

HELMHOLTZ
RESEARCH FOR GRAND CHALLENGES

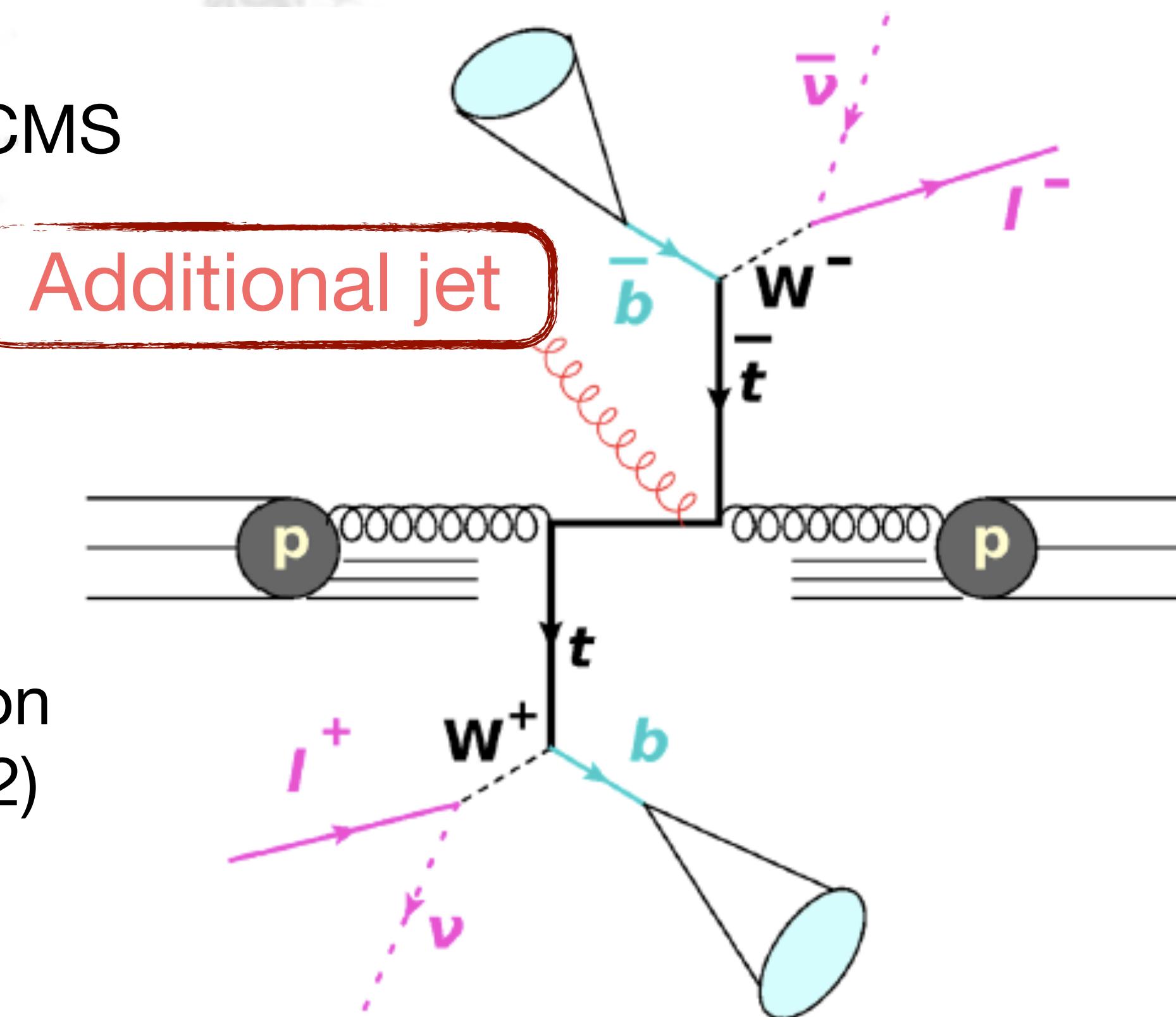


Measurement of the top quark pole mass from $t\bar{t}$ +jet events at 13 TeV

[arXiv:2207.02270]

Sebastian Wuchterl (DESY) for CMS

15th International Workshop on
Top Quark Physics (TOP 2022)

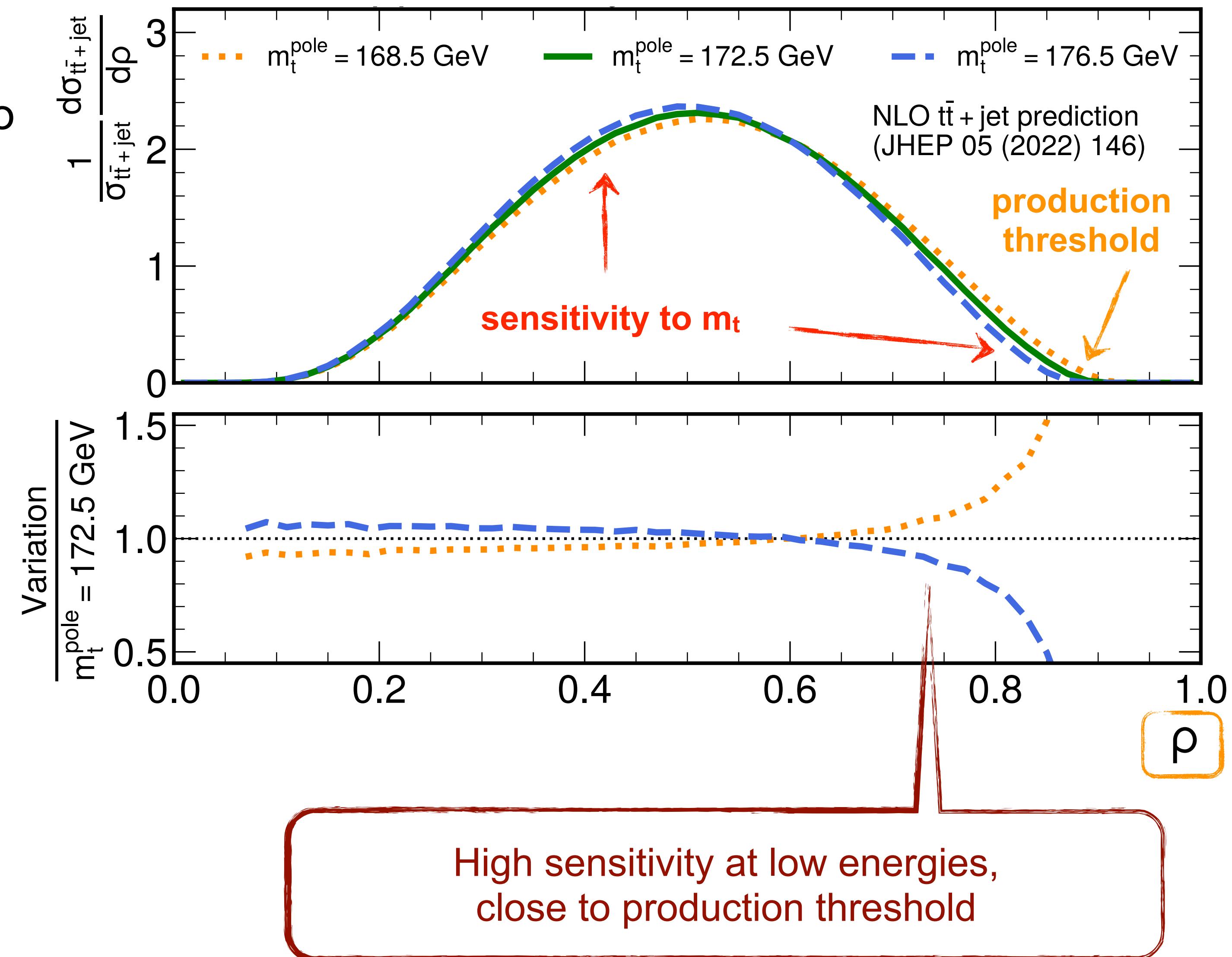


ρ observable for $t\bar{t}$ +jet production

- To extract m_t^{pole} , measurements compared to **well-defined theoretical observables**
 - See [M. Defranchis' talk](#) yesterday
 - Increase sensitivity to m_t^{pole} by requiring additional jet $\rightarrow t\bar{t}+\text{jet}$
- Observable ρ **particularly suitable [1]**

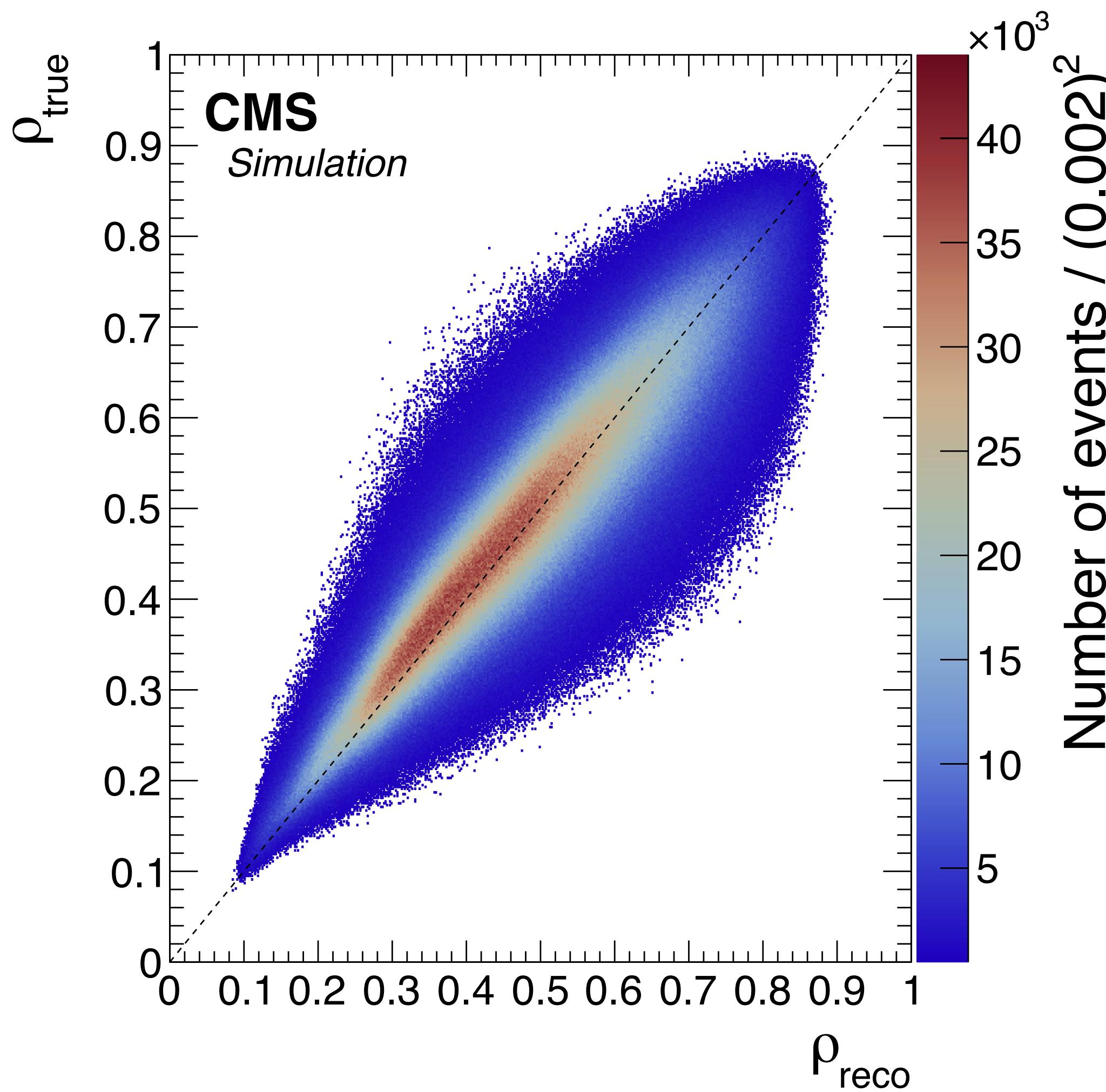
$$\mathcal{R}(m_t, \rho) = \frac{1}{\sigma_{t\bar{t}+\text{jet}}} \frac{d\sigma_{t\bar{t}+\text{jet}}}{d\rho}$$

with $\rho = \frac{2m_0}{m_{t\bar{t}+\text{jet}}}$, $m_0 = 170 \text{ GeV}$

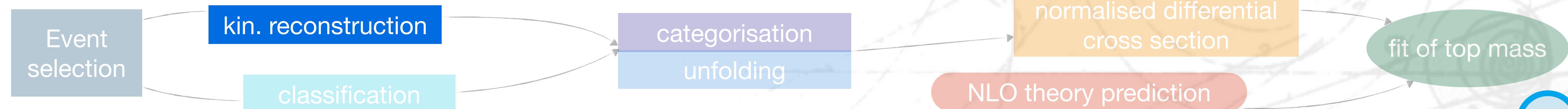


[1] [arXiv:1303.6415](https://arxiv.org/abs/1303.6415)

MVA based kinematic reconstruction



- Regression neural network
 - Basic 4-momenta & high level as input variables
 - Including also solutions of analytical methods
 - 100% reconstruction efficiency
 - **Factor two improvement** in ρ resolution wrt. to two common CMS methods (4-8%)
- $t\bar{t}$ +jet split in 4 bins of ρ , treated as independent processes **[0., 0.3, 0.45, 0.7, 1.]**

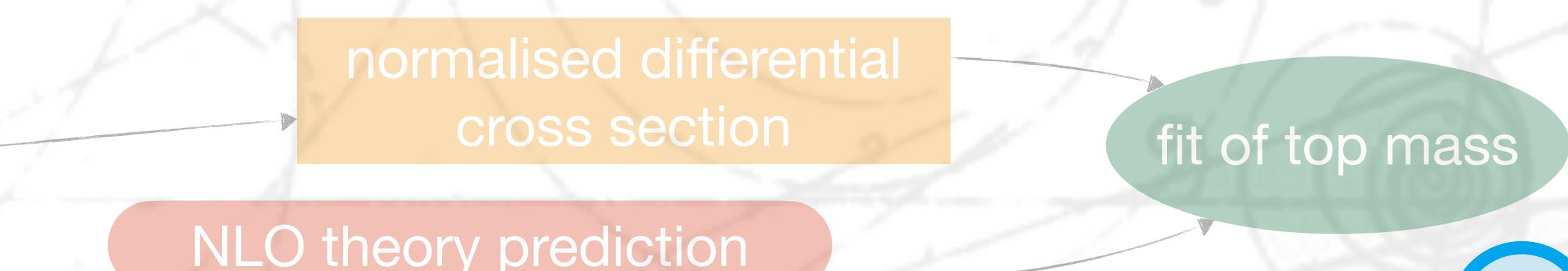
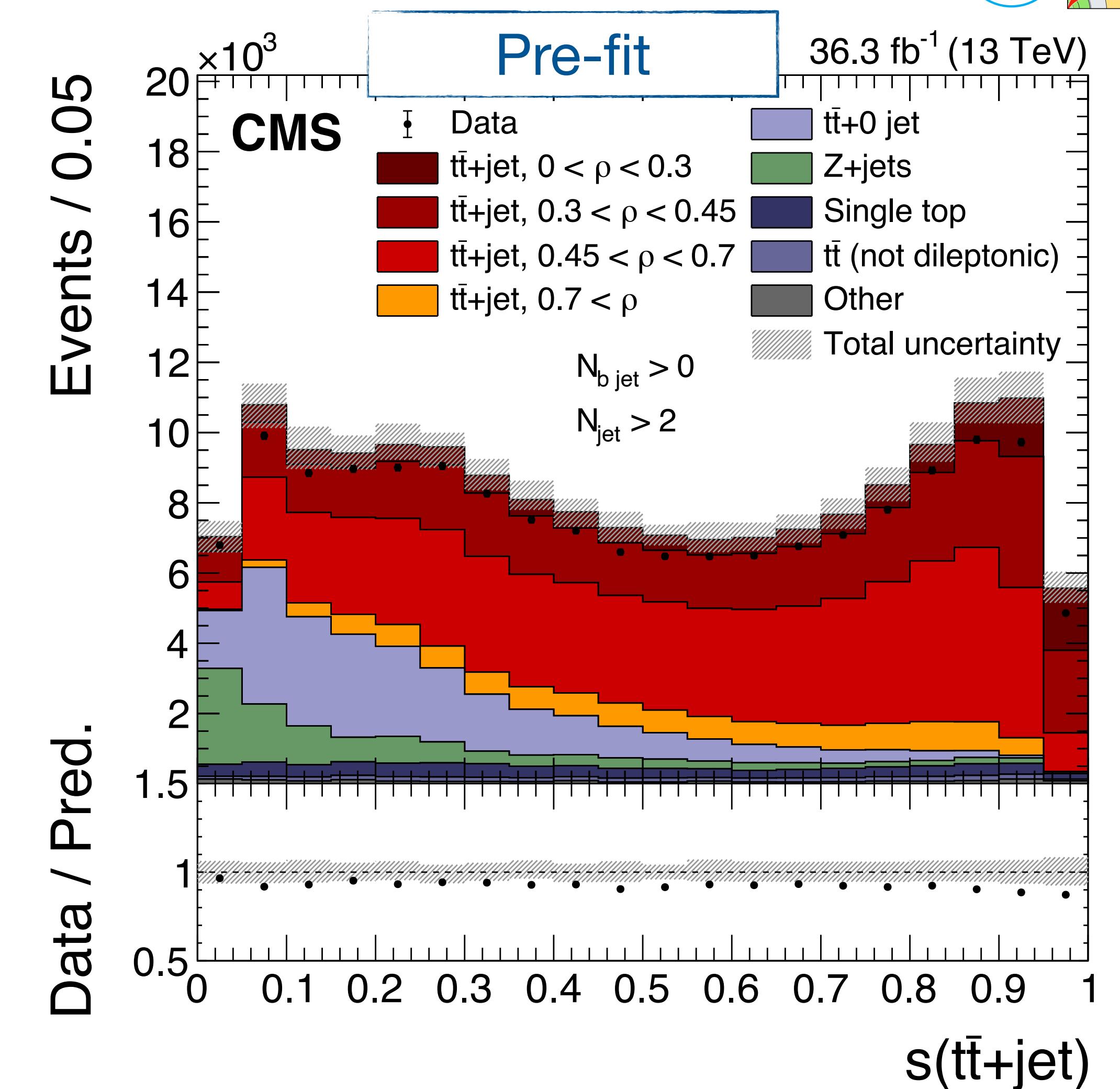


Event classification

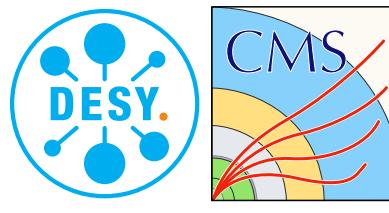
- Best discrimination to maximize sensitivity
- Multi-classifier neural network
- t \bar{t} +jet signal / t \bar{t} +0 jet background / Z+jets**
- **Same performance** for all ρ values and **bias removed**

→ Use relative response as auxiliary variable in fit

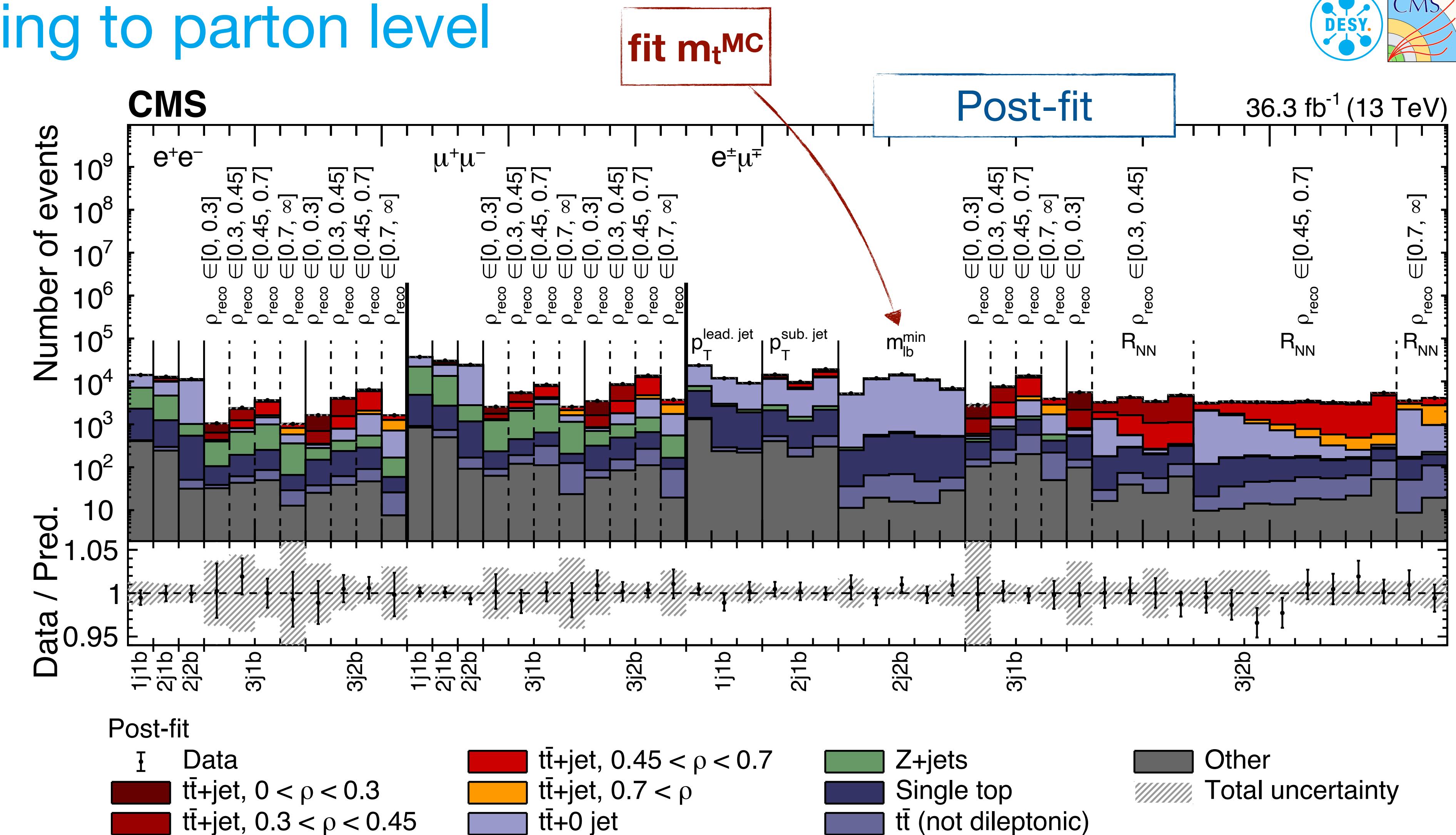
$$R_{\text{NN}} = \frac{s(t\bar{t} + \text{jet})}{s(t\bar{t} + \text{jet}) + s(t\bar{t} \text{ bkg})}$$



Likelihood unfolding to parton level



- Constrain backgrounds & systematics using **event categories**
- Maximize acceptance
- Very good post-fit agreement between data/prediction



Event selection

kin. reconstruction

classification

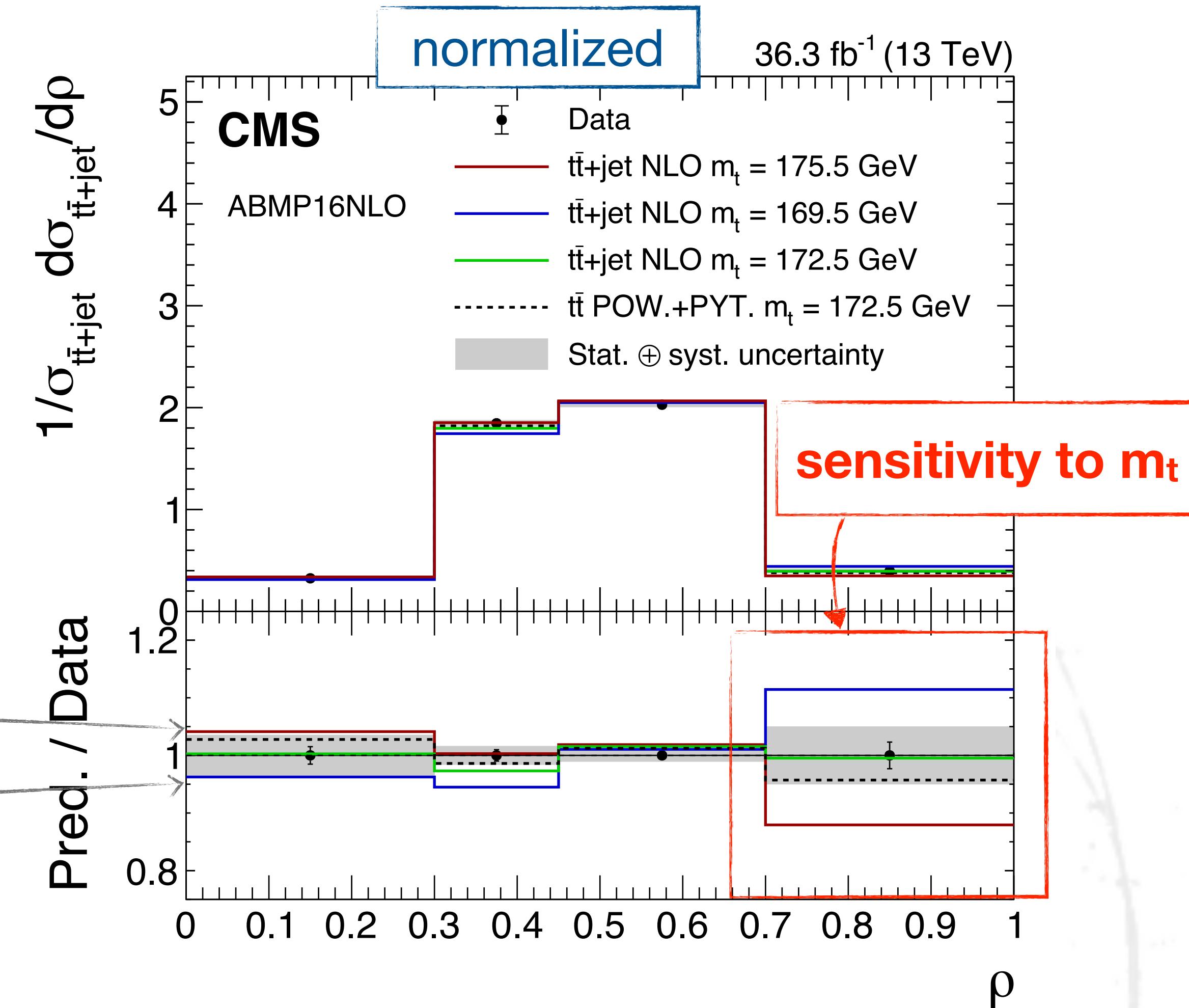
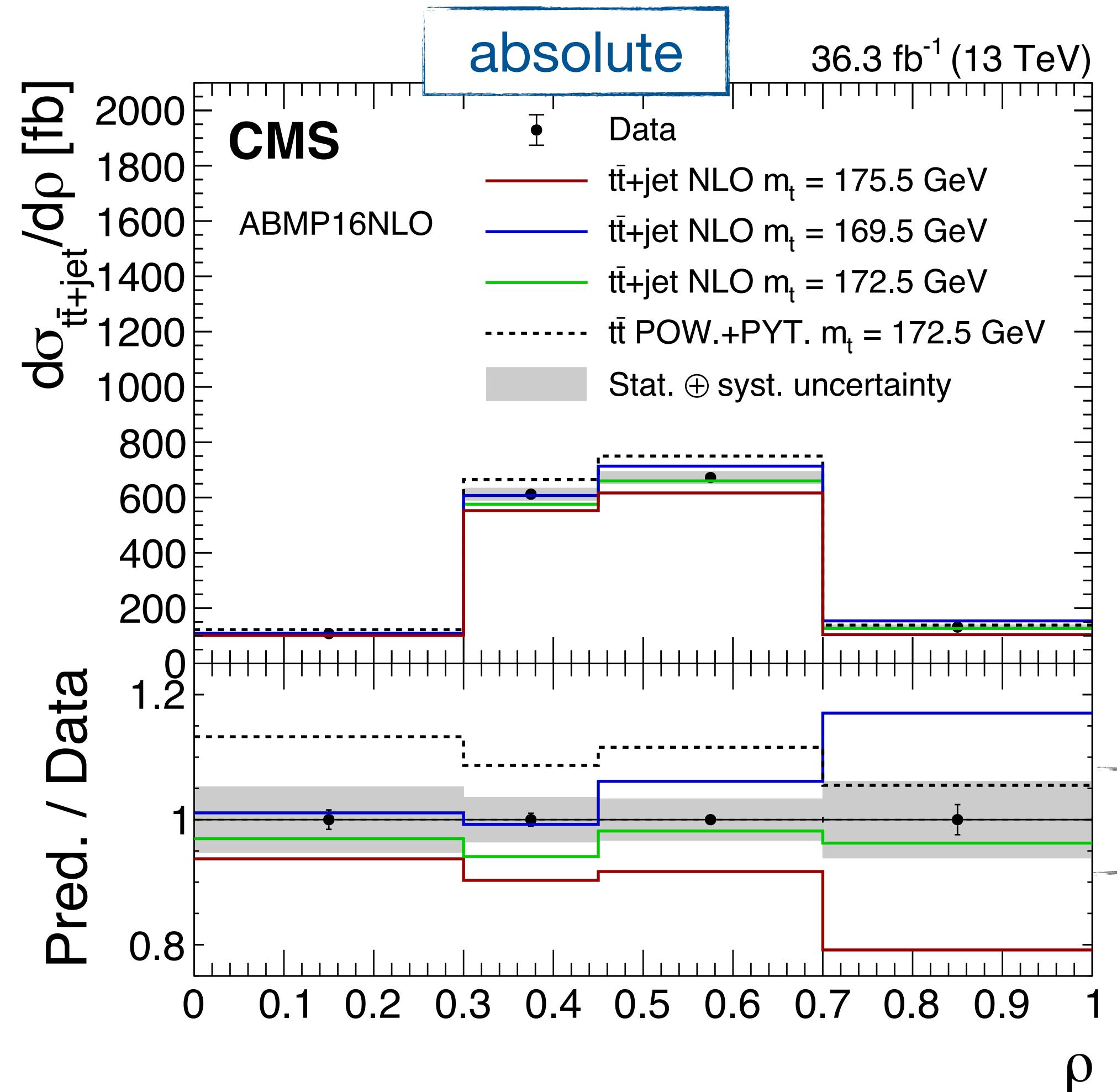
categorisation
unfolding

normalised differential
cross section

NLO theory prediction

fit of top mass

Results: Absolute and normalised differential cross section



reduction
of total
uncertainty

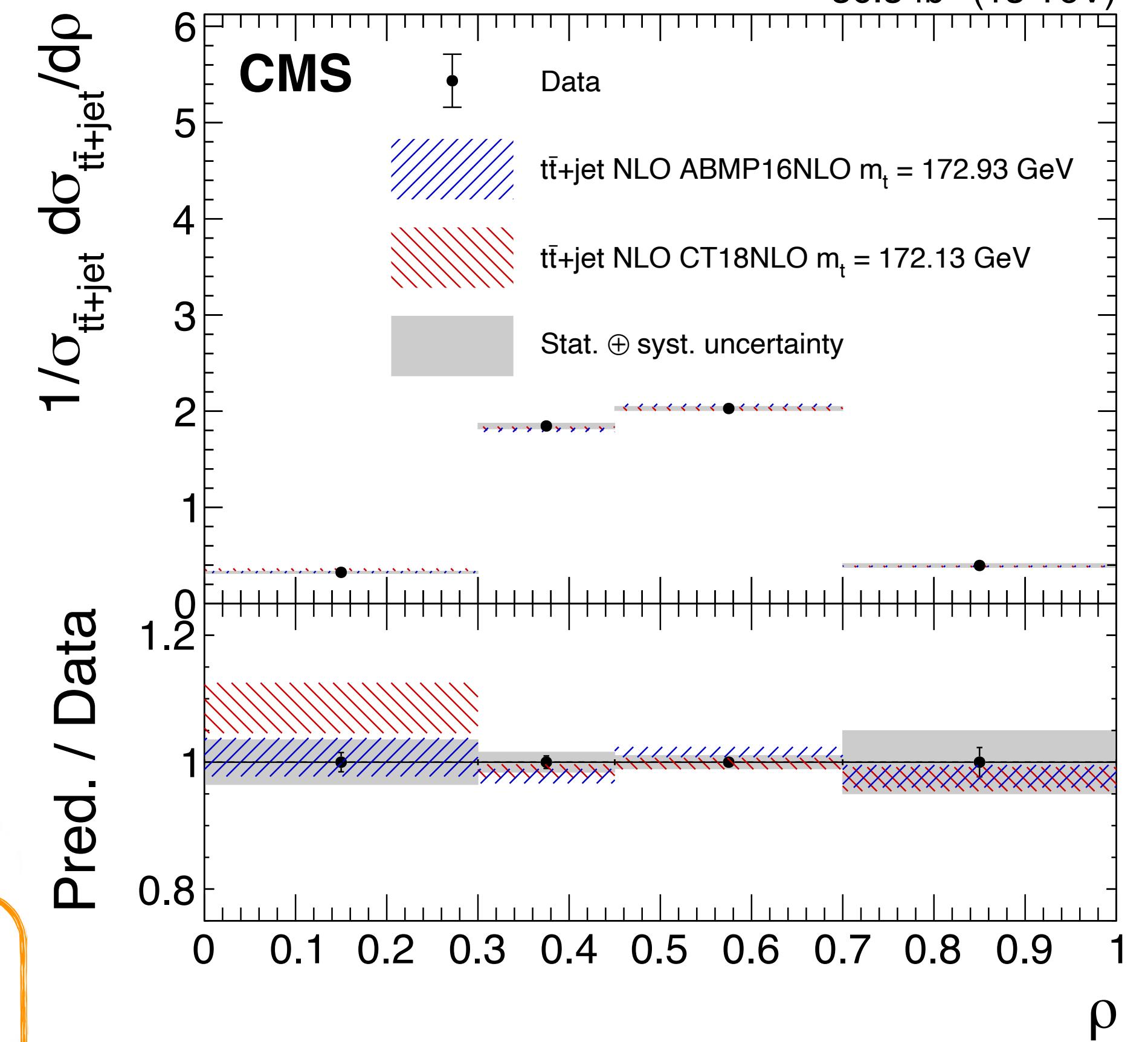
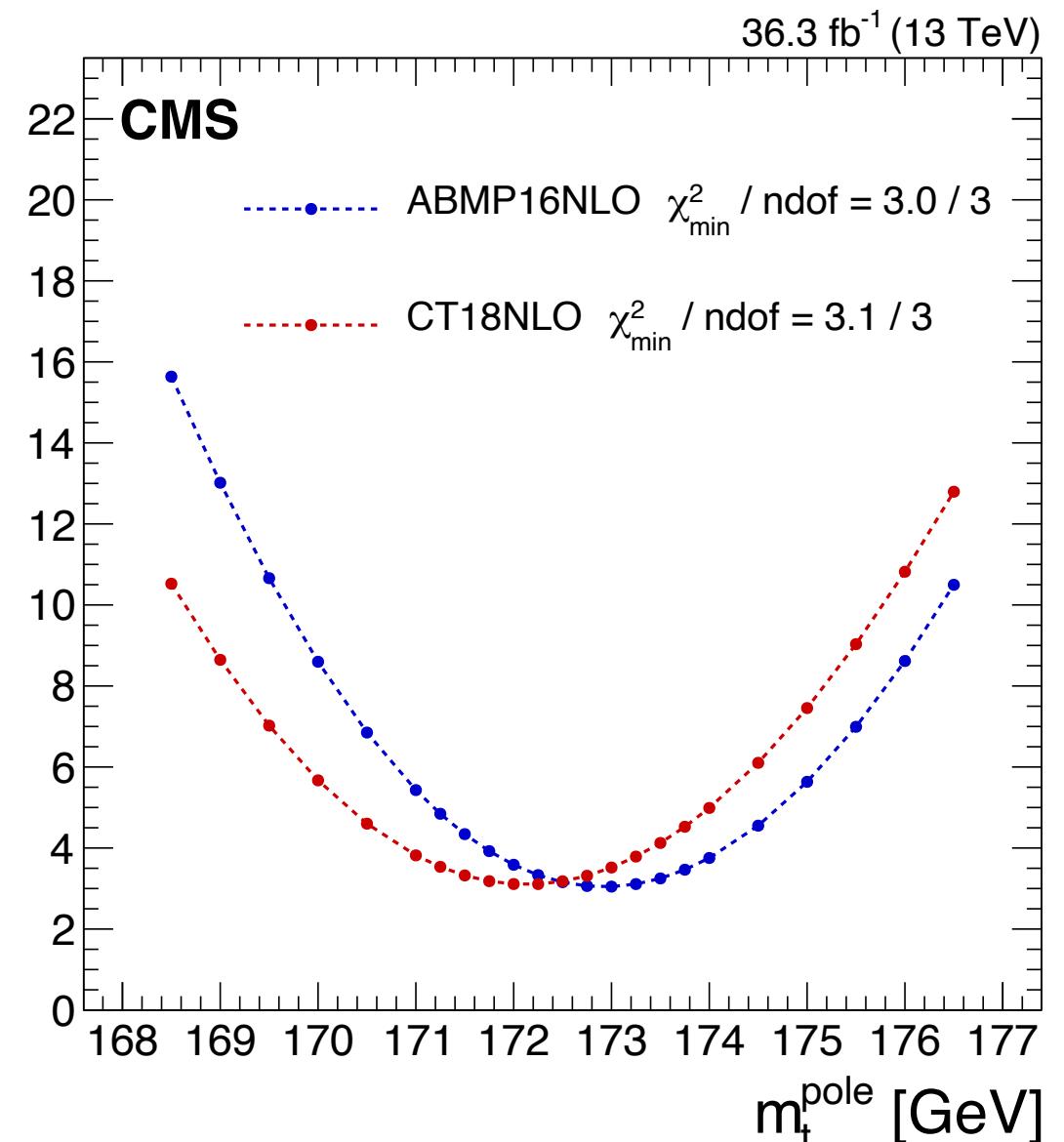


Results: Top quark mass extraction

- χ^2 scan and fit: $\chi^2 = \Delta^T V^{-1} \Delta$
- Full covariance matrix
- PDF uncertainties in Δ
- w/ extrapolation uncertainties
 - Ignore constraints on modeling uncs. outside acceptance
- Scale uncertainty from envelope

$m_t^{\text{pole}} = 172.16 \pm 1.34 \text{ (fit+PDF+extr)}^{+0.50}_{-0.40} \text{ (scale) (CT18NLO)}$

$m_t^{\text{pole}} = 172.94 \pm 1.26 \text{ (fit+PDF+extr)}^{+0.51}_{-0.43} \text{ (scale) (ABMP16NLO)}$



- Good agreement with unfolded data



Conclusions

Thank you for your attention!

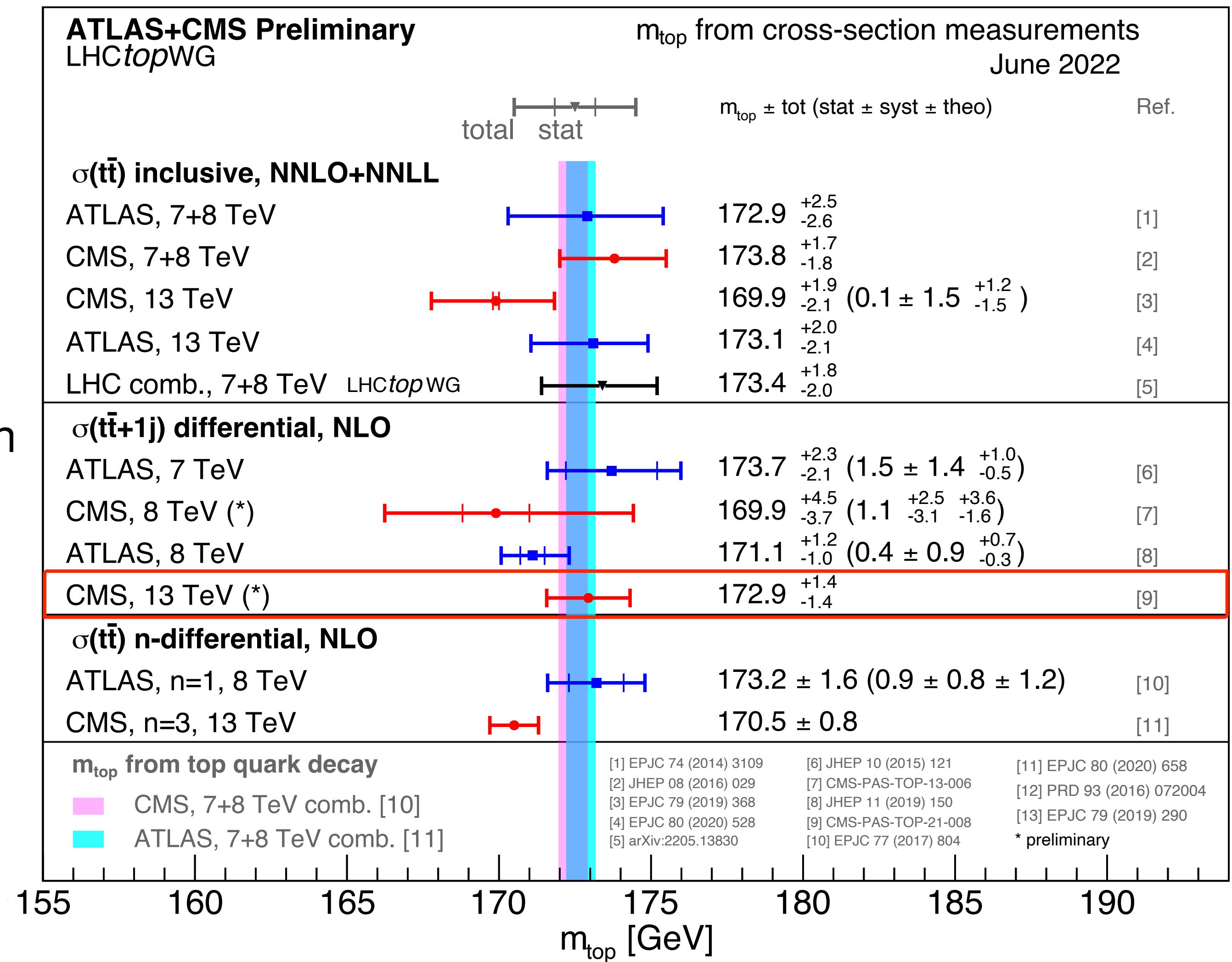
- First measurement of m_t^{pole} using $t\bar{t}$ +jet in CMS / at 13 TeV

- MVA techniques for ρ reconstruction and classification
- Unfolding via profiled likelihood fit
- Extraction of m_t^{pole} at NLO

- Triggered improvements in theory prediction

- Implementation of dynamic scale

- < 0.8 % precision,
compatible with previous measurements



Backup

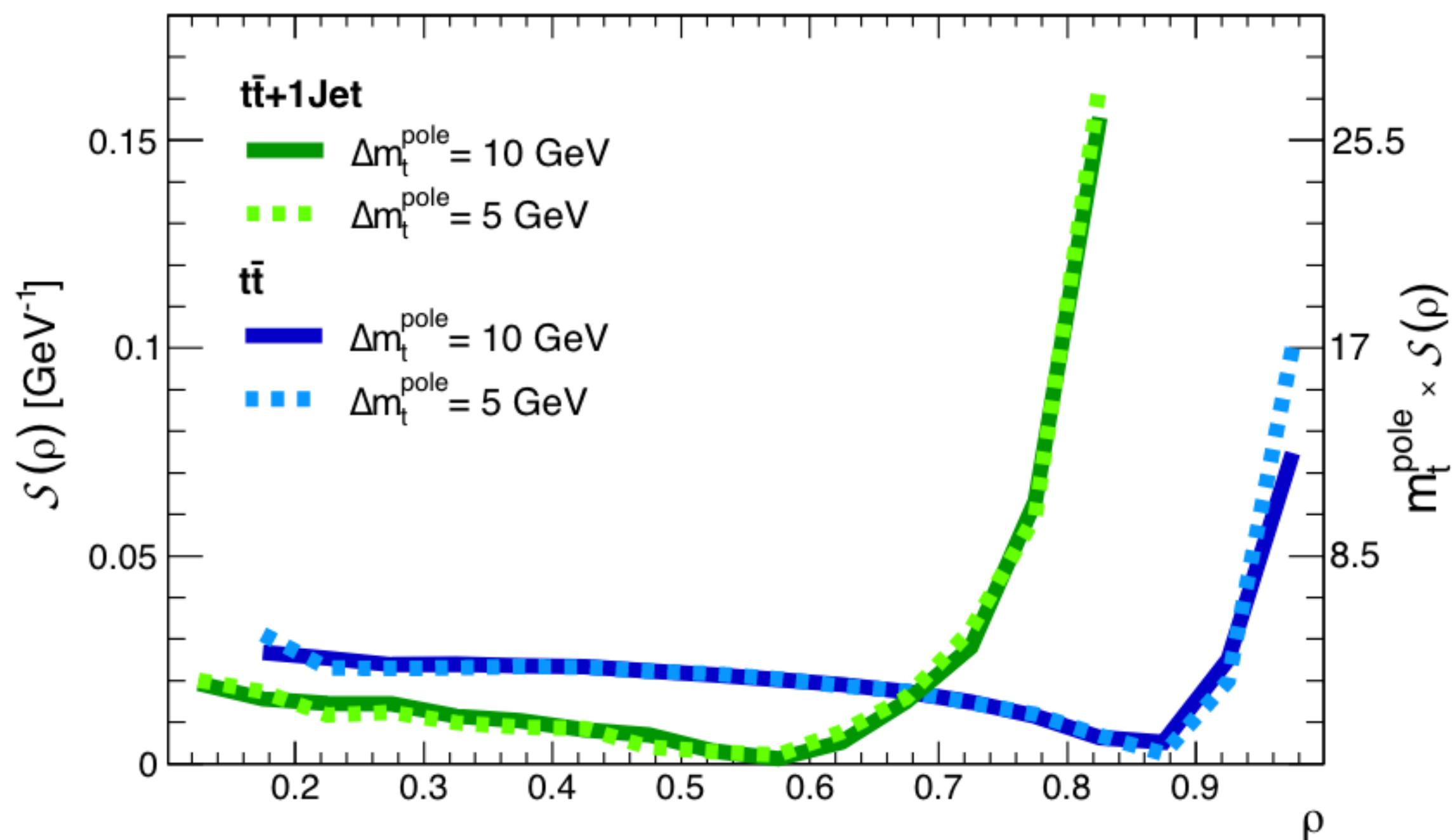


Motivation

Advantages of ρ measurement

$$\frac{1}{\sigma_{t\bar{t}+\text{jet}}} \frac{d\sigma_{t\bar{t}+\text{jet}}}{d\rho} \dots$$

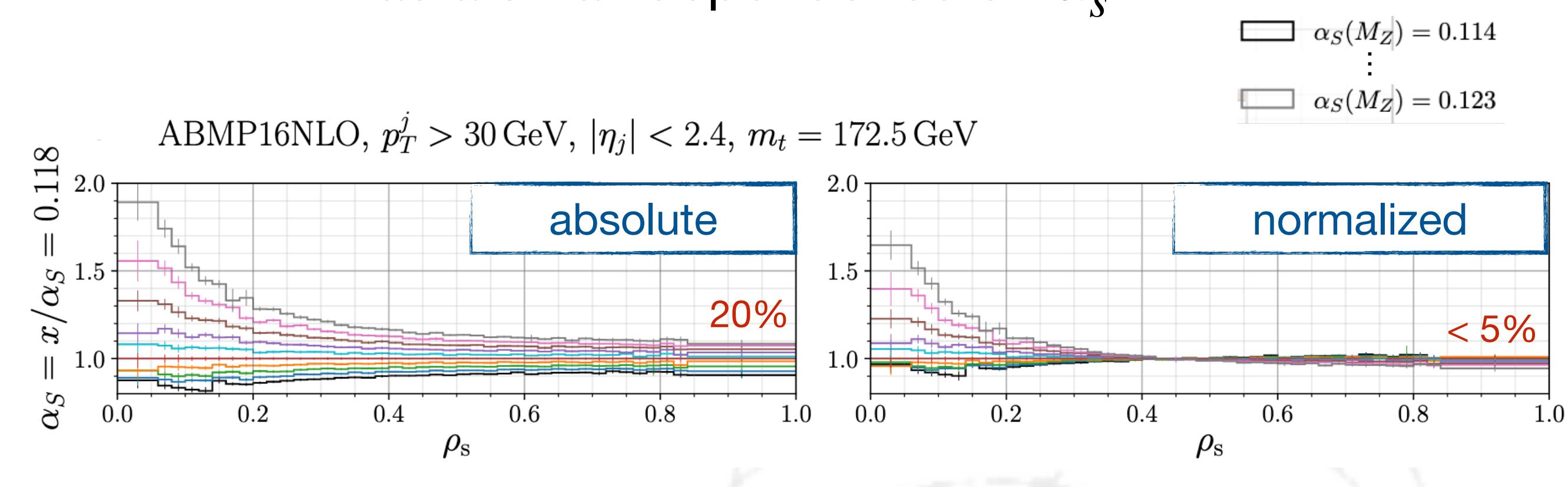
...has higher sensitivity to m_t^{pole} than for $t\bar{t}$ production



$$S(\rho_s) = \sum_{\Delta=\pm 5-10 \text{ GeV}} \frac{|\mathcal{R}(170 \text{ GeV}, \rho_s) - \mathcal{R}(170 \text{ GeV} + \Delta, \rho_s)|}{2|\Delta| \mathcal{R}(170 \text{ GeV}, \rho_s)}$$

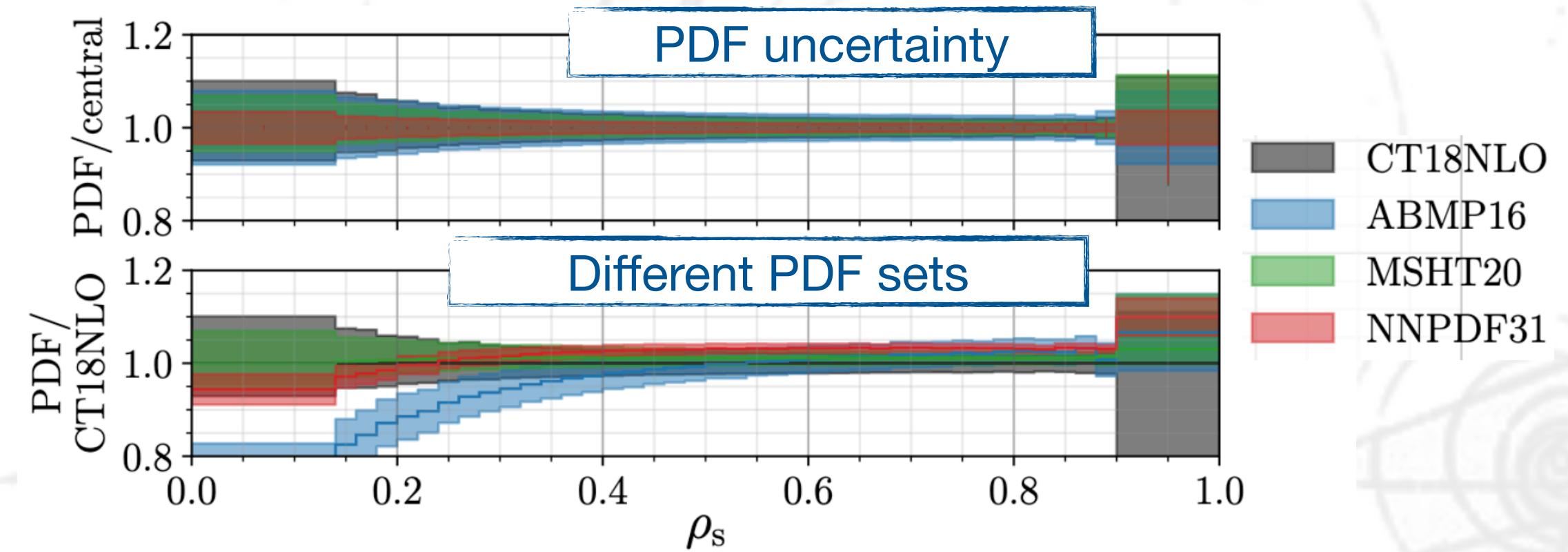
[1] arXiv:1303.6415

...has a small dependence on α_s



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...has a residual PDF dependence

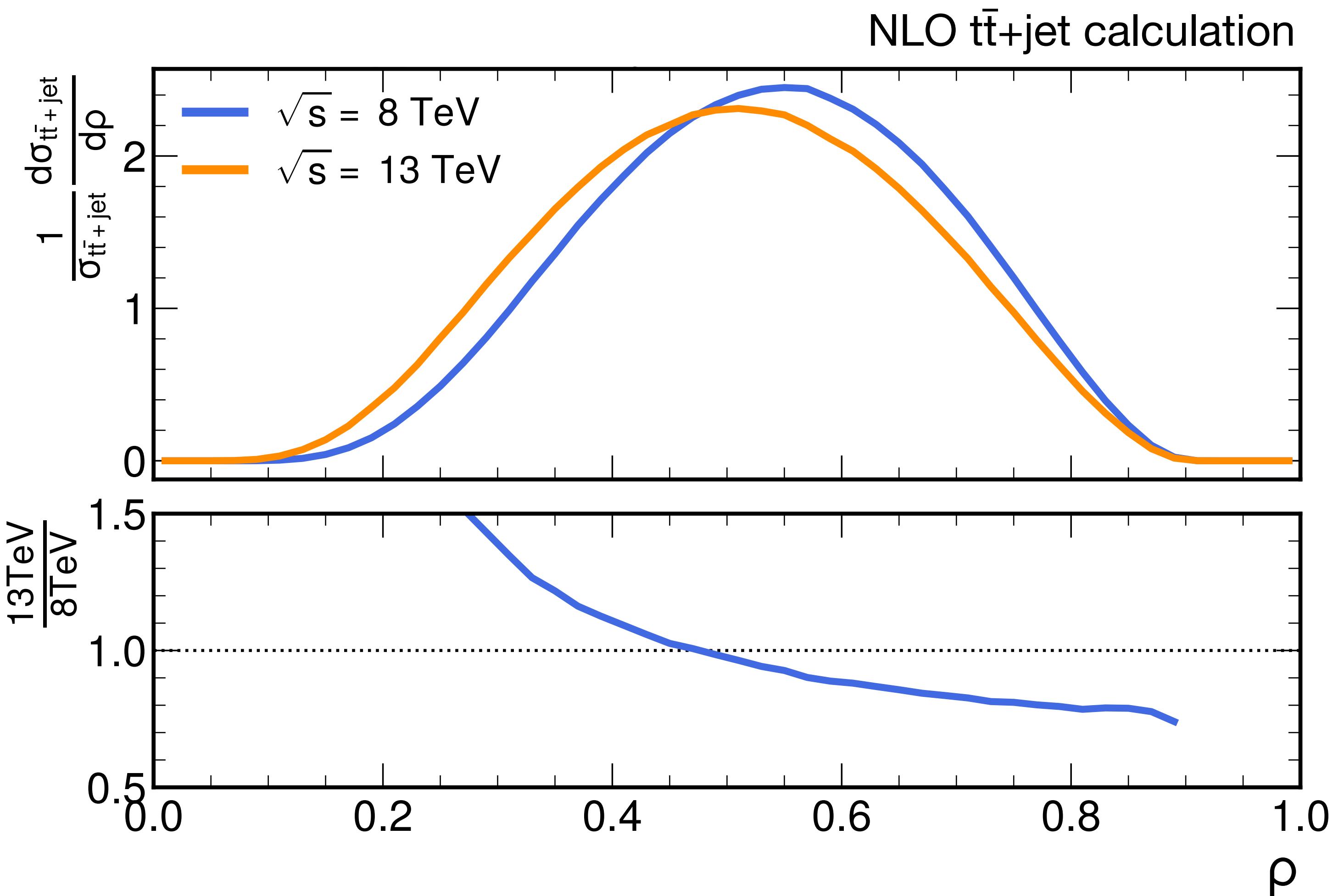


From $\sqrt{s} = 8 \text{ TeV}$ to 13 TeV

Improvements & drawbacks

- Change in \sqrt{s} leads to change of shape of \mathcal{R} distribution
→ Less sensitivity at 13 TeV
- Progress in theory prediction:
 - Dynamic scale implemented
→ Flat LO/NLO scale factors
 - Symmetric and reduced scale uncertainties

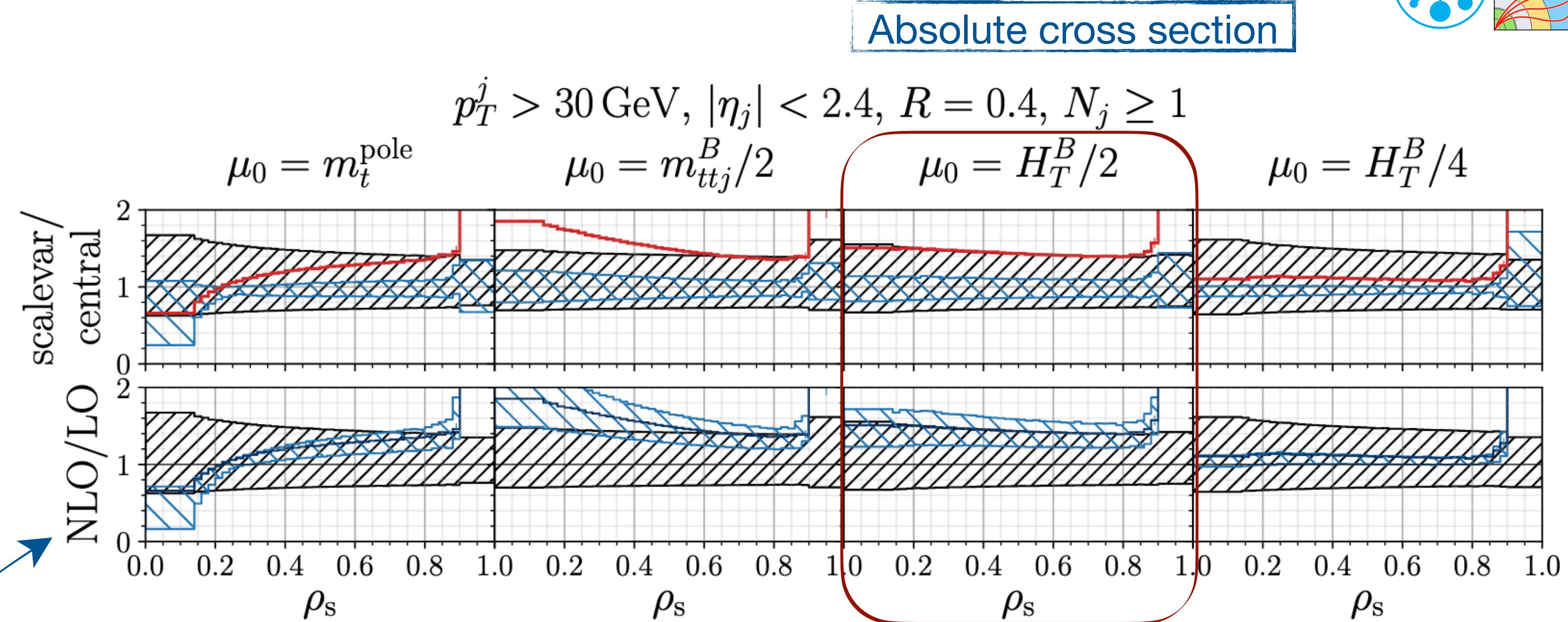
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From $\sqrt{s} = 8 \text{ TeV}$ to 13 TeV

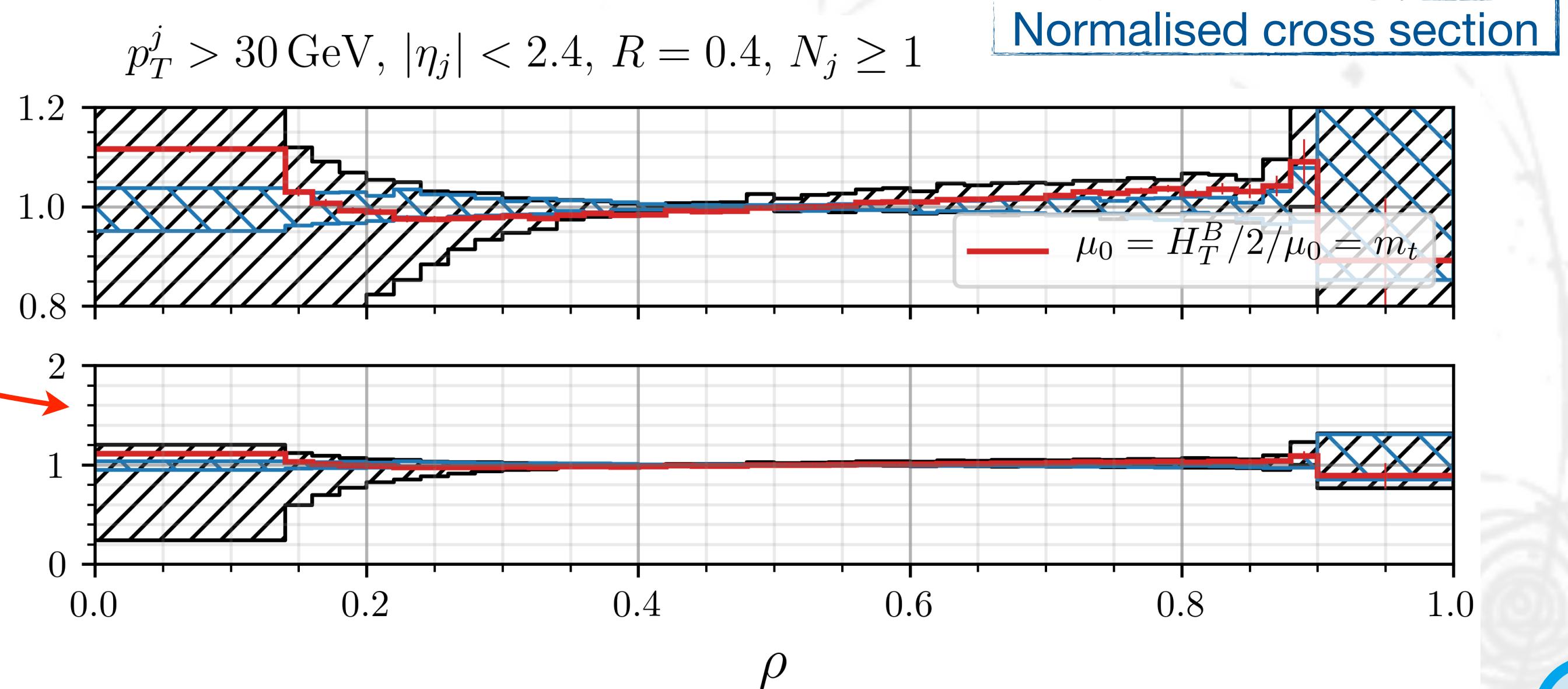
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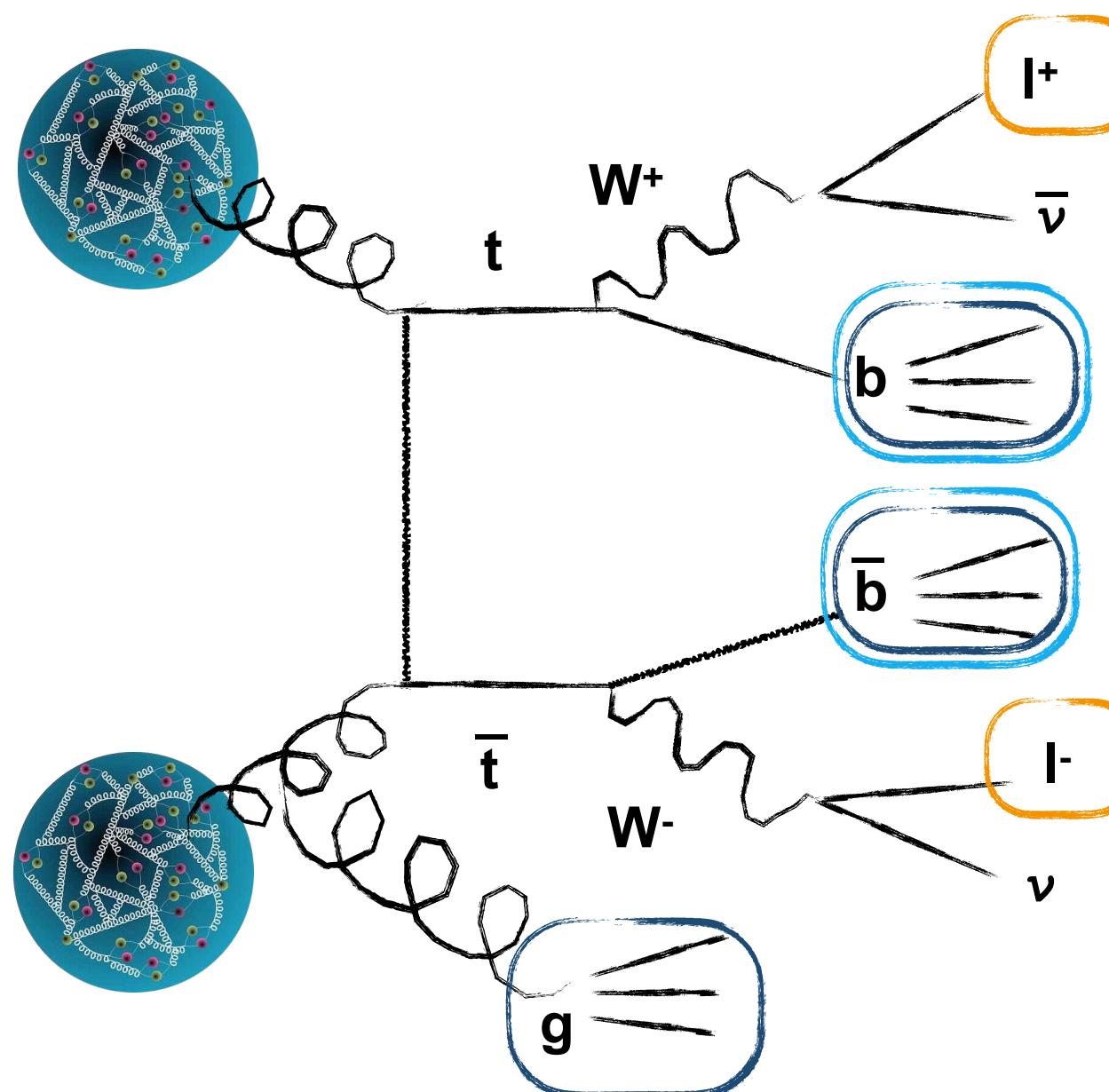


- Progress in theory prediction:
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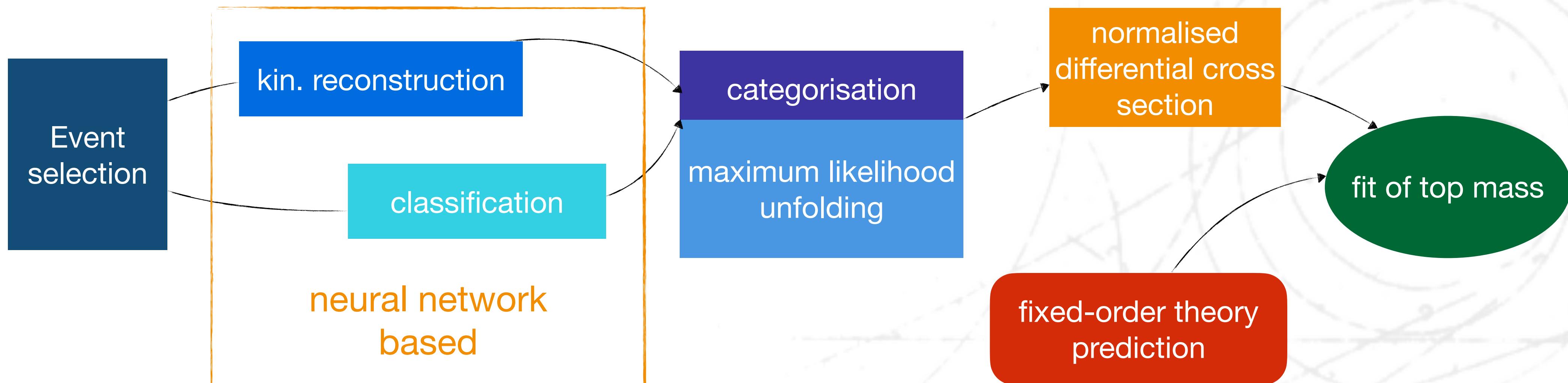
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Selection & Analysis strategy

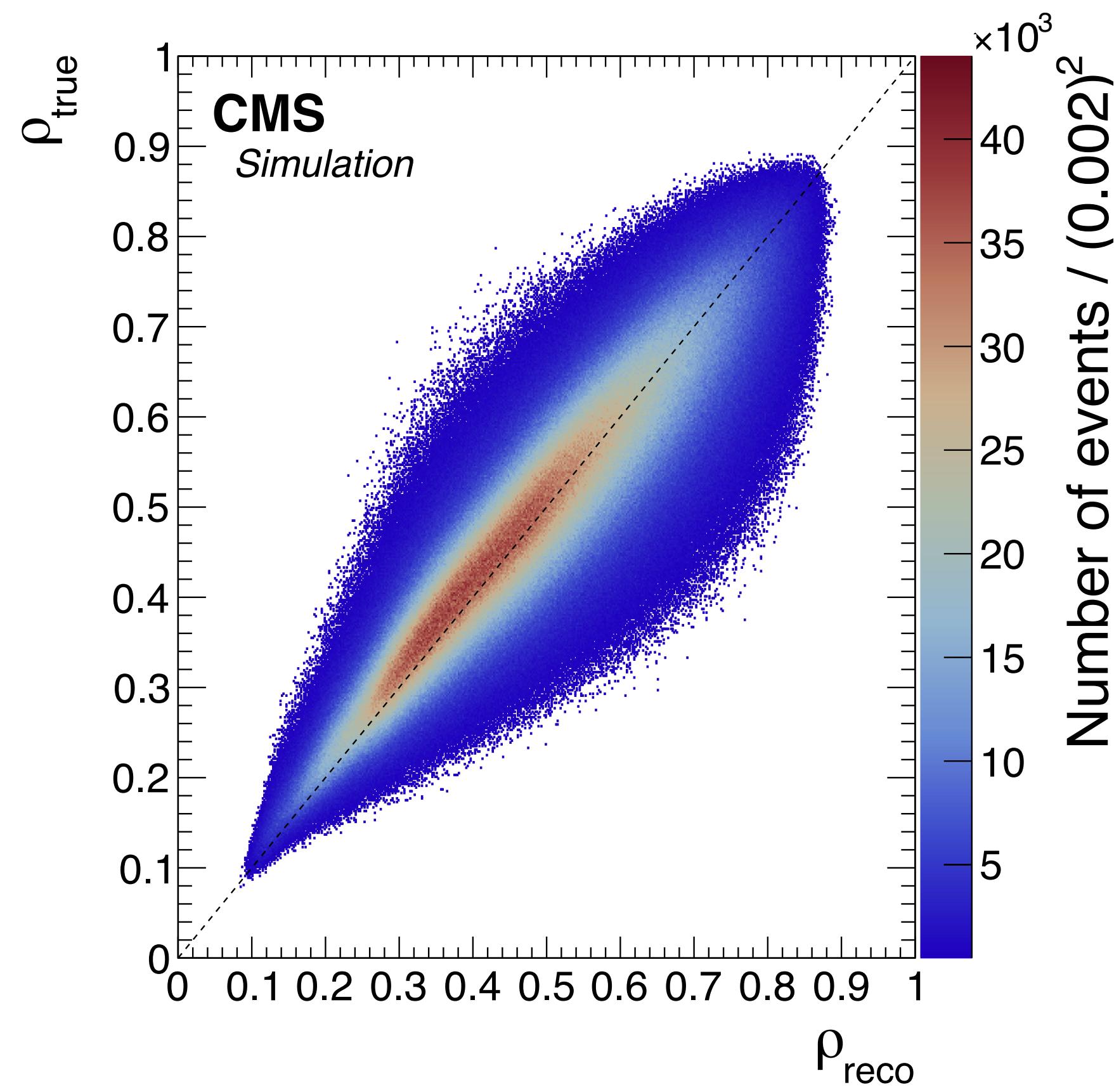


- 2 opposite-charged **leptons**:
 $\mu^+\mu^-$, $e^\pm\mu^\mp$, e^+e^-
 $p_T > 25$ (20) GeV & $||\eta| < 2.4$
- Jets with $p_T > 30$ GeV & $||\eta| < 2.4$
- NN based kinematic reconstruction of p
- e^+e^- , $\mu^+\mu^-$ channel:
 - Suppress $Z+jets$ background
- At least **1 b jet**:
 - Loose working point (10% mistagging rate)
 - b jet energy regression

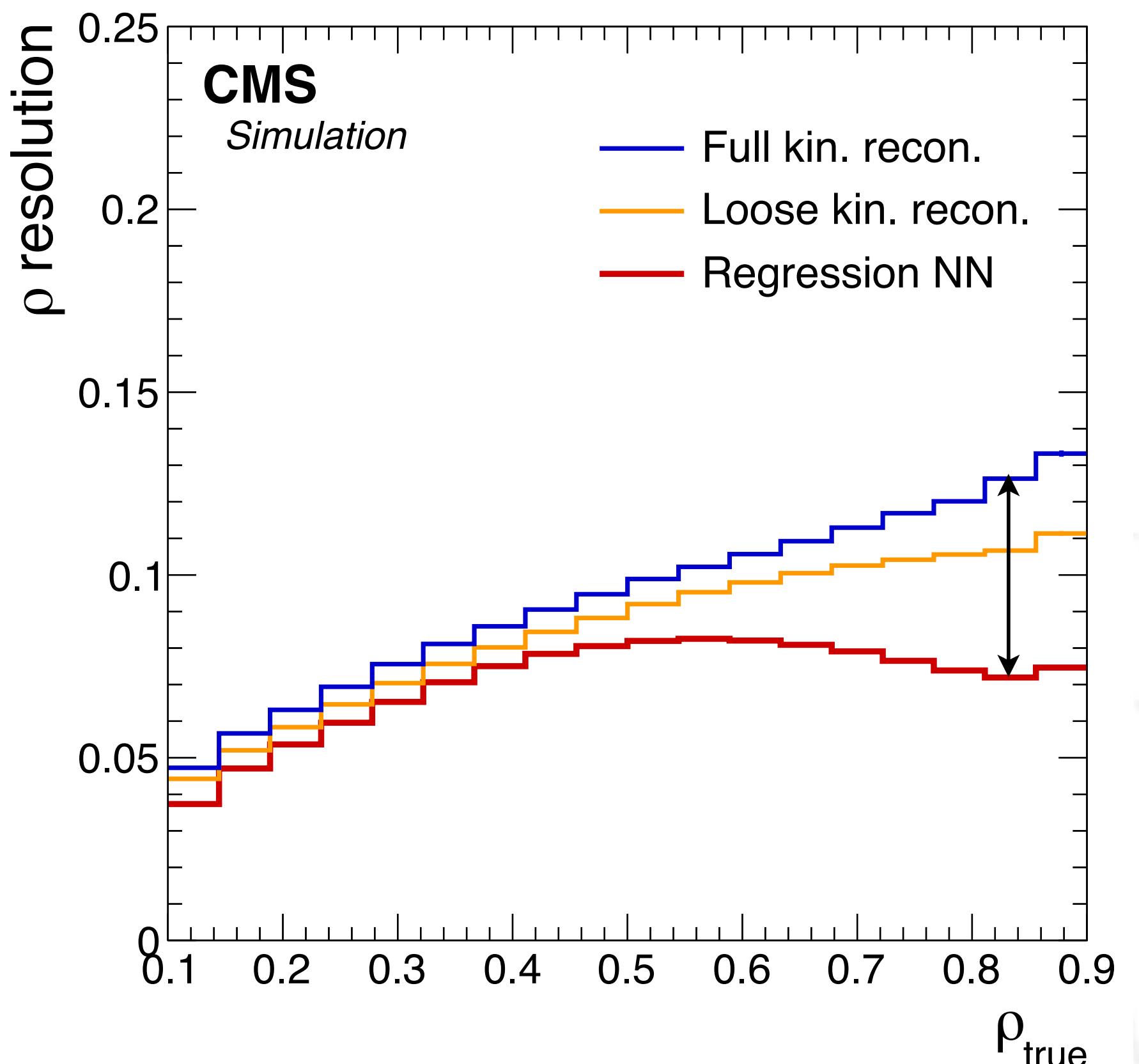


Kinematic reconstruction using neural networks

- Neural network regression for analysis
 - Basic 4-momenta & high level input variables
 - Uses also solutions of analytical methods
 - Has 100% reconstruction efficiency



Factor two improvement wrt. to two common CMS methods:



- Full kin. reconstruction using mass constraints
 - top/antitop four-momenta solved individually
- Loose kin. reconstruction without m_t constraint
 - Solve only for $t\bar{t}$ system

Binning in ρ

Data vs. prediction

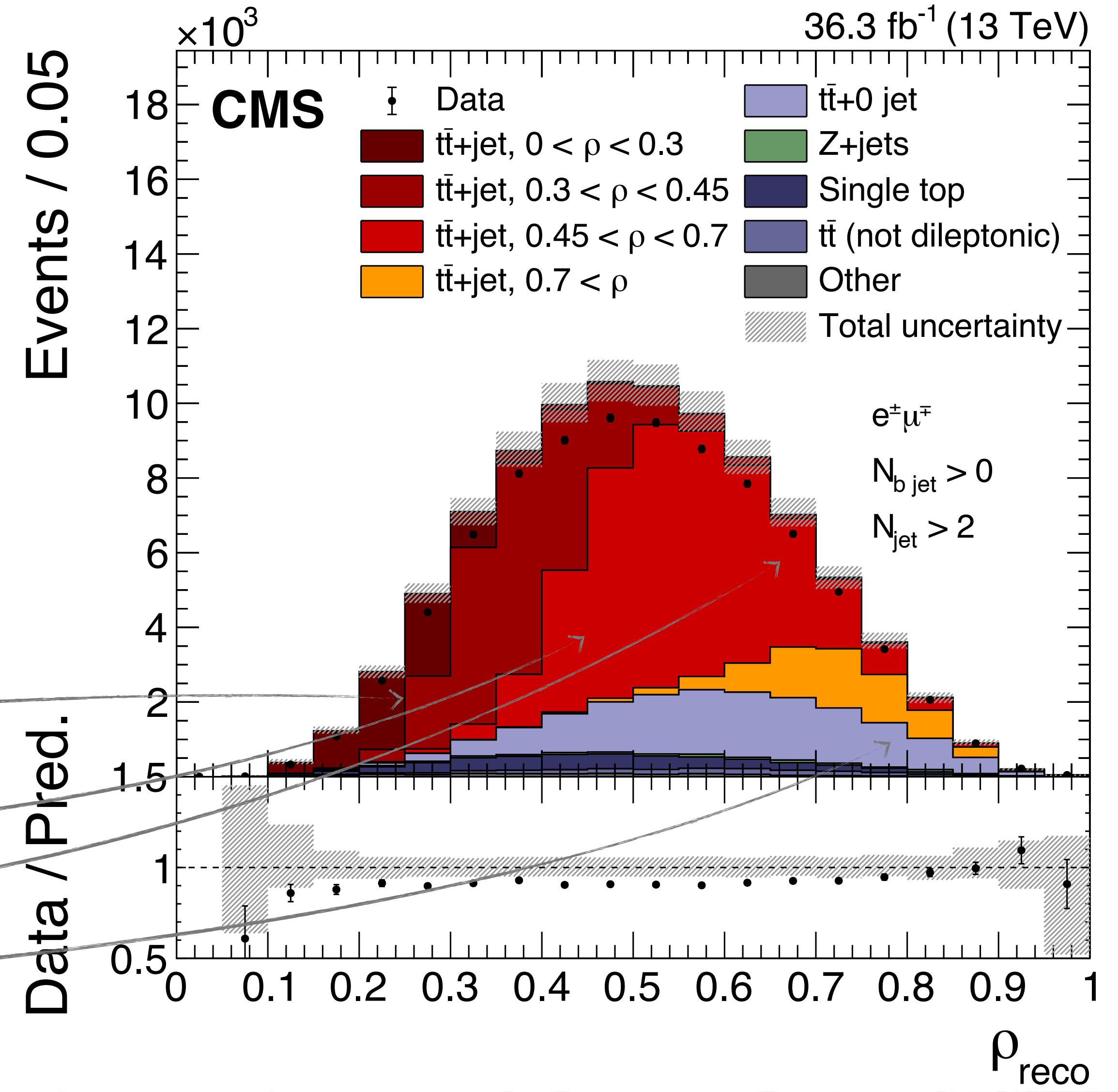
- Use neural-network reconstruction for the measurement
 - Same response in data & simulation
 - Determine binning in ρ at parton + detector level:
 - Use same symmetric binning
 - Based on studies of:
 - Purity & stability
 - Conditioning of unfolding problem
- 4 bins:

[0, 0.3]

[0.35, 0.45]

[0.45, 0.7]

[0.7, 1]



Maximum likelihood unfolding

Overview & event categorization

- Multidimensional fit to directly measure at parton level
 - Maximize acceptance
 - Constrain syst. uncs. using nuisance parameters $\vec{\lambda}$
- Achieved via:
 - Event categories (e.g. $\mu^+\mu^-$, $e^\pm\mu^\mp$, e^+e^-)
 - Bin in $N_{b\text{ jet}}$ and ρ_{reco} to increase signal sensitivity
 - Use $N_{\text{jet}} = 1, 2$ as control regions to constrain $t\bar{t}$ (+0 jet) background and uncertainties
 - Fit normalisation of $t\bar{t}+0$ jet bkg simultaneously
- Introduce signal strength parameters r^k for each bin

$$\sigma_{t\bar{t}+\text{jet}}^k = \int_{\rho_{low}^k}^{\rho_{high}^k} \frac{d\sigma_{t\bar{t}+\text{jet}}}{d\rho} d\rho \quad r^k = \frac{\sigma_{t\bar{t}+\text{jet}}^k}{\sigma_{t\bar{t}+\text{jet}}^k(MC)}$$

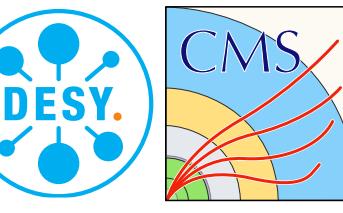
$$\mathcal{L} = \prod_i \frac{e^{-v_i} v_i^{n_i}}{n_i!} \prod_j \pi(w_j) \prod_m \pi(\lambda_m)$$

- m_t^{MC} free floating parameter to mitigate dependence

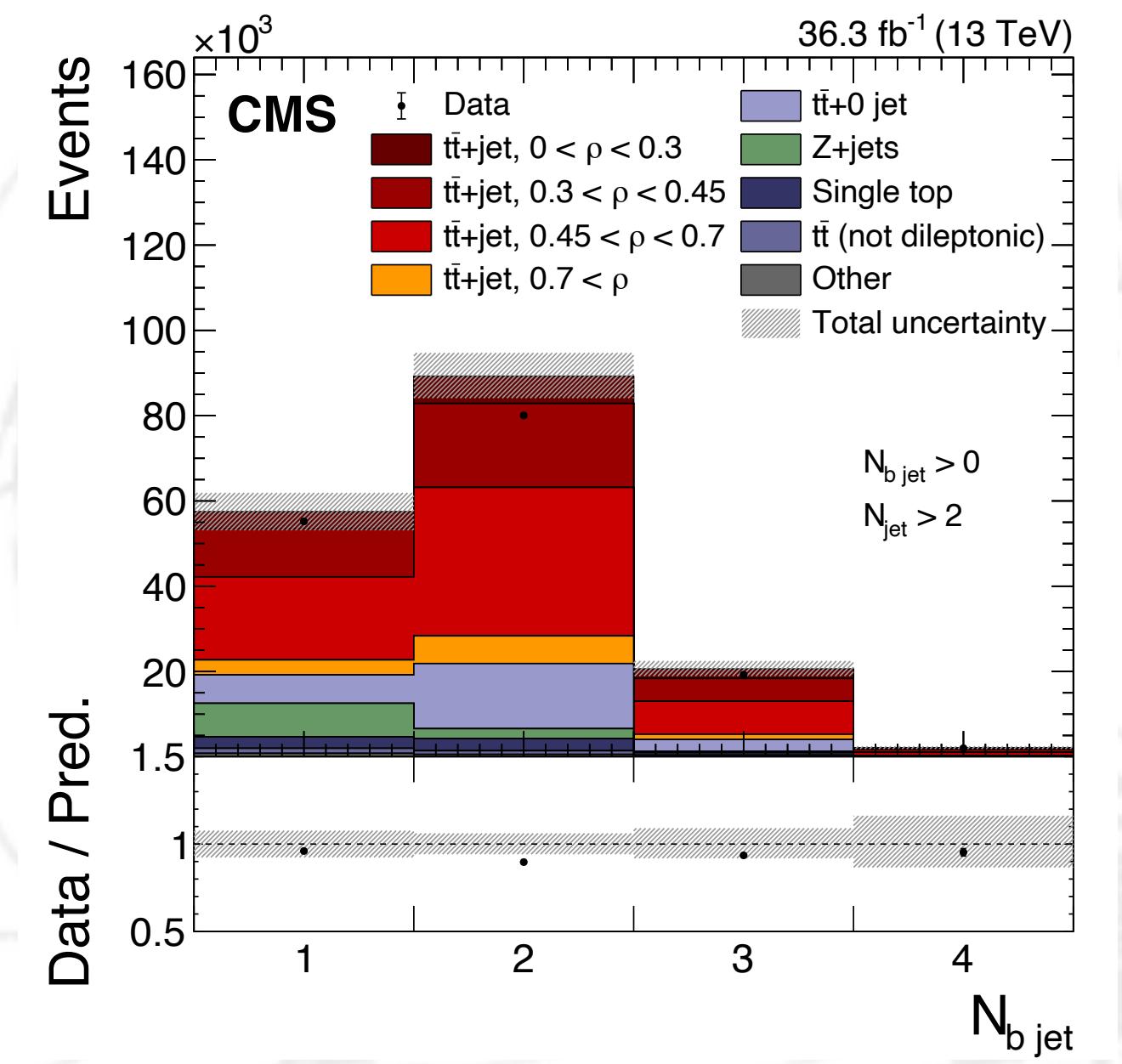
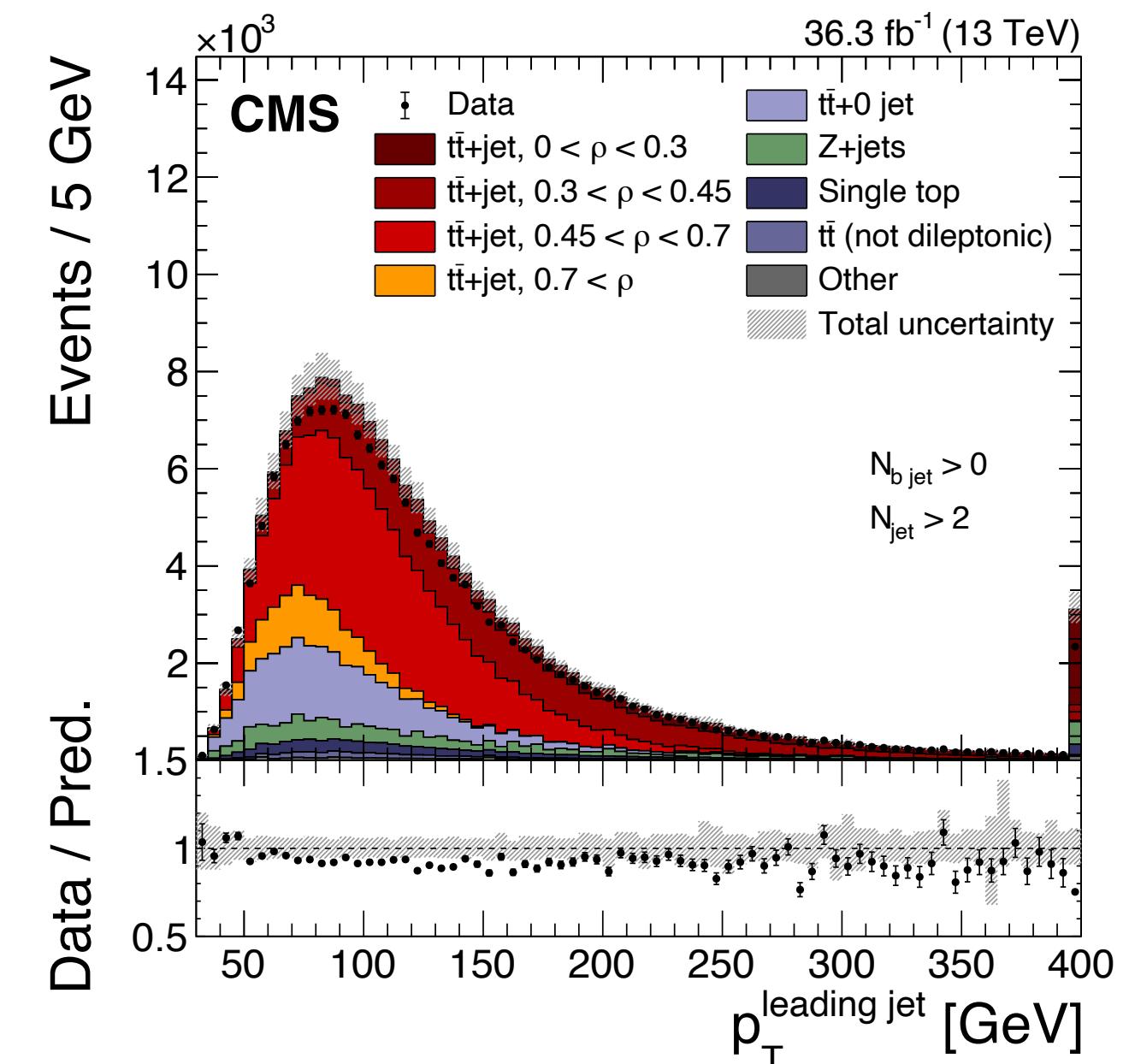
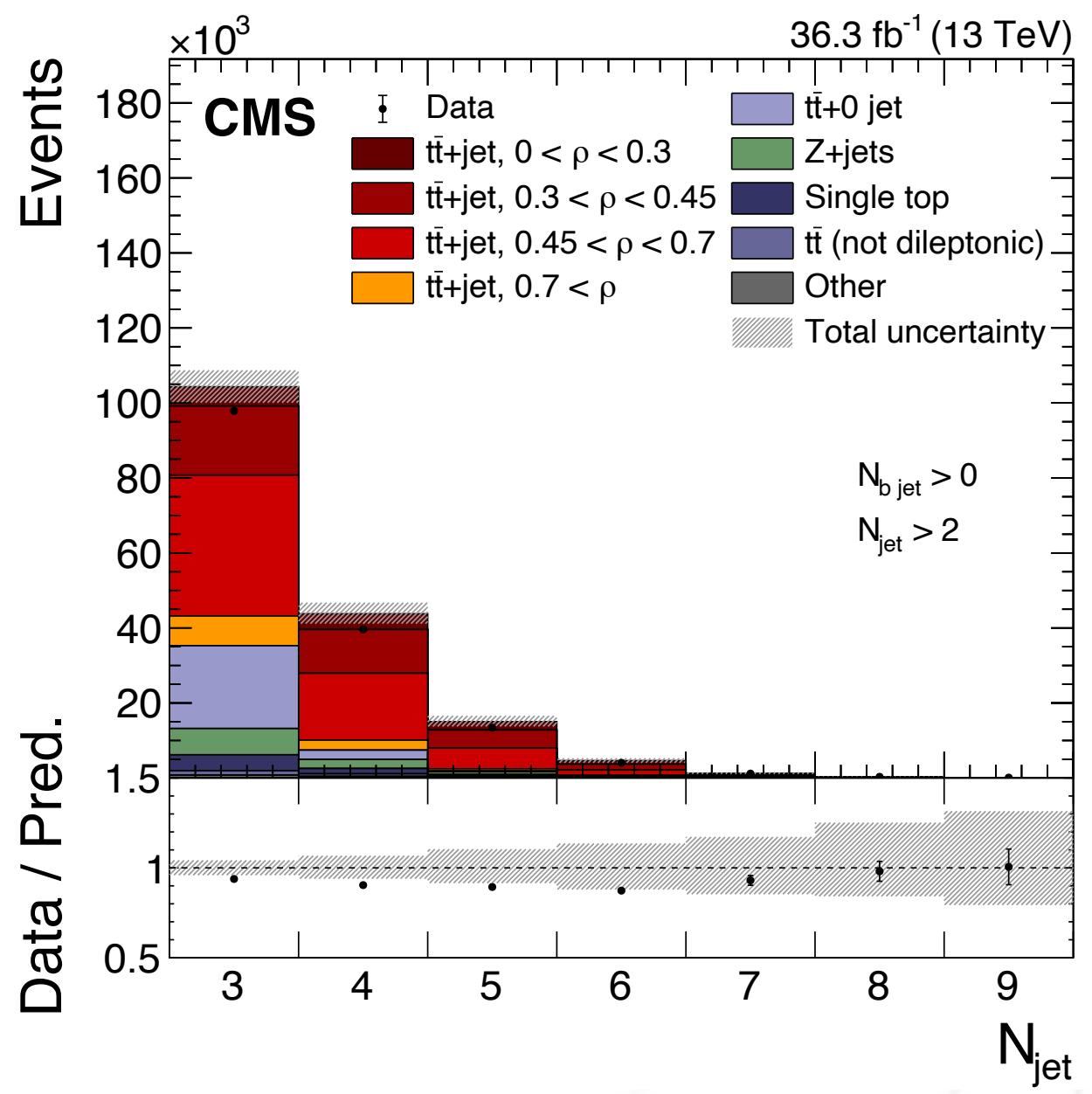
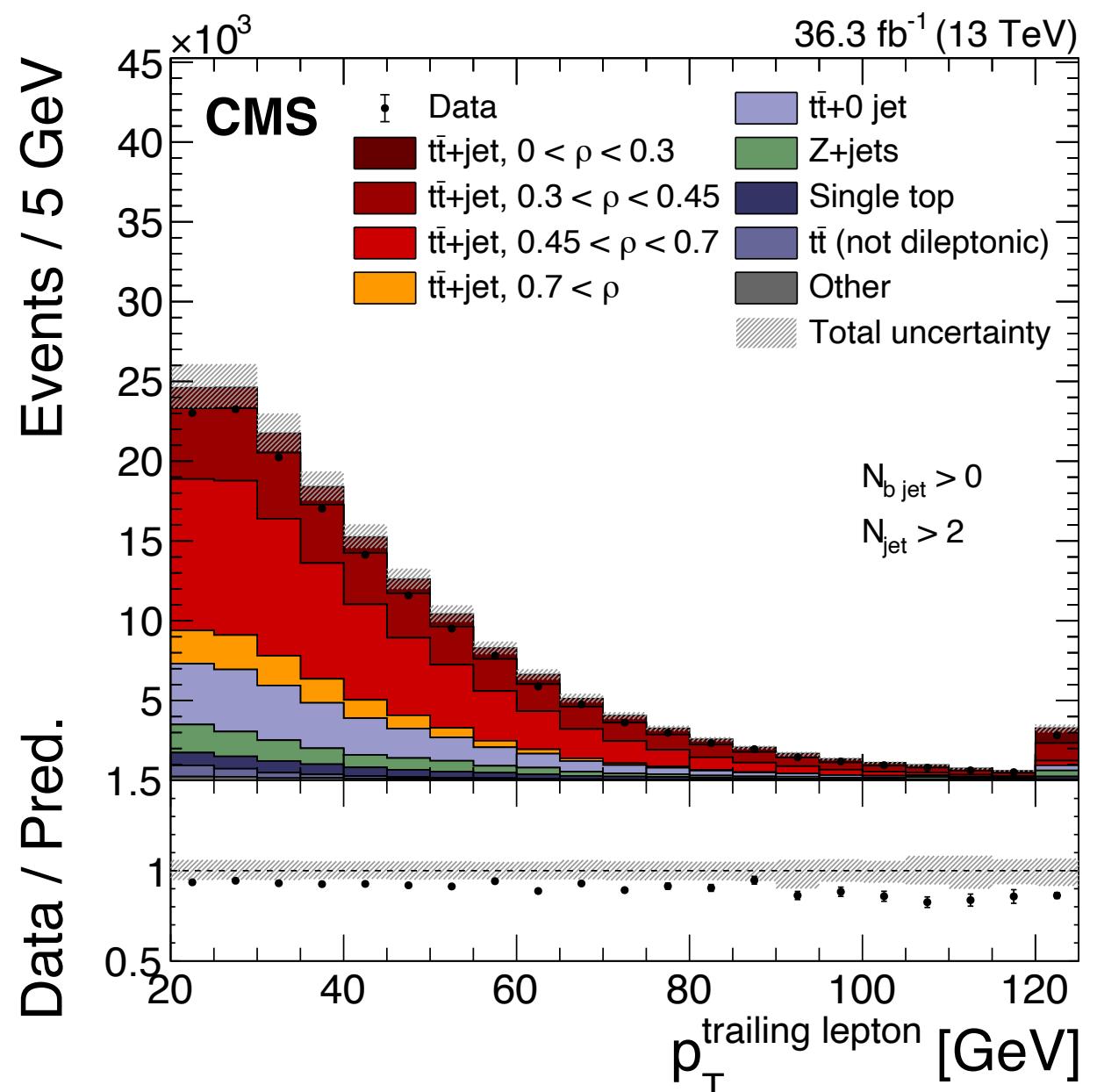
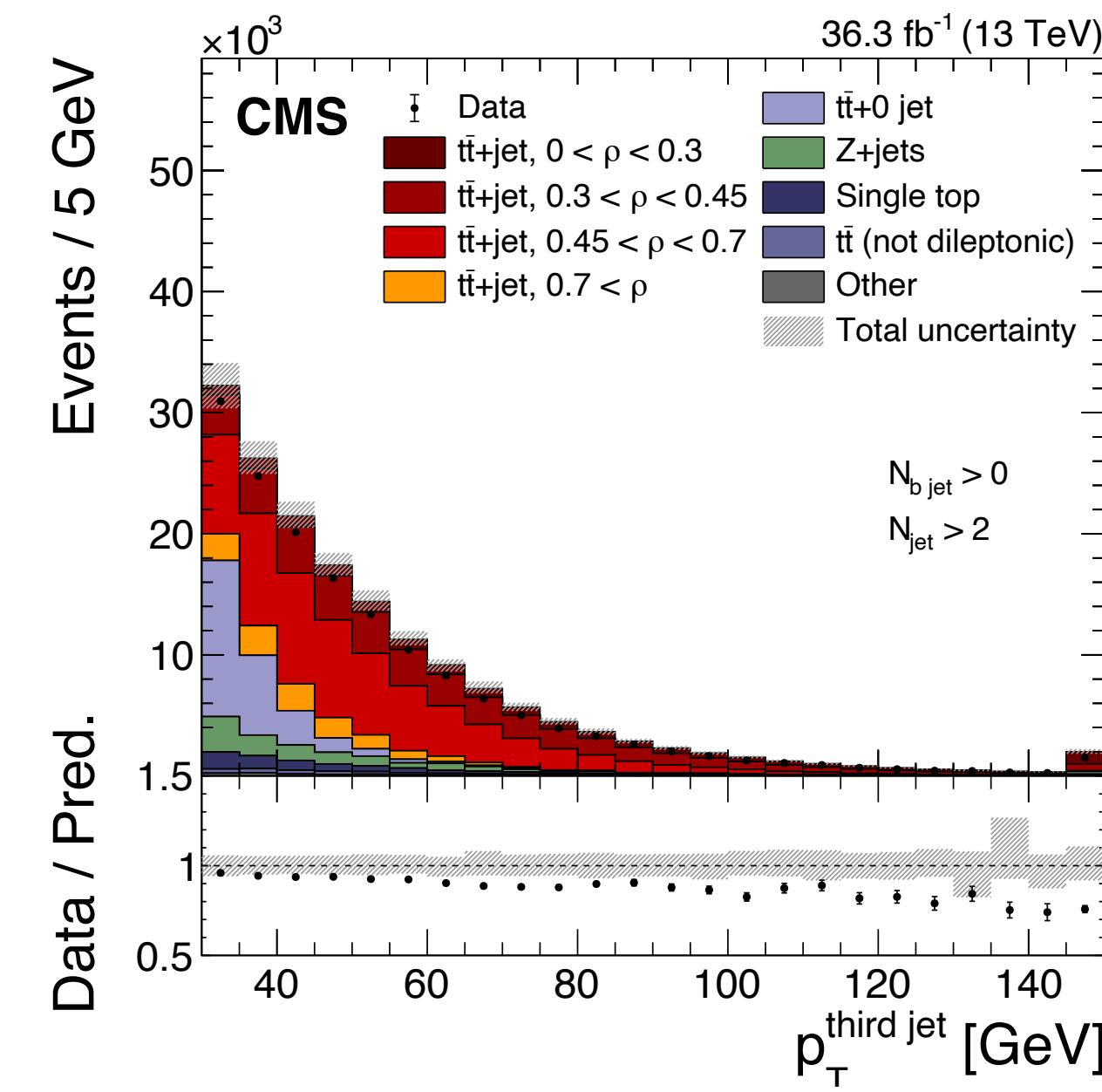
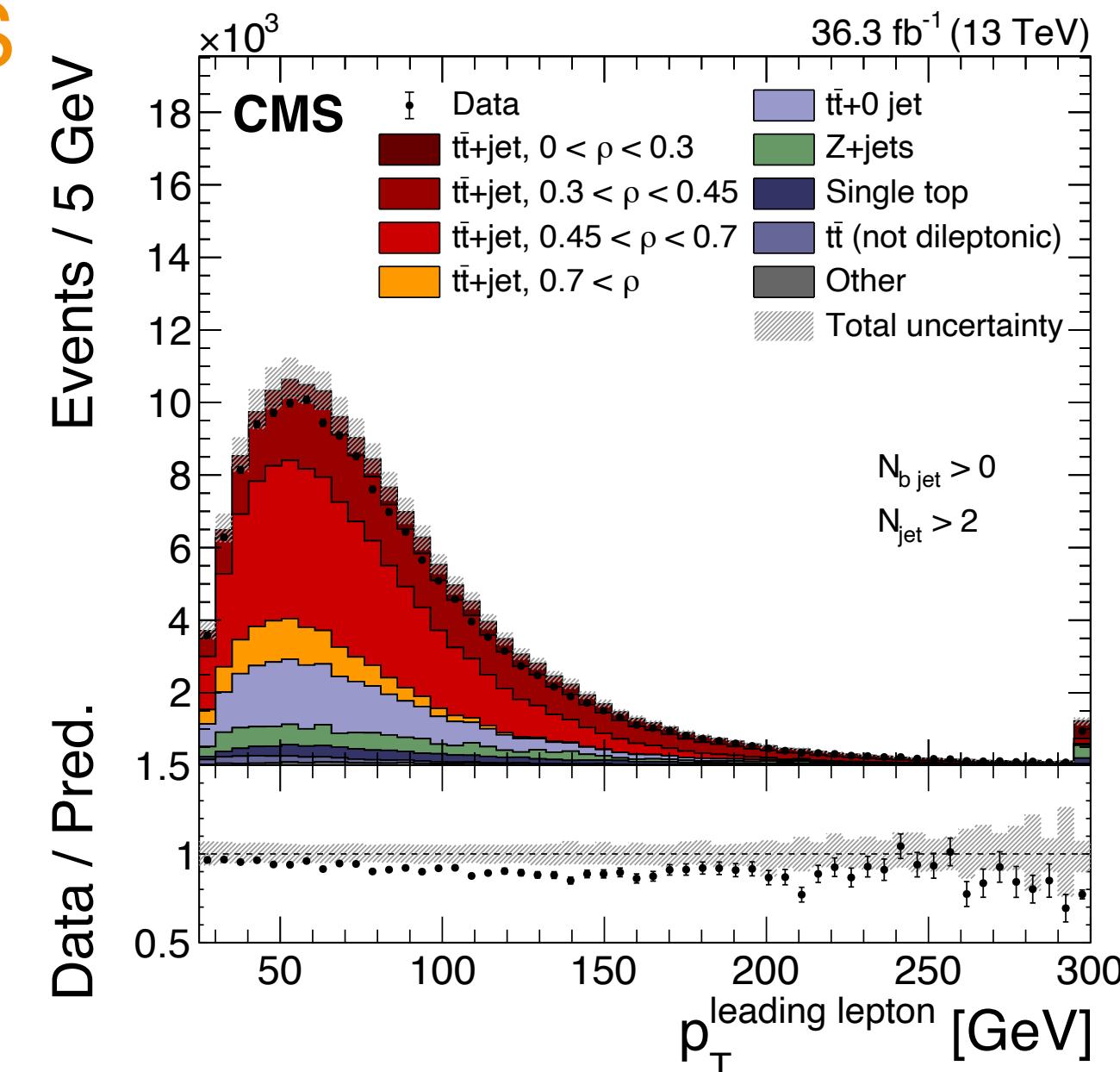
$$v_i = \sum_k s_i^k (\sigma_{t\bar{t}+\text{jet}}^k, m_t^{\text{MC}}, \vec{\lambda}) + \sum_j b_i^j (w_j, m_t^{\text{MC}}, \vec{\lambda})$$

- Consistent modeling of systematic uncertainties and correlations
- Background fitted and subtracted in fit

Distributions for $t\bar{t}$ +jet - like selection

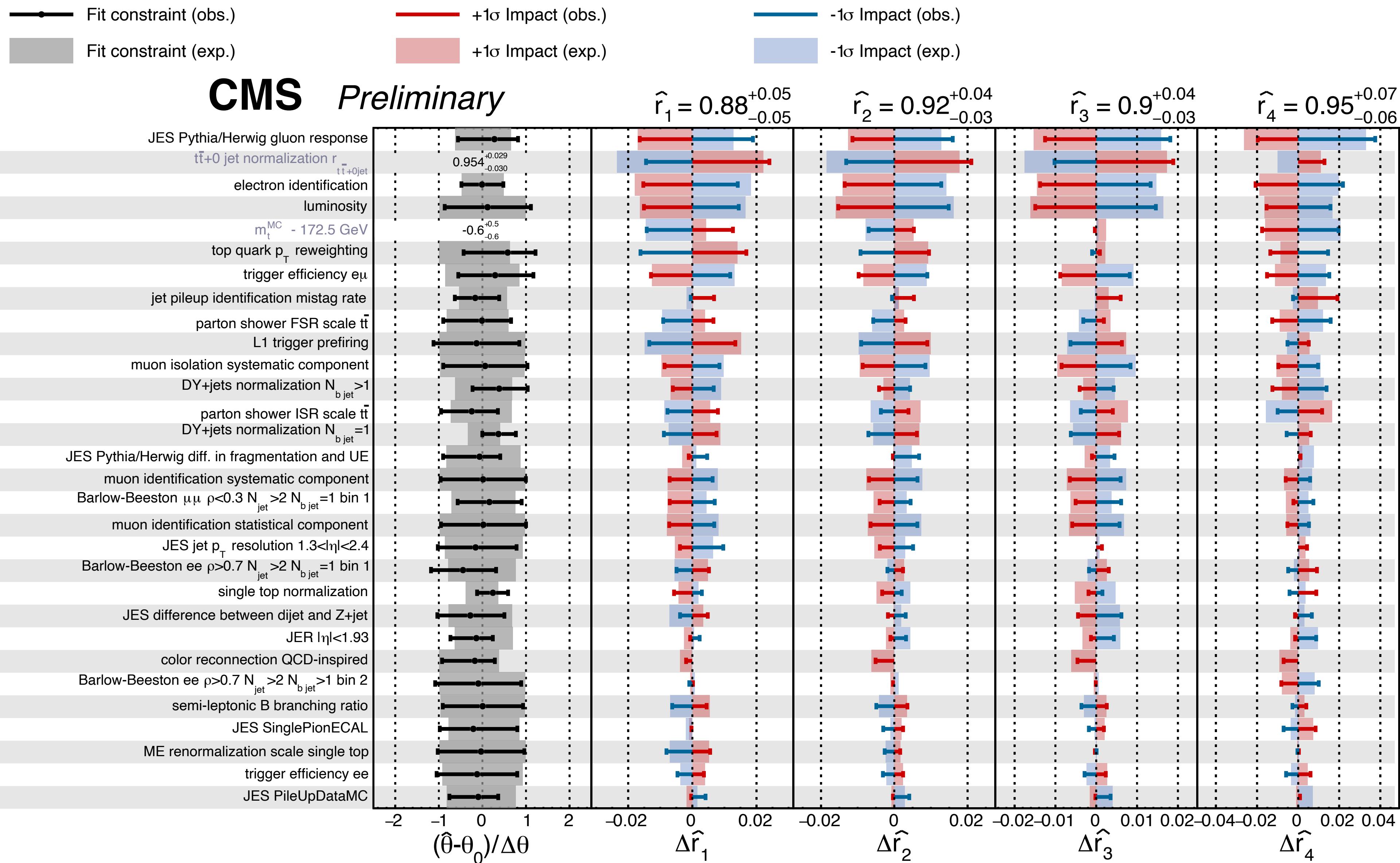


CMS

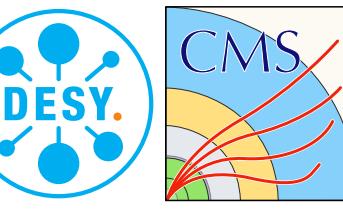


Nuisance parameter impacts

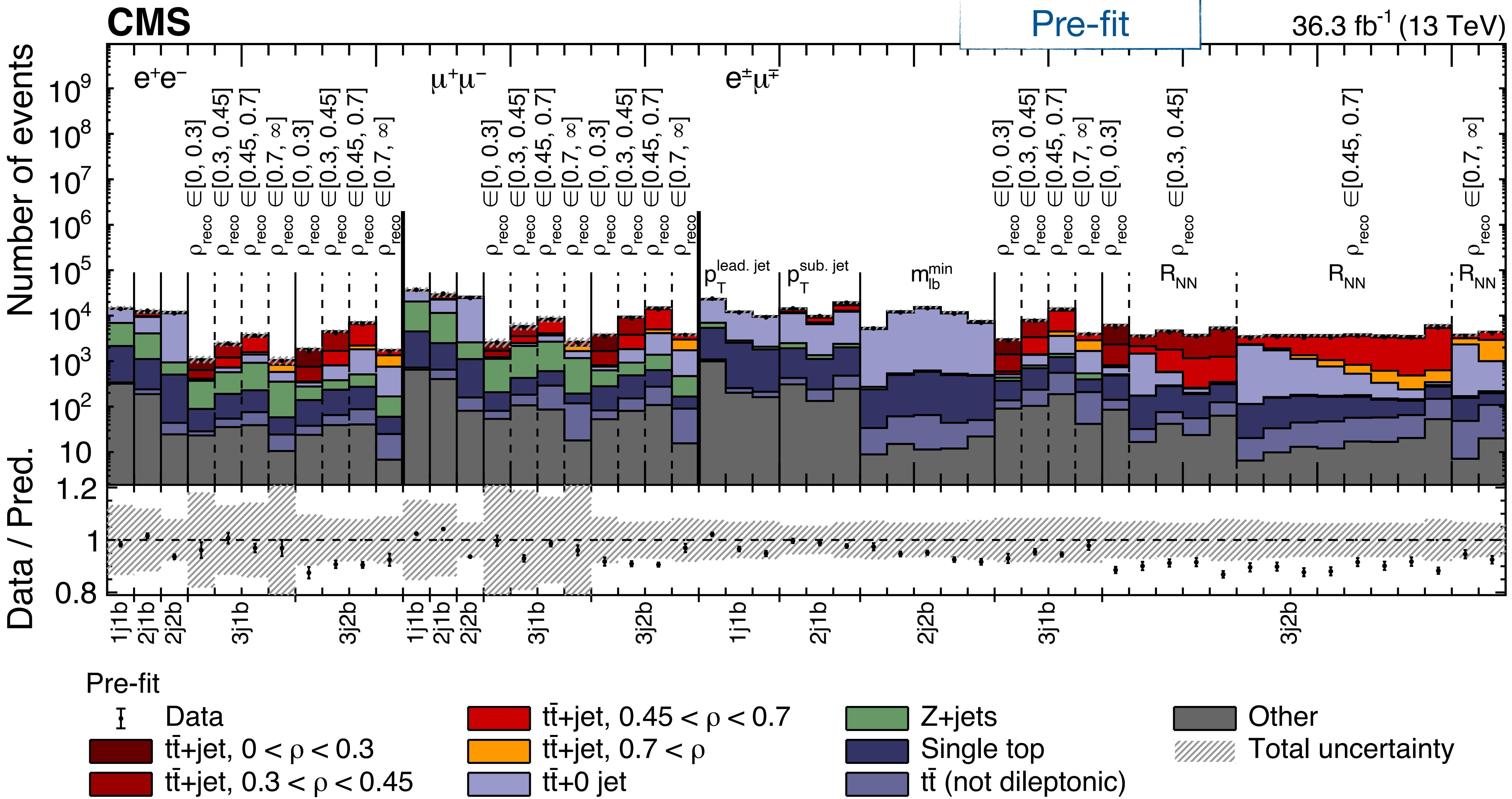
And constraints/pulls



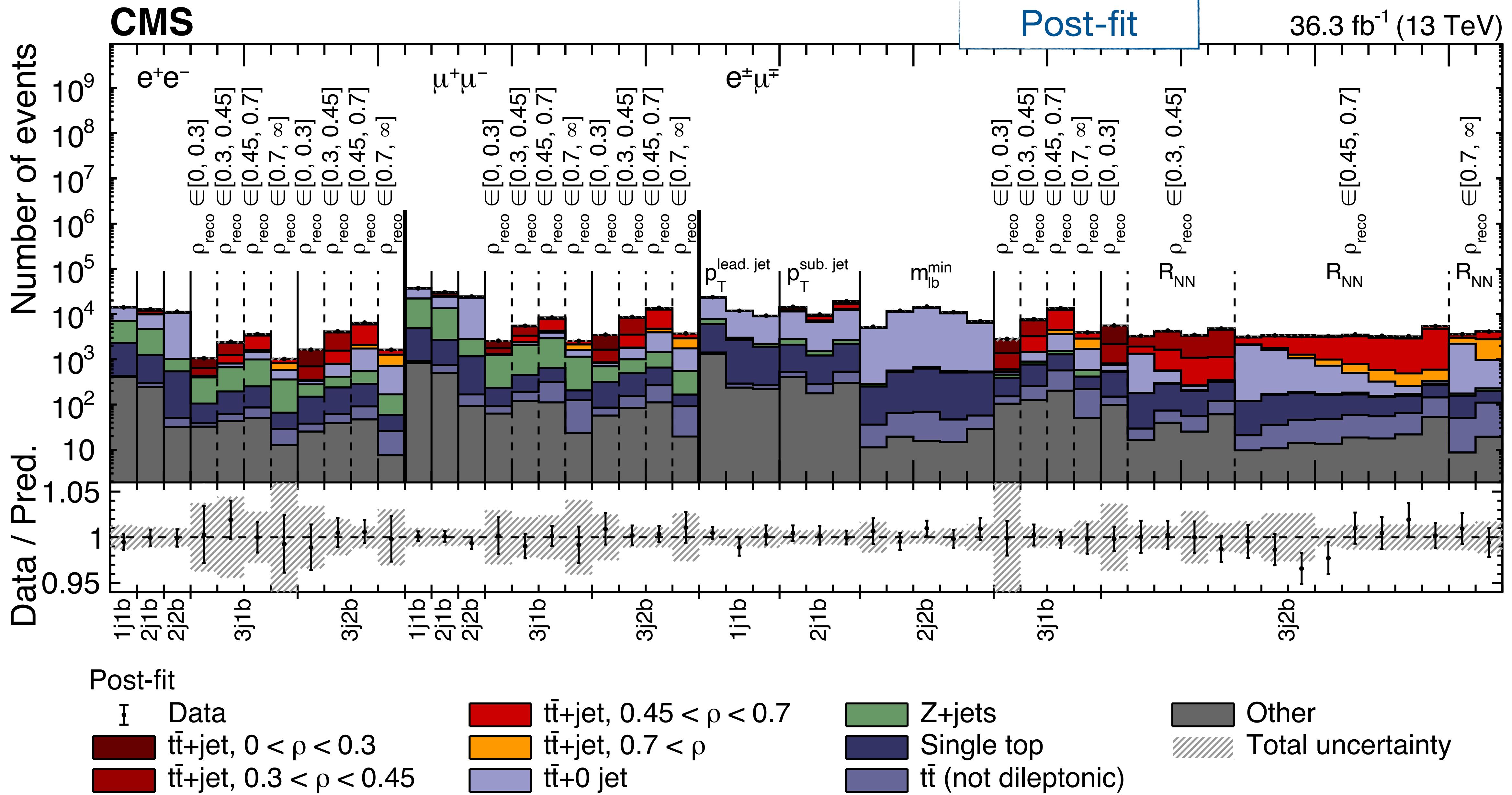
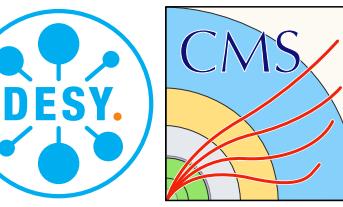
Pre- & post-fit distributions



36.3 fb^{-1} (13 TeV)



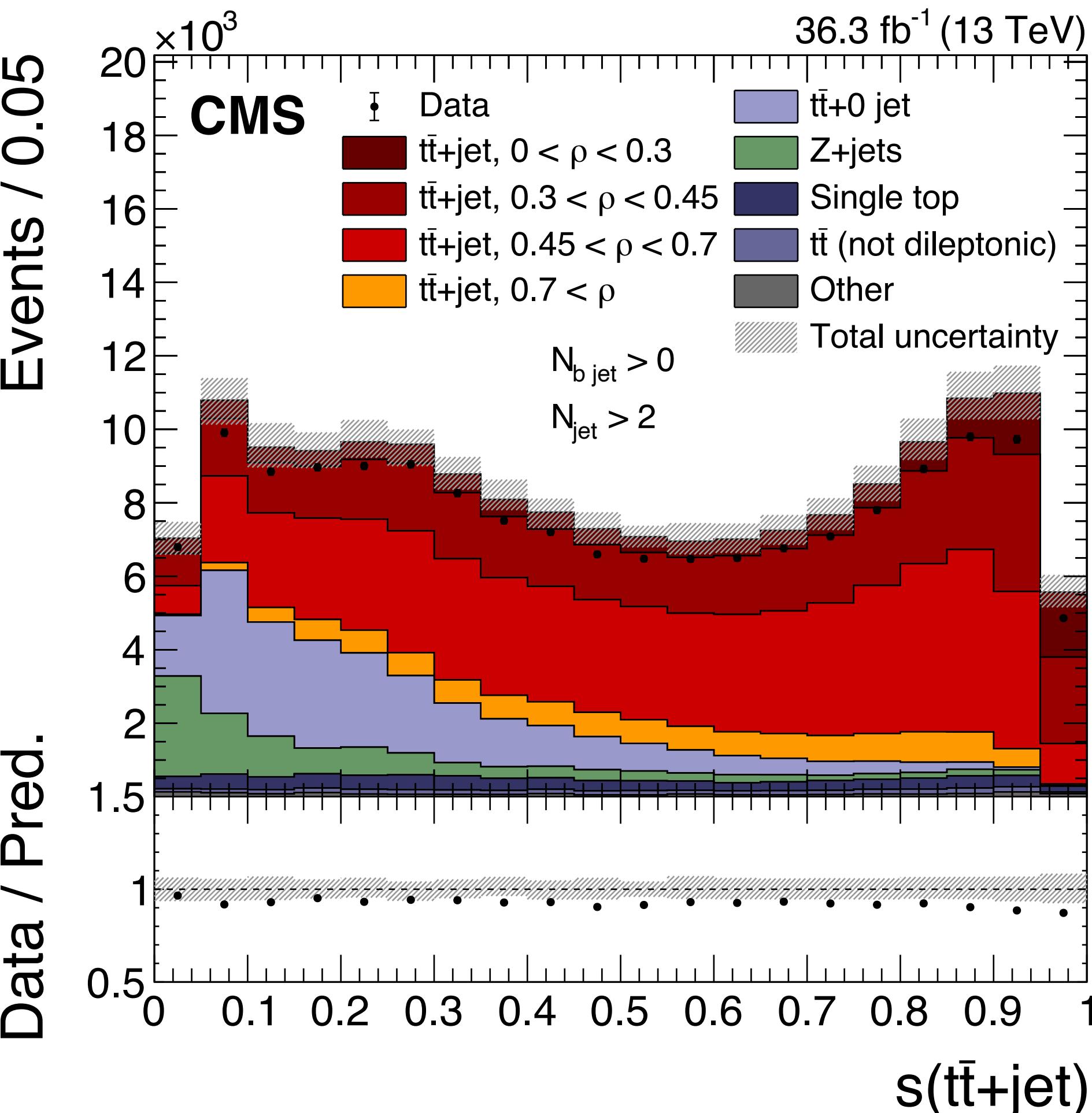
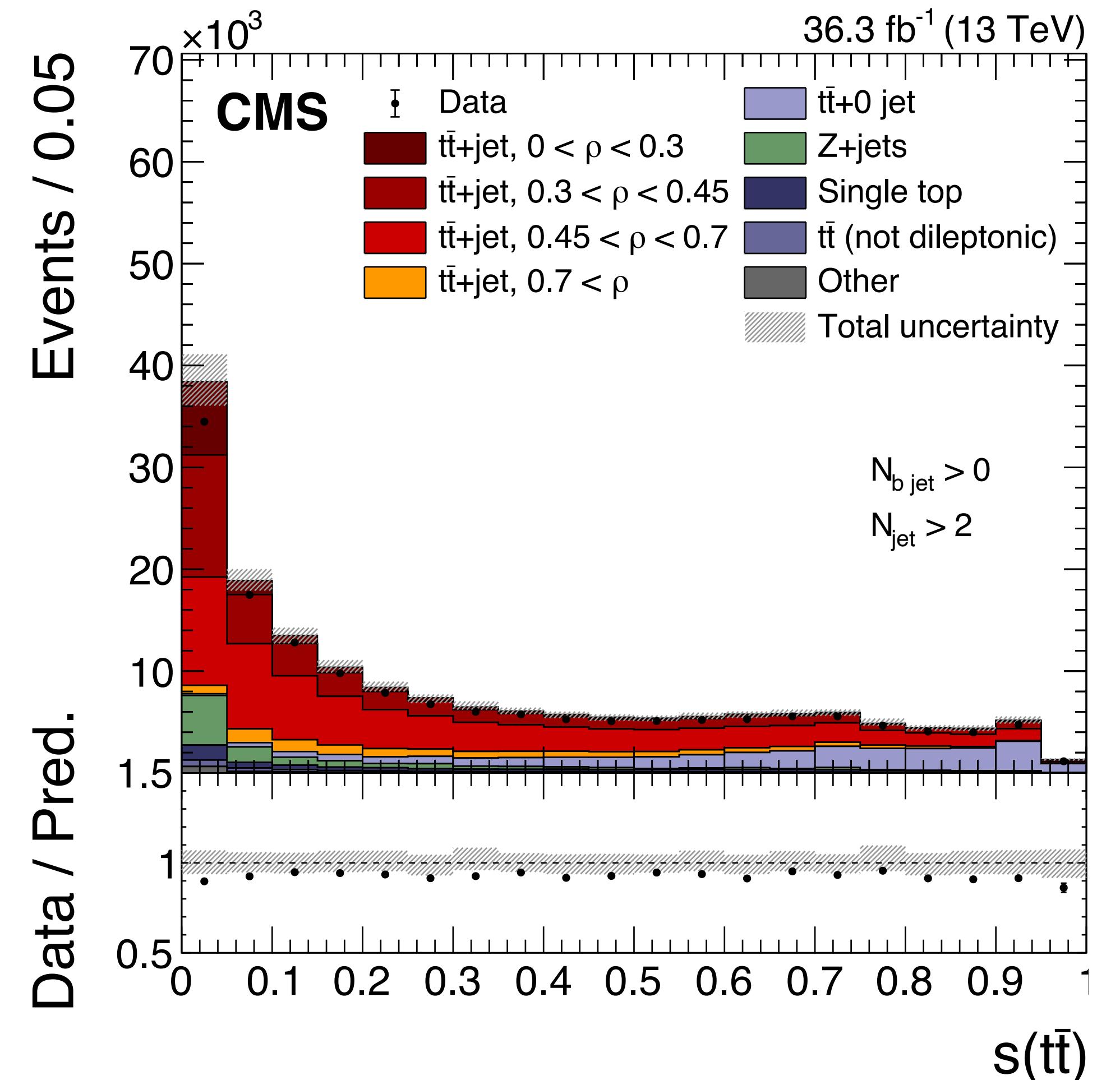
Pre- & post-fit distributions



MVA methods using neural networks

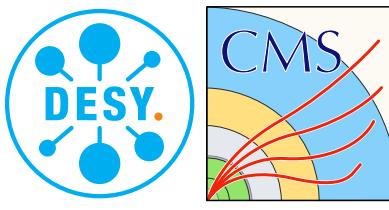
Event classification

- trained on aMC@NLO simulation
- discriminate between three classes:
 - **$t\bar{t}+jet$ signal,**
 - $t\bar{t}(+0 jet)$**
 - background,**
 - Z+jets**
- decorrelated output score from reconstructed ρ (using Unsupervised Domain Adaptation by Backpropagation)

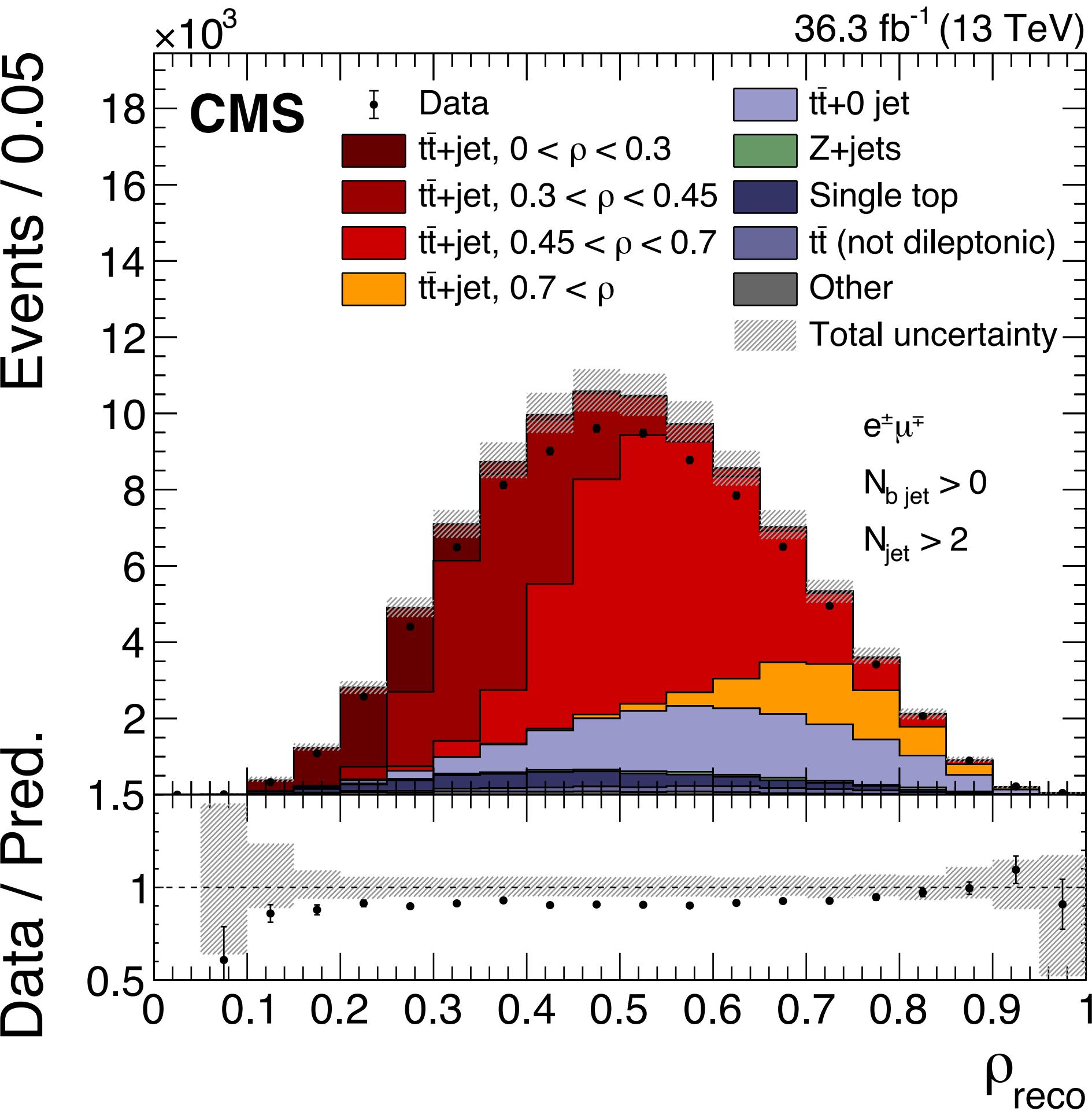
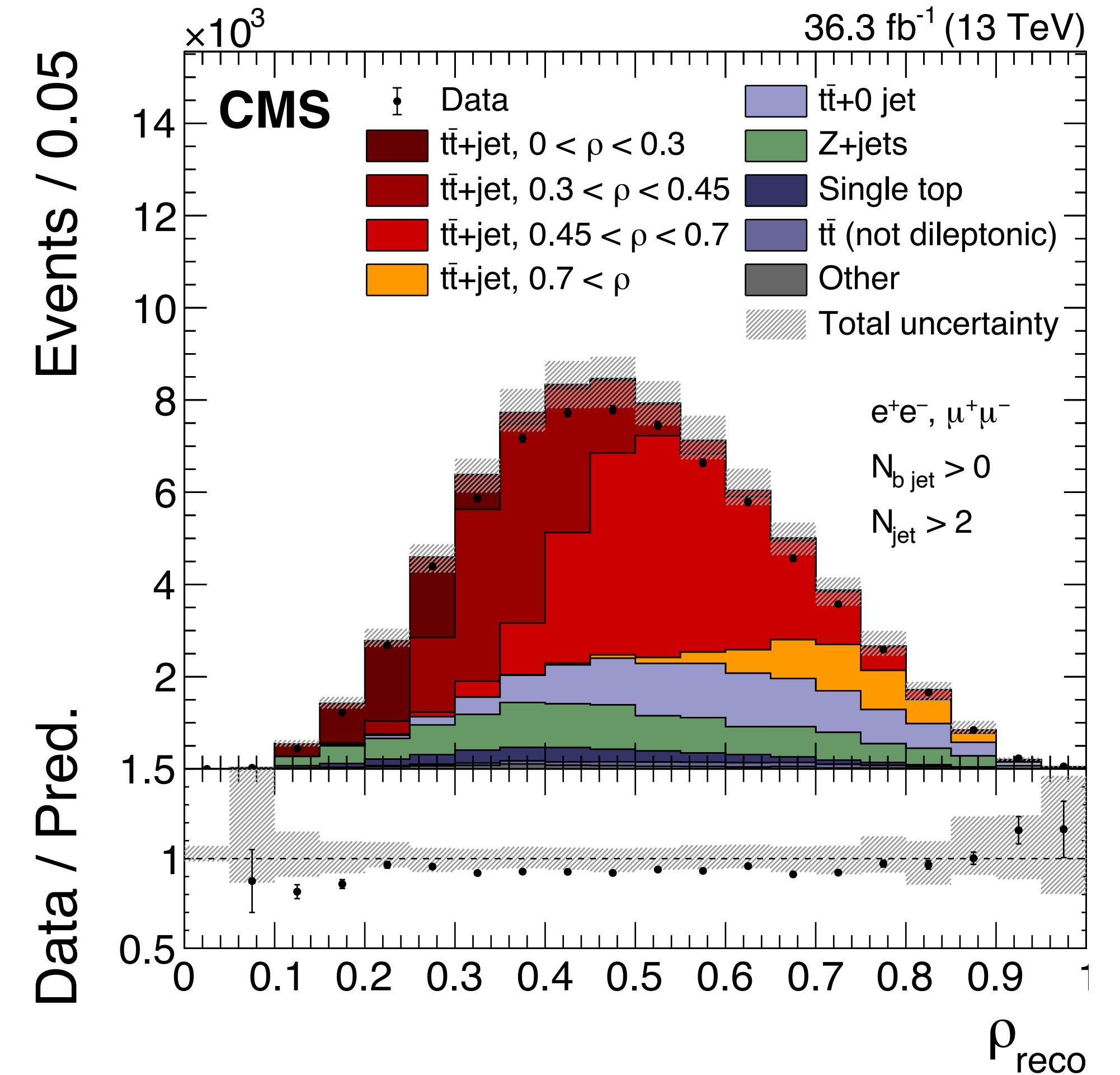


MVA methods using neural networks

Kinematic reconstruction



- trained on aMC@NLO simulation



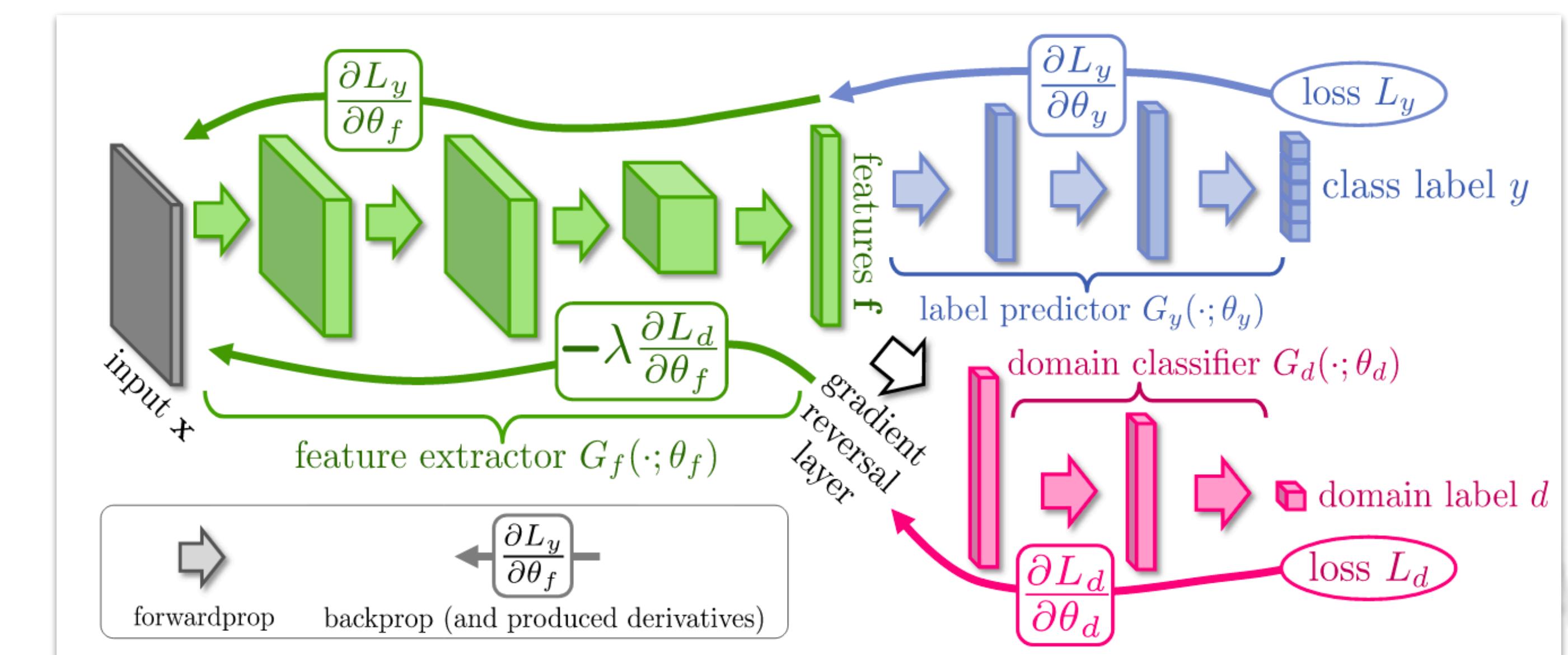
MVA methods using neural networks

Kinematic reconstruction

Unsupervised Domain Adaptation by

Backpropagation:

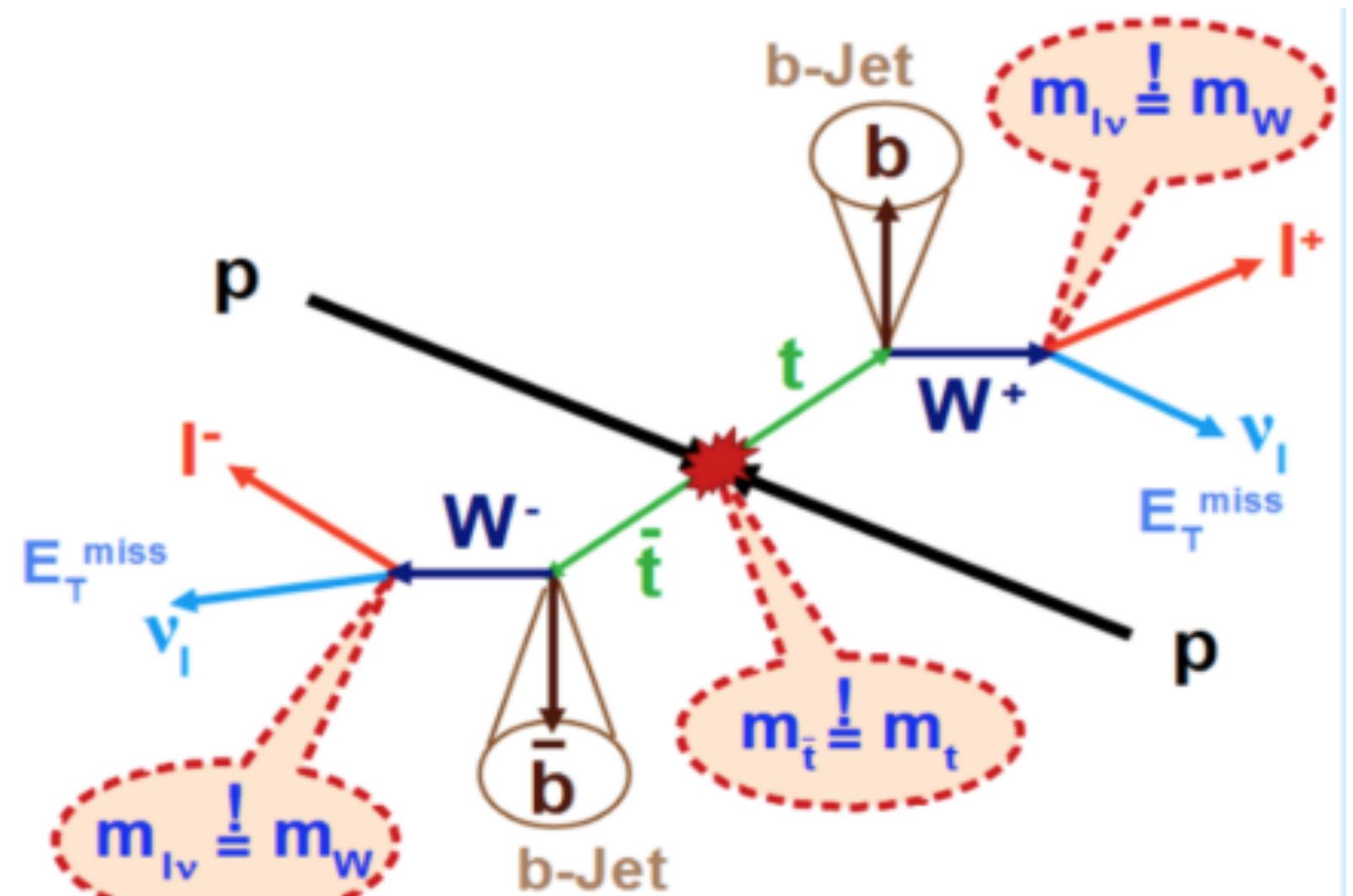
- decorrelation of the NN output from different variables, classes, training sets..
- inserting additional layers and output node targeting to regress ρ
 - add layer in front a layer that reverts the gradient
- classification performance not degraded



see [arXiv:1409.7495](https://arxiv.org/abs/1409.7495)

Kinematic Reconstruction of the $t\bar{t}$ -system arXiv:1811.06625

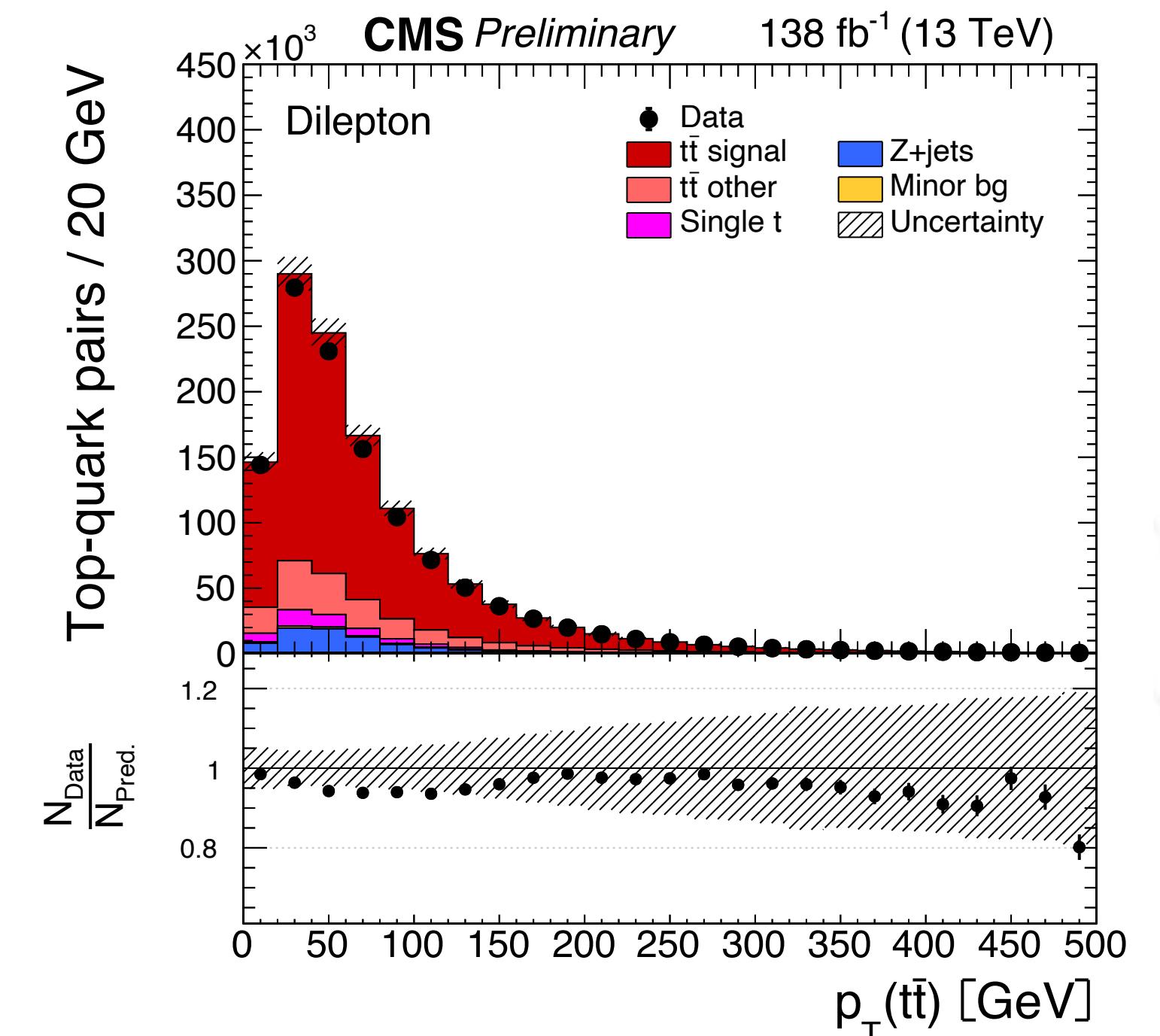
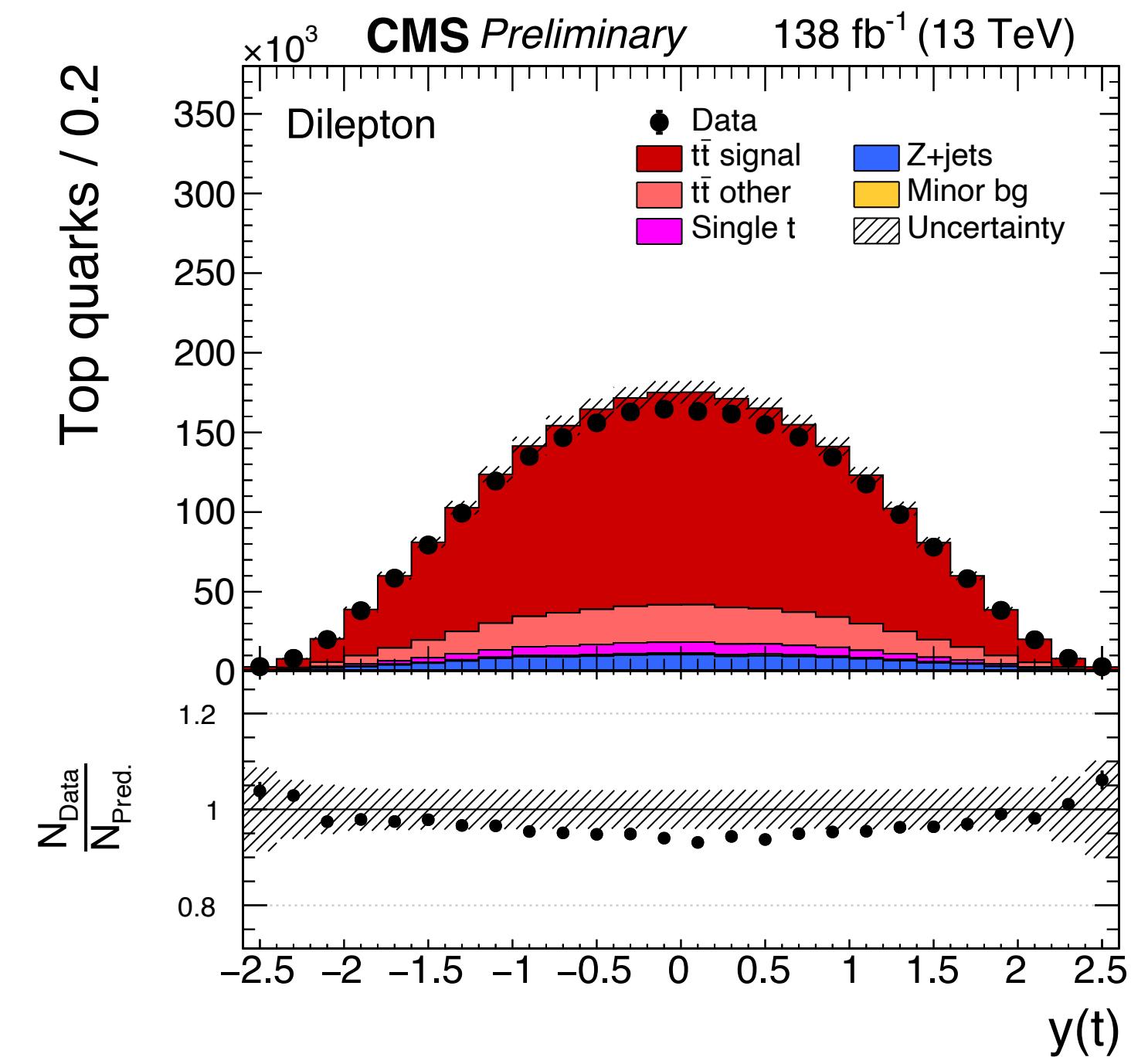
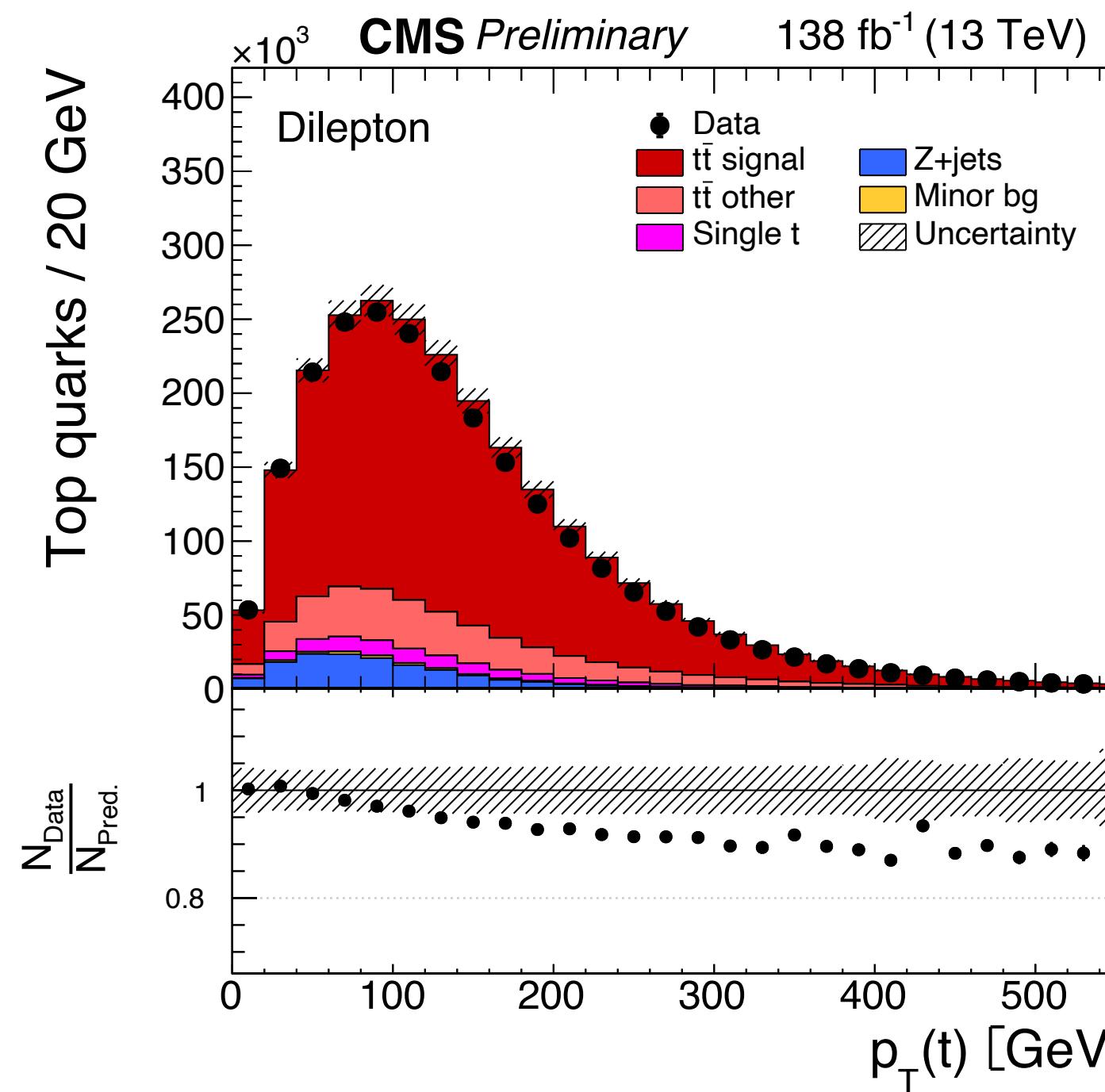
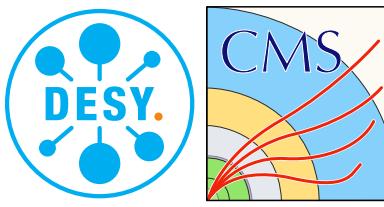
- inputs:
 - 2 jets
 - 2 leptons
 - MET
- constraints:
 - $m_t, m_{\bar{t}} = 172.5 \text{ GeV}$
 - $m_{W^+}, m_{W^-} = 80.4 \text{ GeV}$
 - $p_T(v, \bar{v}) = \text{MET}$
- unknowns:
 - 3-momenta: v, \bar{v}
- solutions with b-tagged jets are preferred
- reconstruct event 100 times:
 - W mass smeared according to Breit-Wigner distribution
 - Lepton, b-Jet energies smeared according to detector resolution
 - Weights are calculated based on m_{lb} spectrum
 - Take weighted average as solution
- Efficiency > 90%



$$p_{x,y,z}^{top} = \frac{1}{w} \sum_{i=0}^{100} w_i \cdot (p_{x,y,z}^{top})_i$$

Full kinematic reconstruction

From CMS-PAS-TOP-20-006

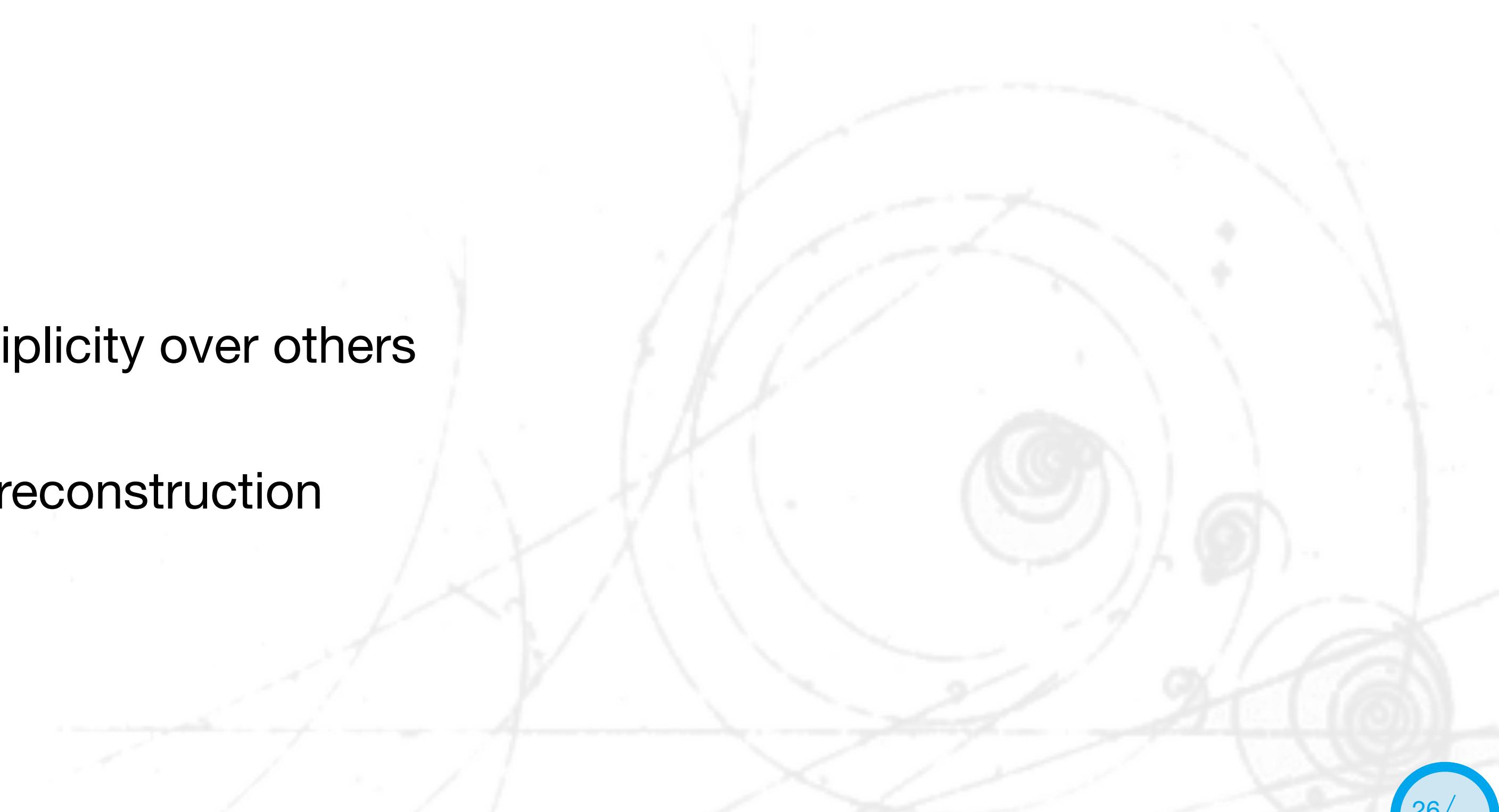


Kinematic Reconstruction of the $t\bar{t}$ -system

Another approach

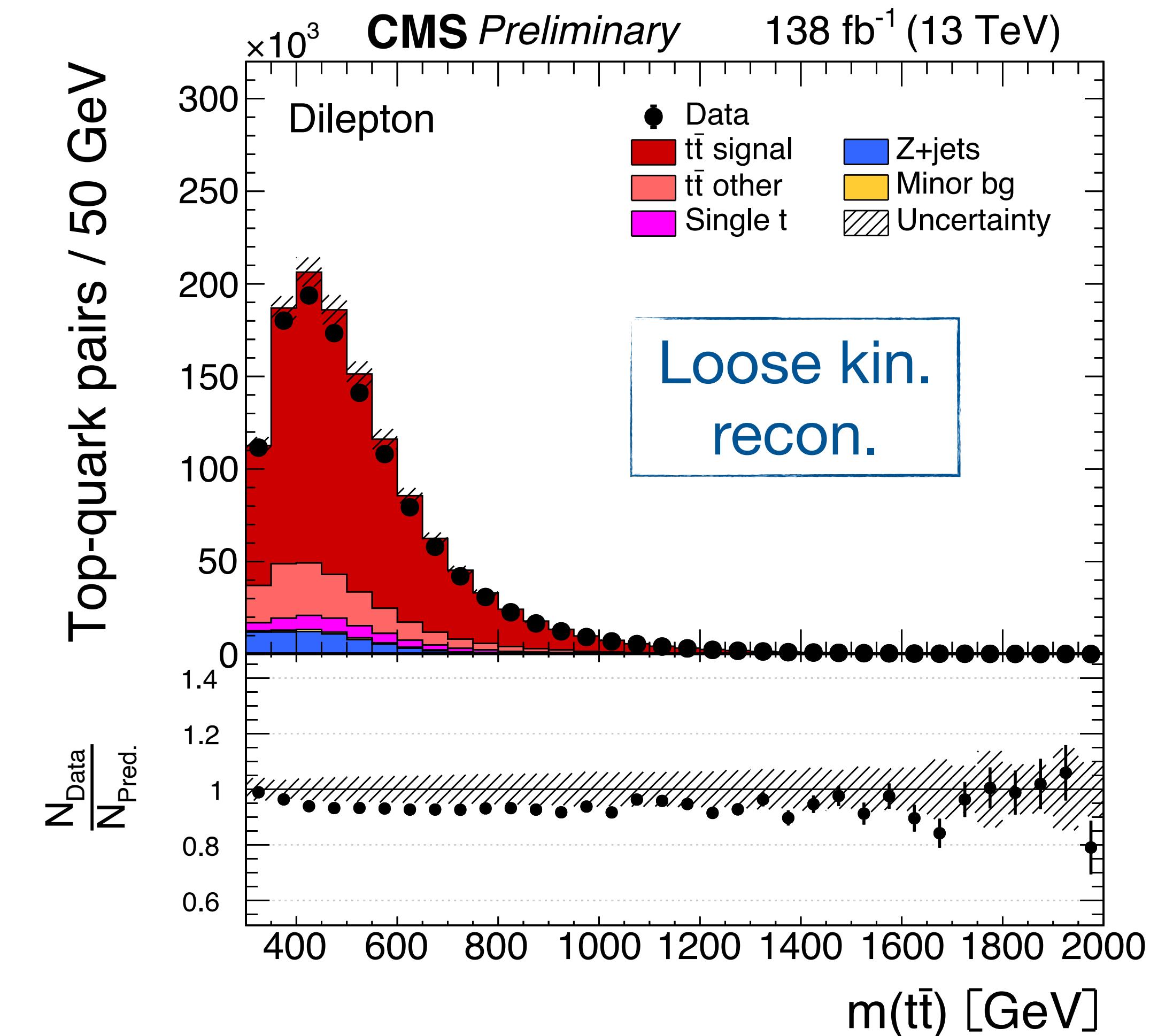
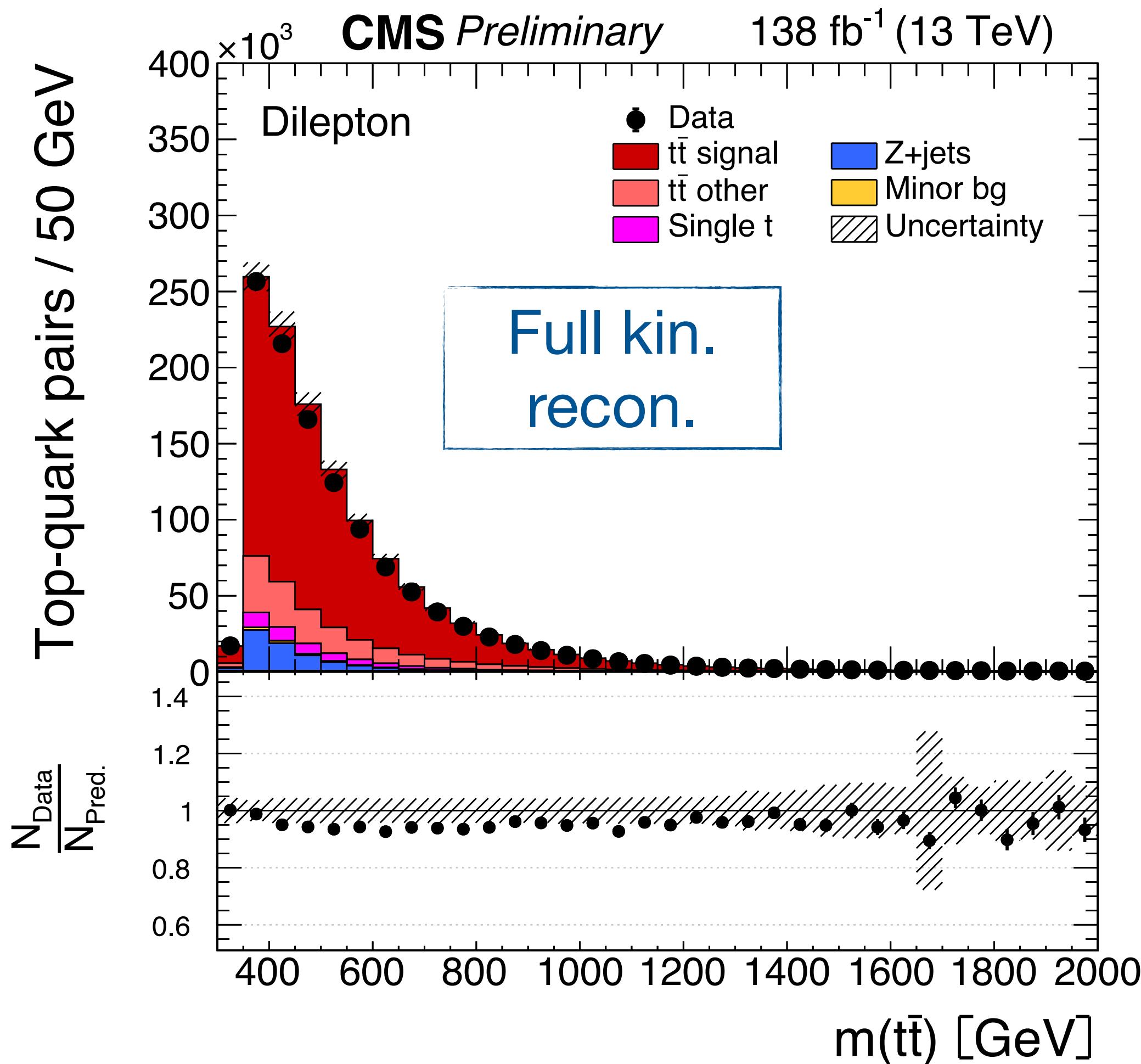
[arXiv:1904.05237](https://arxiv.org/abs/1904.05237)

- “Loose kinematic reconstruction”:
 - drop m_t requirement
 - no bias on top mass
 - reconstruct $v\bar{v}$ system as a whole
 - only total $t\bar{t}$ -system reconstruction
 - $p_T(v\bar{v}) = p_T(\text{MET})$
 - $p_z(v\bar{v}) = p_z(l\bar{l})$, $E(v\bar{v}) = E(l\bar{l})$
 - requirements: $M(v\bar{v}) \geq 0$, $M(W^+W^-) \geq 2m_W$
 - prefer solutions with higher b-tagged jet multiplicity over others
 - Obtained kinematics similar to full kinematic reconstruction
 - Efficiency similar



Full vs loose kinematic reconstruction

From CMS-PAS-TOP-20-006



Top quark mass

direct measurements

m_t^{MC}



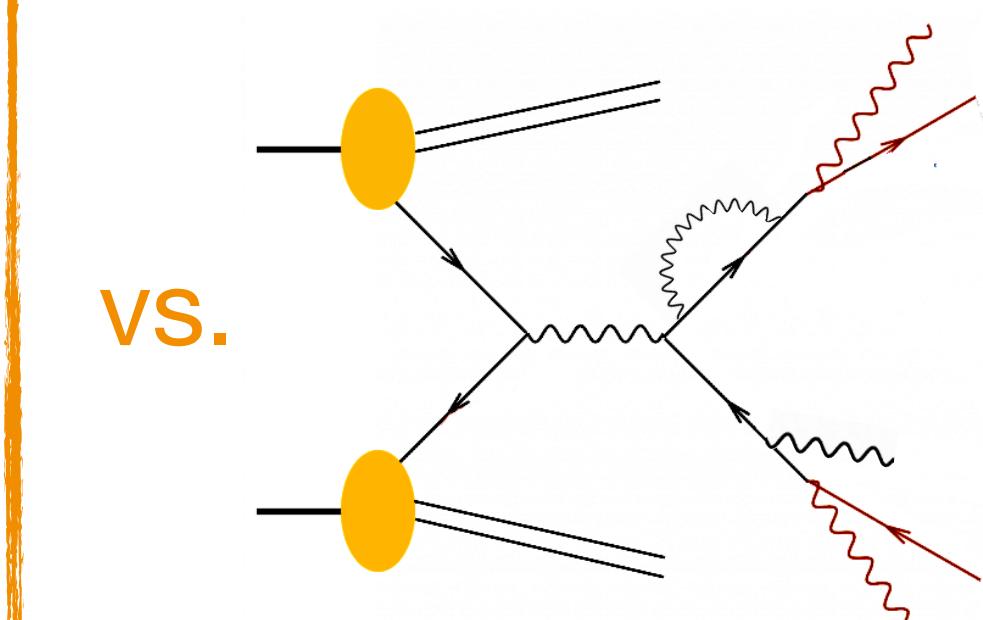
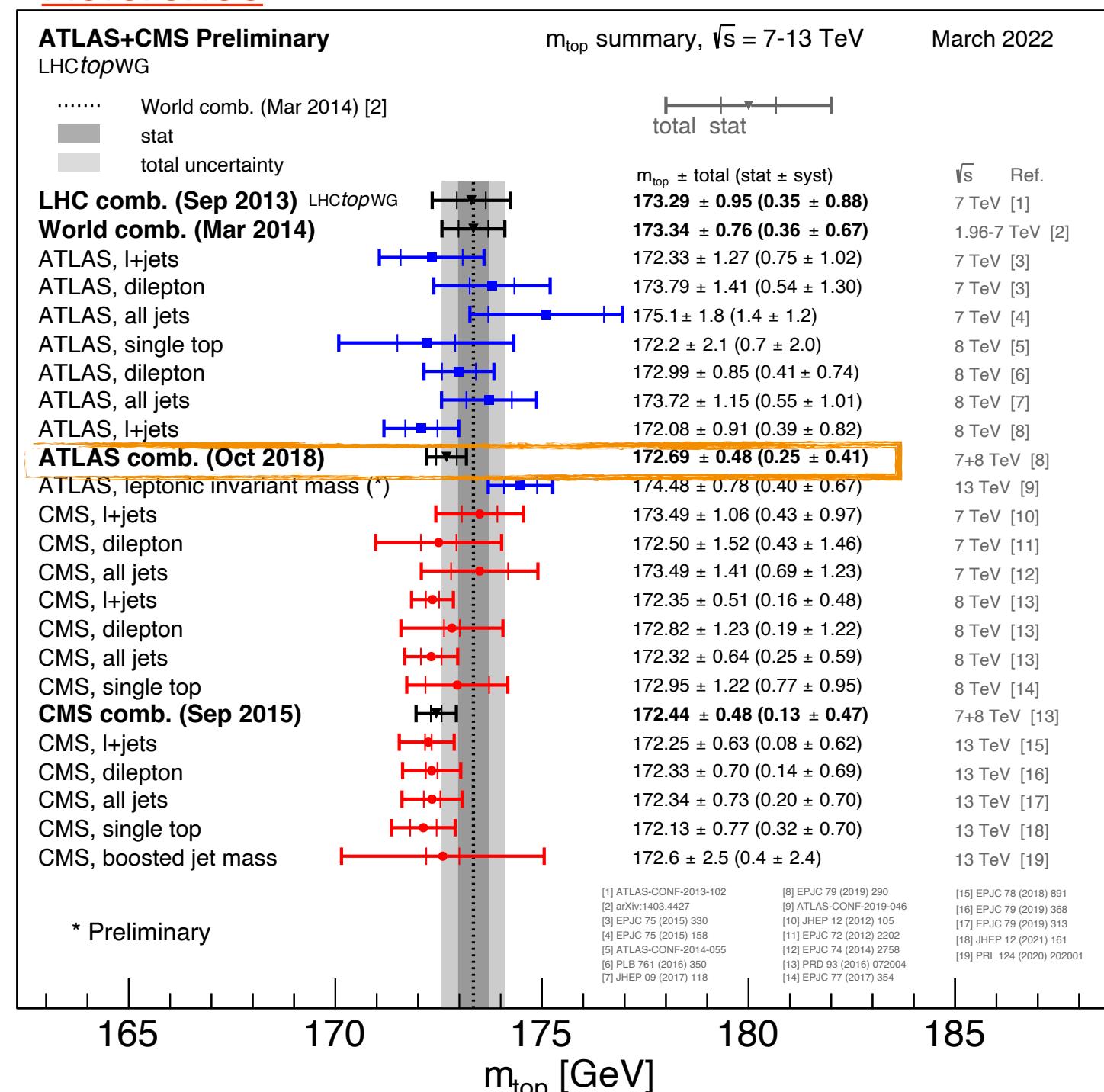
m_t

indirect measurements

- measuring m_t^{MC} using reconstructed decay products
 - very high experimental precision
 - $\sim 0.5 \text{ GeV}$
 - relies on details of MC simulation

- extract m_t in well defined renormalisation scheme (pole, $\overline{\text{MS}}$, ...)
- measuring cross section with direct sensitivity to m_t
 - either inclusive or differential

Reference



$$m_t^{MC} = m_t^{pole} \pm \Delta_{MC} O(1\text{GeV})$$

