

# Flavour Anomalies — Hints for BSM physics in expected and unexpected places

---

Danny van Dyk

Technische Universität München

Planck 2021

June 29th, 2021

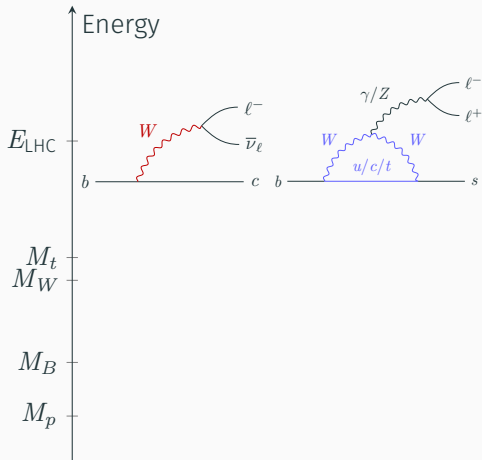
# Overview

---

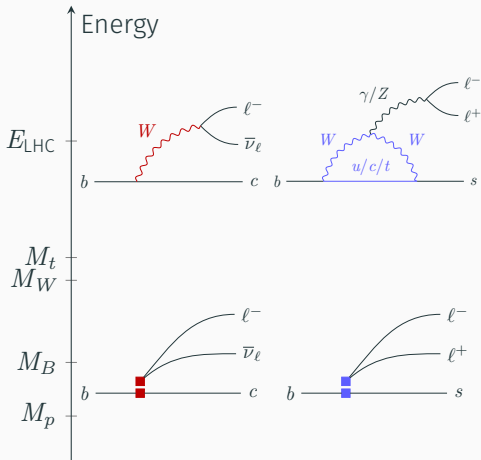
- ▶ this is **not** a comprehensive discussion of the flavour anomalies (substantial tensions shy of  $5\sigma$  individually)
  - ▶ aiming for an overview of a (subjective) selection of flavour anomalies
  - ▶ off the menu:  $(g - 2)_\mu$ , Cabibbo anomaly, ...
  - ▶ provide an idea of current status of and complexity behind the flavour anomalies
  
- ▶ concentrating on longstanding  $b$  anomalies
  - $b \rightarrow c\tau^-\bar{\nu}$  driven by BaBar '12 & LHCb '15&'18 measurements
  - $b \rightarrow s\mu^+\mu^-$  driven by LHCb '13...'21 analyses (& consistent with ATLAS, Belle, CMS)
  
- ▶ more in-depth discussions Wednesday afternoon
  - ▶  $B$  anomalies at LHCb M. Patel
  - ▶ Belle (II) status and prospects Th. Kuhr
  - ▶  $(g - 2)_\mu$  A. Schreckenberger
  - ▶ BSM interpretation M. Blanke

- ▶ theory predictions for  $b$  decays require an elaborate framework
- ▶ multiscale problem:  $m_t, m_W, m_b, \Lambda_{\text{had}}$
- ▶ divide and conquer
  - ▶ introduce weak effective theory (WET) to separate  $m_t, m_W$  from other scales  $m_b, \Lambda_{\text{had}}$
  - ▶ use renormalization group equations to understand WET at low scale  $\simeq m_b$
  - ▶ compute hadronic matrix elements
    - ▶ from lattice QCD (if possible)
    - ▶ in power expansion of  $\Lambda_{\text{had}}/m_b$  using HQET & SCET
    - ▶ in QCD sum rules

- ▶ low-energy description of the SM and BSM models
- ▶ removes  $W$  and  $t, Z$  fields



- ▶ low-energy description of the SM and BSM models
- ▶ removes  $W$  and  $t, Z$  fields
- ▶ introduces dim-6 effective operators
  - ▶ dim-8 suppressed by  $m_b^2/m_W^2 \sim 0.4\%$



$$\mathcal{L}^{\text{eff}} = \sum_i C_i \times [\bar{s} \Gamma_i b] \times [\bar{\ell} \tilde{\Gamma}_i \nu] + \sum_j C_j \times [\bar{s} \Gamma_j b] \times [\bar{\ell} \tilde{\Gamma}_j \ell]$$

$$b \rightarrow c\tau^-\bar{\nu}$$

- ▶ 10 operators per lepton flavour
- ▶ reduces to 5 if left-handed neutrinos assumed
  - ▶ very manageable in fits

$$b \rightarrow s\mu^+\mu^-$$

- ▶ 10  $b \rightarrow s\ell\ell$  operators per lepton flavour
- ▶ additional operators required for consistent descr. at  $O(\alpha_e)$
- ▶  $b \rightarrow s\{\gamma, g, \bar{q}q\}$  can all contribute to  $b \rightarrow s\ell^+\ell^-$  processes
  - ▶  $b \rightarrow s\bar{q}q$  operators are presently not varied in  $b \rightarrow s\mu^+\mu^-$  fits

To probe BSM physics, we need accurate knowledge of SM contributions!

$$b \rightarrow c\tau^-\bar{\nu}$$

- ▶ matching at tree-level
- ▶ only one non-zero coefficient
- ▶ no QCD-induced scale evolution
- ▶ e.m. radiative corrections under control [A. Sirlin '90]

$$b \rightarrow s\mu^+\mu^-$$

- ▶ matching starts at one-loop [Adel,Yao hep-ph/9308349]
- ▶ QCD-induced scale dependence
- ▶ NNLO QCD matching [Greub et al. hep-ph/9703349]  
[Bobeth et al. hep-ph/9910220]
- ▶ partial NNLL evolution [Chetyrkin et al. hep-ph/9612313]  
[Bobeth et al. hep-ph/0312090]  
[Gorbahn,Haisch hep-ph/0411071]  
[Gorbahn et al. hep-ph/0504194]



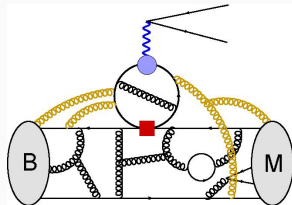
- ▶ working dominantly to leading order in  $\alpha_e$
- ⇒ matrix elements of semileptonic operators factorize
- ▶ hadronic matrix elements are discussed in terms of scalar-valued **hadronic form factors**

$b \rightarrow c\tau^-\bar{\nu}$  &  $b \rightarrow s\mu^+\mu^-$

- ▶ number of indep. form factors depends on hadrons involved
- ▶ **3** for  $P \rightarrow Pl\ell'$   
e.g.  $\bar{B} \rightarrow D\tau^-\bar{\nu}$  or  $B \rightarrow K\mu^+\mu^-$
- ▶ **7** for  $P \rightarrow Vll'$   
e.g.  $\bar{B} \rightarrow D^*\tau^-\bar{\nu}$  or  $B \rightarrow K^*\mu^+\mu^-$
- ▶ **≥ 10** for baryonic processes

$b \rightarrow s\mu^+\mu^-$  only

- ▶ non-local contributions pollute local  $b \rightarrow s\mu^+\mu^-$  interactions
- ▶ dominant: intermediate on-shell vector  $\bar{c}c$

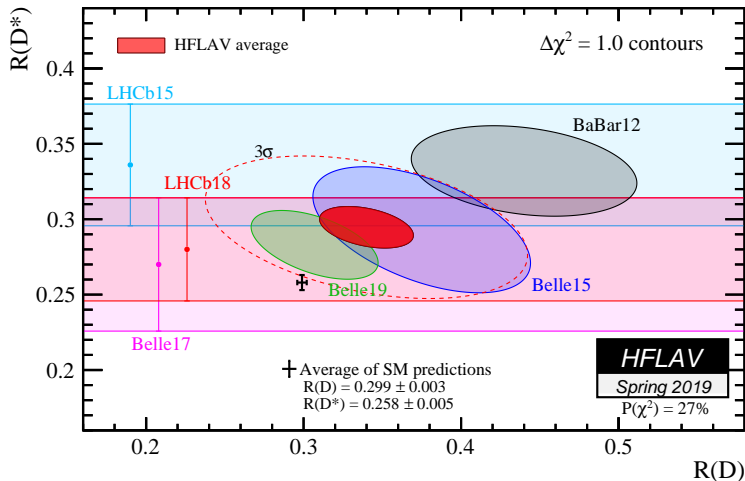


$$b \rightarrow c\tau^{-}\bar{\mu}$$

---

## Test of Lepton-Flavour Universality (LFU)

[HFLAV 1909.12524]



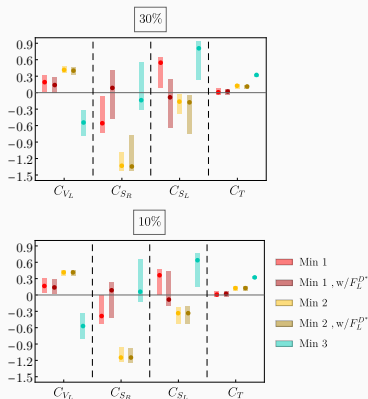
why is the SM prediction so precise?

- ▶ heavy-quark expansion very effective if **both** quark flavours  $b$  and  $c$  are heavy [Isgur,Wise '89]
- ▶ simultaneous expansion in  $\alpha_s$  up to NLO and  $\Lambda_{\text{had}}/m_{b,c}$  up to 2nd power [Falk,Neubert hep-ph/9209268 & hep-ph/9209269]
- ▶ precise lattice QCD results for  $\bar{B}_{(s)} \rightarrow D_{(s)}$  form factors in large parts of phase space [FNAL/MILC 1503.07237; HPQCD 1505.03925]
- ▶ first lattice QCD results for  $\bar{B}_{(s)} \rightarrow D_{(s)}$  form factor [HPQCD 2105.11433; FNAL/MILC 2105.14019]
- ▶ consistent picture of **all theory inputs** to NLO & 2nd power [Bordone et al. 1908.09398 & 1912.09335]

global fit to  $b \rightarrow c\tau^-\bar{\nu}$  data

- ▶ measurements
  - ▶  $R_D, R_{D^*}$
  - ▶  $D^*$  polarisation (optional)
- ▶ assumptions:
  - ▶  $\Gamma(B_c^- \rightarrow \tau^-\bar{\nu})/\Gamma(B_c^-) < X\%$
  - ▶ semi-tau. width cannot dominate  $\Gamma(B_c^-)$  [Alonso et al. 1611.06676]
  - ▶ no r.h.  $b \rightarrow c$  vector current, since it is lepton-flavour universal [Cata,Jung 1505.05804]

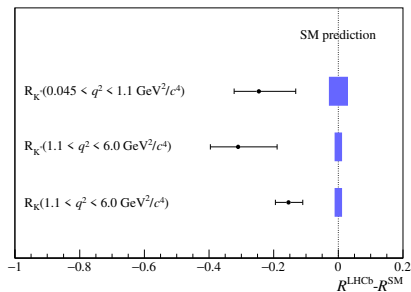
[Murgui et al. 1904.09311]



- ▶ global fits need updating, due to new measurements and predictions
  - ▶  $R_{J/\psi}$  from semileptonic  $B_c$  decays
- ▶ LHCb is working hard on new measurements
  - ▶  $R_D$  / combined  $R_D$ & $R_{D^*}$  measurements
  - ▶  $R_{\Lambda_c}$  will test complementary WET constraints [Böer et al. 1907.12554]
- ▶ Belle II in excellent position to contribute in near future
- ▶ a lot of work before LFU violation can be claimed!
  - ▶ anomalies tend to vanish
  - ▶ theory under good control; need more measurements!

$$b \rightarrow s\mu^+\mu^-$$

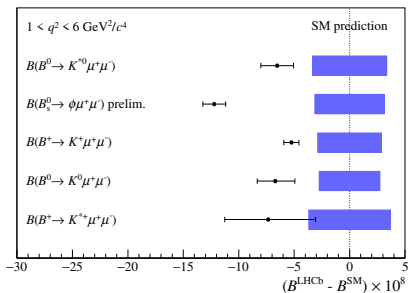
---



- ▶ SM predictions  $\sim 1$  if  $1 \text{ GeV}^2 \leq q^2 = m_{\ell\ell}^2 \leq 6 \text{ GeV}^2$
- ▶ LHCb meas. consistently below, with  $\geq 3\sigma$  tensions in  $R_K$   
see talk by M. Patel

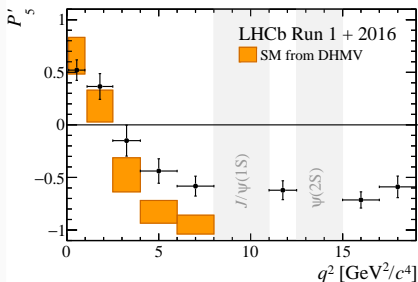
$$R_X \equiv \frac{\langle \mathcal{B}(B \rightarrow X\mu^+\mu^-) \rangle_{1,6}}{\langle \mathcal{B}(B \rightarrow Xe^+e^-) \rangle_{1,6}}$$





$$\langle \mathcal{B}(B \rightarrow X \mu^+ \mu^-) \rangle_{1,6}$$

- ▶ SM predictions  $\sim 1$  if  $1 \text{ GeV}^2 \leq q^2 = m_{\ell\ell}^2 \leq 6 \text{ GeV}^2$
  - ▶ LHCb meas. consistently below, with  $\geq 3\sigma$  tensions in  $R_K$   
see talk by M. Patel
- 
- ▶ larger th. uncertainties for  $\mathcal{B}$
  - ▶ muonic  $\mathcal{B}$  systematically below SM pred.



representing full kinematic distribution of  $B \rightarrow K^*(\rightarrow K\pi)\mu^+\mu^-$

- ▶ SM predictions  $\sim 1$  if  $1 \text{ GeV}^2 \leq q^2 = m_{\ell\ell}^2 \leq 6 \text{ GeV}^2$
- ▶ LHCb meas. consistently below, with  $\geq 3\sigma$  tensions in  $R_K$   
see talk by M. Patel

---

- ▶ larger th. uncertainties for  $\mathcal{B}$
- ▶ muonic  $\mathcal{B}$  systematically below SM pred.

---

- ▶ angular observables compared in bins of  $q^2$
- ▶ deviations significant and consistent with  $R_X, \mathcal{B}$

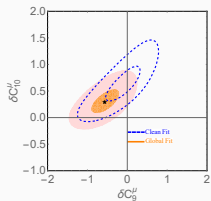
- ▶ to LO in  $\alpha_e$ , SM prediction differs from 1 only due to  $4m_\mu^2/q^2$  factors
  - ▶ various groups agree on predictions
  
- ▶ radiative corrections
  - ▶ semi-analytic calculation of integrated  $R_K$  agree with *PHOTOS*-based simulation [Bordone,Isidori,Pattori 1605.07633]
  - ▶ double-differential distribution can suffer from large correction, requires more careful treatment compatible with current best practice [Isidori,Nabeebaccus,Zwicky 2009.00929]
  - ▶ no structure-dependent studies yet for rare semileptonic decays, but important insights gained from QED factorization studies for  $B \rightarrow K\pi$  decays [Beneke,Bobeth,Szafron 1908.07011]  
[Beneke,Böer,Toelstede,Vos 2008.10615]

- ▶ large uncertainties, since form factors contribute fully!
- ▶ largest deviations seen at small values of  $1 \text{ GeV}^2 \leq q^2 \leq 6 \text{ GeV}^2$ 
  - ▶ current lattice QCD results limited to  $q^2 \gtrsim 12 \text{ GeV}^2$
  - ▶ current th. predictions dominated by QCD light-cone sum rules (large uncertainties)
- ▶ first attempt to account for finite width in  $K^* \rightarrow K\pi$ 

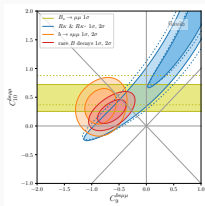
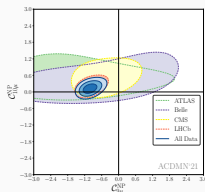
[Descotes-Genon 1908.02267]

  - ▶ SM prediction **grows** by  $\sim 20\%$ , **increasing tensions**
  - ▶ effect cancels in ratios (LFU, ang. obs.)

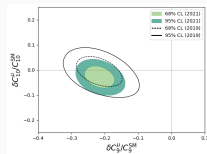
- ▶ normalization cancels hadronic form factors partially
  - ▶ theory **correlations indispensable**
  - ▶ using lattice QCD info if available, heavy-quark expansion if not
- ▶ major task: disentangle non-local contributions from WET coefficients  $C_7$  &  $C_9$
- ▶ non-local effects: using perturbative QCD at time-like momentum transfer below narrow charmonium resonances
  - ▶ a-posteriori tests seem to indicate that non-local effects are not driving the anomalies



[Geng et al. 2103.12738]

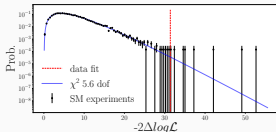

 [Altmannsh., Stangl  
2103.13370]


[Alguero++ 2104.08921]



[Hurth et al. 2104.10058]

- ▶ consistent interpretation, with scenario dependent tensions
- ▶ tension  $> 5\sigma$  for all-operator fits to all data
- ▶ tension  $\geq 4\sigma$  for fits to “clean” subset of data



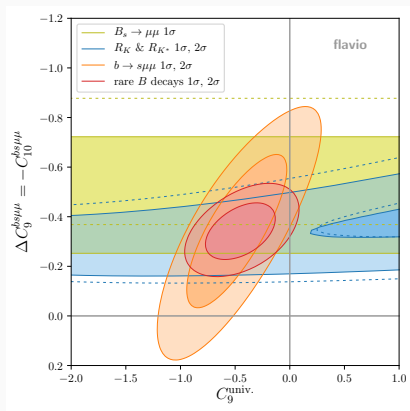
[Lancierini et al. 2104.05631]

how to determine the significance?

- ▶ fitting a few-operator scenario is not a suitable way to establish significance of a tension
  - ▶ not invariant under reparametrization
- ▶ accounting for all operators similar to **Look-Elsewhere Effect**

[Lancierini et al. 2104.05631]

- ▶ recent conservative analysis yields **global significance** of  $3.9\sigma$ , despite large “trial factors”

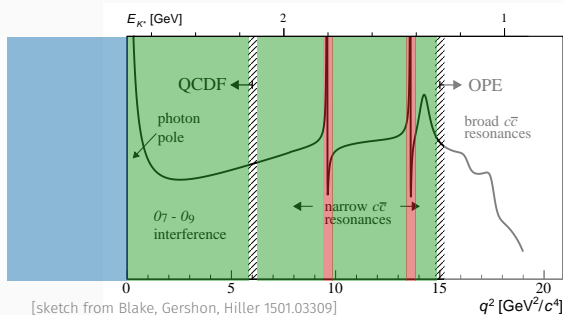


[Altmannshofer, Stangl 2103.13370]

- ▶ several groups investigate **both** LFU and LFUV contrib.
- + tension larger than in  $\mu$ -only assumption!
- LFU part sensitive to non-local form factors
- ▶ accurate interpretation requires accurate predictions of non-local form factors



parametrize non-local effects [Bobeth et al. 1707.07305; Gubernari et al. 2011.09813]



- ▶ predict non-local form factors in **timelike** region
- ▶ **extrapolate to spacelike** region
- ▶ account for experimental measurements of **hadronic decays**
- ▶ global fit based on recent **parametrization** in prep.

- ▶ LFU observables: th. very clean; e.m. radiative contributions seem under control
  - ▶ confirmation seems to require measurements independent of LHCb ( $\rightarrow$  Belle (II), ATLAS, CMS)
- 

- ▶ overwhelming number of measurements for other observables, in a variety of  $q^2$  and across LHC experiments and BaBar/Belle
- ▶  $\mathcal{B}$  & angular observables require further th. developments
  - ▶ theory uncertainties currently limiting factor in fit significances!

$$b \rightarrow c\bar{u}\{d, s\}$$

---

- ▶ weak hadronic  $B$  decays notoriously difficult to predict
- ▶ exception:  $\bar{B}^0 \rightarrow D^+ K^-$  and  $\bar{B}_s \rightarrow D_s^+ \pi^-$  [Beneke et al. hep-ph/0006124]
  - ▶ four different quark flavours make this manageable (colour-allowed tree decay)
  - ▶  $\bar{B}_{(s)} \rightarrow D_{(s)}$  form factors from lattice QCD at high precision
  - ▶ in the SM no  $\Lambda_{\text{had}}/m_b$  absent; corrections start at  $\Lambda_{\text{had}}^2/m_b^2$
- ▶ ratio of  $\mathcal{B}$ s is sensitive to  $f_s/f_d$ : ratio of  $B_s$  production over  $B^0$  production
  - ▶ important input for measurements of  $\bar{B}_s \rightarrow \mu^+ \mu^-$ , which enters global  $b \rightarrow s \mu^+ \mu^-$  fits

- ▶ ratios of  $\mathcal{B}$  with identical flavour quantum numbers agree well with measurements

- ▶ however: absolute  $\mathcal{B}$  show tensions in excess of  $4\sigma$

[Bordone et al. 2007:10338; Cai et al. 2103.04138]

- ▶ genuine puzzle; all explanations **unlikely!**
  - measurements biased toward smaller results by  $\sim -30\%$
  - color- and doubly power-suppressed corrections cause  $\sim -20\%$  shift at amplitude level
  - $\sim -20\%$  modification of four-quark four-flavour tree-level operators in the WET

## Conclusion

---

- ▶ longstanding  $b \rightarrow s\mu^+\mu^-$  anomalies make us #cautiouslyexcited
  - ▶ significances of the  $b \rightarrow s\mu^+\mu^-$  anomalies have been increasing with growing data sets
  - ▶ LFU observables are limited by data set
  - ▶ non-LFU observables are limited by theory
    - ▶ non-local form factors single-largest syst. th. uncertainty
- ▶  $b \rightarrow c\tau^-\bar{\nu}$  anomalies seem stable
  - ▶ recent lattice QCD analyses (HPQCD, FNAL/MILC) pave road toward high-precision theory-only predictions for  $\bar{B} \rightarrow D^*\tau^-\bar{\nu}$
  - ▶ looking forward to complementary measurements by LHC experiments and Belle II
- ▶ interesting puzzle in hadronic  $b \rightarrow c\bar{u}\{d, s\}$  decays