



15th International Workshop  
on Top-Quark Physics  
(TOP2022)

# CP of the top quark Yukawa

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# Prelude: SMEFT

At dim. 6:

- 59 operators for a single flavour
- 1350 CP-even and 1149 CP-odd  $C_i$  for three flavours
- Various flavour assumptions decrease these numbers, nonetheless many CPV sources waiting to be discovered

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \frac{1}{\Lambda^2} \sum_i C_i Q_i$$

- B. Grzadkowski et al. - *JHEP* 10 (2010) 085  
A. Greljo et al.- 2203.09561  
D. A. Faroughy et al. - *JHEP* 08 (2020) 166  
Q. Bonnefoy et al. - 2112.03889  
...

In top sector:

- many operators impact top physics (dipoles  $\psi^2 XH$ , 4-fermion, current operators  $\psi^2 H^2 D$ , ...)
- In this talk: modified Higgs Yukawa

$$\mathcal{L}_{\text{Yuk}} = -\bar{Q}_L \tilde{H} Y_u u_E + \frac{1}{\Lambda^2} (H^\dagger H) \bar{Q}_L \tilde{H} C'_{uH} u_R \quad \text{J. Brod et al. 2203.03736}$$

↓  
broken phase,  
mass eigenbasis       $C = U^\dagger C' W$

The  $\kappa$  framework:  $\mathcal{L}_\kappa = - \sum_f \frac{y_f^{\text{SM}}}{\sqrt{2}} \bar{f} (\kappa_f + i \tilde{\kappa}_f \gamma_5) f h$

$$\kappa_f \sim 1 - \frac{v}{\sqrt{2} m_f} \frac{v^2}{\Lambda^2} \text{Re} C_{fH}$$

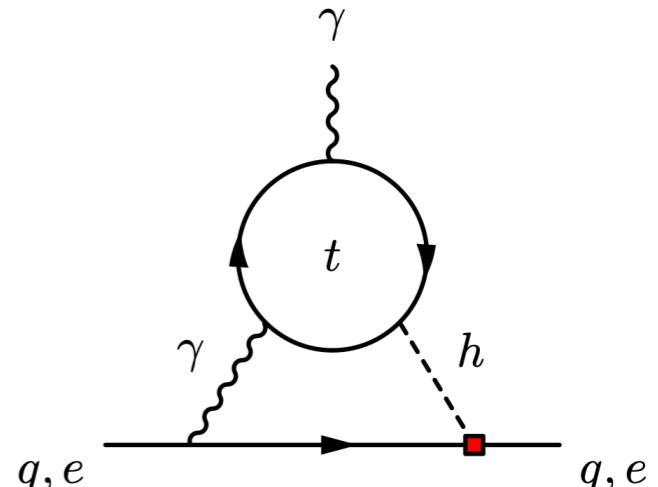
$$\tilde{\kappa}_f \sim \frac{v}{\sqrt{2} m_f} \frac{v^2}{\Lambda^2} \text{Im} C_{fH} \longrightarrow \mathbf{CP \ violation}$$

# CP nature of the top Yukawa

- Effective top quark Yukawa:  $\mathcal{L}_{ht} = -\frac{y_t}{\sqrt{2}} \bar{t}(\kappa + i\tilde{\kappa}\gamma_5)th$      $y_t$  is  $\mathcal{O}(1)$   $\rightarrow$  clear target at LHC  
SM limit with  $\kappa = 1$ ,  $\tilde{\kappa} = 0$
- Measurements of H(125) couplings to gauge bosons consistent with spin-0 CP even state
- 2HDM, composite Higgs, ... models contain modified CP structures of Yukawas
- Also interesting in context of BAU, understanding the Higgs mechanism, ...
- Still room for  $\tilde{\kappa} \neq 0 \rightarrow$  clear sign of NP! **How can we best probe it?**
- Indirect probes of  $\tilde{\kappa}$ :**
  - Various collider cross sections, e.g.
    - gluon fusion  $gg \rightarrow h$ ,
    - $h \rightarrow \gamma\gamma$  branching ratio,
    - various associated productions  
 $pp \rightarrow t\bar{t}h, pp \rightarrow thj, \dots$
  - Various EDMs (electron, neutron, mercury)

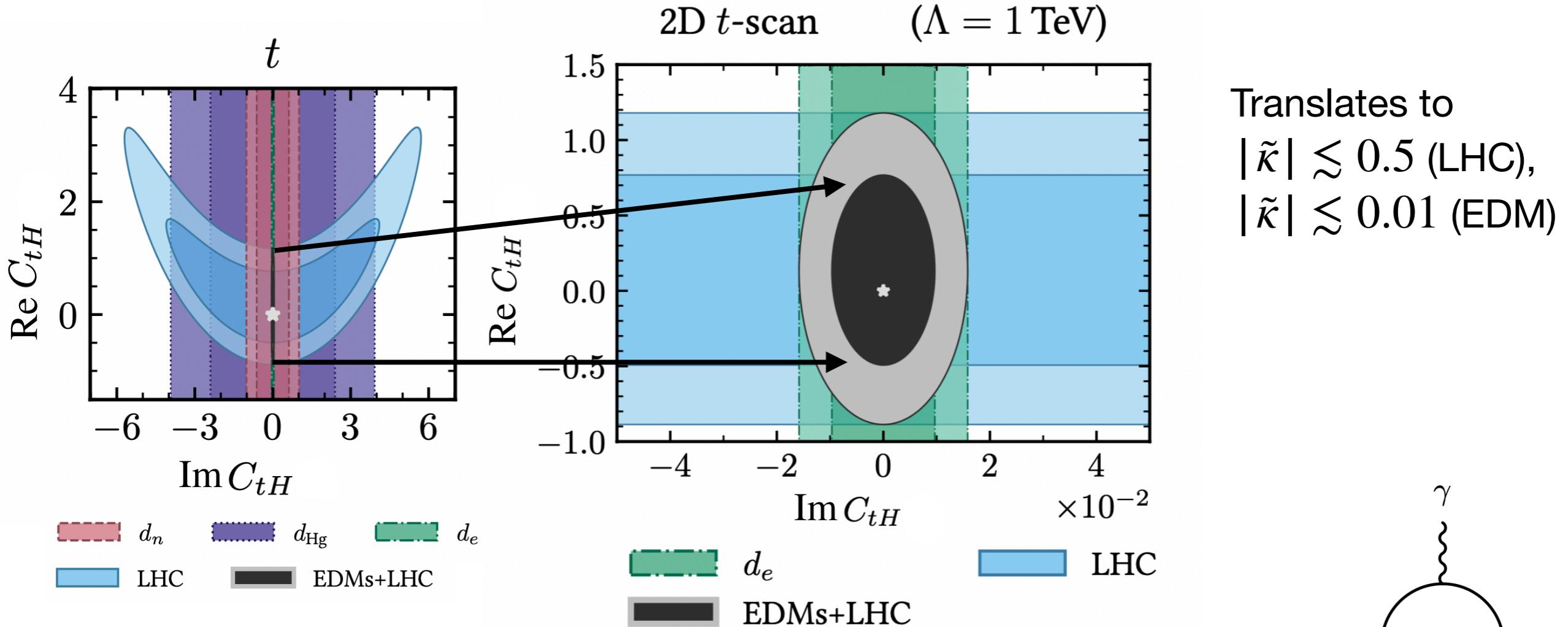
$\blacktriangleright$  **Indirect as  $d(\tilde{\kappa} = 0) \neq 0$  (i.e. other  $\tilde{\kappa}_f$  active)**
- Direct probes of  $\tilde{\kappa}$ :**

**Observables  $\mathcal{O}$  with  $\mathcal{O} \sim \tilde{\kappa}$  and  $\mathcal{O}(\tilde{\kappa} = 0) = 0$**

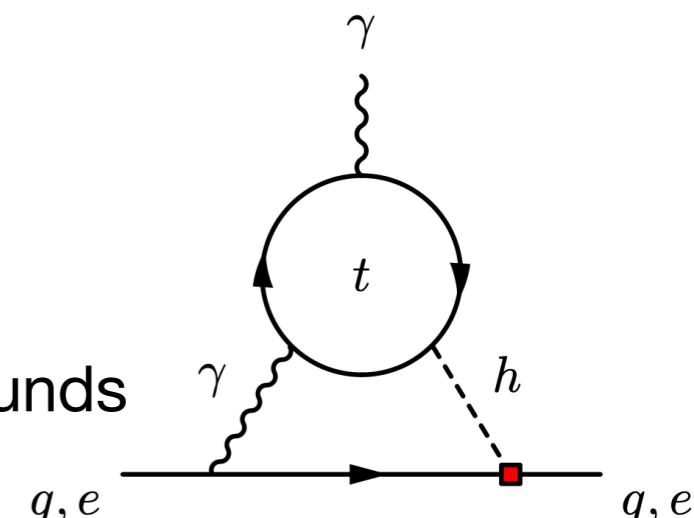


# Indirect probes

Interplay of LHC and EDM constraints:



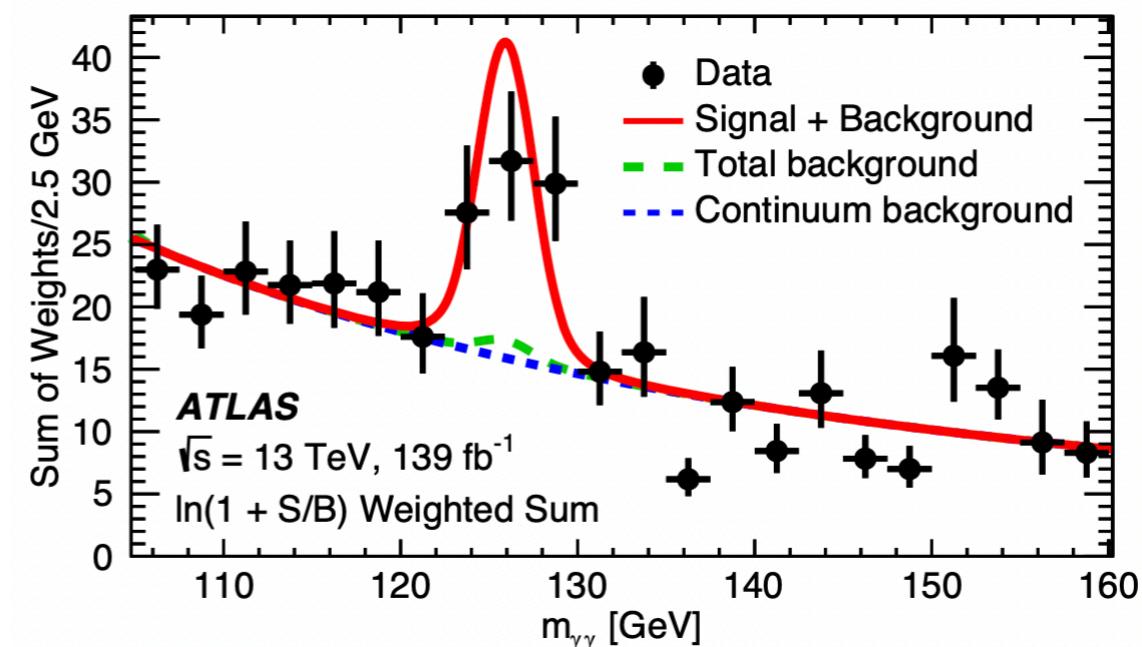
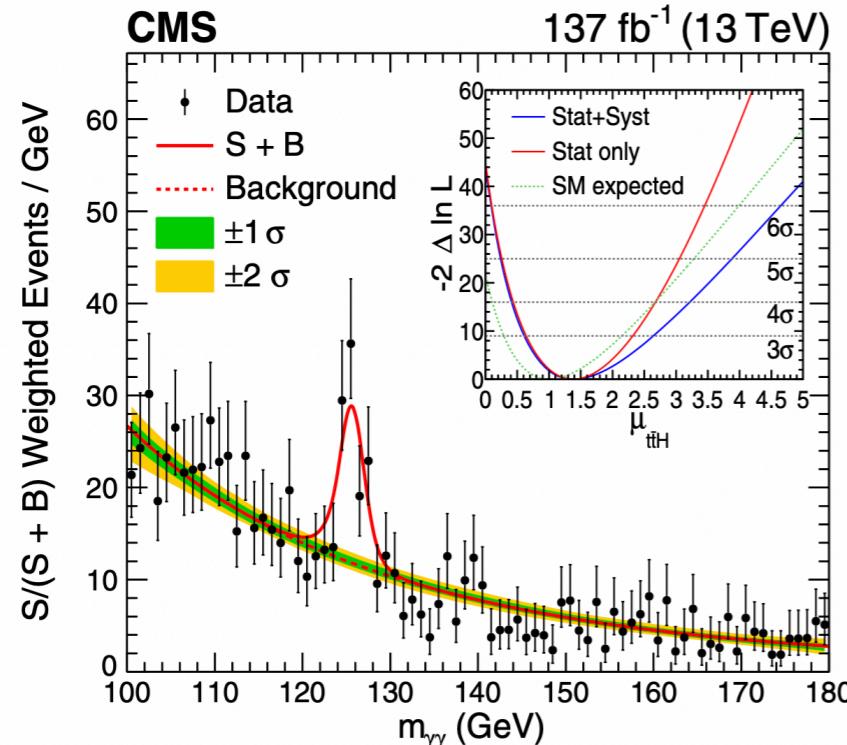
However, relaxing the assumption of SM  $y_e, y_q$  evades the EDM bounds



Another interesting interplay: allowing for  $\tilde{\kappa}_b$  allows for some (but not total) cancellation between  $\tilde{\kappa}_b$  and  $\tilde{\kappa}_t$ , in line with  $h \rightarrow b\bar{b}$

# CMS and ATLAS (2020)

Both achieved a remarkable first observation of  $pp \rightarrow t\bar{t}h$  in a single  $h \rightarrow \gamma\gamma$  channel



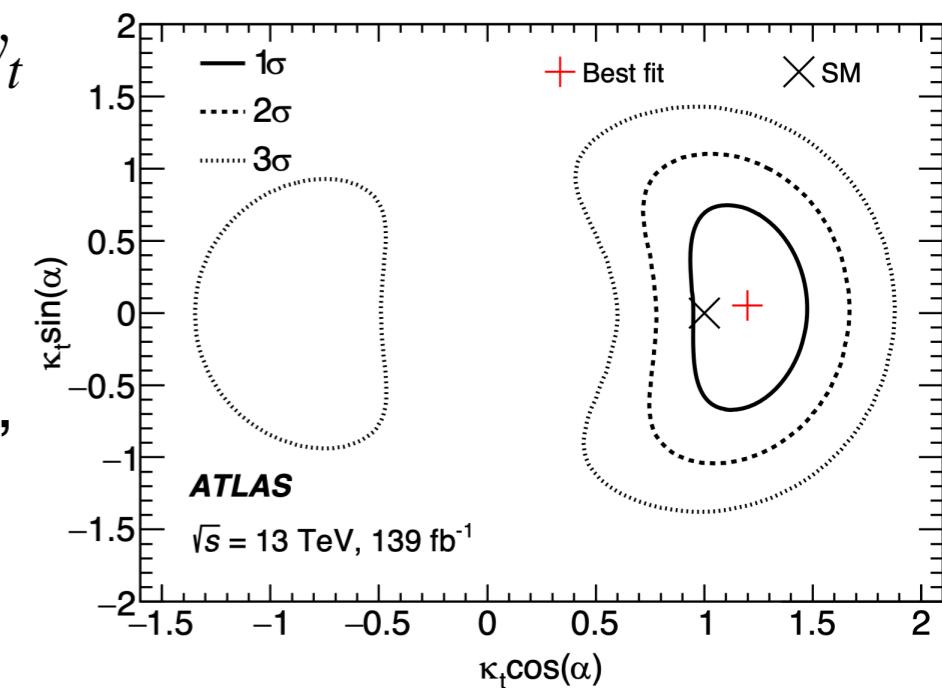
Both carried out dedicated analyses of the CP structure of  $y_t$

- ATLAS employing a CP BDT, trained to separate CP-even from CP-odd couplings
- CMS employing matrix element methods, however the discriminant  $\mathcal{D}_{CP}$  requires tagging the flavour of light jets, thus has not been measured.

**95% C.L. bounds:**

**CMS:**  $|\tilde{\kappa}| < 1.4$

**ATLAS:**  $|\tilde{\kappa}| < 1.1$



# Towards direct probes

A plethora of proposals to measure  $\tilde{\kappa}$  in the literature

1507.07926, 1501.03157, 1312.5736, 2104.04277, 2208.14051, 2205.09983

1606.03107, 1503.07787, 1711.05292, 2208.04271, + many more..

**In this talk: laboratory-frame manifestly CP-odd observables**

**E.g. Boudjema et al (1501.03157):**

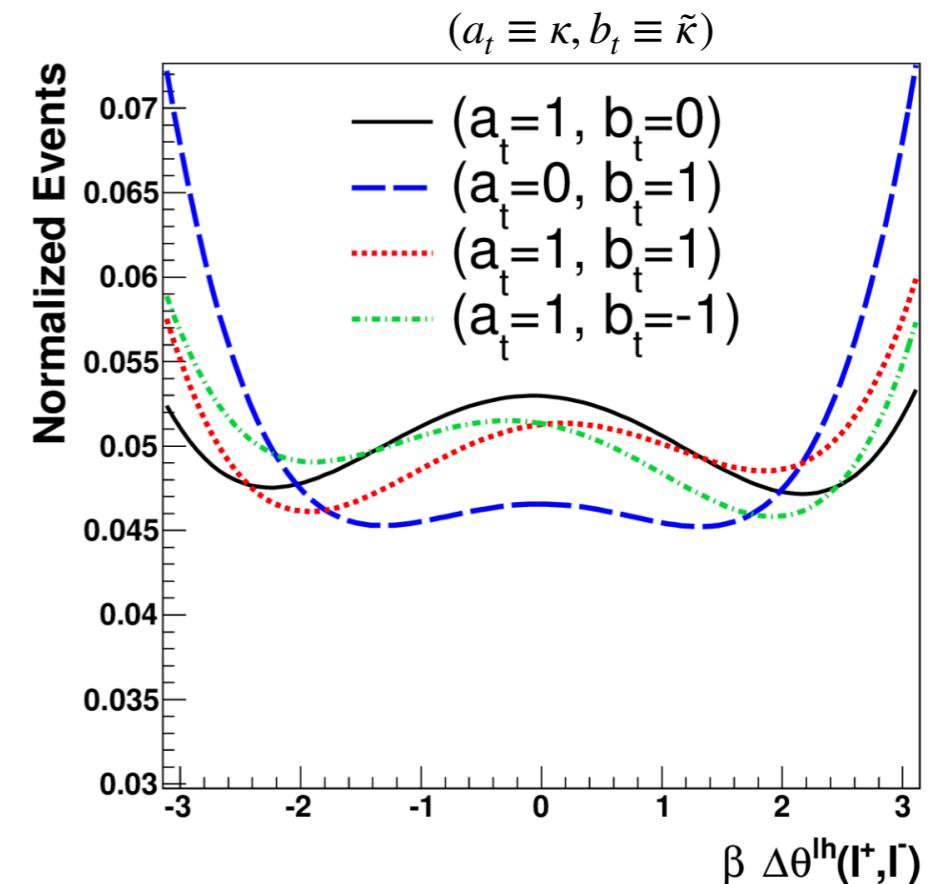
- study  $pp \rightarrow t\bar{t}h$  with dileptonic  $t\bar{t}$
- use  $t\bar{t}$  decay product momenta  $p_b, p_{\bar{b}}, p_{\ell^+}, p_{\ell^-}$  to construct a CP-odd variable in the lab. frame:

$$\beta \equiv \text{sgn} ((\vec{p}_b - \vec{p}_{\bar{b}}) \cdot (\vec{p}_{\ell^-} \times \vec{p}_{\ell^+}))$$

$$\cos(\Delta\theta^{\ell h}(\ell^+, \ell^-)) = \frac{(\vec{p}_h \times \vec{p}_{\ell^+}) \cdot (\vec{p}_h \times \vec{p}_{\ell^-})}{|\vec{p}_h \times \vec{p}_{\ell^+}| |\vec{p}_h \times \vec{p}_{\ell^-}|}$$

(also Bernreuther et al 1993)

- However, b-tagged jet charge discrimination is required



**Remainder of the talk:** focus on

B. Bortolato, J. F. Kamenik, N. Kosnik, A. S. - *Nucl.Phys.B* 964 (2021) 115328

D. Faroughy, J. F. Kamenik, N. Kosnik, A. S. - *JHEP* 02 (2020) 085

# Lab. frame direct probes of $\tilde{\kappa}$

Assumptions:

- $pp \rightarrow t\bar{t}h$  with dileptonic  $t\bar{t}$
- Higgs momentum reconstructed

Notice:

- No b-jet charge discrimination
- Any Higgs decay mode allowed

Construct CP-odd variables using the resolved  $t\bar{t}$  decay products and the Higgs momentum in the lab. frame:

	$p_h$	$p_{\ell^-} + p_{\ell^+}$	$p_{\ell^-} - p_{\ell^+}$	$p_b + p_{\bar{b}}$	$p_b - p_{\bar{b}}$
C	+	+	-	+	-
P	-	-	-	-	-
CP	-	-	+	-	+

The variables  $\omega_i$  should be:

- C-even and P-odd
- Even under  $b \leftrightarrow \bar{b}$

Examples:

$$\omega_{22} = p_h \times (p_{\ell^-} + p_{\ell^+}) \cdot (p_b + p_{\bar{b}})$$

$$\omega_6 = \left[ (p_{\ell^-} \times p_{\ell^+}) \cdot (p_b + p_{\bar{b}}) \right] \left[ (p_{\ell^-} - p_{\ell^+}) \cdot (p_b + p_{\bar{b}}) \right]$$

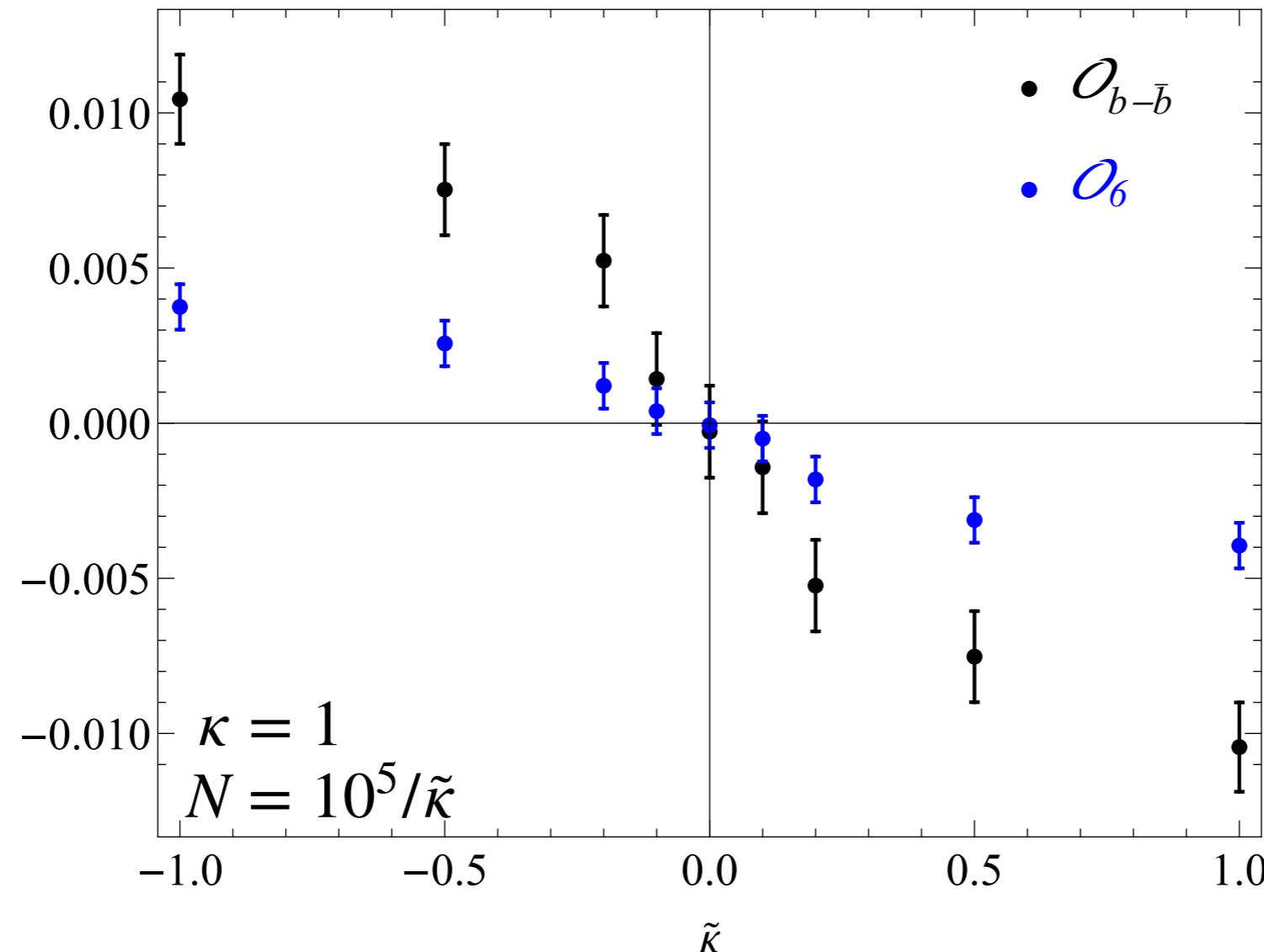
CP-odd observables simply mean over dataset:  $\mathcal{O}_i = \langle \omega_i \rangle$

## Example behaviour of manifestly CP-odd observables:

$$\omega_{b-\bar{b}} = (\mathbf{p}_b - \mathbf{p}_{\bar{b}}) \cdot (\mathbf{p}_{\ell^-} \times \mathbf{p}_{\ell^+}) \quad \text{(1501.03157)}$$

$$\omega_6 = [(\mathbf{p}_{\ell^-} \times \mathbf{p}_{\ell^+}) \cdot (\mathbf{p}_b + \mathbf{p}_{\bar{b}})] [(\mathbf{p}_{\ell^-} - \mathbf{p}_{\ell^+}) \cdot (\mathbf{p}_b + \mathbf{p}_{\bar{b}})]$$

$$\mathcal{O}_i = \langle \omega_i \rangle$$

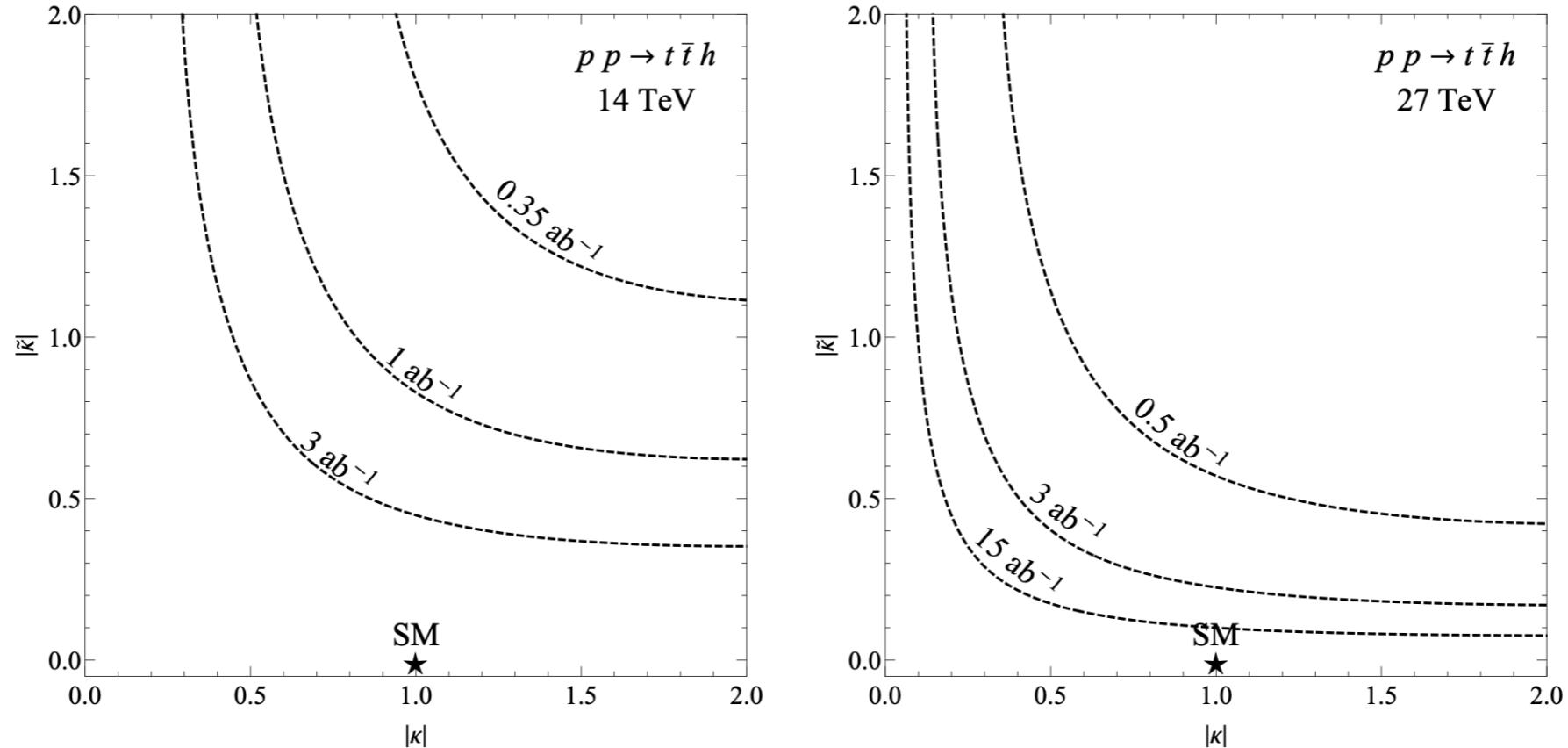


### Key points:

- $\mathcal{O}_i$  sensitive to the sign of  $\tilde{\kappa}$ , zero when  $\tilde{\kappa} = 0$
- Measurable from lab. frame quantities - no need to reconstruct the  $t\bar{t}$  c.o.m. frame
- Our proposed  $\omega_i$  do not depend on b-jet charge discrimination

The properties of e.g.  $\mathcal{O}_6$  make it straightforward to measure, even after accounting for showering, hadronization, and detector effects

Example  $2\sigma$  exclusion bounds after Pythia and Delphes:



Here:

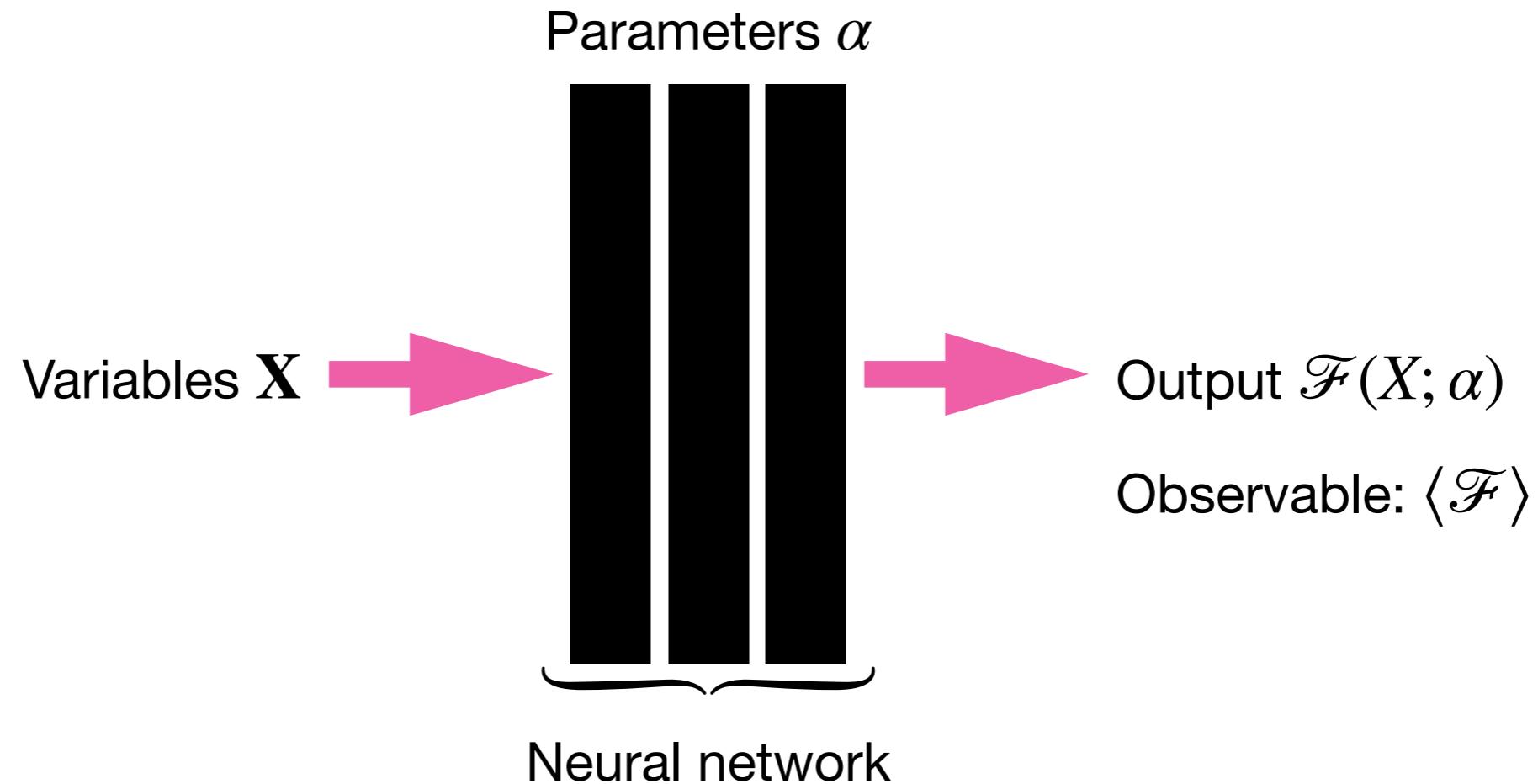
- $h \rightarrow b\bar{b}$  channel assumed
- main irreducible background  $pp \rightarrow t\bar{t}b\bar{b}$  included
- require 4 or more jets of which at least 3 are b-tagged + 2 oppositely charged leptons
- identify two b-jets or b-jet + jet belonging to  $h$  with a window of  $(125 \pm 15)$  GeV
- remaining b-jets + two leptons assumed to belong to top quarks
- assume to measure 0 with estimated statistical uncertainty for each luminosity

Note: if a non-zero value is measured, the bounds are asymmetric in the  $\kappa - \tilde{\kappa}$  plane  
(will show an example later)

# Further optimisation

We found 22 CP-odd variables  $\omega_i$  satisfying all conditions, up to mass-dimension 5

To optimise the sensitivity to  $\tilde{\kappa}$  we employ neural networks as universal function approximators



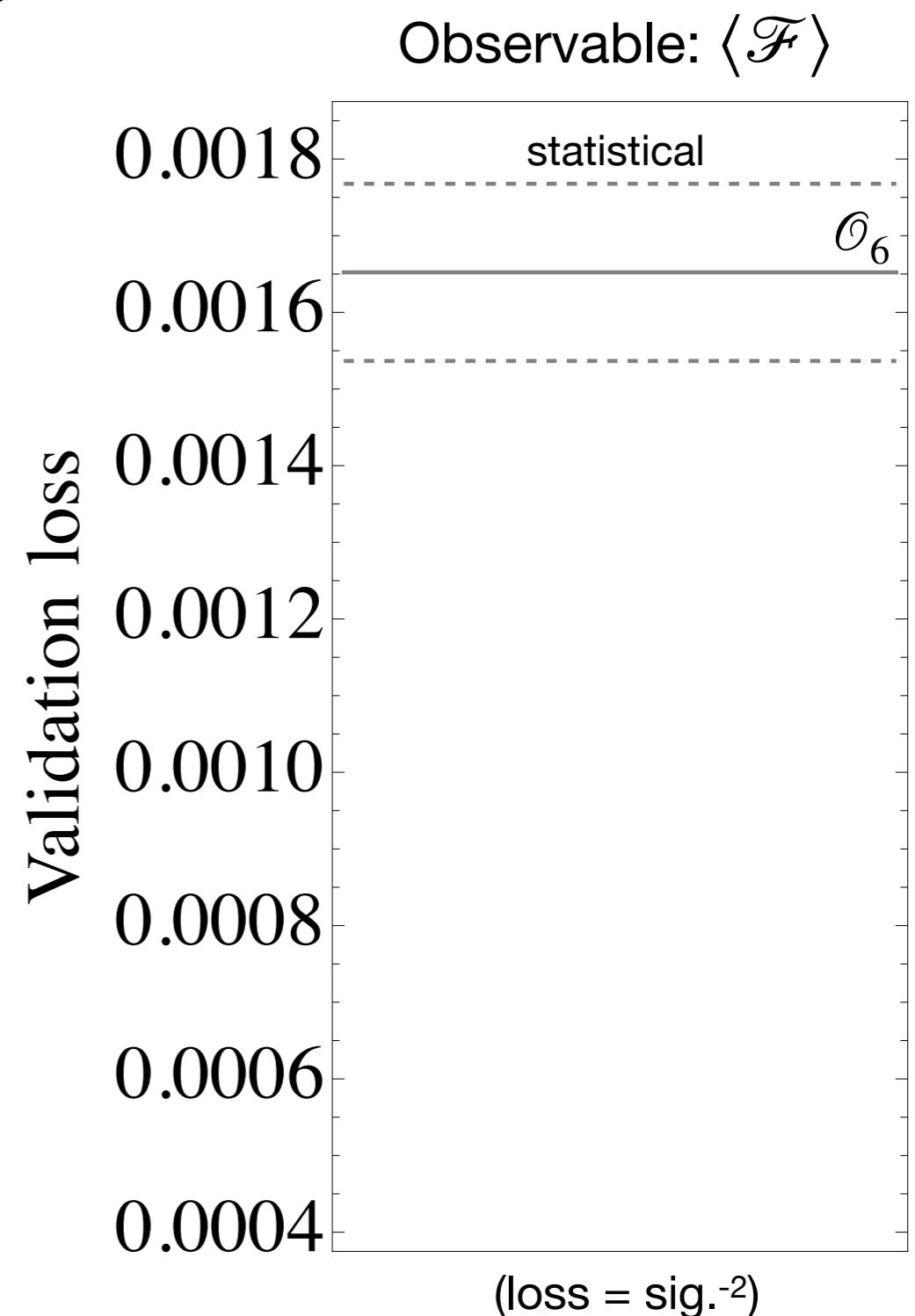
Optimisation goal: **maximize resulting observable significance**

$$\text{loss}(\boldsymbol{\alpha}) = \left( \frac{\text{mean}(\mathcal{F}(X; \boldsymbol{\alpha}))}{\text{std}(\mathcal{F}(X; \boldsymbol{\alpha}))/\sqrt{N}} \right)^{-2}$$

Optimisation procedure:

- simulate  $10^7$  events with fixed  $\kappa = \tilde{\kappa} = 1$  using Madgraph
- train on a set of  $7.5 \times 10^6$  events using Tensorflow
- validation sample of  $2.5 \times 10^6$  events

Approaches to optimisation:



Optimisation procedure:

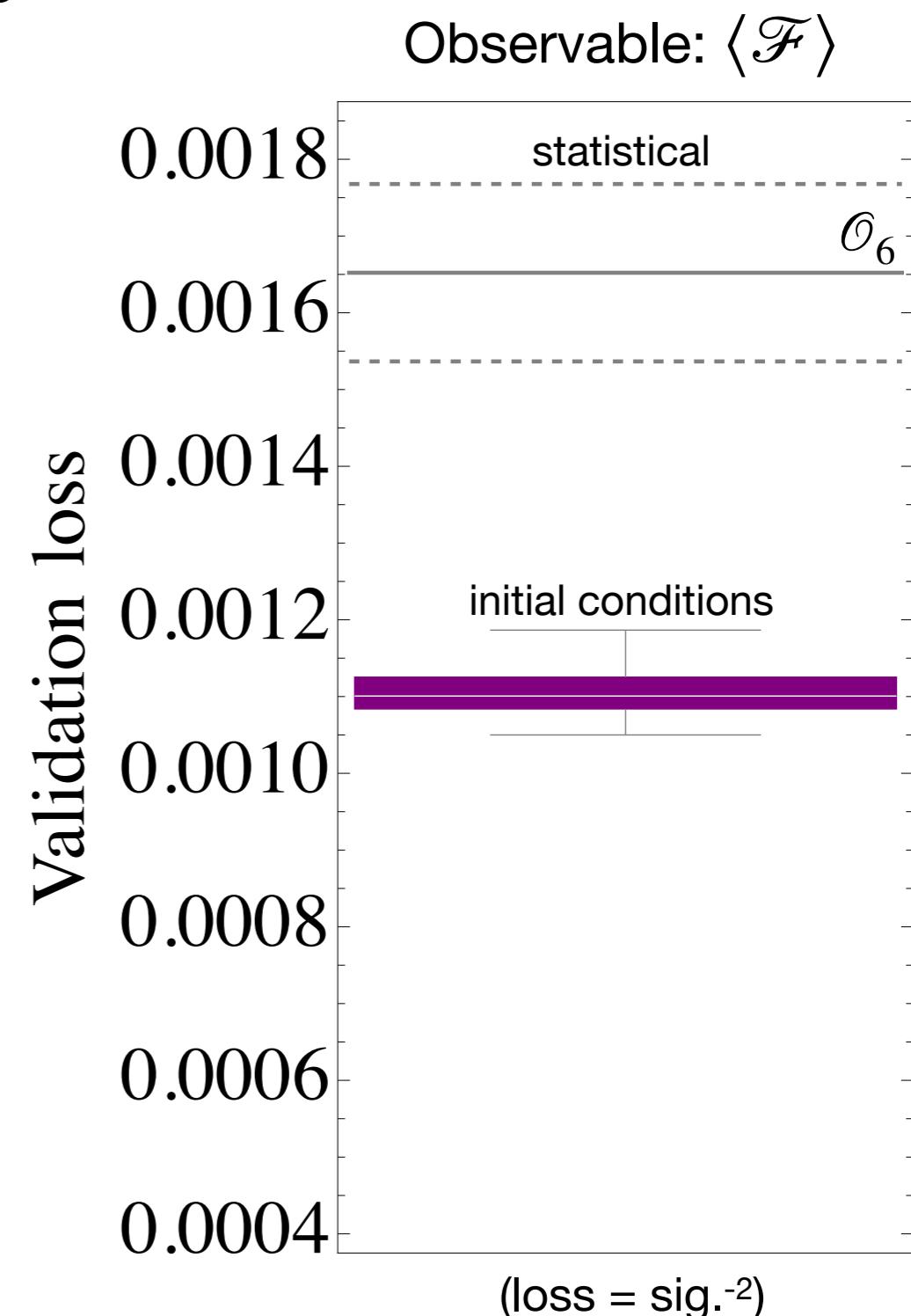
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Approaches to optimisation:

### 1) Phase space optimisation of a single $\omega_i$

$$\mathcal{F}(\mathbf{x}, \omega_i; \boldsymbol{\alpha}) = \underbrace{f(\mathbf{x}; \boldsymbol{\alpha})}_{\text{NN-optimal weight function}} \omega_i$$

$$\text{with } \mathbf{x} = \begin{pmatrix} (\mathbf{p}_{\ell^+} + \mathbf{p}_{\ell^-}) \cdot \mathbf{p}_h \\ (\mathbf{p}_{\ell^+} + \mathbf{p}_{\ell^-}) \cdot (\mathbf{p}_b + \mathbf{p}_{\bar{b}}) \\ (\mathbf{p}_b + \mathbf{p}_{\bar{b}}) \cdot \mathbf{p}_h \\ \mathbf{p}_{\ell^+} \cdot \mathbf{p}_{\ell^-} \\ \mathbf{p}_b \cdot \mathbf{p}_{\bar{b}} \end{pmatrix}$$



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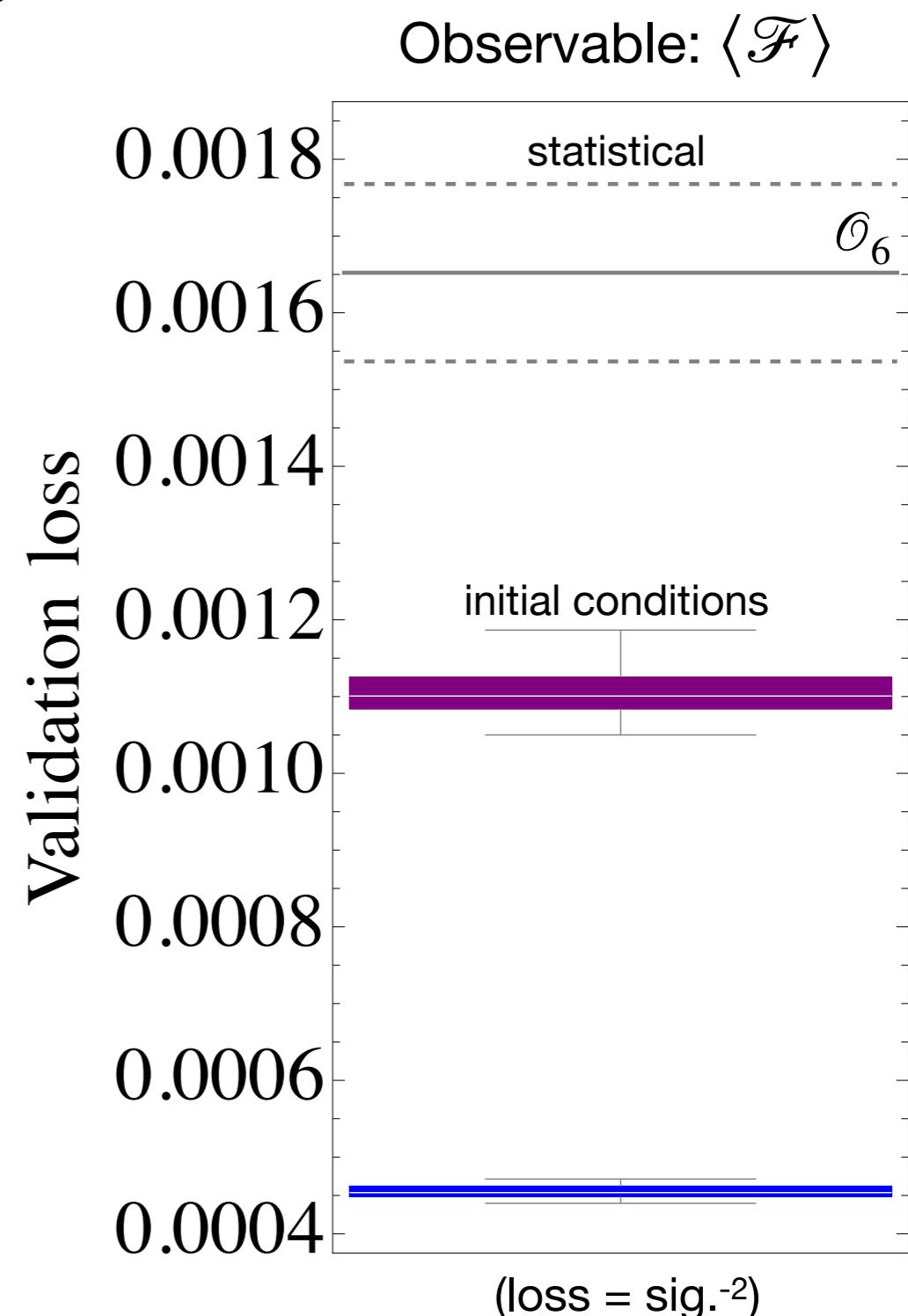
### 1) Phase space optimisation of a single $\omega_i$

$$\mathcal{F}(x, \omega_i; \alpha) = \underbrace{f(x; \alpha)}_{\text{NN-optimal weight function}} \omega_i$$

$$\text{with } x = \begin{pmatrix} (\mathbf{p}_{\ell^+} + \mathbf{p}_{\ell^-}) \cdot \mathbf{p}_h \\ (\mathbf{p}_{\ell^+} + \mathbf{p}_{\ell^-}) \cdot (\mathbf{p}_b + \mathbf{p}_{\bar{b}}) \\ (\mathbf{p}_b + \mathbf{p}_{\bar{b}}) \cdot \mathbf{p}_h \\ \mathbf{p}_{\ell^+} \cdot \mathbf{p}_{\ell^-} \\ \mathbf{p}_b \cdot \mathbf{p}_{\bar{b}} \end{pmatrix}$$

### 2) NN as a CP-odd observable

$$\mathcal{F}(X; \alpha) = F(\omega; \alpha) \text{ with } F(\omega; \alpha) = -F(-\omega; \alpha)$$



Optimisation procedure:

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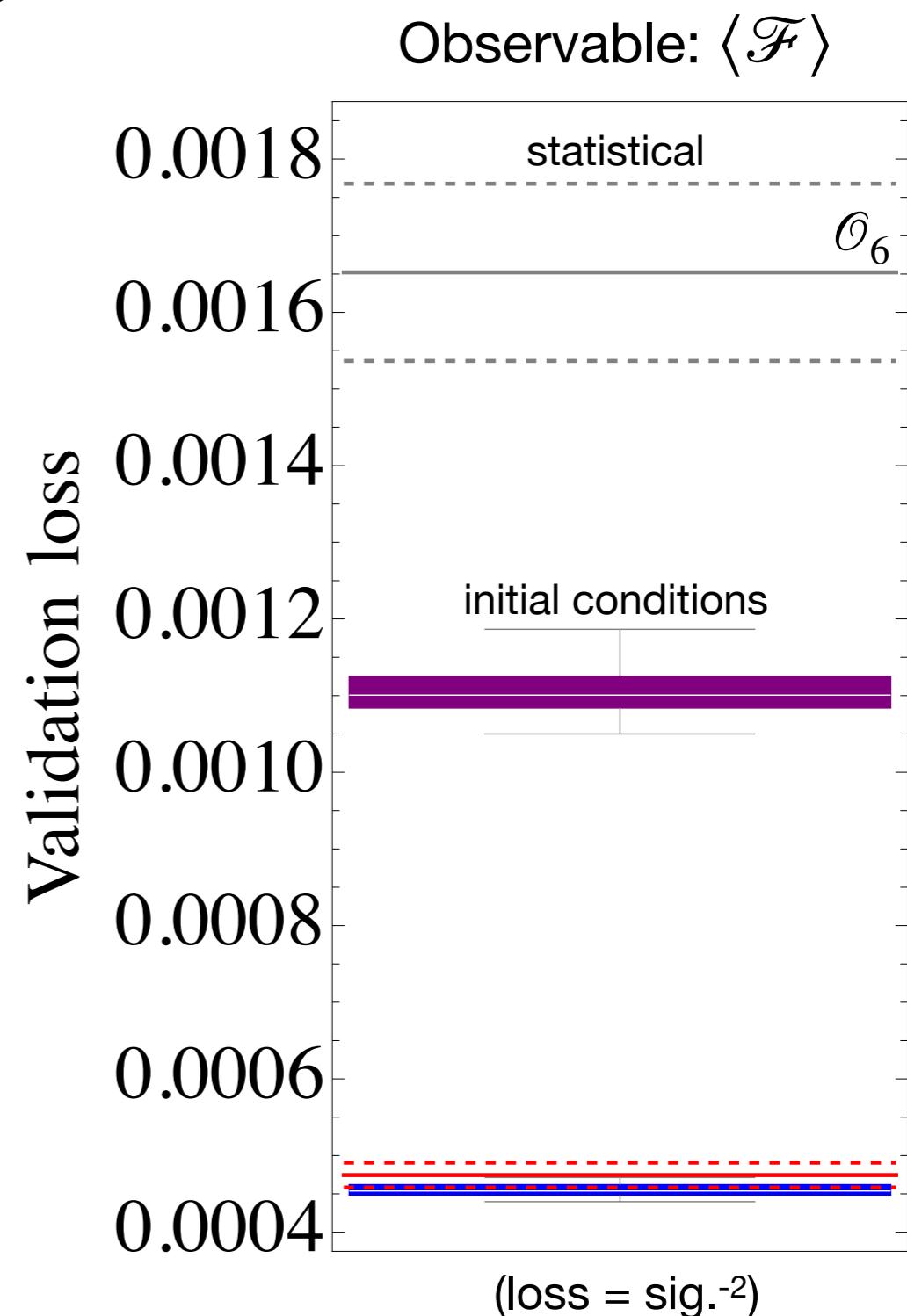
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### 2) NN as a CP-odd observable

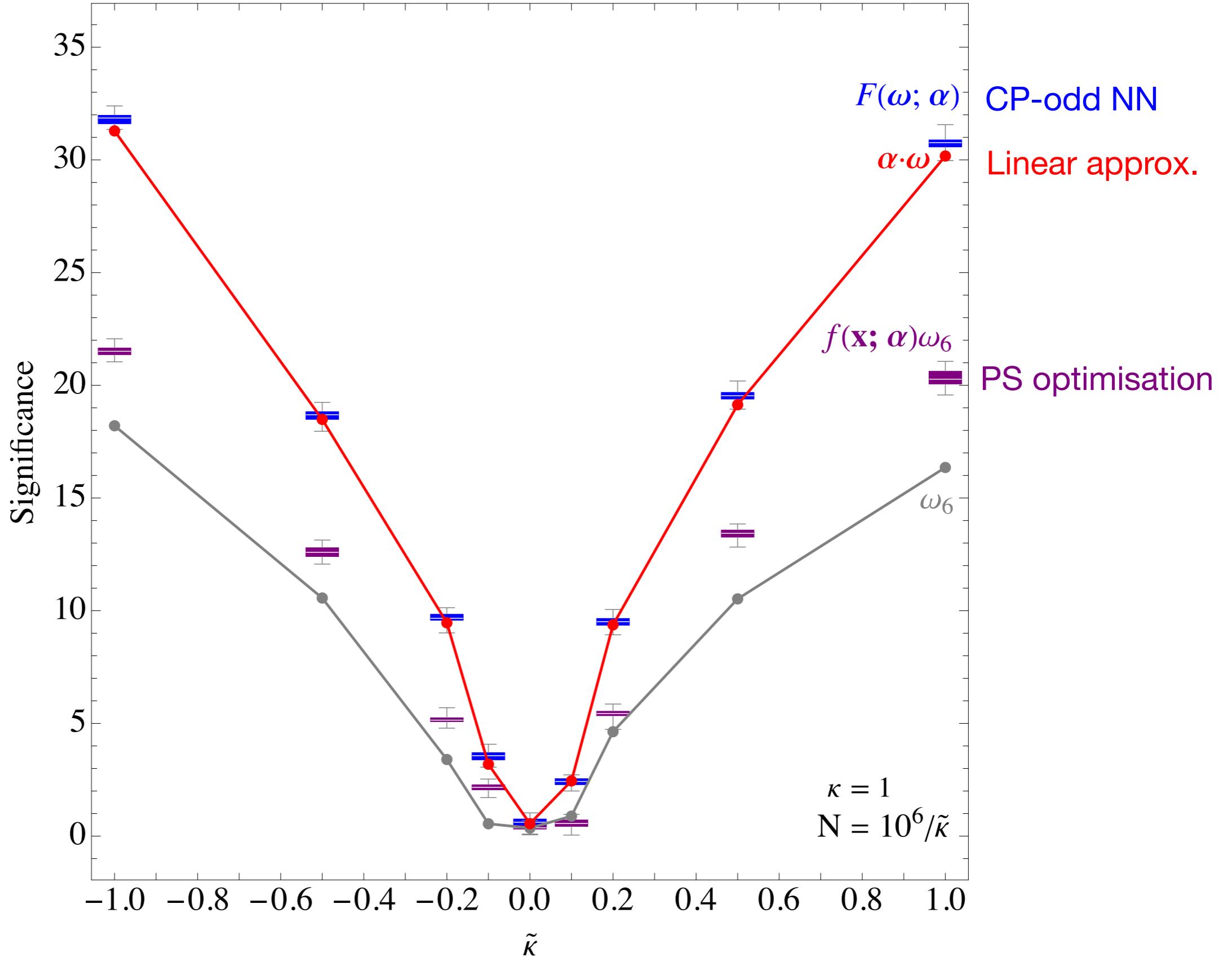
$$\mathcal{F}(X; \alpha) = F(\omega; \alpha) \text{ with } F(\omega; \alpha) = -F(-\omega; \alpha)$$

### 3) Linear approximation of 2)

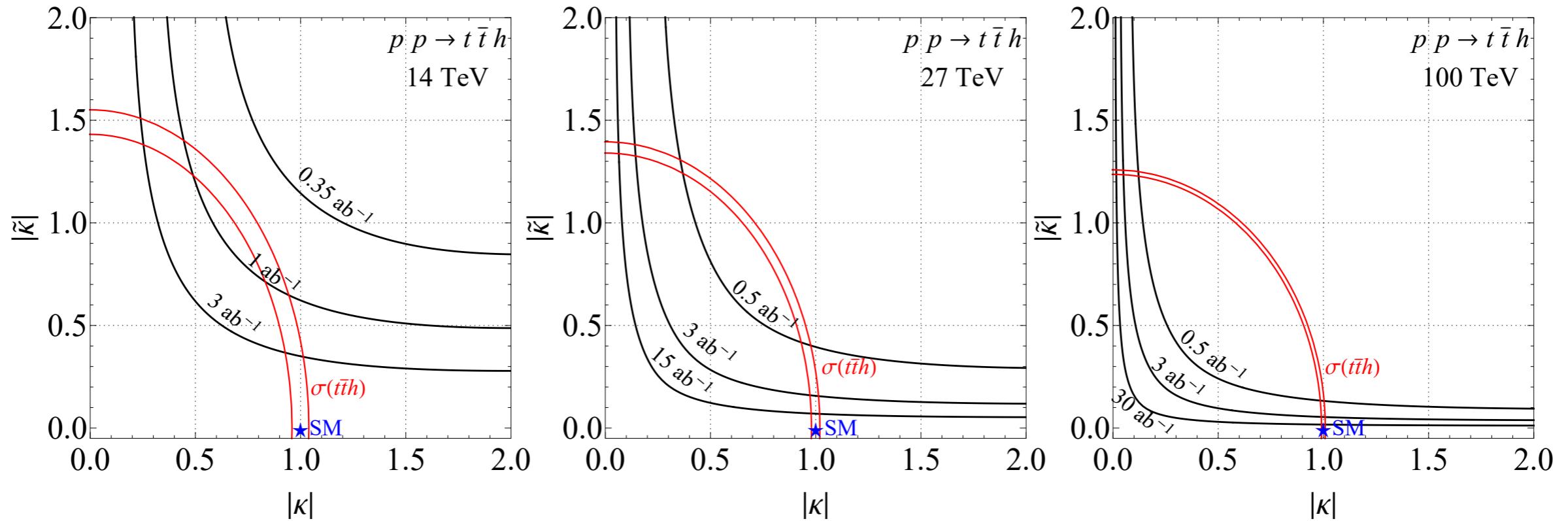
$$F(\omega; \alpha) = \sum_{j=1}^{22} \alpha_j \omega_j + \mathcal{O}(\omega^3)$$



# Test of generalization: independent datasets with various $\tilde{\kappa}$ :



Example  $2\sigma$  exclusion bounds,  $h \rightarrow b\bar{b}$  channel assumed, using  $\alpha \cdot \omega$ :



Complementary probe to cross-section measurements

14 TeV:  $|\tilde{\kappa}| < 1.1 @ 350 \text{ fb}^{-1}$

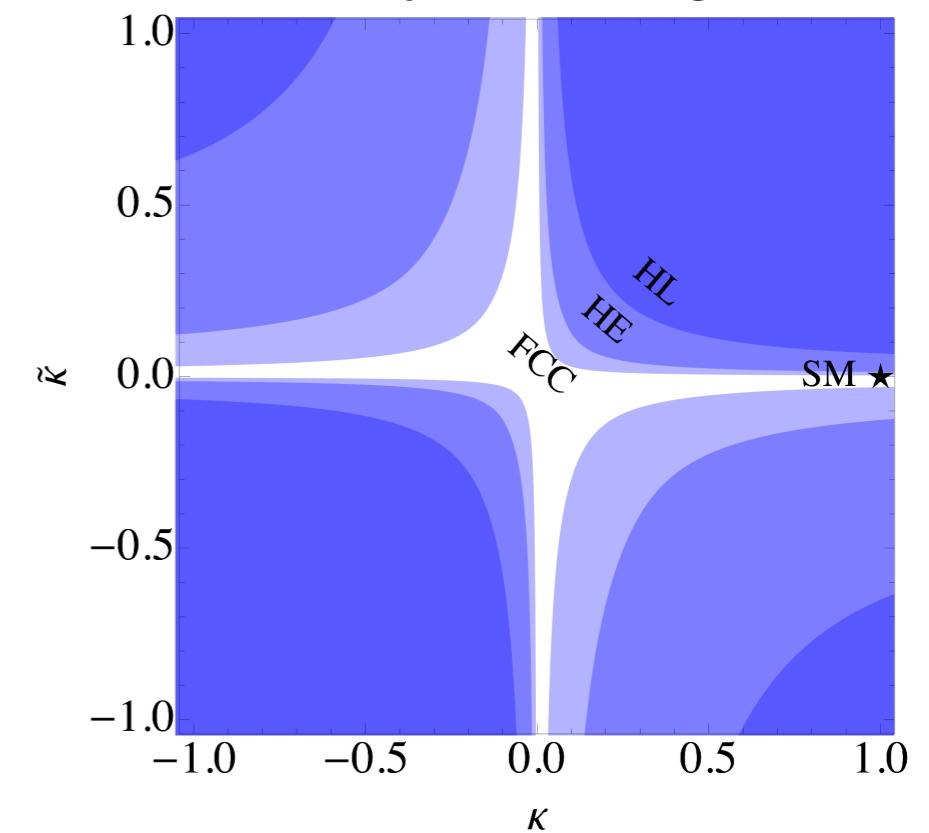
$|\tilde{\kappa}| < 0.3 @ 3 \text{ ab}^{-1}$

100 TeV:  $|\tilde{\kappa}| < 0.01 @ 30 \text{ ab}^{-1}$

Many further improvements possible:

including multiple Higgs decay channels,  
simple cut-based analysis could be optimised

Sensitivity to the sign of  $\tilde{\kappa}$ :



# Conclusions

- CPV  $\tilde{\kappa} \neq 0$  clear sign of physics beyond the Standard Model
- Ideally it should be measured directly
- Many avenues not explored in this talk: top polarization observables,  $pp \rightarrow tqh, pp \rightarrow tWh, \dots$
- Proposed manifestly CP-odd lab. frame variables  $\omega_i$  offer direct access to  $\tilde{\kappa}$
- Constructed  $\omega_i$  for  $pp \rightarrow t\bar{t}h$  with dileptonic  $t\bar{t}$ , but any Higgs decay channel allowed (results shown with  $h \rightarrow b\bar{b}$  only)
- The information contained in various  $\omega_i$  could be combined to further optimise sensitivity to  $\tilde{\kappa}$

# Additional slides

$$\omega_1 \sim [(\mathbf{p}_{\ell^-} \times \mathbf{p}_{\ell^+}) \cdot \mathbf{p}_h] [(\mathbf{p}_{\ell^-} - \mathbf{p}_{\ell^+}) \cdot \mathbf{p}_h],$$

$$\omega_2 \sim [(\mathbf{p}_{\ell^-} \times \mathbf{p}_{\ell^+}) \cdot \mathbf{p}_h] [(\mathbf{p}_{\ell^-} - \mathbf{p}_{\ell^+}) \cdot (\mathbf{p}_{\ell^-} + \mathbf{p}_{\ell^+})],$$

$$\omega_3 \sim [(\mathbf{p}_{\ell^-} \times \mathbf{p}_{\ell^+}) \cdot \mathbf{p}_h] [(\mathbf{p}_{\ell^-} - \mathbf{p}_{\ell^+}) \cdot (\mathbf{p}_b + \mathbf{p}_{\bar{b}})],$$

$$\omega_4 \sim [(\mathbf{p}_{\ell^-} \times \mathbf{p}_{\ell^+}) \cdot (\mathbf{p}_b + \mathbf{p}_{\bar{b}})] [(\mathbf{p}_{\ell^-} - \mathbf{p}_{\ell^+}) \cdot \mathbf{p}_h],$$

$$\omega_5 \sim [(\mathbf{p}_{\ell^-} \times \mathbf{p}_{\ell^+}) \cdot (\mathbf{p}_b + \mathbf{p}_{\bar{b}})] [(\mathbf{p}_{\ell^-} - \mathbf{p}_{\ell^+}) \cdot (\mathbf{p}_{\ell^-} + \mathbf{p}_{\ell^+})],$$

$$\omega_6 \sim [(\mathbf{p}_{\ell^-} \times \mathbf{p}_{\ell^+}) \cdot (\mathbf{p}_b + \mathbf{p}_{\bar{b}})] [(\mathbf{p}_{\ell^-} - \mathbf{p}_{\ell^+}) \cdot (\mathbf{p}_b + \mathbf{p}_{\bar{b}})].$$

$$\omega_7 \sim [(\mathbf{p}_b \times \mathbf{p}_{\bar{b}}) \cdot \mathbf{p}_h] [(\mathbf{p}_b - \mathbf{p}_{\bar{b}}) \cdot \mathbf{p}_h],$$

$$\omega_8 \sim [(\mathbf{p}_b \times \mathbf{p}_{\bar{b}}) \cdot \mathbf{p}_h] [(\mathbf{p}_b - \mathbf{p}_{\bar{b}}) \cdot (\mathbf{p}_{\ell^-} + \mathbf{p}_{\ell^+})],$$

$$\omega_9 \sim [(\mathbf{p}_b \times \mathbf{p}_{\bar{b}}) \cdot \mathbf{p}_h] [(\mathbf{p}_b - \mathbf{p}_{\bar{b}}) \cdot (\mathbf{p}_b + \mathbf{p}_{\bar{b}})],$$

$$\omega_{10} \sim [(\mathbf{p}_b \times \mathbf{p}_{\bar{b}}) \cdot (\mathbf{p}_{\ell^-} + \mathbf{p}_{\ell^+})] [(\mathbf{p}_b - \mathbf{p}_{\bar{b}}) \cdot \mathbf{p}_h],$$

$$\omega_{11} \sim [(\mathbf{p}_b \times \mathbf{p}_{\bar{b}}) \cdot (\mathbf{p}_{\ell^-} + \mathbf{p}_{\ell^+})] [(\mathbf{p}_b - \mathbf{p}_{\bar{b}}) \cdot (\mathbf{p}_{\ell^-} + \mathbf{p}_{\ell^+})],$$

$$\omega_{12} \sim [(\mathbf{p}_b \times \mathbf{p}_{\bar{b}}) \cdot (\mathbf{p}_{\ell^-} + \mathbf{p}_{\ell^+})] [(\mathbf{p}_b - \mathbf{p}_{\bar{b}}) \cdot (\mathbf{p}_b + \mathbf{p}_{\bar{b}})],$$

$$\omega_{13} \sim [(\mathbf{p}_b \times \mathbf{p}_{\bar{b}}) \cdot (\mathbf{p}_{\ell^-} - \mathbf{p}_{\ell^+})] [(\mathbf{p}_b - \mathbf{p}_{\bar{b}}) \cdot (\mathbf{p}_{\ell^-} - \mathbf{p}_{\ell^+})],$$

$$\omega_{14} \sim [(\mathbf{p}_{\ell^-} \times \mathbf{p}_{\ell^+}) \cdot (\mathbf{p}_b - \mathbf{p}_{\bar{b}})] [(\mathbf{p}_b - \mathbf{p}_{\bar{b}}) \cdot (\mathbf{p}_{\ell^-} - \mathbf{p}_{\ell^+})].$$

$$\omega_{15} \sim [\mathbf{p}_h \times (\mathbf{p}_{\ell^-} + \mathbf{p}_{\ell^+}) \cdot (\mathbf{p}_b - \mathbf{p}_{\bar{b}})] [(\mathbf{p}_b - \mathbf{p}_{\bar{b}}) \cdot \mathbf{p}_h],$$

$$\omega_{16} \sim [\mathbf{p}_h \times (\mathbf{p}_{\ell^-} + \mathbf{p}_{\ell^+}) \cdot (\mathbf{p}_b - \mathbf{p}_{\bar{b}})] [(\mathbf{p}_b - \mathbf{p}_{\bar{b}}) \cdot (\mathbf{p}_{\ell^-} + \mathbf{p}_{\ell^+})],$$

$$\omega_{17} \sim [\mathbf{p}_h \times (\mathbf{p}_{\ell^-} + \mathbf{p}_{\ell^+}) \cdot (\mathbf{p}_b - \mathbf{p}_{\bar{b}})] [(\mathbf{p}_b - \mathbf{p}_{\bar{b}}) \cdot (\mathbf{p}_b + \mathbf{p}_{\bar{b}})],$$

$$\omega_{18} \sim [\mathbf{p}_h \times (\mathbf{p}_{\ell^-} - \mathbf{p}_{\ell^+}) \cdot (\mathbf{p}_b + \mathbf{p}_{\bar{b}})] [(\mathbf{p}_{\ell^-} - \mathbf{p}_{\ell^+}) \cdot \mathbf{p}_h],$$

$$\omega_{19} \sim [\mathbf{p}_h \times (\mathbf{p}_{\ell^-} - \mathbf{p}_{\ell^+}) \cdot (\mathbf{p}_b + \mathbf{p}_{\bar{b}})] [(\mathbf{p}_{\ell^-} - \mathbf{p}_{\ell^+}) \cdot (\mathbf{p}_{\ell^-} + \mathbf{p}_{\ell^+})],$$

$$\omega_{20} \sim [\mathbf{p}_h \times (\mathbf{p}_{\ell^-} - \mathbf{p}_{\ell^+}) \cdot (\mathbf{p}_b + \mathbf{p}_{\bar{b}})] [(\mathbf{p}_{\ell^-} - \mathbf{p}_{\ell^+}) \cdot (\mathbf{p}_b + \mathbf{p}_{\bar{b}})],$$

$$\omega_{21} \sim [\mathbf{p}_h \times (\mathbf{p}_{\ell^-} - \mathbf{p}_{\ell^+}) \cdot (\mathbf{p}_b - \mathbf{p}_{\bar{b}})] [(\mathbf{p}_{\ell^-} - \mathbf{p}_{\ell^+}) \cdot (\mathbf{p}_b - \mathbf{p}_{\bar{b}})].$$

