Positivity bounds and the inverse problem in SMEFT

Cen Zhang

Institute of High Energy Physics Chinese Academy of Sciences

March 11 2021, Durham IPPP

A COL HIGH ENERGY DHINGS CAS HIB DE TO AL PHYSICS UNIT

Based on 2005.03047 with S.-Y. Zhou (PRL 125, 201601), 2009.02212 with B. Fuks, Y. Liu and S.-Y. Zhou, and other ongoing works.

"Positivity bounds"

- Not all EFTs have a UV completion.
- Bounds from axiomatic principles of QFT (causality, unitarity, etc.), on the signs of (combinations of) Wilson coefficients.
- ◆ 2-to-2 elastic amplitude $A_{2\to 2}(s,t) = c_0 + c_2 s^2 + c_{2,1} s^2 t + c_4 s^4 + \dots + c_{n,m} s^n t^m$
- + $c_2 > 0$; Often in SMEFT: $C^{(8)} > 0$. [A. Adams et al., JHEP 06]

Positivity from elastic scattering

• Unitarity: $A(s,0) < \mathcal{O}(s \ln^2 s)$

$$f = \frac{1}{2\pi i} \oint_{\Gamma} \mathrm{d}s \frac{A(s,0)}{(s-\mu^2)^3}$$



Analyticity:

$$f = \frac{1}{2\pi i} \oint_{\Gamma} \mathrm{d}s \frac{A(s,0)}{(s-\mu^2)^3} = \frac{1}{2\pi i} \left(\int_{-\infty}^{0} + \int_{4m^2}^{\infty} \right) \mathrm{d}s \frac{\mathrm{Disc}A(s,0)}{(s-\mu^2)^3}$$

Positivity from elastic scattering

• Unitarity: $A(s,0) < \mathcal{O}(s \ln^2 s)$

$$f = \frac{1}{2\pi i} \oint_{\Gamma} \mathrm{d}s \frac{A(s,0)}{(s-\mu^2)^3}$$



Analyticity:

$$f = \frac{1}{2\pi i} \oint_{\Gamma} ds \frac{A(s,0)}{(s-\mu^2)^3} = \frac{1}{2\pi i} \left(\int_{-\infty}^{0} + \int_{4m^2}^{\infty} \right) ds \frac{\text{Disc}A(s,0)}{(s-\mu^2)^3}$$

$$IR^{\uparrow}$$

$$Calculable in EFT$$

$$A_{2\to 2}(s,t) = c_0 + c_2 s^2 + c_{2,1} s^2 t + c_4 s^4 + \dots + c_{n,m} s^n t^m$$

Positivity from elastic scattering

• Unitarity: $A(s,0) < \mathcal{O}(s \ln^2 s)$

$$f = \frac{1}{2\pi i} \oint_{\Gamma} \mathrm{d}s \frac{A(s,0)}{(s-\mu^2)^3}$$



Analyticity:

"Positivity bounds"

- Not all EFTs have a UV completion.
- Bounds from axiomatic principles of QFT (causality, unitarity, etc.), on the signs of (combinations of) Wilson coefficients.
- ◆ 2-to-2 elastic amplitude $A_{2\to 2}(s,t) = c_0 + c_2 s^2 + c_{2,1} s^2 t + c_4 s^4 + \dots + c_{n,m} s^n t^m$
- + $c_2 > 0$; Often in SMEFT: $C^{(8)} > 0$. [A. Adams et al., JHEP 06]
- More bounds on higher-s (and t) dependence.
 See recent developments [B. Bellazzini et al., 2011.00037] [A. Tolley et al., 2011.02400] [Caron-Huot and Van Duong, 2011.02957] [Arkani-Hamed et al., 2012.15849]

Positivity in SMEFT at dim-8

- + From a "phenomenological" point of view: SMEFT beyond dim-8 seems hard.
 - ➡ Focus on dim-8 in SMEFT (with E⁴)
- Complication: many fields in SM, and very large-dimensional parameter space.
 - Elastic scattering is not enough
- Convex geometry helps solving the full bounds
 - Study the "generators" of the parameter space [CZ, S.-Y. Zhou, 2005.03047]
 - Connection with the so called "inverse problem"
 - Alternative approach, using the dual space and semidefinite programming
 [X. Li et al., 2101.01191]

	B^4 operators	$F_1^2F_2^2/F_1F_2^3$ cross-quartics	$(DH)^4$ operators
$\mathcal{O}_1^{B^4} \ \mathcal{O}_2^{B^4} \ \widetilde{\mathcal{O}}_1^{B^4}$	(BB)(BB) $(B\widetilde{B})(B\widetilde{B})$ $(BB)(B\widetilde{B})$	$\mathcal{O}_1^{B^2W^2}$ (BB)(W ^I W ^I) $\mathcal{O}_2^{B^2W^2}$ (B \widetilde{B})(W ^I \widetilde{W}^I) $\mathcal{O}_3^{B^2W^2}$ (BW ^I)(BW ^I) $\mathcal{O}_3^{B^2W^2}$ (B \widetilde{W}^I)(B \widetilde{W}^I)	$ \begin{array}{ll} \mathcal{O}_{1}^{H^{4}} & (D_{\mu}H^{\dagger}D_{\nu}H)(D^{\nu}H^{\dagger}D^{\mu}H) \\ \mathcal{O}_{2}^{H^{4}} & (D_{\mu}H^{\dagger}D_{\nu}H)(D^{\mu}H^{\dagger}D^{\nu}H) \\ \mathcal{O}_{3}^{H^{4}} & (D^{\mu}H^{\dagger}D_{\mu}H)(D^{\nu}H^{\dagger}D_{\nu}H) \end{array} $
$egin{array}{c} \mathcal{O}_1^{W^4} \ \mathcal{O}_2^{W^4} \ \mathcal{O}_3^{W^4} \ \widetilde{O}_1^{W^4} \ \widetilde{O}_2^{W^4} \end{array}$	$ \begin{array}{l} W^{4} \text{ operators} \\ (W^{I}W^{I})(W^{J}W^{J}) \\ (W^{I}\widetilde{W^{I}})(W^{J}\widetilde{W^{J}}) \\ (W^{I}W^{J})(W^{I}W^{J}) \\ (W^{I}\widetilde{W^{J}})(W^{I}\widetilde{W^{J}}) \\ (W^{I}W^{I})(W^{J}\widetilde{W^{J}}) \\ (W^{I}W^{J})(W^{I}\widetilde{W^{J}}) \end{array} $	$\begin{array}{ccc} & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & &$	$(DH)^{2}F^{2} \operatorname{cross-quartics}$ $\mathcal{O}_{1}^{H^{2}B^{2}} \qquad (D^{\mu}H^{\dagger}D^{\nu}H)B_{\mu\rho}B_{\nu}^{\ \rho}$ $\mathcal{O}_{2}^{H^{2}B^{2}} \qquad (D^{\mu}H^{\dagger}D_{\mu}H)B_{\rho\sigma}B^{\rho\sigma}$ $\widetilde{\mathcal{O}}_{1}^{H^{2}B^{2}} \qquad (D^{\mu}H^{\dagger}D_{\mu}H)B_{\rho\sigma}\widetilde{B}^{\rho\sigma}$ $\mathcal{O}_{1}^{H^{2}W^{2}} \qquad (D^{\mu}H^{\dagger}D_{\mu}H)W_{\nu\rho}^{I}W_{\nu}^{I\rho}$ $\mathcal{O}_{2}^{H^{2}W^{2}} \qquad (D^{\mu}H^{\dagger}D_{\mu}H)W_{\rho\sigma}^{J}W_{\nu}^{I\rho}$ $\widetilde{\mathcal{O}}_{3}^{H^{2}W^{2}} \qquad i \epsilon^{IJK}(D^{\mu}H^{\dagger}D^{\nu}H)W_{\mu\rho}^{J}W_{\nu}^{K\rho}$ $\widetilde{\mathcal{O}}_{1}^{H^{2}W^{2}} \qquad (D^{\mu}H^{\dagger}D_{\mu}H)W_{\rho\sigma}^{T}\widetilde{W}^{I\rho}$ $\widetilde{\mathcal{O}}_{1}^{H^{2}W^{2}} \qquad (D^{\mu}H^{\dagger}D_{\mu}H)W_{\rho\sigma}^{T}\widetilde{W}^{I\rho}$
	C^4 operators	$\widetilde{\mathcal{O}}_{3}^{B^{2}G^{2}}$ $(BG^{a})(B\widetilde{G}^{a})$	$ \tilde{\mathcal{O}}_{3}^{H^2W^2} = i \epsilon^{IJK} (D^{\mu}H^{\dagger}\tau^{I}D^{\nu}H) (W^{\mu\rho}_{\mu\rho}W^{\nu}_{\nu} - \tilde{W}^{J}_{\mu\rho}W^{J}_{\nu}) $
$\mathcal{O}_1^{G^4} \ \mathcal{O}_2^{G^4} \ \mathcal{O}_3^{G^4}$	$(G^a G^a)(G^b G^b)$ $(G^a \tilde{G}^a)(G^b \tilde{G}^b)$ $(G^a G^b)(G^a G^b)$	$\mathcal{O}_1^{W^2G^2}$ $(W^IW^I)(G^aG^a)$ $\mathcal{O}_2^{W^2G^2}$ $(W^I\widetilde{W}^I)(G^a\widetilde{G}^a)$ $\mathcal{O}_3^{W^2G^2}$ $(W^IG^a)(W^IG^a)$ $\mathcal{O}_4^{W^2G^2}$ $(W^I\widetilde{G}^a)(W^I\widetilde{G}^a)$	$ \begin{array}{ll} \mathcal{O}_{1}^{H^{2}G^{2}} & (D^{\mu}H^{\dagger}D^{\nu}H)G^{a}_{\mu\rho}G^{a\rho}_{\nu} \\ \mathcal{O}_{2}^{H^{2}G^{2}} & (D^{\mu}H^{\dagger}D_{\mu}H)G^{a}_{\rho\sigma}G^{\alpha\rho\sigma} \\ \widetilde{\mathcal{O}}_{1}^{H^{2}G^{2}} & (D^{\mu}H^{\dagger}D_{\mu}H)G^{a}_{\rho\sigma}\widetilde{G}^{\alpha\rho\sigma} \end{array} $
$\mathcal{O}_{4}^{G^{4}}$ $\mathcal{O}_{5}^{G^{4}}$ $\mathcal{O}_{6}^{G^{4}}$ $\widetilde{O}_{2}^{G^{4}}$ $\widetilde{\mathcal{O}}_{3}^{G^{4}}$	$\begin{array}{l} (G^a \widetilde{G}^b) (G^a \widetilde{G}^b) \\ d^{abc} d^{cdc} (G^a G^b) (G^c G^d) \\ d^{abc} d^{cdc} (G^a \widetilde{G}^b) (G^c \widetilde{G}^d) \\ (G^a G^a) (G^b \widetilde{G}^b) \\ (G^a G^b) (G^a \widetilde{G}^b) \\ d^{abc} d^{cdc} (G^a G^b) (G^c \widetilde{G}^d) \end{array}$	$ \begin{array}{ccc} \widetilde{O}_{1}^{W^2G^2} & (W^I\widetilde{W^I})(G^aG^a) \\ \widetilde{O}_{2}^{W^2G^2} & (W^IW^I)(G^a\tilde{G}^a) \\ \widetilde{O}_{3}^{W^2G^2} & (W^IG^a)(W^I\tilde{G}^a) \\ \\ & \mathcal{O}_{1}^{BG^3} & d^{abc}(BG^a)(G^bG^c) \\ & \mathcal{O}_{2}^{BG^3} & d^{abc}(B\tilde{G}^a)(G^bG^c) \\ & \widetilde{O}_{1}^{BG^3} & d^{abc}(B\tilde{G}^a)(G^bG^c) \\ & \widetilde{O}_{2}^{BG^3} & d^{abc}(BG^a)(G^bG^c) \\ \end{array} $	$ \begin{array}{ll} (DH)^2 F_1 F_2 \ {\rm cross-quartics} \\ \mathcal{O}_1^{H^2BW} & (D^\mu H^\dagger \tau^I D_\mu H) B_{\rho\sigma} W^{I\rho\sigma} \\ \mathcal{O}_2^{H^2BW} & i (D^\mu H^\dagger \tau^I D^\nu H) (B_{\mu\rho} W^{I\rho}_{\mu} - B_{\nu\rho} W^{I\rho}_{\mu}) \\ \mathcal{O}_3^{H^2BW} & (D^\mu H^\dagger \tau^I D^\nu H) (B_{\mu\rho} W^{I\rho}_{\nu} + B_{\nu\rho} W^{I\rho}_{\mu}) \\ \tilde{O}_1^{H^2BW} & (D^\mu H^\dagger \tau^I D_\mu H) B_{\rho\sigma} \widetilde{W}^{I\rho\sigma} \\ \tilde{O}_2^{H^2BW} & i (D^\mu H^\dagger \tau^I D^\nu H) (B_{\mu\rho} \widetilde{W}^{I\rho}_{\nu} - B_{\nu\rho} \widetilde{W}^{I\rho}_{\mu}) \\ \tilde{O}_3^{H^2BW} & (D^\mu H^\dagger \tau^I D^\nu H) (B_{\mu\rho} \widetilde{W}^{I\rho}_{\nu} + B_{\nu\rho} \widetilde{W}^{I\rho}_{\mu}) \end{array} $

[Remmen, Rodd, 1908.09845]



- SMEFT is useful because it describes (infinitely) many models by finitely many Wilson coefficients.
- They are being determined by global fit to LHC data.

See e.g. [1901.05965 N. P. Hartland et al. [SMEFiT]], [1910.03606 J. Ellis et al.] and more





- SMEFT is useful because it describes (infinitely) many models by finitely many Wilson coefficients.
- They are being determined by global fit to LHC data.
- The inverse problem: once coefficients are known from low energy EXPs, how, and to what extend, can we go up and determine the models?
 [Gu, Wang, 2008.07551] [S. Dawson et al. 2007.01296]
 [N. Arkani-Hamed et al. hep-ph/0512190]



- SMEFT is useful because it describes (infinitely) many models by finitely many Wilson coefficients.
- They are being determined by global fit to LHC data.
- The inverse problem: once coefficients are known from low energy EXPs, how, and to what extend, can we go up and determine the models?
 [Gu, Wang, 2008.07551] [S. Dawson et al. 2007.01296]
 [N. Arkani-Hamed et al. hep-ph/0512190]



- SMEFT is useful because it describes (infinitely) many models by finitely many Wilson coefficients.
- They are being determined by global fit to LHC data.

- The inverse problem: once coefficients are known from low energy EXPs, how, and to what extend, can we go up and determine the models?
 [Gu, Wang, 2008.07551] [S. Dawson et al. 2007.01296]
 [N. Arkani-Hamed et al. hep-ph/0512190]
- Positivity tells us when this is impossible, and much more.

A toy example

- Consider a two scalar EFT, with two discrete symmetries:
 - $\bullet \ \phi_1 \to -\phi_1$
 - $\bullet \ \phi_1 \leftrightarrow \phi_2$
- ★ 3 dim-8 operators (E⁴):

 $O_1^8 = \partial_\mu \phi_1 \partial^\mu \phi_1 \partial_\nu \phi_1 \partial^\nu \phi_1 + \partial_\mu \phi_2 \partial^\mu \phi_2 \partial_\nu \phi_2 \partial^\nu \phi_2$ $O_2^8 = \partial_\mu \phi_1 \partial^\mu \phi_1 \partial_\nu \phi_2 \partial^\nu \phi_2$ $O_3^8 = \partial_\mu \phi_1 \partial^\mu \phi_2 \partial_\nu \phi_1 \partial^\nu \phi_2$

- Each elastic channel gives one bound:
 - Superposition: $|\phi_{\pm}\rangle \equiv \frac{1}{\sqrt{2}} |\phi_1\rangle \pm \frac{1}{\sqrt{2}} |\phi_2\rangle$

$$\begin{split} &\frac{1}{2}\frac{d^2}{ds^2}M_{\phi_1\phi_1\to\phi_1\phi_1}(s) = 4C_1^8 \ge 0, \\ &\frac{1}{2}\frac{d^2}{ds^2}M_{\phi_+\phi_+\to\phi_+\phi_+}(s) = 4(2C_1^8 + C_2^8 + C_3^8) \ge 0, \\ &\frac{1}{2}\frac{d^2}{ds^2}M_{\phi_+\phi_-\to\phi_+\phi_-}(s) = 4(2C_1^8 - C_2^8) \ge 0, \\ &\frac{1}{2}\frac{d^2}{ds^2}M_{\phi_1\phi_2\to\phi_1\phi_2}(s) = C_3^8 \ge 0. \end{split}$$

- Four bounds in total
 - Any other superposition gives redundant bounds



Q: where do the UV models live? Tree level UV completion:



particle	spin	parities $(\phi_1 \rightarrow -\phi_1, \phi_1 \leftrightarrow \phi_2)$	Interaction	\mathbf{ER}	$ec{c} = (C_1, C_2, C_3)$
S_1	0	++	$g_1 M_1 (\phi_1^2 + \phi_2^2) S_1$	1	2 imes (1,2,0)
S_2	0	+-	$g_2 M_2 (\phi_1^2 - \phi_2^2) S_2$	1	2 imes(1,-2,0)
S_3	0	-+	$g_3M_3\phi_1\phi_2S_3$	1	2 imes (0,0,1)
V_4	1		$g_4(\phi_1\overleftrightarrow{D}_\mu\phi_2)V_4^\mu$	✓	$2 imes (0,-1,1) \ imes rac{g^2}{M^4}$

Exactly on the edges!

Edge vector ↔ "one particle extension"

- Positivity bounds describe the 4 faces of the pyramid.
- Alternatively, the pyramid is generated its edge vectors. They are the generators.
- On the physics side, integrating out each heavy particle gives:

$$\vec{C} = (C_1, C_2, C_3) = \frac{g^2}{M^4} \vec{c}$$

• In total: $\vec{C} = \sum_{X=S_{1,2,3},V} w_X \vec{c}_X, \quad w_X \equiv \frac{g_X^2}{M_X^4} \ge 0$

- + One particle extension are the **generators**.
- + It is also (often) the unique UV completion of EFTs on edge vectors.



- Extremal Ray (ER): A ray is an extremal ray of cone C, if it cannot be split into two other vectors in C, which are linearly independent.
- ✤ In polyhedral cones, ERs are the edge vectors.
- Being not splittable, the corresponding UV completion <u>cannot have more than one (type of)</u> <u>particles</u>.
 - If the measured coefficients are on an ER, the UV particle content is **uniquely determined**.
 - Not true at dim-6.



- Extremal Ray (ER): A ray is an extremal ray of cone C, if it cannot be split into two other vectors in C, which are linearly independent.
- ✤ In polyhedral cones, ERs are the edge vectors.
- Being not splittable, the corresponding UV completion <u>cannot have more than one (type of)</u> <u>particles</u>.
 - If the measured coefficients are on an ER, the UV particle content is **uniquely determined**.
 - Not true at dim-6.



- Extremal Ray (ER): A ray is an extremal ray of cone C, if it cannot be split into two other vectors in C, which are linearly independent.
- ✤ In polyhedral cones, ERs are the edge vectors.
- Being not splittable, the corresponding UV completion <u>cannot have more than one (type of)</u> <u>particles</u>.
 - If the measured coefficients are on an ER, the UV particle content is **uniquely determined**.
 - Not true at dim-6.



- Extremal Ray (ER): A ray is an extremal ray of cone C, if it cannot be split into two other vectors in C, which are linearly independent.
- ✤ In polyhedral cones, ERs are the edge vectors.
- Being not splittable, the corresponding UV completion <u>cannot have more than one (type of)</u> <u>particles</u>.
 - If the measured coefficients are on an ER, the UV particle content is **uniquely determined**.
 - Not true at dim-6.



- Extremal Ray (ER): A ray is an extremal ray of cone C, if it cannot be split into two other vectors in C, which are linearly independent.
- ✤ In polyhedral cones, ERs are the edge vectors.
- Being not splittable, the corresponding UV completion <u>cannot have more than one (type of)</u> <u>particles</u>.
 - If the measured coefficients are on an ER, the UV particle content is **uniquely determined**.
 - Not true at dim-6.



$$\vec{C} = \sum_{X=S_{1,2,3},V} w_X \vec{c}_X, \quad w_X \equiv \frac{g_X^2}{M_X^4} \ge 0$$

- To what extend can we determine the weights w, given the measured coefficients C? (With less coefficients than particle types X)
- + ER: unique solution.
- Face: (in this example) unique solution.
- Inside -> more arbitrariness



Uncertainty on w's

Outline

- Positivity bound from the generator point of view
- The inverse problem
- A realistic example: e+e- scattering at ILC

Positivity bound from generators

Positively constructing the SMEFT space

Identify the generators and edge vectors (or ERs) of the dim-8 SMEFT coefficient space
 [CZ, S.-Y. Zhou, 2005.03047] [T. Trott, 2011.10058]

- Tool: dispersion relation
 - We are going to work under the assumption of SM masses -> 0, focus on the 2nd s derivative of the forward amplitude, but not necessarily elastic

$$\mathcal{M}^{ijkl} \equiv \left. \frac{\mathrm{d}^2}{\mathrm{d}s^2} A_{ij \to kl}(s) \right|_{s \to 0}$$

$$\mathcal{M}^{ijkl} = \frac{1}{2\pi i} \left(\int_{-\infty}^{0} + \int_{4m^2}^{\infty} \right) \mathrm{d}s \frac{\mathrm{Disc}A_{ij\to kl}(s,0)}{(s-2m^2)^3}$$
$$\Rightarrow \frac{1}{2\pi i} \left(\int_{-\infty}^{-(\epsilon\Lambda)^2} + \int_{(\epsilon\Lambda)^2}^{\infty} \right) \mathrm{d}s \frac{\mathrm{Disc}A_{ij\to kl}(s,0)}{(s-2m^2)^3}$$
$$= \frac{1}{2\pi i} \int_{(\epsilon\Lambda)^2}^{\infty} \mathrm{d}s \frac{\mathrm{Disc}A_{ij\to kl}(s,0) + \mathrm{Disc}A_{i\bar{l}\to k\bar{j}}(s,0)}{(s-2m^2)^3}$$



 $\epsilon\Lambda$ is some scale comparable but below cutoff so the EFT is still valid; see "improved positivity" of [C. de Rham et al., 1710.09611]; and the "arc"s in [B. Bellazzini et al., 2011.00037] Im(s)



$$\mathcal{M}^{ijkl} = \frac{1}{2\pi i} \left(\int_{-\infty}^{0} + \int_{4m^2}^{\infty} \right) \mathrm{d}s \frac{\mathrm{Disc}A_{ij\to kl}(s,0)}{(s-2m^2)^3}$$
$$\Rightarrow \frac{1}{2\pi i} \left(\int_{-\infty}^{-(\epsilon\Lambda)^2} + \int_{(\epsilon\Lambda)^2}^{\infty} \right) \mathrm{d}s \frac{\mathrm{Disc}A_{ij\to kl}(s,0)}{(s-2m^2)^3}$$
$$= \frac{1}{2\pi i} \int_{(\epsilon\Lambda)^2}^{\infty} \mathrm{d}s \frac{\mathrm{Disc}A_{ij\to kl}(s,0) + \mathrm{Disc}A_{i\bar{l}\to k\bar{j}}(s,0)}{(s-2m^2)^3}$$



 $\epsilon\Lambda$ is some scale comparable but below cutoff so the EFT is still valid; see "improved positivity" of [C. de Rham et al., 1710.09611]; and the "arc"s in [B. Bellazzini et al., 2011.00037] Im(s)



Generalized optical theorem:

$$\operatorname{Disc}A_{ij \to kl}(s) = A_{ij \to kl}(s) - A_{kl \to ij}(s)^* = i \sum_X M_{ij \to X}(s) M_{kl \to X}(s)^*$$

$$\mathcal{M}^{ijkl} = \frac{1}{2\pi} \int_{(\epsilon\Lambda)^2}^{\infty} \frac{\mathrm{d}s}{s^3} \sum_{X} \left(\mathcal{M}_{ij\to X} \mathcal{M}^*_{kl\to X} + \mathcal{M}_{i\bar{l}\to X} \mathcal{M}^*_{k\bar{j}\to X} \right)$$

$$\int_{S^{2}} = \int_{(\dim -8)} + \int_{X} + \int_{X} + \dots + s$$
 crossing
$$\mathcal{M}^{ijkl} = \frac{1}{2\pi} \int_{(\epsilon\Lambda)^{2}}^{\infty} \frac{\mathrm{d}s}{s^{3}} \sum_{X} \left(\mathcal{M}_{ij \to X} \mathcal{M}^{*}_{kl \to X} + \mathcal{M}_{i\bar{l} \to X} \mathcal{M}^{*}_{k\bar{j} \to X} \right)$$

$$\int_{S^{2}} = \int_{(\dim -8)} + \int_{X} + \int_{X} + \dots + s$$
 crossing
$$\mathcal{M}^{ijkl} = \frac{1}{2\pi} \int_{(\epsilon\Lambda)^{2}}^{\infty} \frac{\mathrm{d}s}{s^{3}} \sum_{X} \left(\mathcal{M}_{ij \to X} \mathcal{M}^{*}_{kl \to X} + \mathcal{M}_{i\bar{l} \to X} \mathcal{M}^{*}_{k\bar{j} \to X} \right)$$

M^{ijkl} can be mapped to coefficients e.g. 2-scalar theory

$$\mathcal{M}^{ijkl} = \begin{matrix} \mathsf{kl} \phi_1 \phi_1 & \phi_2 \phi_2 & \phi_1 \phi_2 & \phi_2 \phi_1 \\ \mathbf{j} \phi_1 \phi_1 & 4C_1 & \bar{C}_2 & C_5 & C_5 \\ \phi_2 \phi_2 & \bar{C}_2 & 4C_3 & C_6 & C_6 \\ \phi_2 \phi_1 & C_5 & C_6 & C_4 & \bar{C}_2 \\ \phi_1 \phi_2 & C_5 & C_6 & \bar{C}_2 & C_4 \end{matrix}$$

$$O_{ijkl} = (\partial_{\mu}\phi_{i}\partial^{\mu}\phi_{j})(\partial_{\mu}\phi_{k}\partial^{\mu}\phi_{l})$$

$$O_{1} = O_{1111}, \quad O_{2} = O_{1122}, \quad O_{3} = O_{2222},$$

$$O_{4} = O_{1212}, \quad O_{5} = O_{1112}, \quad O_{6} = O_{1222}.$$

$$\bar{C}_{2} \equiv C_{2} + \frac{1}{2}C_{4}$$

$$\mathcal{M}^{ijkl} = \frac{1}{2\pi} \int_{(\epsilon\Lambda)^2}^{\infty} \frac{\mathrm{d}s}{s^3} \sum_{X} \left(\mathcal{M}_{ij \to X} \mathcal{M}^*_{kl \to X} + \mathcal{M}_{i\bar{l} \to X} \mathcal{M}^*_{k\bar{j} \to X} \right)$$

M^{ijkl} can be mapped to coefficients e.g. 2-scalar theory

$$\mathcal{M}^{ijkl} = \begin{matrix} \mathsf{kl} \phi_1 \phi_1 & \phi_2 \phi_2 & \phi_1 \phi_2 & \phi_2 \phi_1 \\ \mathbf{j} \phi_1 \phi_1 & 4C_1 & \overline{C}_2 & C_5 & C_5 \\ \phi_2 \phi_2 & \overline{C}_2 & 4C_3 & C_6 & C_6 \\ \phi_2 \phi_1 & C_5 & C_6 & C_4 & \overline{C}_2 \\ \phi_1 \phi_2 & C_5 & C_6 & \overline{C}_2 & C_4 \end{matrix}$$

$$O_{ijkl} = (\partial_{\mu}\phi_{i}\partial^{\mu}\phi_{j})(\partial_{\mu}\phi_{k}\partial^{\mu}\phi_{l})$$

$$O_{1} = O_{1111}, \quad O_{2} = O_{1122}, \quad O_{3} = O_{2222},$$

$$O_{4} = O_{1212}, \quad O_{5} = O_{1112}, \quad O_{6} = O_{1222}.$$

$$\bar{C}_{2} \equiv C_{2} + \frac{1}{2}C_{4}$$

 $M_{ij \rightarrow X}$ describes <u>unknown</u> UV physics. Restricted by only symmetries.

- Magnitude does not matter (remove positive factors)
- Deal with tensors and matrices

$$\mathcal{M}^{ijkl} = \sum_{X} \lambda_X \left(m_X^{ij} m_X^{*kl} + m_X^{i\bar{l}} m_X^{*k\bar{j}} \right), \ \lambda_X \ge 0$$

$$\mathcal{M}^{ijkl} = \sum_{X} \lambda_X \left(m_X^{ij} m_X^{*kl} + m_X^{i\bar{l}} m_X^{*k\bar{j}} \right), \ \lambda_X \ge 0$$

Define the "directional" information of $m_X^{ij}m_X^{*kl} + m_X^{i\bar{l}}m_X^{*k\bar{j}}$ as the "generator"

$$\mathcal{G}_X^{ijkl} \equiv m_X^{ij} m_X^{*kl} + m_X^{i\bar{l}} m_X^{*k\bar{j}}$$

Define all allowed values of *M* by "**C**". If we enumerate all possible *m* matrices (up to normalization), then

$$\mathbf{C} \equiv \left\{ \mathcal{M}^{ijkl} \right\} = \operatorname{cone}\left(\left\{ \mathcal{G}^{ijkl} \right\} \right)$$

The physical parameter space is the "conical hull" of all generators.

$$\mathbf{C} \equiv \left\{ \mathcal{M}^{ijkl} \right\} = \operatorname{cone}\left(\left\{ \mathcal{G}^{ijkl} \right\} \right)$$

Is a **convex cone**: closed under addition and positive scalar multiplication (e.g. polyhedral cone, circular cone,...)

٠



$$\mathbf{C} \equiv \left\{ \mathcal{M}^{ijkl} \right\} = \operatorname{cone}\left(\left\{ \mathcal{G}^{ijkl} \right\} \right)$$

Is a **convex cone**: closed under addition and positive scalar multiplication (e.g. polyhedral cone, circular cone,...)

٠





$$\mathbf{C} \equiv \left\{ \mathcal{M}^{ijkl} \right\} = \operatorname{cone}\left(\left\{ \mathcal{G}^{ijkl} \right\} \right)$$

 Is a convex cone: closed under addition and positive scalar multiplication (e.g. polyhedral cone, circular cone,...)



- "cone": conical hull, the set of all positive linear combinations of elements of X = {x}, is a convex cone, denoted by cone(X)
- Conical hulls of finite number of generators are polyhedral cones.

$$\mathbf{C} \equiv \left\{ \mathcal{M}^{ijkl} \right\} = \operatorname{cone}\left(\left\{ \mathcal{G}^{ijkl} \right\} \right)$$

- Is a convex cone: closed under addition and positive scalar multiplication (e.g. polyhedral cone, circular cone,...)
- "cone": **conical hull**, the set of all positive linear combinations of elements of $X = \{x\}$, is a convex cone, denoted by cone(X)
- Conical hulls of finite number of generators are polyhedral cones.
 - Face representation: the cone is bounded by hyperplanes (bounds)



$$\mathbf{C} \equiv \left\{ \mathcal{M}^{ijkl} \right\} = \operatorname{cone}\left(\left\{ \mathcal{G}^{ijkl} \right\} \right)$$

- Is a convex cone: closed under addition and positive scalar multiplication (e.g. polyhedral cone, circular cone,...)
- "cone": **conical hull**, the set of all positive linear combinations of elements of $X = \{x\}$, is a convex cone, denoted by cone(X)
- Conical hulls of finite number of generators are polyhedral cones.
 - Face representation: the cone is bounded by hyperplanes (bounds)
 - Edge representation: the cone is generated by its edge vectors



Vertex enumeration: computes one representation from the other.

Allows to derive bound from "generators"

٠



Vertex enumeration: computes one representation from the other.

Allows to derive bound from "generators"

٠

٠



Salient cone: does not contain straight line. $x \neq 0, x \in \mathbf{C} \Rightarrow -x \notin \mathbf{C}$
Convex cones, hulls, representations of cones

Vertex enumeration: computes one representation from the other.

Allows to derive bound from "generators"

٠



Salient cone: does not contain straight line. $x \neq 0, x \in \mathbf{C} \Rightarrow -x \notin \mathbf{C}$

• Dispersion relation describes a <u>salient cone</u>. $\mathcal{G}_X^{ijkl} \equiv m_X^{ij} m_X^{*kl} + m_X^{i\bar{l}} m_X^{*k\bar{j}}$ always has a strictly positive projection on $\delta^{ik} \delta^{jl}$.

Convex cones, hulls, representations of cones

Vertex enumeration: computes one representation from the other.

Allows to derive bound from "generators"

٠



Salient cone: does not contain straight line. $x \neq 0, x \in \mathbf{C} \Rightarrow -x \notin \mathbf{C}$

- Dispersion relation describes a <u>salient cone</u>. $\mathcal{G}_X^{ijkl} \equiv m_X^{ij} m_X^{*kl} + m_X^{i\bar{l}} m_X^{*k\bar{j}}$ always has a strictly positive projection on $\delta^{ik} \delta^{jl}$.
- <u>Krein-Milman theorem</u>: a salient cone **C** is a conical hull of its ERs. C = cone("ERs"). ERs always exist, they are a subset of $\{\mathcal{G}^{ijkl}\}$

♦ Operators:

$$O_{ijkl} = (\partial_{\mu}\phi_{i}\partial^{\mu}\phi_{j})(\partial_{\nu}\phi_{k}\partial^{\nu}\phi_{l})$$

$$O_{1} = O_{1111}, \quad O_{2} = O_{1122}, \quad O_{3} = O_{2222},$$

$$O_{4} = O_{1212}, \quad O_{5} = O_{1112}, \quad O_{6} = O_{1222}.$$

✦ Amplitude:

$$\mathcal{M}^{ijkl} = \begin{matrix} \phi_1 \phi_1 & \overline{Q}_2 & \phi_1 \phi_2 & \phi_2 \phi_1 \\ \phi_1 \phi_1 & \overline{C}_2 & \overline{C}_5 & \overline{C}_5 \\ \phi_2 \phi_2 & \overline{C}_2 & 4C_3 & C_6 & C_6 \\ \phi_2 \phi_1 & \overline{C}_5 & C_6 & C_4 & \overline{C}_2 \\ \phi_1 \phi_2 & \overline{C}_5 & C_6 & \overline{C}_2 & C_4 \end{matrix}$$

$$\bar{C}_2 \equiv C_2 + \frac{1}{2}C_4$$

Start with symmetries, and gradually relax

♦ Operators:

$$O_{ijkl} = (\partial_{\mu}\phi_{i}\partial^{\mu}\phi_{j})(\partial_{\nu}\phi_{k}\partial^{\nu}\phi_{l})$$

$$O_{1} = O_{1111}, \quad O_{2} = O_{1122}, \quad O_{3} = O_{2222},$$

$$O_{4} = O_{1212}, \quad O_{5} = O_{1112}, \quad O_{6} = O_{1222}.$$

✦ Amplitude:

		$\phi_1\phi_1$	$\phi_2\phi_2$	$\phi_1\phi_2$	$\phi_2 \phi_1$
	$\phi_1\phi_1$	$4C_1$	\bar{C}_2	C_5	C_5
∧⊿ijkl _	$\phi_2 \phi_2$	$ar{C}_2$	$4C_3$	C_6	C_6
<i>M</i> ¹ =	$\phi_2\phi_1$	C_5	C_6	C_4	\bar{C}_2
	$\phi_1\phi_2$	C_5	C_6	$ar{C}_2$	C_4

$$\bar{C}_2 \equiv C_2 + \frac{1}{2}C_4$$

Start with symmetries, and gradually relax

- ✦ Assuming a SO(2) symmetry. Write in terms of complex field $\phi = \phi_1 + i\phi_2 \qquad \begin{array}{c} O_1' = |\partial_\mu \phi \partial^\mu \phi|^2 \\ O_2' = \left|\partial_\mu \phi^\dagger \partial^\mu \phi\right|^2 \end{array}$ $\phi_1\phi_1$ $\phi_2\phi_2$ $\phi_1\phi_2$ $\phi_2 \phi_1$ $2C'_{2}$ $\phi_1\phi_1 \overline{4(C_1'+C_2')}$ 0 0 $4(C_1'+C_2')$ $2C_2'$ 0 0 $\phi_2 \phi_2$ $2C'_2$ $4C'_{1}$ 0 0 $\phi_2\phi_1$ $2C'_{2}$ $4C'_{1}$ $\phi_1 \phi_2$ 0 0
- To enumerate the generators: SO(2) fixes the *m* matrices in

 $\mathcal{G}_X^{ijkl} \equiv m_X^{ij} m_X^{*kl} + m_X^{i\bar{l}} m_X^{*k\bar{j}}$

$$\mathbf{2}\otimes\mathbf{2} = \mathbf{1}_S\oplus\mathbf{1}_A\oplus\mathbf{2}$$

 $\mathbf{1}_S: xx+yy$ $\mathbf{1}_A: xy-yx$
 $\mathbf{2}: (xx-yy, xy+yx)$

✤ *m* matrices: the CG coefficients

$$m_{1s} = \begin{pmatrix} \phi_1 & \phi_2 & \phi_1 & \phi_2 \\ \hline 0 & 1 & \phi_2 & \phi_1 & \phi_2 \\ \hline 0 & 1 & \phi_2 & \phi_1 & \phi_2 \\ \hline 0 & 1 & \phi_2 & \phi_1 & \phi_2 \\ \hline 0 & -1 & \phi_2 & \phi_1 & \phi_2 \\ \hline 0 & -1 & \phi_2 & 1 & 0 \end{pmatrix}$$

$$\mathcal{G}_X^{ijkl} \equiv m_X^{ij} m_X^{*kl} + m_X^{i\bar{l}} m_X^{*k\bar{j}}$$

 Generators: the projective operators, with (j,l) symmetrized

$$\begin{aligned} P_{\mathbf{1}_{S}}^{i(j|k|l)} &= \frac{1}{2} \delta^{ij} \delta^{kl} \\ P_{\mathbf{1}_{A}}^{i(j|k|l)} &= \frac{1}{2} \left(\delta^{ik} \delta^{jl} - \delta^{il} \delta^{jk} \right) \\ P_{\mathbf{2}}^{i(j|k|l)} &= \frac{1}{2} \left(\delta^{ik} \delta^{jl} + \delta^{il} \delta^{jk} - \delta^{ij} \delta^{kl} \right) \end{aligned}$$

✤ *m* matrices: the CG coefficients



$$\mathcal{G}_X^{ijkl} \equiv m_X^{ij} m_X^{*kl} + m_X^{i\bar{l}} m_X^{*k\bar{j}}$$

 Generators: the projective operators, with (j,l) symmetrized

$$\begin{split} P_{\mathbf{1}_{S}}^{i(j|k|l)} &= \frac{1}{2} \delta^{ij} \delta^{kl} \\ P_{\mathbf{1}_{A}}^{i(j|k|l)} &= \frac{1}{2} \left(\delta^{ik} \delta^{jl} - \delta^{il} \delta^{jk} \right) \\ P_{\mathbf{2}}^{i(j|k|l)} &= \frac{1}{2} \left(\delta^{ik} \delta^{jl} + \delta^{il} \delta^{jk} - \delta^{ij} \delta^{kl} \right) \end{split}$$



Compare with the amplitude:

	$\phi_1\phi_1$	$\phi_2\phi_2$	$\phi_1\phi_2$	$\phi_2\phi_1$
$\phi_1\phi_1$	$4(C_1'+C_2')$	$2C'_2$	0	0
$\phi_2\phi_2$	$2C'_2$	$4(C_1'+C_2')$	0	0
$\phi_2\phi_1$	0	0	$4C'_1$	$2C'_2$
$\phi_1\phi_2$	0	0	$2C'_2$	$4C'_{1}$

$$\ \vec{g} = (C_1', C_2')$$

$$\vec{g}_{1S} = (0,1), \quad \vec{g}_{1A} = (1,-1), \quad \vec{g}_2 = (1,0).$$



$$O_2' = \left| \partial_\mu \phi^\dagger \partial^\mu \phi \right|^2$$



$$O_1' = |\partial_\mu \phi \partial^\mu \phi|^2$$
$$O_2' = \left|\partial_\mu \phi^\dagger \partial^\mu \phi\right|^2$$

✦ Bounds are

$$C_1' \ge 0, \quad C_1' + C_2' \ge 0$$

 Same bound from conventional approach based on elastic scattering

	$\phi_1\phi_1$	$\phi_2\phi_2$	$\phi_1\phi_2$	$\phi_2\phi_1$
$\phi_1\phi_1$	$4(C_1'+C_2')$	$2C'_2$	0	0
$\phi_2\phi_2$	$2C'_2$	$4(C_1'+C_2')$	0	0
$\phi_2\phi_1$	0	0	$4C'_{1}$	$2C'_2$
$\phi_1\phi_2$	0	0	$2C'_2$	$4C'_{1}$

Generators correspond to 1-particle UVs

State	Spin	Charge	$\mathcal{L}_{ ext{int}}$	ER	$ec{c}/rac{g^2}{M^4}$
S_1	0	0	$S_1 \phi^\dagger \phi$	\checkmark	(0,2)
V	1	0	$V^{\mu}\phi^{\dagger}i\overleftrightarrow{D}_{\mu}\phi$	✓	(-2, -2)
S_2	0	2	$S_2^\dagger \phi^2$	X	(4,0)

Example 2: two scalars with discrete symmetries

- ◆ Two scalars with discrete symmetries $\phi_1 \rightarrow -\phi_1 \text{ and } \phi_1 \leftrightarrow \phi_2$ $O_{ijkl} = (\partial_\mu \phi_i \partial^\mu \phi_j) (\partial_\mu \phi_k \partial^\mu \phi_l)$ $\mathcal{L} = \frac{1}{\Lambda^4} \left[C_1 (O_{1111} + O_{2222}) + C_2 O_{1122} + C_4 O_{1212} \right]$
- ★ *m* matrices are fixed by the parities under $\phi_1 \rightarrow -\phi_1$ and $\phi_1 \leftrightarrow \phi_2$



✦ Generators



	0	± 1	0	0
C^{ijkl} _	±1	0	0	0
$G_{-\pm} =$	0	0	2	± 1
	0	0	± 1	2

Compare with amplitude:



$$\bar{C}_2 \equiv C_2 + \frac{1}{2}C_4$$

 $C_1 = C_3, \ C_5 = C_6 = 0$

Example 2: two scalars with discrete symmetries

- ◆ Two scalars with discrete symmetries $\phi_1 \rightarrow -\phi_1 \text{ and } \phi_1 \leftrightarrow \phi_2$ $O_{ijkl} = (\partial_\mu \phi_i \partial^\mu \phi_j) (\partial_\mu \phi_k \partial^\mu \phi_l)$ $\mathcal{L} = \frac{1}{\Lambda^4} \left[C_1 (O_{1111} + O_{2222}) + C_2 O_{1122} + C_4 O_{1212} \right]$
- ★ *m* matrices are fixed by the parities under $\phi_1 \rightarrow -\phi_1$ and $\phi_1 \leftrightarrow \phi_2$



✦ Generators

	2	± 1	0	0
C^{ijkl} _	± 1	2	0	0
$G_{+\pm}$ –	0	0	0	± 1
	0	0	±1	0

	0	± 1	0	0
C^{ijkl} _	± 1	0	0	0
$G_{-\pm} =$	0	0	2	±1
	0	0	± 1	2

✦ Compare with amplitude:

$$ec{g} = (C_1, C_2, C_3)$$

 $ec{g}_{++} = (1, 2, 0)$
 $ec{g}_{+-} = (1, -2, 0)$
 $ec{g}_{-+} = (0, 0, 4)$
 $ec{g}_{--} = (0, -4, 4)$



• Remove
$$\phi_1 \leftrightarrow \phi_2$$
, keep $\phi_1 \rightarrow -\phi_1$

 $O_{ijkl} = (\partial_{\mu}\phi_i\partial^{\mu}\phi_j)(\partial_{\mu}\phi_k\partial^{\mu}\phi_l)$

 $\mathcal{L} = \frac{1}{\Lambda^4} \left[C_1 O_{1111} + C_2 O_{1122} + C_3 O_{2222} + C_4 O_{1212} \right]$

 ★ *m* matrices are fixed by the parity and exchange symmetry (i ↔ j)



• Remove
$$\phi_1 \leftrightarrow \phi_2$$
, keep $\phi_1 \rightarrow -\phi_1$

 $O_{ijkl} = (\partial_{\mu}\phi_i\partial^{\mu}\phi_j)(\partial_{\mu}\phi_k\partial^{\mu}\phi_l)$

 $\mathcal{L} = \frac{1}{\Lambda^4} \left[C_1 O_{1111} + C_2 O_{1122} + C_3 O_{2222} + C_4 O_{1212} \right]$

m matrices are fixed by the parity
 and exchange symmetry (i ↔ j)



✦ Generators

$$G_{+}^{ijkl} = egin{array}{c|c} 2x^2 & xy & 0 & 0 \ xy & 2y^2 & 0 & 0 \ \hline 0 & 0 & 0 & xy \ \hline 0 & 0 & xy & 0 \ \hline 0 & 0 & xy & 0 \ \hline \end{array},$$



$$ec{g}_+(x,y) = (x^2, 2xy, y^2, 0),$$

 $ec{g}_{-S} = (0,0,0,4), \quad ec{g}_{-A} = (0,-4,0,4).$

 \bullet x,y are free real parameters.



1

 V_4



What if we remove all symmetries?

$$ec{g}_S(x,y,z) = (x^2, 2xy, y^2, 4z^2, 4xz, 4yz), \quad ec{g}_A = (0, -1, 0, 1, 0, 0)$$

Hard with generator approach. But may resort to the SDP approach. [X. Li et al., 2101.01191]

Operators
 [C. Murphy, 2005.00059]



Amplitude in terms complex fields



• Intermediate states couple to $hh, \bar{h}\bar{h}, h\bar{h}, \bar{h}h : 1_1, 3_1, 1_{0S}, 1_{0A}, 3_{0S}, 3_{0A}$

✦ Generators





$$\vec{g} = (C_1, C_2, C_3)$$

 $\vec{g}_1 = (1, 0, -1)$ $\vec{g}_{1S} = (0, 0, 2)$ $\vec{g}_{3S} = (4, 0, -2)$
 $\vec{g}_3 = (0, 1, 0)$ $\vec{g}_{1A} = (-2, 2, 0)$ $\vec{g}_{3A} = (2, 2, -4)$

★ 1, 1S, 1A are extremal. <u>Triangular cone.</u>
 $\vec{g}_1 = (1, 0, -1)$ $\vec{g}_{1S} = (0, 0, 2)$ $\vec{g}_{3S} = (4, 0, -2)$ $\vec{g}_3 = (0, 1, 0)$ $\vec{g}_{1A} = (-2, 2, 0)$ $\vec{g}_{3A} = (2, 2, -4)$

✦ Take the cross section of triangular cone



- ♦ 1, 1S, 1A are extremal. <u>Triangular cone.</u>
 $\vec{g}_1 = (1, 0, -1)$ $\vec{g}_{1S} = (0, 0, 2)$ $\vec{g}_{3S} = (4, 0, -2)$ $\vec{g}_3 = (0, 1, 0)$ $\vec{g}_{1A} = (-2, 2, 0)$ $\vec{g}_{3A} = (2, 2, -4)$
- ✦ Take the cross section of triangular cone





↓ 1, 1S, 1A are extremal. <u>Triangular cone.</u>
 *g*₁ = (1,0,-1) *g*_{1S} = (0,0,2) *g*_{3S} = (4,0,-2) *g*_{3A} = (0,1,0) *g*_{1A} = (-2,2,0) *g*_{3A} = (2,2,-4)

✦ Take the cross section of triangular cone





↓ 1, 1S, 1A are extremal. <u>Triangular cone.</u>
 $\vec{g}_1 = (1, 0, -1)$ $\vec{g}_{1S} = (0, 0, 2)$ $\vec{g}_{3S} = (4, 0, -2)$ $\vec{g}_3 = (0, 1, 0)$ $\vec{g}_{1A} = (-2, 2, 0)$ $\vec{g}_{3A} = (2, 2, -4)$

✦ Take the cross section of triangular cone





Т

♦ Operators
 [C. Murphy, 2005.00059] Q

$$Q_{B^{4}}^{(1)} = C_{1} (B_{\mu\nu}B^{\mu\nu})(B_{\rho\sigma}B^{\rho\sigma})$$

$$Q_{B^{4}}^{(2)} = C_{2} (B_{\mu\nu}\tilde{B}^{\mu\nu})(B_{\rho\sigma}\tilde{B}^{\rho\sigma})$$

$$Q_{B^{4}}^{(3)} = C_{3} (B_{\mu\nu}B^{\mu\nu})(B_{\rho\sigma}\tilde{B}^{\rho\sigma})$$
Parity violating

♦ Amplitude

		$B_x B_x$	$B_y B_y$	$B_x B_y$	$B_y B_x$
$\mathcal{M}^{ijkl}=8 imes$	$B_x B_x$	$2C_1$	$C_1 - C_2$	C_3	$-C_3$
	$B_y B_y$	$C_1 - C_2$	$2C_1$	C_3	$-C_3$
	$B_y B_x$	C_3	C_3	$2C_2$	$C_1 - C_2$
	$B_x B_y$	$-C_3$	$-C_3$	$C_1 - C_2$	$2C_2$

- Very similar to 2 scalars with SO(2).
- Difference: (i,j) exchange in *m^{ij}* was a symmetry (either symmetric or antisymmetric) in the scalar case, but here it corresponds to **parity**.

★ *m* matrices: same as 2-scalar EFT

$$m_{1s} = \begin{pmatrix} \phi_1 & \phi_2 & \phi_1 & \phi_2 \\ \phi_1 & 1 & 0 \\ \phi_2 & 0 & 1 \end{pmatrix}, \qquad m_{1A} = \begin{pmatrix} \phi_1 & \phi_1 & 0 & 1 \\ \phi_2 & -1 & 0 \\ \phi_1 & 0 & \phi_1 & \phi_2 \\ \phi_1 & 1 & 0 & \phi_1 & \phi_2 \\ \phi_2 & 0 & -1 & \phi_2 & 1 & 0 \end{pmatrix}$$

With
$$\phi_1 \to B_x, \ \phi_2 \to B_y$$

✦ Generators: SO(2) projectors

$$\begin{split} P_{\mathbf{1}_{S}}^{i(j|k|l)} &= \frac{1}{2} \delta^{ij} \delta^{kl} \\ P_{\mathbf{1}_{A}}^{i(j|k|l)} &= \frac{1}{2} \left(\delta^{ik} \delta^{jl} - \delta^{il} \delta^{jk} \right) \\ P_{\mathbf{2}}^{i(j|k|l)} &= \frac{1}{2} \left(\delta^{ik} \delta^{jl} + \delta^{il} \delta^{jk} - \delta^{ij} \delta^{kl} \right) \end{split}$$

✤ *m* matrices: same as 2-scalar EFT

$$m_{1s} = \begin{pmatrix} \phi_1 & \phi_2 & \phi_1 & \phi_2 \\ \hline 0 & 1 & \phi_2 & \phi_1 & \phi_2 \\ \hline 0 & 1 & \phi_2 & \phi_1 & \phi_2 \\ \hline 0 & 1 & \phi_2 & \phi_1 & \phi_2 \\ \hline 0 & -1 & \phi_2 & \phi_1 & \phi_2 \\ \hline 0 & -1 & \phi_2 & 1 & 0 \end{pmatrix}$$

With
$$\phi_1 \to B_x, \ \phi_2 \to B_y$$

✦ Generators: SO(2) projectors

$$\begin{split} P_{\mathbf{1}_{S}}^{i(j|k|l)} &= \frac{1}{2} \delta^{ij} \delta^{kl} \\ P_{\mathbf{1}_{A}}^{i(j|k|l)} &= \frac{1}{2} \left(\delta^{ik} \delta^{jl} - \delta^{il} \delta^{jk} \right) \\ P_{\mathbf{2}}^{i(j|k|l)} &= \frac{1}{2} \left(\delta^{ik} \delta^{jl} + \delta^{il} \delta^{jk} - \delta^{ij} \delta^{kl} \right) \end{split}$$

✦ If parity is violated, the first 2 mix



i.e. infinitely many ERs

Generator vectors

$$\vec{g}_1 = (1, r^2, 2r), \ \vec{g}_2 = (1, 1, 0)$$

Particle	Spin	Parity	Interaction	ER	$ec{c}$
S_+	0	+	$rac{g_1}{M_1}S_1\left(B_{\mu u}B^{\mu u} ight)$	1	$rac{1}{2}(1,0,0)$
S_{-}	0	_	$rac{g_2}{M_2}S_2\left(B_{\mu u} ilde{B}^{\mu u} ight)$	1	$rac{1}{2}(0,1,0)$
$S_{ m mix}$	0	?	$\frac{g_3}{M_3}S_3\left(B_{\mu\nu}B^{\mu\nu}+r imes B_{\mu\nu}\tilde{B}^{\mu\nu} ight)$	✓	$rac{1}{2}(1,r^2,2r)$

✤ *m* matrices: same as 2-scalar EFT

$$m_{1s} = \begin{pmatrix} \phi_1 & \phi_2 & \phi_1 & \phi_2 \\ \hline 0 & 1 & \phi_2 & \phi_1 & \phi_2 \\ \hline 0 & 1 & \phi_2 & \phi_1 & \phi_2 \\ \hline 0 & 1 & \phi_2 & \phi_1 & \phi_2 \\ \hline 0 & -1 & \phi_2 & \phi_1 & \phi_2 \\ \hline 0 & -1 & \phi_2 & 1 & 0 \end{pmatrix}$$

With
$$\phi_1 \to B_x, \ \phi_2 \to B_y$$

✦ Generators: SO(2) projectors

$$\begin{split} P_{\mathbf{1}_{S}}^{i(j|k|l)} &= \frac{1}{2} \delta^{ij} \delta^{kl} \\ P_{\mathbf{1}_{A}}^{i(j|k|l)} &= \frac{1}{2} \left(\delta^{ik} \delta^{jl} - \delta^{il} \delta^{jk} \right) \\ P_{\mathbf{2}}^{i(j|k|l)} &= \frac{1}{2} \left(\delta^{ik} \delta^{jl} + \delta^{il} \delta^{jk} - \delta^{ij} \delta^{kl} \right) \end{split}$$

✦ If parity is violated, the first 2 mix



i.e. infinitely many ERs

✦ Generator vectors

$$\vec{g}_1 = (1, r^2, 2r), \ \vec{g}_2 = (1, 1, 0),$$

Particle	Spin	Parity	Interaction	\mathbf{ER}	$ec{c}$				
S_+	0	+	$rac{g_1}{M_1}S_1\left(B_{\mu u}B^{\mu u} ight)$	~	$rac{1}{2}(1,0,0)$				
S_{-}	0	_	$rac{g_2}{M_2}S_2\left(B_{\mu u} ilde{B}^{\mu u} ight)$	1	$rac{1}{2}(0,1,0)$				
$S_{ m mix}$	0	?	$\frac{g_3}{M_3}S_3\left(B_{\mu\nu}B^{\mu\nu}+r imes B_{\mu\nu}\tilde{B}^{\mu\nu} ight)$	1	$rac{1}{2}(1,r^2,2r)$				
$C_1 \ge 0, \ C_2 \ge 0, \ C_3^2 \le 4C_1C_2$									
P violating < P conserving									
[Rem	[Remmen, Rodd,1908.09845] [T. Trott, 2011.10058]								

Example 6: SM W boson, gluons

- ♦ W boson: SO(2) x SU(2)
 O_{T,0} = Tr[$\hat{W}_{\mu\nu}\hat{W}^{\mu\nu}$]Tr[$\hat{W}_{\alpha\beta}\hat{W}^{\alpha\beta}$]
 O_{T,2} = Tr[$\hat{W}_{\alpha\mu}\hat{W}^{\mu\beta}$]Tr[$\hat{W}_{\beta\nu}\hat{W}^{\nu\alpha}$]
 O_{T,1} = Tr[$\hat{W}_{\alpha\nu}\hat{W}^{\mu\beta}$]Tr[$\hat{W}_{\mu\beta}\hat{W}^{\alpha\nu}$]
 O_{T,10} = Tr[$\hat{W}_{\mu\nu}\tilde{W}^{\mu\nu}$]Tr[$\hat{W}_{\alpha\beta}\tilde{W}^{\alpha\beta}$]
 O_W = $\varepsilon^{IJK}W^{I\nu}_{\mu}W^{J\rho}_{\nu}W^{K\mu}_{\rho}$
- ◆ Bounds (elastic only covers first 4) *F*_{T,2} ≥ 0,
 4*F*_{T,1} + *F*_{T,2} ≥ 36*ā*²_W, *F*_{T,2} + 8*F*_{T,10} ≥ 36*ā*²_W,
 8*F*_{T,0} + 4*F*_{T,1} + 3*F*_{T,2} ≥ 0,
 12*F*_{T,0} + 4*F*_{T,1} + 5*F*_{T,2} + 4*F*_{T,10} ≥ 0,
 4*F*_{T,0} + 4*F*_{T,1} + 3*F*_{T,2} + 12*F*_{T,10} ≥ 72*ā*²_W
- See [Yamashita, Zhou, CZ, 2009.04490] for more W+B cases and applications in aQGC.



7D polyhedral cone with 48 faces

[X. Li et al., 2101.01191]

Example 7: SM fermions

• Lepton doublet $O^1 = \partial_\mu \left(\bar{l} \gamma_\nu l \right) \partial^\mu \left(\bar{l} \gamma^\nu l \right), \quad O^3 = \partial_\mu \left(\bar{l} \gamma_\nu \tau^I l \right) \partial^\mu \left(\bar{l} \gamma^\nu \tau^I l \right)$

•	Generators	State	Spin	Charge	$\mathcal{L}_{ ext{int}}$	ER	\vec{c}	✦ Bounds:	
		\mathcal{B}_1	1	1_1	$\mathcal{B}_{1}^{\mu}(ar{l}^{c}i\overleftrightarrow{D}_{\mu}l)$	1	$rac{1}{2}(1,-1) \propto ec{g}_1$	$C_1 \perp C_2 \leq 0$	$C_0 \leq 0$
		Ξ_1	0	3_1	$\Xi^I_1(ar l^c au^I l)$	X	$rac{1}{2}(-3,-1) \propto ec{g}_2$	$C_1 + C_2 \leq 0$,	$C_2 \leq 0$.
		${\mathcal B}$	1	1_0	${\cal B}^{\mu}(ar{l}\gamma_{\mu}l)$	1	$rac{1}{2}(-1,0) \propto ec{g}_3$		
		${\mathcal W}$	1	3_0	$\mathcal{W}^{I\mu}(ar{l}\gamma_\mu au^I l)$	X	$rac{1}{2}(0,-1) \propto ec{g}_4$		

Notations following [de Blas, Criado, Pérez-Victoria, Santiago, 2005.00059]

• up/down quarks $O^1 = \partial_\mu \left(\bar{u} \gamma_\nu u \right) \partial^\mu \left(\bar{u} \gamma^\nu u \right), \quad O^8 = \partial_\mu \left(\bar{u} \gamma_\nu T^A u \right) \partial^\mu \left(\bar{u} \gamma^\nu T^A u \right)$

Example 7: SM fermions

✦ Quark doublet

$$\begin{split} O^{1,1} &= \partial_{\mu} \left(\bar{q} \gamma_{\nu} q \right) \partial^{\mu} \left(\bar{q} \gamma^{\nu} q \right), \\ O^{3,1} &= \partial_{\mu} \left(\bar{q} \gamma_{\nu} \tau^{I} q \right) \partial^{\mu} \left(\bar{q} \gamma^{\nu} \tau^{I} q \right), \\ O^{1,8} &= \partial_{\mu} \left(\bar{q} \gamma_{\nu} T^{A} q \right) \partial^{\mu} \left(\bar{q} \gamma^{\nu} T^{A} q \right) \\ O^{3,8} &= \partial_{\mu} \left(\bar{q} \gamma_{\nu} \tau^{I} T^{A} q \right) \partial^{\mu} \left(\bar{q} \gamma^{\nu} \tau^{I} T^{A} q \right) \end{split}$$

♦ Generators

State	Spin	Charge	$\mathcal{L}_{ ext{int}}$	ER	$ec{c}$
ω_1	0	$(3,1)_{-\frac{1}{3}}$	$\omega_1^a\epsilon_{abc}ar{Q}^{c^b}\epsilon Q^c$	✓	$rac{1}{3}\left(-1,1,3,-3 ight)$
$\mathcal{V}_{-rac{1}{3}}$	1	$(3,3)_{-rac{1}{3}}$	$\mathcal{V}^{aI}_{-rac{1}{3}}\epsilon_{abc}ar{Q}^{cb}\epsilon au^{I}i\overleftrightarrow{D}_{\mu}Q^{c}$	1	$rac{1}{3}\left(3,1,-9,-3 ight)$
${\cal V}_{rac{1}{3}}$	1	$(6,1)_{rac{1}{3}}$	${\cal V}^{\dagger}{}^{ab\mu}_{rac{1}{3}}ar{Q}^{c(a}\epsilon i\overleftrightarrow{D}_{\mu}Q^{b)}$	✓	$rac{1}{6}(2,-2,3,-3)$
Υ	0	$(6,3)_{rac{1}{3}}$	$\Upsilon^{\dagger Iab}ar{Q}^{c(a}\epsilon au^{I}Q^{b)}$	X	$rac{1}{6}(-6,-2,-9,-3)$
${\mathcal B}$	1	$(1,1)_{0}$	${\cal B}^\mu ar Q \gamma_\mu Q$	✓	$rac{1}{2}(-1,0,0,0)$
${\mathcal W}$	1	$(1,3)_{0}$	${\cal W}^{I\mu}ar Q\gamma_\mu au^I Q$	✓	$rac{1}{2}(0,-1,0,0)$
${\cal G}$	1	$(8,1)_0$	${\cal G}^{A\mu}ar Q\gamma_\mu T^A Q$	1	$rac{1}{2}(0,0,-1,0)$
${\cal H}$	1	$(8,3)_{0}$	${\cal H}^{AI\mu}ar Q\gamma_\mu T^A au^I Q$	X	$rac{1}{2}(0,0,0,-1)$



SM positivity summary

- Dim-8 bounds for self-quartics (F^4) are all solved from the generator point of view.
- ✦ Approach:
 - ✦ Compute the amplitude, map the coefficients.
 - ✦ Enumerate the *m* matrices and construct generators. (VE to get bounds.)
 - ✦ Map the generators to the Wilson coef. space. VE to get bounds.
- Some results for cross-quartics (F₁²F₂²) (W+B, L+R fermions). Needs a "continuous vertex enumeration".
- For operators with more fields, may resort to a different approach. E.g. semidefinite programming.

The inverse problem

• Consider tree level UV completion: SM + $\{X_{\alpha,i}\}$

$$\mathcal{L}_{\text{int}} = \mathcal{X}_{\alpha i} g_{\alpha i} (\kappa_{\phi} J_{\phi} + \kappa_q J_q + \kappa_u J_u + \kappa_d J_d + \kappa_l J_l + \kappa_e J_e + \dots)$$

- Two kinds of information
 - Particle spectrum: masses, widths, overall coupling g.
 Not possible from dim-8 measurements.
 - + Interaction: the currents (fixed by charge/irreps), and relative couplings $(\kappa_{\phi}, \kappa_{q}, \dots)$ We are interested in determining this.

• Q: Knowing
$$\vec{C} = \sum_{\alpha} w_{\alpha} \vec{g}_{\alpha}$$
, or $\mathcal{M}^{ijkl} = \sum_{\alpha} w_{\alpha} \mathcal{G}^{ijkl}_{\alpha}$
or $\mathcal{M}^{ijkl} = \frac{1}{2\pi} \int_{(\epsilon\Lambda)^2}^{\infty} \frac{\mathrm{d}s}{s^3} \sum_{X} \left(\mathcal{M}_{ij\to X} \mathcal{M}^*_{kl\to X} + \mathcal{M}_{i\bar{l}\to X} \mathcal{M}^*_{k\bar{j}\to X} \right)$

How do we determine w_{α} , \mathcal{G}_{α} ? (Matching: RHS -> LHS; Inverse: LHS -> RHS)

• Q: Knowing
$$\vec{C} = \sum_{\alpha} w_{\alpha} \vec{g}_{\alpha}$$
, or $\mathcal{M}^{ijkl} = \sum_{\alpha} w_{\alpha} \mathcal{G}^{ijkl}_{\alpha}$
or $\mathcal{M}^{ijkl} = \frac{1}{2\pi} \int_{(\epsilon\Lambda)^2}^{\infty} \frac{\mathrm{d}s}{s^3} \sum_{X} \left(\mathcal{M}_{ij \to X} \mathcal{M}^*_{kl \to X} + \mathcal{M}_{i\bar{l} \to X} \mathcal{M}^*_{k\bar{j} \to X} \right)$

How do we determine w_{α} , \mathcal{G}_{α} ? (Matching: RHS -> LHS; Inverse: LHS -> RHS)

+ In general impossible: more G (generators) than # coefficients.

• Q: Knowing
$$\vec{C} = \sum_{\alpha} w_{\alpha} \vec{g}_{\alpha}$$
, or $\mathcal{M}^{ijkl} = \sum_{\alpha} w_{\alpha} \mathcal{G}^{ijkl}_{\alpha}$
or $\mathcal{M}^{ijkl} = \frac{1}{2\pi} \int_{(\epsilon\Lambda)^2}^{\infty} \frac{\mathrm{d}s}{s^3} \sum_{X} \left(\mathcal{M}_{ij \to X} \mathcal{M}^*_{kl \to X} + \mathcal{M}_{i\bar{l} \to X} \mathcal{M}^*_{k\bar{j} \to X} \right)$

How do we determine w_{α} , \mathcal{G}_{α} ? (Matching: RHS -> LHS; Inverse: LHS -> RHS)

- In general impossible: more G (generators) than # coefficients.
- ✦ Exception: *M* is extremal.

• Q: Knowing
$$\vec{C} = \sum_{\alpha} w_{\alpha} \vec{g}_{\alpha}$$
, or $\mathcal{M}^{ijkl} = \sum_{\alpha} w_{\alpha} \mathcal{G}^{ijkl}_{\alpha}$
or $\mathcal{M}^{ijkl} = \frac{1}{2\pi} \int_{(\epsilon\Lambda)^2}^{\infty} \frac{\mathrm{d}s}{s^3} \sum_{X} \left(\mathcal{M}_{ij \to X} \mathcal{M}^*_{kl \to X} + \mathcal{M}_{i\bar{l} \to} \mathcal{M}^*_{k\bar{j} \to X} \right)$

How do we determine w_{α} , \mathcal{G}_{α} ? (Matching: RHS -> LHS; Inverse: LHS -> RHS)

- + In general impossible: more G (generators) than # coefficients.
- Exception: M is extremal.
 - ◆ E.g rank-1 sym. matrix $M^{ij} = \int ds u^i(s) u^j(s)$

(Rank-1 sym. matrix is the ER of the cone of PSD matrices, generated by uiui)

• Q: Knowing
$$\vec{C} = \sum_{\alpha} w_{\alpha} \vec{g}_{\alpha}$$
, or $\mathcal{M}^{ijkl} = \sum_{\alpha} w_{\alpha} \mathcal{G}^{ijkl}_{\alpha}$
or $\mathcal{M}^{ijkl} = \frac{1}{2\pi} \int_{(\epsilon\Lambda)^2}^{\infty} \frac{\mathrm{d}s}{s^3} \sum_{X} \left(\mathcal{M}_{ij \to X} \mathcal{M}^*_{kl \to X} + \mathcal{M}_{i\bar{l} \to X} \mathcal{M}^*_{k\bar{j} \to X} \right)$

How do we determine w_{α} , \mathcal{G}_{α} ? (Matching: RHS -> LHS; Inverse: LHS -> RHS)

- In general impossible: more G (generators) than # coefficients.
- Exception: M is extremal.
 - ★ E.g rank-1 sym. matrix M^{ij} = ∫ dsuⁱ(s)u^j(s)
 (Rank-1 sym. matrix is the ER of the cone of PSD matrices, generated by uⁱu^j)
 - Similarly, M^{ijkl} cannot be split -> all integrands equal to M up to normalization.

SM Higgs

4:1	H^4D^4
-----	----------

$Q_{H^4}^{\left(1 ight)}$	$(D_{\mu}H^{\dagger}D_{ u}H)(D^{ u}H^{\dagger}D^{\mu}H)$
$Q_{H^4}^{\left(2 ight)}$	$(D_{\mu}H^{\dagger}D_{ u}H)(D^{\mu}H^{\dagger}D^{ u}H)$
$Q_{H^4}^{\left(3 ight) }$	$(D^{\mu}H^{\dagger}D_{\mu}H)(D^{ u}H^{\dagger}D_{ u}H)$

Intermediate states: $1_1, 3_1, 1_{0S}, 1_{0A}, 3_{0S}, 3_{0A}$ $\vec{g}_1 = (1, 0, -1)$ $\vec{g}_{1S} = (0, 0, 2)$ $\vec{g}_{3S} = (4, 0, -2)$ $\vec{g}_3 = (0, 1, 0)$ $\vec{g}_{1A} = (-2, 2, 0)$ $\vec{g}_{3A} = (2, 2, -4)$

$$\begin{aligned} \mathcal{L}_{H} &= g_{1}(H^{T}\epsilon\overleftrightarrow{D}_{\mu}H)V_{1}^{\mu\dagger} + g_{1S}(H^{\dagger}H)S_{1} \\ &+ ig_{1A}(H^{\dagger}\overleftrightarrow{D}_{\mu}H)V_{2}^{\mu} + g_{3}(H^{T}\epsilon\tau^{I}H)S_{2}^{I\dagger} \\ &+ g_{3S}(H^{\dagger}\tau^{I}H)S_{3}^{I} + ig_{3A}(H^{\dagger}\tau^{I}\overleftrightarrow{D}_{\mu}H)V_{3}^{\mu I} + h.c. \end{aligned}$$
SM Higgs

 $\begin{array}{c|c} \boldsymbol{4} : \boldsymbol{H}^{4}\boldsymbol{D}^{4} \\ \hline Q_{H^{4}}^{(1)} & (D_{\mu}H^{\dagger}D_{\nu}H)(D^{\nu}H^{\dagger}D^{\mu}H) \\ Q_{H^{4}}^{(2)} & (D_{\mu}H^{\dagger}D_{\nu}H)(D^{\mu}H^{\dagger}D^{\nu}H) \\ Q_{H^{4}}^{(3)} & (D^{\mu}H^{\dagger}D_{\mu}H)(D^{\nu}H^{\dagger}D_{\nu}H) \end{array}$

$$ec{C} = \sum_{lpha} w_{lpha} ec{g}_{lpha}$$

Uncertainty of $ec{w} = (w_1, w_2, \cdots, w_6)$
(max distance between two valid w's

Intermediate states: $1_1, 3_1, 1_{0S}, 1_{0A}, 3_{0S}, 3_{0A}$ $\vec{g}_1 = (1, 0, -1)$ $\vec{g}_{1S} = (0, 0, 2)$ $\vec{g}_{3S} = (4, 0, -2)$ $\vec{g}_3 = (0, 1, 0)$ $\vec{g}_{1A} = (-2, 2, 0)$ $\vec{g}_{3A} = (2, 2, -4)$

$$\mathcal{L}_{H} = g_{1}(H^{T}\epsilon\overleftrightarrow{D}_{\mu}H)V_{1}^{\mu\dagger} + g_{1S}(H^{\dagger}H)S_{1} + ig_{1A}(H^{\dagger}\overleftrightarrow{D}_{\mu}H)V_{2}^{\mu} + g_{3}(H^{T}\epsilon\tau^{I}H)S_{2}^{I\dagger} + g_{3S}(H^{\dagger}\tau^{I}H)S_{3}^{I} + ig_{3A}(H^{\dagger}\tau^{I}\overleftrightarrow{D}_{\mu}H)V_{3}^{\mu I} + h.c.$$



SM Higgs

င္- င္ဒ ၁ $4:H^4D^4$

$Q_{H^4}^{\left(1 ight) }$	$(D_\mu H^\dagger D_ u H) (D^ u H^\dagger D^\mu H)$
$Q_{H^4}^{\left(2 ight)}$	$(D_{\mu}H^{\dagger}D_{ u}H)(D^{\mu}H^{\dagger}D^{ u}H)$
$Q_{H^4}^{\left(3 ight) }$	$(D^{\mu}H^{\dagger}D_{\mu}H)(D^{ u}H^{\dagger}D_{ u}H)$

$$\vec{C} = \sum_{\alpha} w_{\alpha} \vec{g}_{\alpha}$$
Uncertainty of $\vec{w} = (w_1, w_2, \cdots, w_6)$ (max distance between two valid w's)
$$\frac{2.0}{1.5}$$

$$\frac{2.0}{1.5}$$

$$\frac{1.5}{5}$$

$$\frac{0.5}{5}$$

 $C_1 + C_3$

 $2C_1 + 3C_2 + C_3$

Intermediate states: $1_1, 3_1, 1_{0S}, 1_{0A}, 3_{0S}, 3_{0A}$ $\vec{g}_1 = (1, 0, -1)$ $\vec{g}_{1S} = (0, 0, 2)$ $\vec{g}_{3S} = (4, 0, -2)$ $\vec{g}_3 = (0, 1, 0)$ $\vec{g}_{1A} = (-2, 2, 0)$ $\vec{g}_{3A} = (2, 2, -4)$

$$\mathcal{L}_{H} = g_{1}(H^{T}\epsilon\overleftrightarrow{D}_{\mu}H)V_{1}^{\mu\dagger} + g_{1S}(H^{\dagger}H)S_{1} + ig_{1A}(H^{\dagger}\overleftrightarrow{D}_{\mu}H)V_{2}^{\mu} + g_{3}(H^{T}\epsilon\tau^{I}H)S_{2}^{I\dagger} + g_{3S}(H^{\dagger}\tau^{I}H)S_{3}^{I} + ig_{3A}(H^{\dagger}\tau^{I}\overleftrightarrow{D}_{\mu}H)V_{3}^{\mu I} + h.c.$$

- 1. Unique solution at ERs: perfect dim-8 measurement may be able to uniquely determine UV particle content.
- 2. C=0 implies w=0 [positive projection on (2,3,1)]: perfect dim-8 measurement can exclude all BSM.
- Finite uncertainties: dim-8 measurement can set exclusion limit on all UV particles, in terms of g²/M⁴. (Model-independent; cannot be evaded by tuning.)

SM Higgs

 $4:H^4D^4$

$Q_{H^4}^{\left(1 ight) }$	$(D_{\mu}H^{\dagger}D_{ u}H)(D^{ u}H^{\dagger}D^{\mu}H)$
$Q_{H^4}^{\left(2 ight)}$	$(D_{\mu}H^{\dagger}D_{ u}H)(D^{\mu}H^{\dagger}D^{ u}H)$
$Q_{H^4}^{\left(3 ight) }$	$(D^{\mu}H^{\dagger}D_{\mu}H)(D^{\nu}H^{\dagger}D_{\nu}H)$

$$\vec{C} = \sum_{\alpha} w_{\alpha} \vec{g}_{\alpha}$$
Uncertainty of $\vec{w} = (w_1, w_2, \cdots, w_6)$
(max distance between two valid w's)

2.0 1.5 $2 C_1 + 3 C_2 + C_3$ ● 3_S 3_A • 1.0 C1 – C3 0.5 • 3 0.0 -0.5 1_{s} -1.0 -1.0 -0.5 0.0 0.5 1.0 $C_1 + C_3$ $2C_1 + 3C_2 + C_3$

Intermediate states: $1_1, 3_1, 1_{0S}, 1_{0A}, 3_{0S}, 3_{0A}$ $\vec{g}_1 = (1, 0, -1)$ $\vec{g}_{1S} = (0, 0, 2)$ $\vec{g}_{3S} = (4, 0, -2)$ $\vec{g}_3 = (0, 1, 0)$ $\vec{g}_{1A} = (-2, 2, 0)$ $\vec{g}_{3A} = (2, 2, -4)$ $\mathcal{L}_H = q_1 (H^T \epsilon \overleftrightarrow{D}_{\mu} H) V_1^{\mu \dagger} + q_{1S} (H^{\dagger} H) S_1$

$$\begin{aligned} &+ ig_{1A}(H^{\dagger}\overleftrightarrow{D}_{\mu}H)V_{1}^{\mu} + g_{1S}(H^{-}H)S_{1}^{I} \\ &+ ig_{1A}(H^{\dagger}\overleftrightarrow{D}_{\mu}H)V_{2}^{\mu} + g_{3}(H^{T}\epsilon\tau^{I}H)S_{2}^{I\dagger} \\ &+ g_{3S}(H^{\dagger}\tau^{I}H)S_{3}^{I} + ig_{3A}(H^{\dagger}\tau^{I}\overleftrightarrow{D}_{\mu}H)V_{3}^{\mu I} + h.c. \end{aligned}$$

- 1. Unique solution at ERs: perfect dim-8 measurement may be able to uniquely determine UV particle content.
- 2. C=0 implies w=0 [positive projection on (2,3,1)]: perfect dim-8 measurement can exclude all BSM.

(0, 2, 1)

 Finite uncertainties: dim-8 measurement can set exclusion limit on all UV particles, in terms of g²/M⁴. (Model-independent; cannot be evaded by tuning.)

Dim-6 vs dim-8

- Generators at dim-8 form a salient cone; at dim-6 this is not true.
 - Can be traced back to a minus sign between *m^{ij}m^{kl}* and *m^{il}m^{kj}* at dim-6.



• $\vec{C} = \sum_{\alpha} w_{\alpha} \vec{g}_{\alpha}$ has <u>very different</u> implications

	Dim-6 Dim-8		
Unique solutions	No: $\begin{array}{l} 0 = \sum_{\alpha} \bar{w}_{\alpha}^{(6)} \vec{g}_{\alpha}^{(6)} & \text{has nonzero solution} \\ w_{\alpha}^{(6)} \xrightarrow{\alpha} w_{\alpha}^{(6)} + \lambda \bar{w}_{\alpha}^{(6)}, \lambda > 0 \end{array}$	Yes: Salient cone -> ERs always exist ER not splittable -> unique w.	
Zero coefs. rule out all BSM	No: $\lambda \bar{w}_{\alpha}^{(6)}, \ \lambda \in \mathbf{R}^+$	Yes: 0 is an extreme point of a salient cone.	
Finite uncertainty; upper bound on w.	No: $w_{\alpha}^{(6)} \rightarrow w_{\alpha}^{(6)} + \lambda \bar{w}_{\alpha}^{(6)}, \lambda > 0$	$ \begin{array}{l} \vec{C}(\lambda) \equiv \vec{C} - \lambda \vec{g}_k = \sum_{i \neq k} w_i \vec{g}_i + (w_k - \lambda) \vec{g}_k \\ \text{Yes:} \\ \lambda_M = \max_{\vec{C}(\lambda) \in \mathbf{C}} \lambda \geq w_k \end{array} $	

 $C_1 (B_{\mu\nu} B^{\mu\nu}) (B_{\rho\sigma} B^{\rho\sigma})$ $C_2 (B_{\mu\nu} \widetilde{B}^{\mu\nu}) (B_{\rho\sigma} \widetilde{B}^{\rho\sigma})$ $C_3 (B_{\mu\nu} B^{\mu\nu}) (B_{\rho\sigma} \widetilde{B}^{\rho\sigma})$

ParticleSpinParityInteractionER \vec{c} S_+ 0+ $\frac{g_1}{M_1}S_1(B_{\mu\nu}B^{\mu\nu})$ \checkmark $\frac{1}{2}(1,0,0)$ S_- 0- $\frac{g_2}{M_2}S_2\left(B_{\mu\nu}\tilde{B}^{\mu\nu}\right)$ \checkmark $\frac{1}{2}(0,1,0)$ S_{mix} 0? $\frac{g_3}{M_3}S_3\left(\cos\theta B_{\mu\nu}B^{\mu\nu} + \sin\theta B_{\mu\nu}\tilde{B}^{\mu\nu}\right)$ \checkmark $\frac{1}{2}(\cos^2\theta, \sin^2\theta, 2\sin\theta\cos\theta)$

 $\vec{g}_1 = (\cos^2 \theta, \sin^2 \theta, \sin 2\theta)$

 To set upper bound on one generator, when there are infinitely many of them:

$$egin{aligned} ec{C}(\lambda) &\equiv ec{C} - \lambda ec{g}_k = \sum_{i
eq k} w_i ec{g}_i + (w_k - \lambda) ec{g}_k \ \lambda_M &= \max_{ec{C}(\lambda) \in \mathbf{C}} \lambda \ \geq w_k \end{aligned}$$



 $C_1 (B_{\mu\nu} B^{\mu\nu}) (B_{\rho\sigma} B^{\rho\sigma})$ $C_2 (B_{\mu\nu} \widetilde{B}^{\mu\nu}) (B_{\rho\sigma} \widetilde{B}^{\rho\sigma})$ $C_3 (B_{\mu\nu} B^{\mu\nu}) (B_{\rho\sigma} \widetilde{B}^{\rho\sigma})$

Particle Spin Parity Interaction \mathbf{ER} \vec{c} $\frac{1}{2}(1,0,0)$ $\frac{g_1}{M_1}S_1\left(B_{\mu\nu}B^{\mu\nu}\right)$ S_+ 0 $- \frac{g_2}{M_2} S_2 \left(B_{\mu\nu} \tilde{B}^{\mu\nu} \right) \qquad \checkmark$ $? \quad \frac{g_3}{M_3} S_3 \left(\cos \theta B_{\mu\nu} B^{\mu\nu} + \sin \theta B_{\mu\nu} \tilde{B}^{\mu\nu} \right) \qquad \checkmark$ 0 S_{-} $\frac{1}{2}(0,1,0)$ 0 $\checkmark \quad \frac{1}{2}(\cos^2\theta,\sin^2\theta,2\sin\theta\cos\theta)$ $S_{
m mix}$

 $\vec{g}_1 = (\cos^2\theta, \sin^2\theta, \sin 2\theta)$

 To set upper bound on one generator, when there are infinitely many of them:

$$egin{aligned} ec{C}(\lambda) &\equiv ec{C} - \lambda ec{g}_k = \sum_{i
eq k} w_i ec{g}_i + (w_k - \lambda) ec{g}_k \ \lambda_M &= \max_{ec{C}(\lambda) \in \mathbf{C}} \lambda \ \geq w_k \end{aligned}$$



 $C_1 (B_{\mu\nu} B^{\mu\nu}) (B_{\rho\sigma} B^{\rho\sigma})$ $C_2 (B_{\mu\nu} \widetilde{B}^{\mu\nu}) (B_{\rho\sigma} \widetilde{B}^{\rho\sigma})$ $C_3 (B_{\mu\nu} B^{\mu\nu}) (B_{\rho\sigma} \widetilde{B}^{\rho\sigma})$

ParticleSpinParityInteractionER \vec{c} S_+ 0+ $\frac{g_1}{M_1}S_1(B_{\mu\nu}B^{\mu\nu})$ \checkmark $\frac{1}{2}(1,0,0)$ S_- 0- $\frac{g_2}{M_2}S_2\left(B_{\mu\nu}\tilde{B}^{\mu\nu}\right)$ \checkmark $\frac{1}{2}(0,1,0)$ S_{mix} 0? $\frac{g_3}{M_3}S_3\left(\cos\theta B_{\mu\nu}B^{\mu\nu} + \sin\theta B_{\mu\nu}\tilde{B}^{\mu\nu}\right)$ \checkmark $\frac{1}{2}(\cos^2\theta, \sin^2\theta, 2\sin\theta\cos\theta)$

 $\vec{g}_1 = (\cos^2\theta, \sin^2\theta, \sin 2\theta)$

 To set upper bound on one generator, when there are infinitely many of them:

$$egin{aligned} ec{C}(\lambda) &\equiv ec{C} - \lambda ec{g}_k = \sum_{i
eq k} w_i ec{g}_i + (w_k - \lambda) ec{g}_k \ \lambda_M &= \max_{ec{C}(\lambda) \in \mathbf{C}} \lambda \ \geq w_k \end{aligned}$$











e+e- scattering at ILC

2009.02212 with B. Fuks, Y. Liu and S.-Y. Zhou

ee scattering at future lepton collider



$$\begin{split} O_1 &= \partial^{\alpha} (\bar{e}\gamma^{\mu} e) \partial_{\alpha} (\bar{e}\gamma_{\mu} e) ,\\ O_2 &= \partial^{\alpha} (\bar{e}\gamma^{\mu} e) \partial_{\alpha} (\bar{l}\gamma_{\mu} l) ,\\ O_3 &= D^{\alpha} (\bar{l}e) \ D_{\alpha} (\bar{e}l) ,\\ O_4 &= \partial^{\alpha} (\bar{l}\gamma^{\mu} l) \ \partial_{\alpha} (\bar{l}\gamma_{\mu} l) ,\\ O_5 &= D^{\alpha} (\bar{l}\gamma^{\mu} \tau^I l) \ D_{\alpha} (\bar{l}\gamma_{\mu} \tau^I l) \end{split}$$

 $C_{1} \leq 0,$ $C_{4} + C_{5} \leq 0,$ $C_{5} \leq 0,$ $C_{3} \geq 0,$ $2\sqrt{C_{1}(C_{4} + C_{5})} \geq C_{2},$ $2\sqrt{C_{1}(C_{4} + C_{5})} \geq -(C_{2} + C_{3}).$ In ee \rightarrow ee, C₅ does not give an independent contribution:

$$\vec{C}^{(8)} = (C_1, C_2, C_3, C_4)$$

UV states and interactions

	Scalar	Vector		
$D\equiv {f 2}_{1/2}$	$M_L \equiv 1_1$	$M_R \equiv 1_2$	$V \equiv 1_0$	$V'\equiv {f 2}_{-3/2}$

$$\begin{split} \mathcal{L}_{\text{int}} &= g_{Di} \bar{L} e D_i + g_{M_L i} \bar{L}^c \epsilon L M_{Li} + g_{M_R i} \bar{e}^c e M_{Ri} \\ &+ g_{Vi} \Big(\bar{L} \gamma^{\mu} L + \kappa_i \bar{e} \gamma^{\mu} e \Big) V_{i\mu} + g_{V'i} (\bar{e}^c \gamma^{\mu} L) V_i'^{\dagger} \\ &+ \text{h.c.}, \end{split}$$

Generators:

$$egin{aligned} ec{c}_D^{\ (8)} &= (0,0,1,0), \ ec{c}_{M_L}^{\ (8)} &= (0,0,0,-1), \ ec{c}_{M_R}^{\ (8)} &= (-1,0,0,0), \ ec{c}_{V'}^{\ (8)} &= (0,0,-1,2), \ ec{c}_{V(\kappa)}^{\ (8)} &= (-\kappa^2/2,-\kappa,0,-1/2). \end{aligned}$$

ee scattering at future lepton collider



ee scattering at future lepton collider

Scenario	Beam polarization	Runs (luminosity @ energy), $[ab^{-1}]$ @ $[GeV]$				
	$P(e^-,e^+)$	1	2	3	4	
CEPC	None	2.6@161	5.6@240			
FCC-ee	None	10@161	5@240	0.2@350	1.5@365	
ILC-500	$(-80\%, 30\%) \ (80\%, -30\%)$	0.9@250 0.9@250	0.135@350 0.045@350	1.6@500 1.6@500		
ILC-1000	$(-80\%, 30\%) \ (80\%, -30\%)$	0.9@250 0.9@250	0.135@350 0.045@350	1.6@500 1.6@500	1.25@1000 1.25@1000	
CLIC	$(-80\%, 0\%) \ (80\%, 0\%)$	0.5@380 $0.5@380$	2@1500 $0.5@1500$	4@3000 1@3000		





- Assume D-type scalar extension, $g_D = 0.8$, $M_D = 2$ TeV
- At ILC (with 1 TeV run), global fit ->

$$C_{ee} = 0 \pm 0.0024,$$
 $C_{el} = -0.08 \pm 0.0035,$
 $C_{ll} = 0 \pm 0.0023,$
 $C_1 = 0 \pm 0.0074,$ $C_2 = 0 \pm 0.0077,$
 $C_3 = 0.04 \pm 0.020,$ $C_4 = 0 \pm 0.0071.$

- What to conclude at dim-6?
 - If assume the SM is only supplemented by D-type scalar,

$$M_D/g_D \in [2.45, 2.56]$$
 TeV.

If assume the SM is extend by D and V',

$$\frac{g_D^2}{2M_D^2} - \frac{g_{V'}^2}{M_{V'}^2} = 0.08 \pm 0.0035 \text{ TeV}^{-2}.$$



 If assuming more complicated models, not much to be concluded about the existence of UV states.

- What to conclude at dim-8?
- Upper bound on all states

$$\lambda_M = \max_{ec{C}(\lambda) \in \mathbf{C}} \lambda \ \geq w_k$$

Take into account EXP errors
 i.e. convex optimization

$$\begin{array}{ll} \text{maximum} & \lambda \\ \text{subject to} & \vec{C} - \lambda \vec{C}_k \in \mathbf{C} \\ \text{and} & \chi^2 \left(\vec{C}, \vec{C}_{\text{EXP}} \right) \leq \chi_c^2 \end{array}$$



-1 $\begin{pmatrix} x \\ 0 \end{pmatrix}$

 M_{L}

• Dim-8 measurement would universally exclude all alternative hypothesis:

X	$ec{c}_X^{\;(8)}$	$\lambda_{ m max}$	$M_X/\sqrt{g_X}$	
M_L	(0, 0, 0, -1)	0.0067	$\geq 3.5~{\rm TeV}$	·
M_R	$\left(-1,0,0,0 ight)$	0.0069	$\geq 3.5~{\rm TeV}$	$M_D/\sqrt{g_D} \in [2.1, 3.1]$ TeV
$V \text{ (with } \kappa = 1)$	$\left(-1/2,-1,0,-1/2 ight)$	0.0055	$\geq 3.7~{\rm TeV}$	
$V \text{ (with } \kappa = -1)$	$\left(-1/2, 1, 0, -1/2\right)$	0.0116	$\geq 3.0~{\rm TeV}$	
V'	(0,-1,2,0)	0.0109	$\geq 3.1~{\rm TeV}$	

Testing the SM



 If all dim-8 coefficients are consistent with 0, all states can be excluded to above certain scales

X	$\lambda_{ m max}$	$M_X/\sqrt{g_X}$
D	0.0076	$\geq 3.4 \text{ TeV}$
M_L	0.0053	$\geq 3.7~{\rm TeV}$
M_R	0.0054	$\geq 3.7~{\rm TeV}$
V'	0.0056	$\geq 3.7~{\rm TeV}$
$V \text{ (with } \kappa = 1)$	0.0041	$\geq 4.0~{\rm TeV}$
$V \text{ (with } \kappa = -1)$	0.0041	$\geq 4.0 { m ~TeV}$



Summary

- Dispersive integral defines the positive generator of the parameter space of dim-8 Wilson coefficients.
 - Allowed region is a convex cone and can be systematically determined.
 - ★ Outside: no UV completion
 - ★ At the ER (or boundary): "uniquely" determine (the charge/irrep/interactions of) UV particles
 - ★ Near an ER/boundary: limited arbitrariness in finding the UV completion
 - ★ More inside: more arbitrariness



If we care about UV model and not just coefficient measurements, dim-8 operators with s² dependence contains vital information.

Thank you

Backups



$$O^{1} = \partial_{\mu} \left(\bar{l} \gamma_{\nu} l \right) \partial^{\mu} \left(\bar{l} \gamma^{\nu} l \right), \quad O^{3} = \partial_{\mu} \left(\bar{l} \gamma_{\nu} \tau^{I} l \right) \partial^{\mu} \left(\bar{l} \gamma^{\nu} \tau^{I} l \right)$$

Coefficients: $\vec{C} = \sum_{\alpha} w_{\alpha} \vec{g}_{\alpha}$

$$w_{\alpha} = \sum_{i} \frac{g_{\alpha i}^{2}}{M_{\alpha i}^{4}} \ge 0$$

With $\vec{g}_{1} = (1, -1), \quad \vec{g}_{2} = (-3, -1),$
 $\vec{g}_{3} = (-1, 0), \quad \vec{g}_{4} = (0, -1).$

State	Spin	Charge	$\mathcal{L}_{ ext{int}}$	ER	\vec{C}
\mathcal{B}_1	1	1_1	$\mathcal{B}_{1}^{\mu}(ar{l}^{c}i\overleftrightarrow{D}_{\mu}l)$	✓	$ec{g}_1$
Ξ_1	0	3_1	$\Xi^I_1(ar l^c au^I l)$	X	$ec{g}_2$
${\mathcal B}$	1	1_0	${\cal B}^{\mu}(ar{l}\gamma_{\mu}l)$	✓	$ec{g}_3$
${\mathcal W}$	1	3_0	$\mathcal{W}^{I\mu}(ar{l}\gamma_\mu au^I l)$	X	$ec{g}_4$

 \overline{g}_3 0.0 -0.1 -0.2 $-0.3 \vec{g}_2 / 2$ -0.4 -0.5 \overline{g}_4 -0.6 -0.8 -0.6 -0.4 -0.2 0.0 0.2 0.4

Solution space of (w_1, w_2, w_3, w_4) $w_1 \ge 0, w_3 \ge 0,$ $w_2 = -\frac{2}{3}C_1 + \frac{1}{3}w_1 - \frac{1}{3}w_3 \ge 0,$ $w_4 = \frac{2}{3}C_1 - 2C_2 - \frac{4}{3}w_1 + \frac{1}{3}w_3 \ge 0$

✦ There are C values where the solution is unique (the ERs).

$$\vec{C} \propto (-1,0)$$
: $w_2 = w_3 = w_4 = 0$; $\vec{C} \propto (1,-1)$: $w_1 = w_2 = w_4 = 0$.

There are C values where the solution is unique (the ERs).

$$\vec{C} \propto (-1,0)$$
: $w_2 = w_3 = w_4 = 0$; $\vec{C} \propto (1,-1)$: $w_1 = w_2 = w_4 = 0$.

- ✤ If C=0, all generators (w's) vanish.
 - ◆ This means that an ideal measurement of dim-8 can rule out all BSM.

There are C values where the solution is unique (the ERs).

 $\vec{C} \propto (-1,0)$: $w_2 = w_3 = w_4 = 0$; $\vec{C} \propto (1,-1)$: $w_1 = w_2 = w_4 = 0$.

- ✦ If C=0, all generators (w's) vanish.
 - ◆ This means that an ideal measurement of dim-8 can rule out all BSM.
- The arbitrariness in solutions for \vec{w} is always finite.





There are C values where the solution is unique (the ERs).

 $\vec{C} \propto (-1,0)$: $w_2 = w_3 = w_4 = 0$; $\vec{C} \propto (1,-1)$: $w_1 = w_2 = w_4 = 0$.

- ✦ If C=0, all generators (w's) vanish.
 - This means that an ideal measurement of dim-8 can rule out all BSM.
- The arbitrariness in solutions for \vec{w} is always finite.









