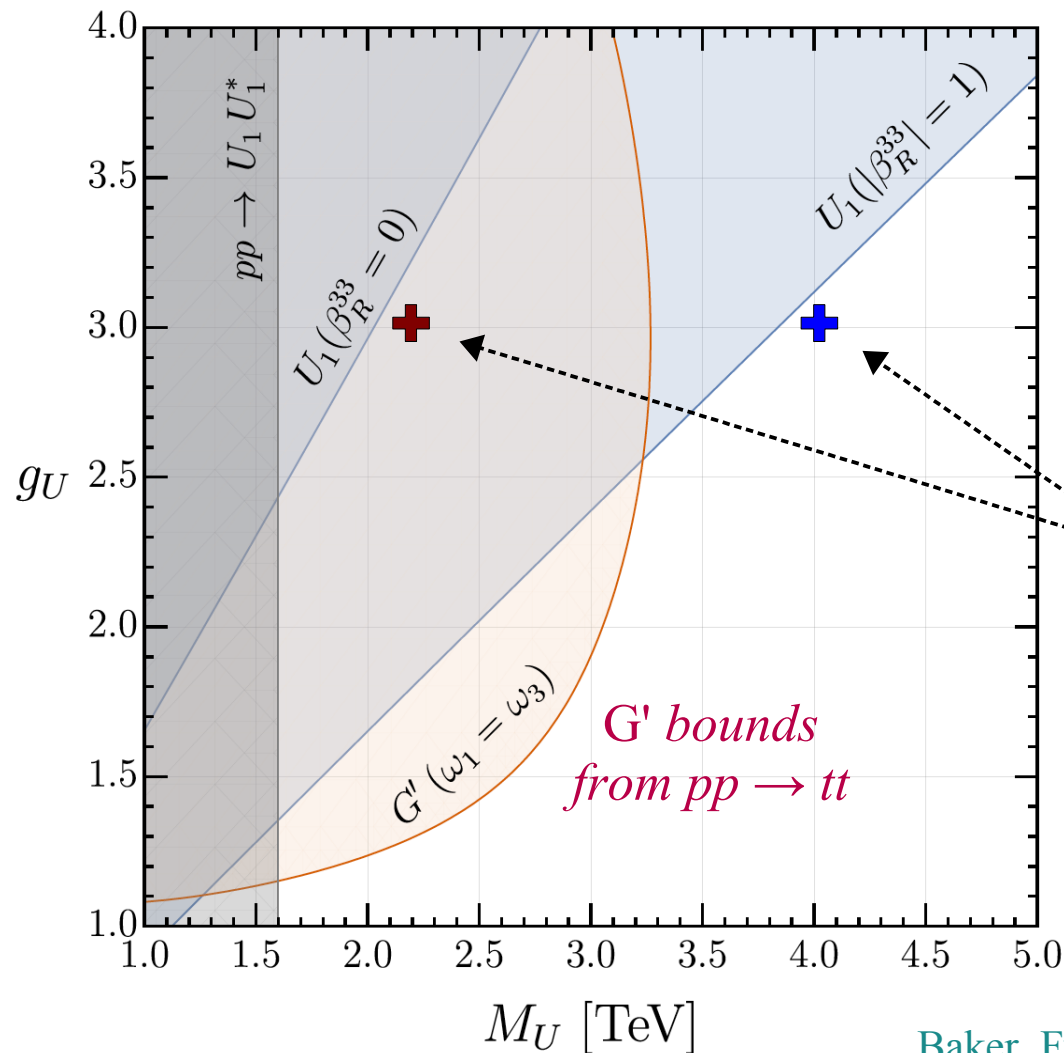


We can reproduce all the positive features the simplified model +
Calculability of ΔF=2 processes
 [in agreement with present data in large area of param. space]

Greljo, Stefaneke, '18; Di Luzio *et al.* '18; Cornella, Fuentes-Martin, GI, '19

► The PS³ model

A key difference between the simplified model and this complete UV model is the high-pT phenomenology, which now involves more states



The bounds on the coloron are less relevant in PS³ vs. the case of a pure LH coupled U_1

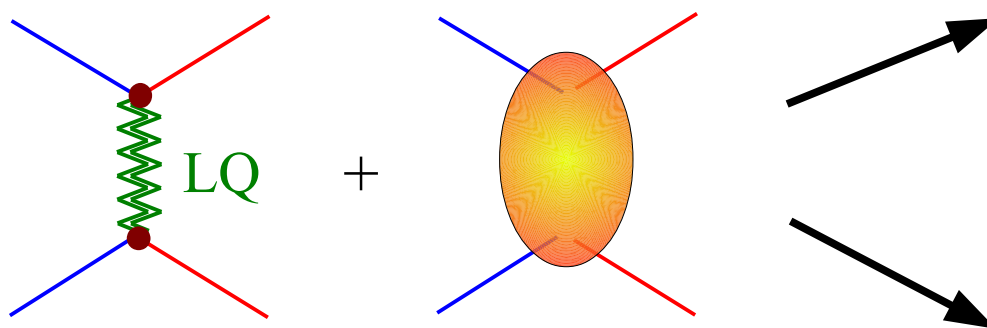
$\text{Same } U_1$
contrib. to R_D

► The PS³ model

A further important difference is that in a complete UV model we can compute precisely higher-order (quantum) corrections → fully predictive framework

Recent complete analysis of the leading NLO effects of $O(\alpha_4/\pi)$ on the four-fermion operators

Fuentes-Martin, GI, König, Selimovic, '19



20-40% enhancement of the low-energy 4-fermion ops. compared to tree-level (*at fixed on-shell coupl.*)
 => weaker high-energy constraints

Unavoidable breaking of the tree-level relation $C^{(1)} = C^{(3)}$ for semilept. ops. (at $\sim 10\%$ level)
 => unavoidable BSM contrib. to $B(B \rightarrow K^{(*)}\nu\nu)$ [10-100% vs. SM]

Conclusions

- The “**B-physics anomalies**” provide a concrete demonstration of the high discovery potential of flavor physics. Even if they will go away, they have been very beneficial in shaking some prejudices in model building and in (re-)opening new interesting directions.
 - If interpreted as NP signals, both set of anomalies are not in contradiction among themselves & with existing low- & high-energy data.
Taken together, they point to **NP coupled mainly to 3rd generation**, with a **flavor structure connected to that appearing in the SM Yukawa couplings**.
 - Simplified models with LQ states seem to be favored. Among them, the U_1 case **stands for simplicity & phenomenological success**.
The PS^3 model is an interesting example of (a class of) UV framework(s) which could host it, and could help to shed light on “old” SM problems.
- To understand if any of the two statements above is correct...
... we desperately need more data !!!!!