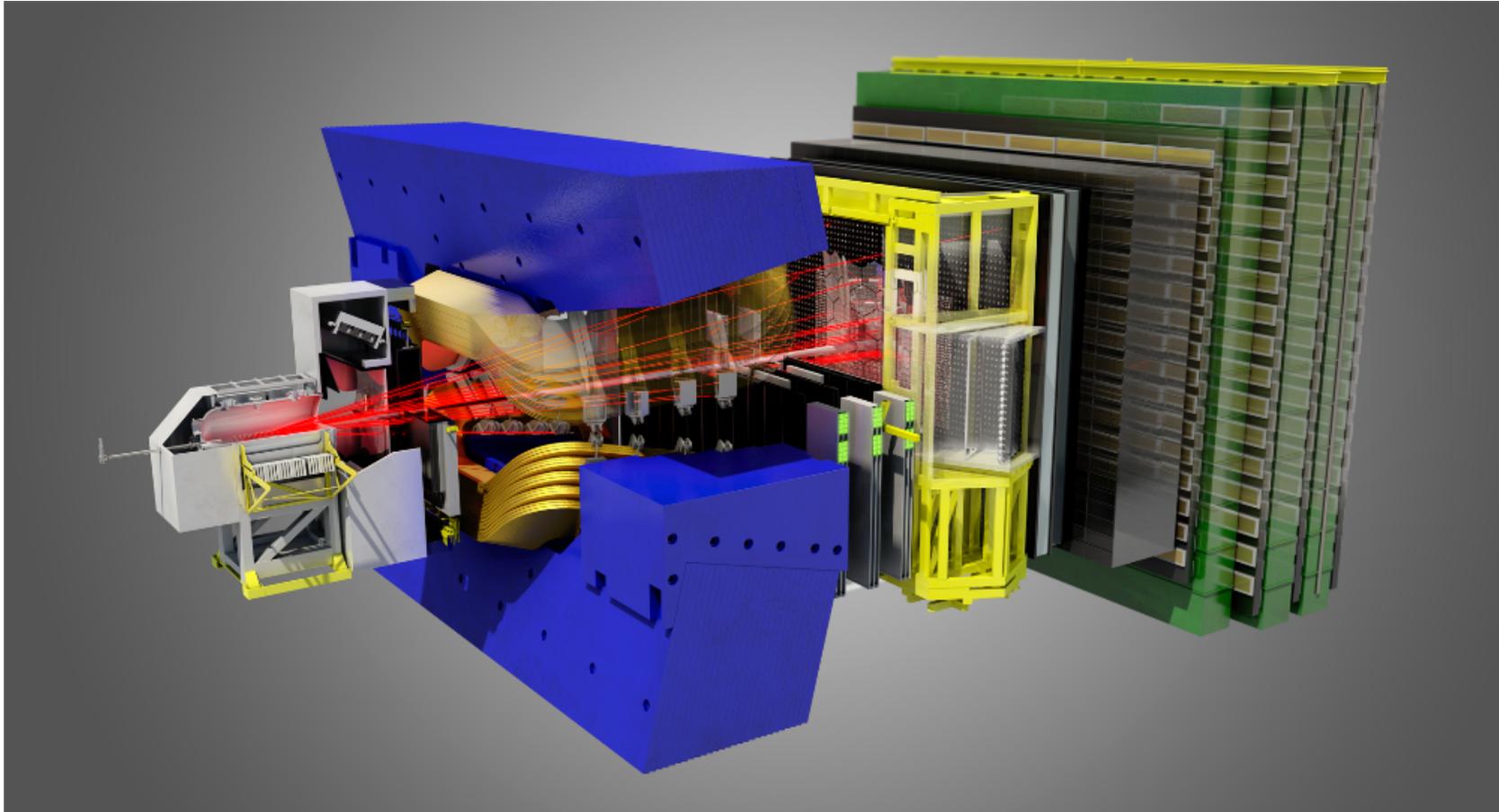


The B-anomalies



Mitesh Patel (Imperial College London)

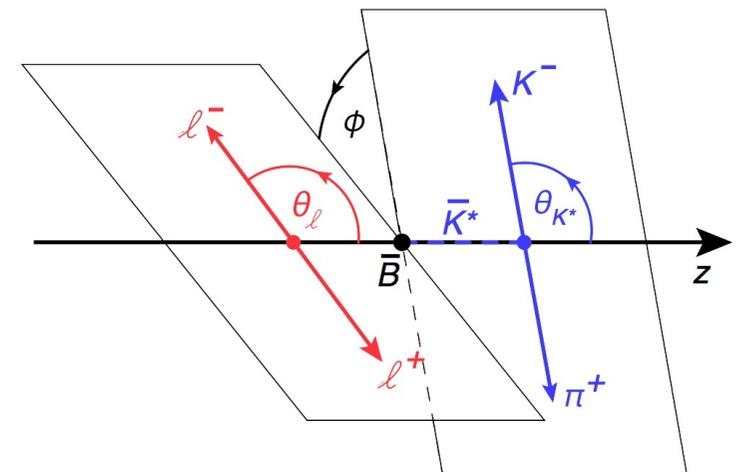
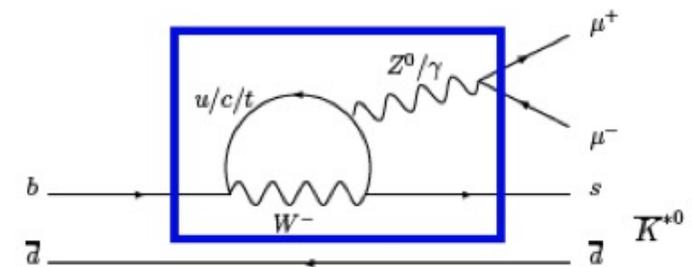
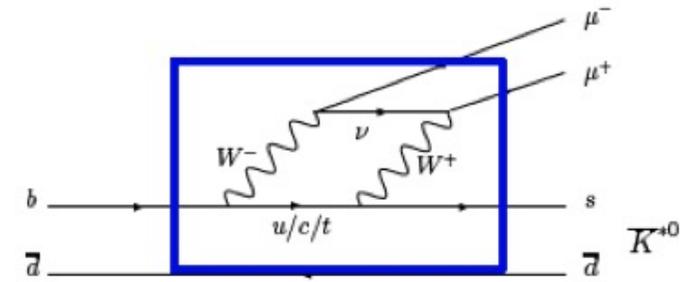
Planck Conference, 30th June 2021

Introduction

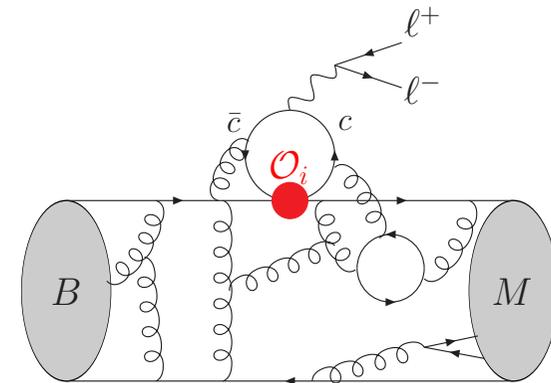
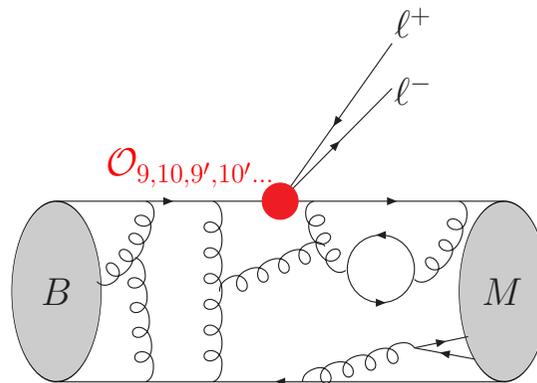
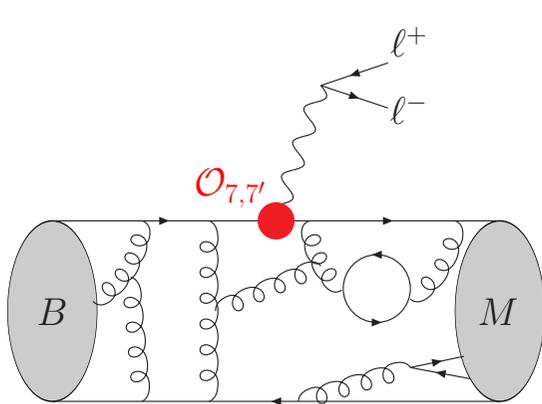
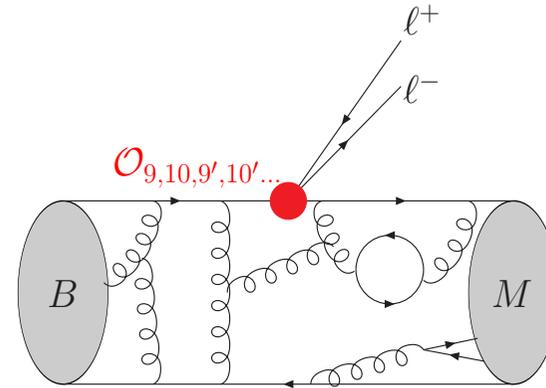
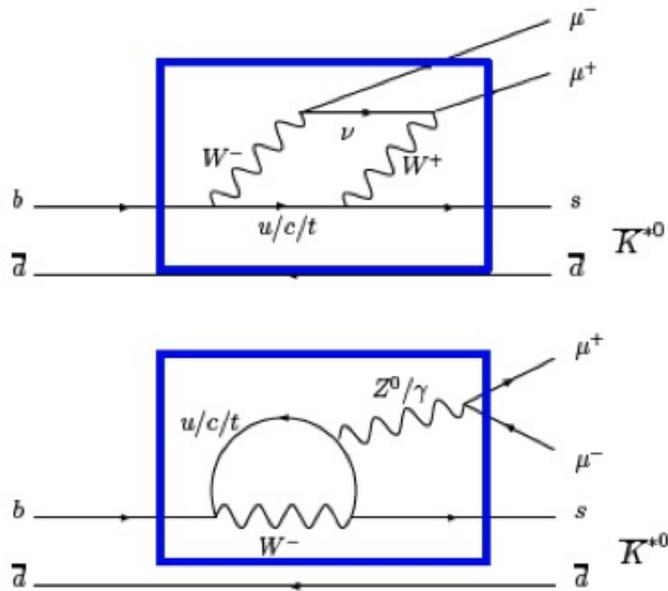
- Interesting set of anomalies have appeared in measurements of **B** decays :
 - Branching fractions of several $b \rightarrow (s)ll$ processes
 - Angular observables in $B^0 \rightarrow K^{*0} \mu \mu$
 - Lepton-flavour universality ratios in $b \rightarrow cl\nu$ and $b \rightarrow sll$ decays
- Extent of discrepancies depends on some theoretical issues
 - Will try and connect with these issues as I go through but details in D. Van Dyk's talk from yesterday and M.Blanke later today
- **B**-decays of interest when well-calculable process, sensitive to new physics can be measured...

$b \rightarrow sll$ decays

- $b \rightarrow sll$ decays involve flavour changing neutral currents \rightarrow loop process
- Best studied decay $B^0 \rightarrow K^{*0} \mu \mu$
- Large number of observables: BF , A_{CP} and angular observables – dynamics can be described by three angles (θ_l , θ_K , ϕ) and di- μ invariant mass squared, q^2



Hadronic Effects



Form factors (local) Form factors (local)
(non-local)

Charm loop

Theoretical Foundation

- The **Operator Product Expansion** is the theoretical tool that underpins rare decay measurements – rewrite SM Lagrangian as :

$$\mathcal{L} = \sum_i C_i O_i$$

- “Wilson Coefficients” C_i
 - Describe the short distance part, can compute **perturbatively**
 - Integrate out the heavy particles that can't resolve at some scale μ
- “Operators” O_i
 - Describe the long distance, **non-perturbative** part, particles below scale μ
 - Account for effects of strong interactions, difficult to calculate reliably

Form a complete basis – can put in all operators from NP/SM

$B^0 \rightarrow K^{*0} \mu\mu$ C_i and form factors

- Amplitudes that describe the $B^0 \rightarrow K^{*0} \mu\mu$ decay involve
 - The (effective) **Wilson Coefficients**: C_7^{eff} (photon), C_9^{eff} (vector), C_{10}^{eff} (axial-vector)
 - Seven (!) **form factors** – primary origin of theoretical uncertainties

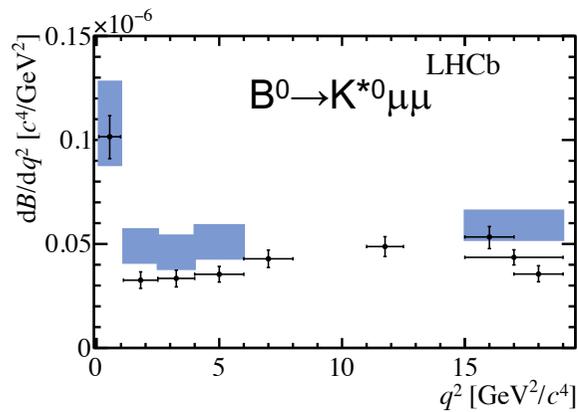
$$\begin{aligned}
 A_{\perp}^{L(R)} &= N\sqrt{2}\lambda \left\{ [(C_9^{\text{eff}} + C_9^{\prime\text{eff}}) \mp (C_{10}^{\text{eff}} + C_{10}^{\prime\text{eff}})] \frac{V(q^2)}{m_B + m_{K^*}} + \frac{2m_b}{q^2} (C_7^{\text{eff}} + C_7^{\prime\text{eff}}) T_1(q^2) \right\} \\
 A_{\parallel}^{L(R)} &= -N\sqrt{2}(m_B^2 - m_{K^*}^2) \left\{ [(C_9^{\text{eff}} - C_9^{\prime\text{eff}}) \mp (C_{10}^{\text{eff}} - C_{10}^{\prime\text{eff}})] \frac{A_1(q^2)}{m_B - m_{K^*}} + \frac{2m_b}{q^2} (C_7^{\text{eff}} - C_7^{\prime\text{eff}}) T_2(q^2) \right\} \\
 A_0^{L(R)} &= -\frac{N}{2m_{K^*}\sqrt{q^2}} \left\{ [(C_9^{\text{eff}} - C_9^{\prime\text{eff}}) \mp (C_{10}^{\text{eff}} - C_{10}^{\prime\text{eff}})] [(m_B^2 - m_{K^*}^2 - q^2)(m_B + m_{K^*}) A_1(q^2) - \lambda \frac{A_2(q^2)}{m_B + m_{K^*}}] \right. \\
 &\quad \left. + 2m_b(C_7^{\text{eff}} - C_7^{\prime\text{eff}}) [(m_B^2 + 3m_{K^*}^2 - q^2) T_2(q^2) - \frac{\lambda}{m_B^2 - m_{K^*}^2} T_3(q^2)] \right\}
 \end{aligned}$$

→ BFs have relatively large theoretical uncertainties

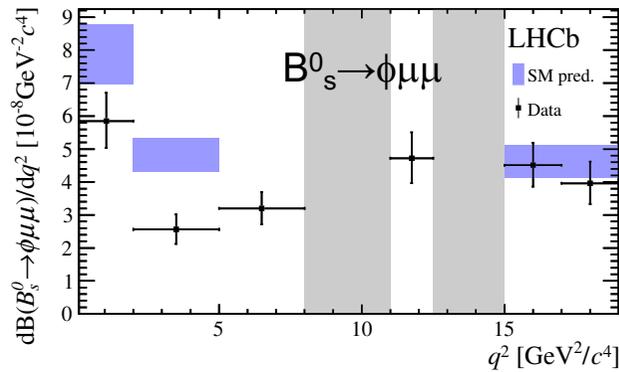
$b \rightarrow sll$ branching fractions

$b \rightarrow sll$ branching fractions

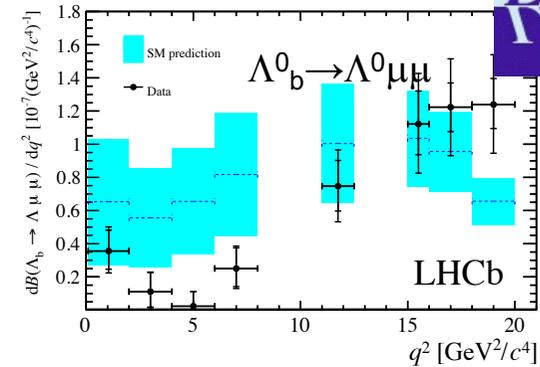
- Several $b \rightarrow s\mu\mu$ branching fractions measured at LHCb show some tension with predictions, particularly at low q^2



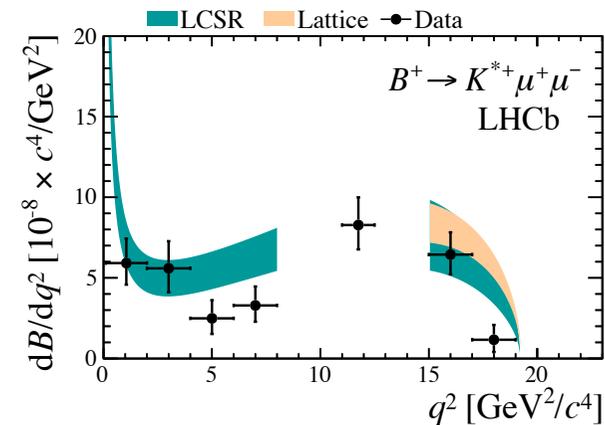
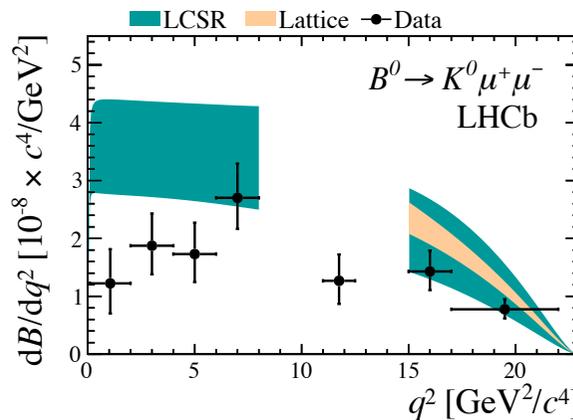
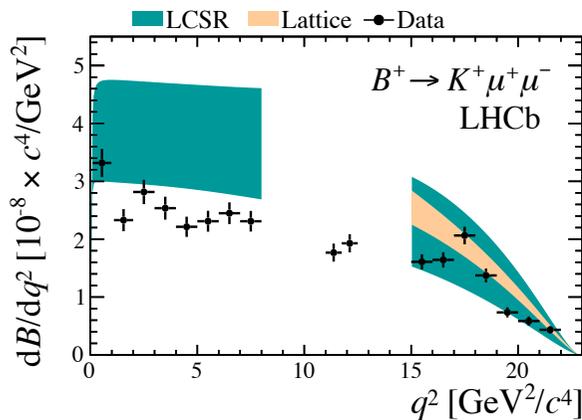
[JHEP 11 (2016) 047,
JHEP 04 (2017) 142]



[JHEP 09 (2015) 179]



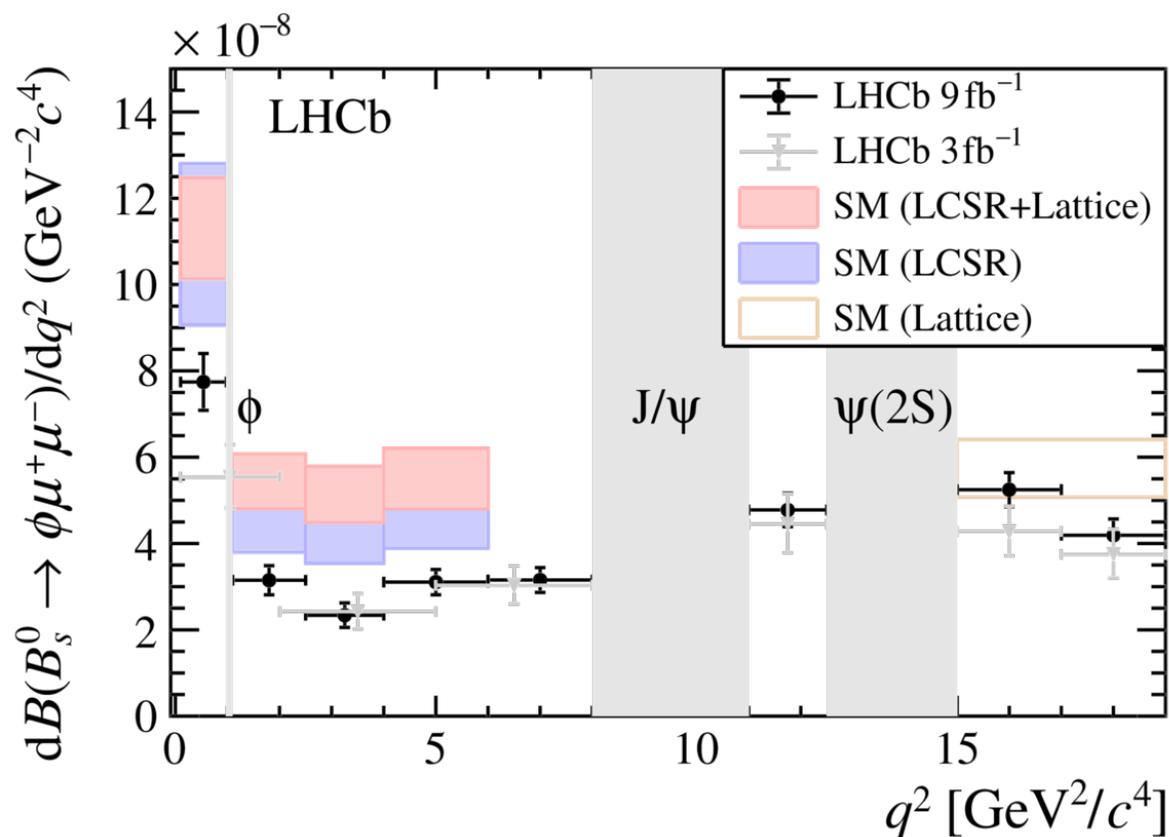
[JHEP 06 (2015) 115]



[JHEP 06 (2014) 133]

New $BF(B_s \rightarrow \phi \mu \mu)$ update

- LHCb recently presented updated results for $BF(B_s \rightarrow \phi \mu \mu)$:



[[arXiv:2105.14007](https://arxiv.org/abs/2105.14007)]

Run 1 result:

[JHEP 09 (2015) 179], [[arXiv:2103.06810](https://arxiv.org/abs/2103.06810)]

SM LCSR:

[Bharucha et al., JHEP 08 (2016) 098],

[Altmannshofer et al., EPJ C 75 (2015) 382],

[Straub, [arXiv:1810.08132](https://arxiv.org/abs/1810.08132)]

SM LCSR+Lattice:

+ [Horgan et al., PRL 112 (2014) 212003],

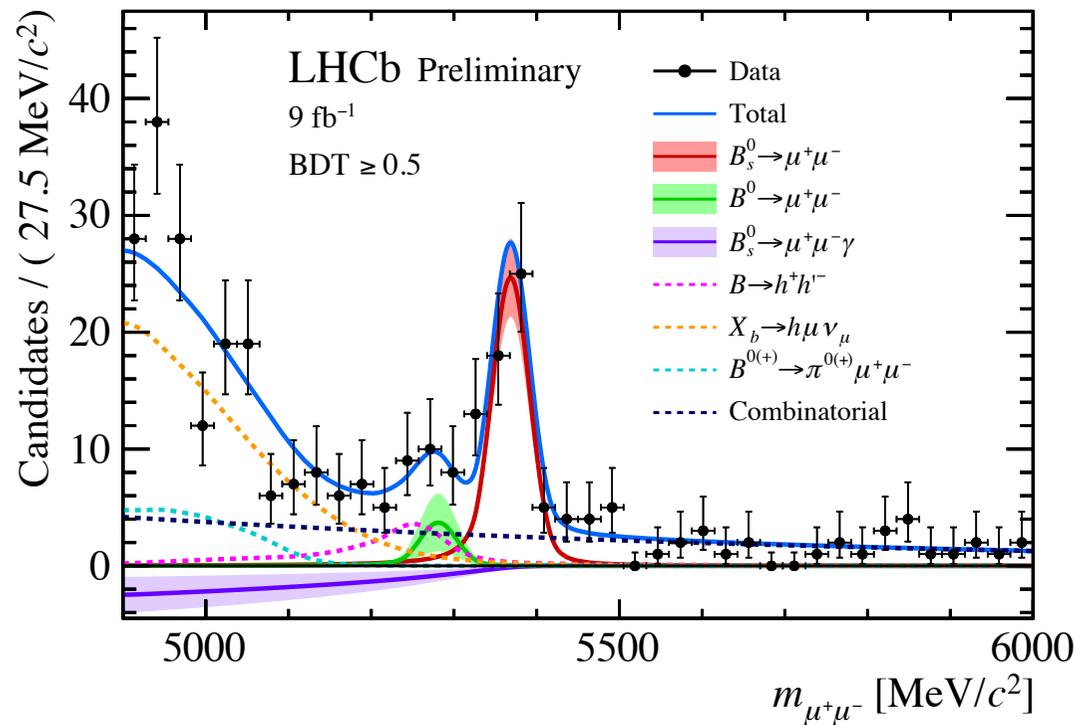
+ [Horgan et al., PoS LATTICE2014 (2015) 372]

- This 3.6σ tension with SM is not yet in the global fits to the anomalies

New $B^0 \rightarrow \mu^+ \mu^-$ measurement

[LHCb-PAPER-2021-007,8]

- LHCb search for with full Run 2 data released in March :



● $\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) = (3.09^{+0.46+0.15}_{-0.43-0.11}) \times 10^{-9}$

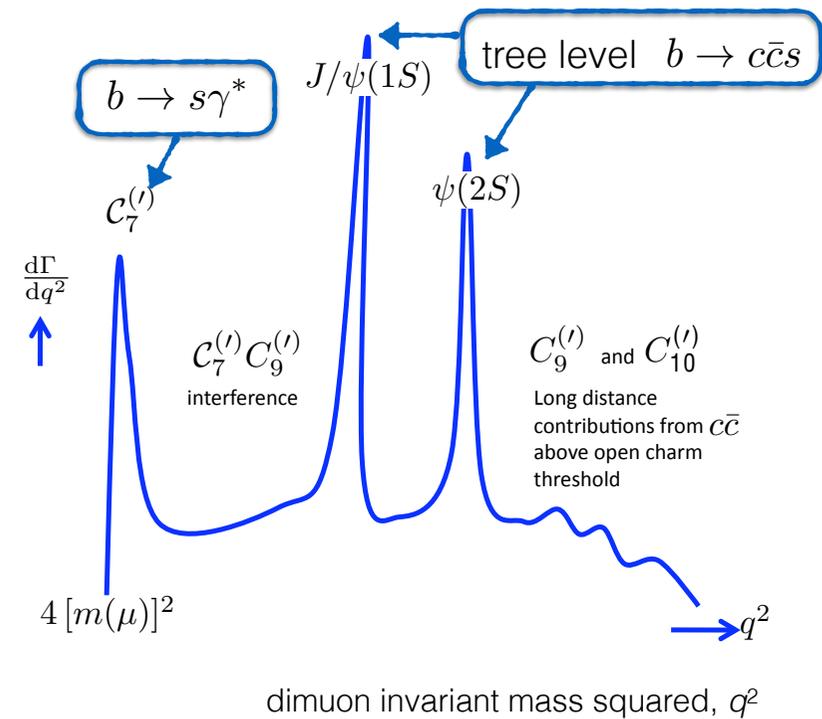
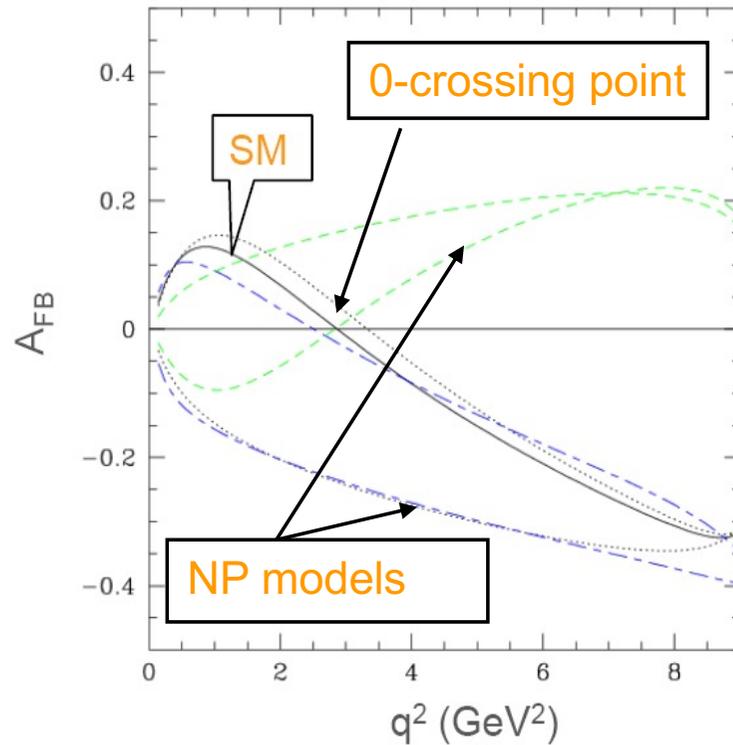
- $B^0 \rightarrow \mu^+ \mu^-$ and $B_s^0 \rightarrow \mu^+ \mu^- \gamma$ compatible with background only at 1.7σ and 1.5σ

- Combine with ATLAS, CMS data- compatible with SM at 2σ

$B^0 \rightarrow K^{*0} \mu\mu$ angular analysis

$B^0 \rightarrow K^{*0} \mu\mu$ angular analysis

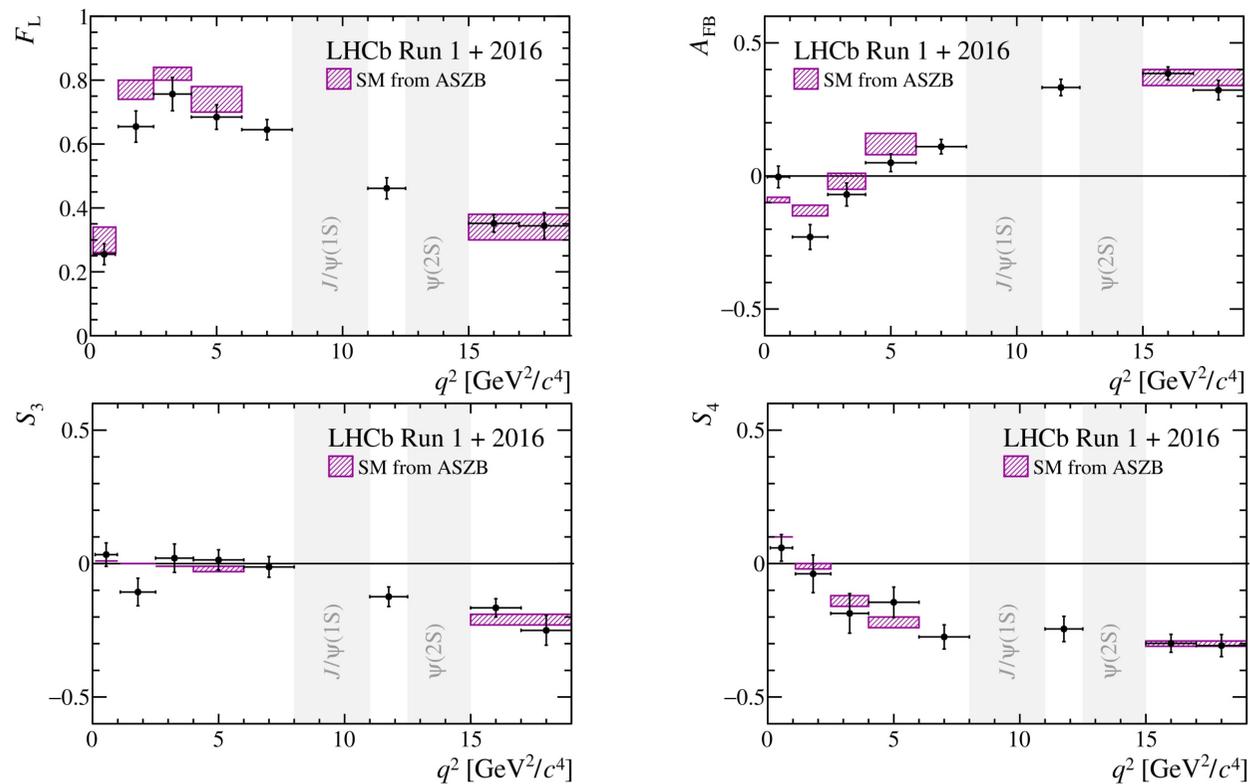
- Try to use observables where theoretical uncertainties cancel e.g. Forward-backward asymmetry A_{FB} of θ_1 distn



$B^0 \rightarrow K^{*0} \mu\mu$ angular analysis

- LHCb angular analysis of 2016 and Run I data

[PRL 125 (2020) 011802]



- Vast majority of observables in agreement with SM predns, giving some confidence in theory control of form-factors

Form-factor independent obs.

- At low and high q^2 , (leading order) relations between the various form factors allow a number of form-factor “independent” observables to be constructed
- E.g. in the region $1 < q^2 < 6 \text{ GeV}^2$, relations reduce the seven form-factors to just two – allows to form quantities like

$$P'_5 \sim \frac{\text{Re}(A_0^L A_{\perp}^{L*} - A_0^R A_{\perp}^{R*})}{\sqrt{(|A_0^L|^2 + |A_0^R|^2)(|A_{\perp}^L|^2 + |A_{\perp}^R|^2 + |A_{\parallel}^L|^2 + |A_{\parallel}^R|^2)}}$$

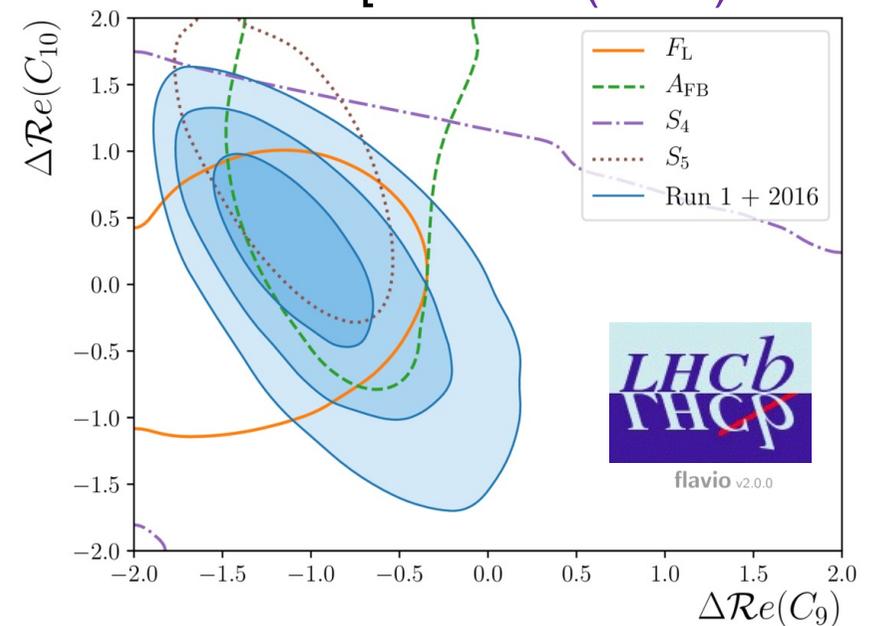
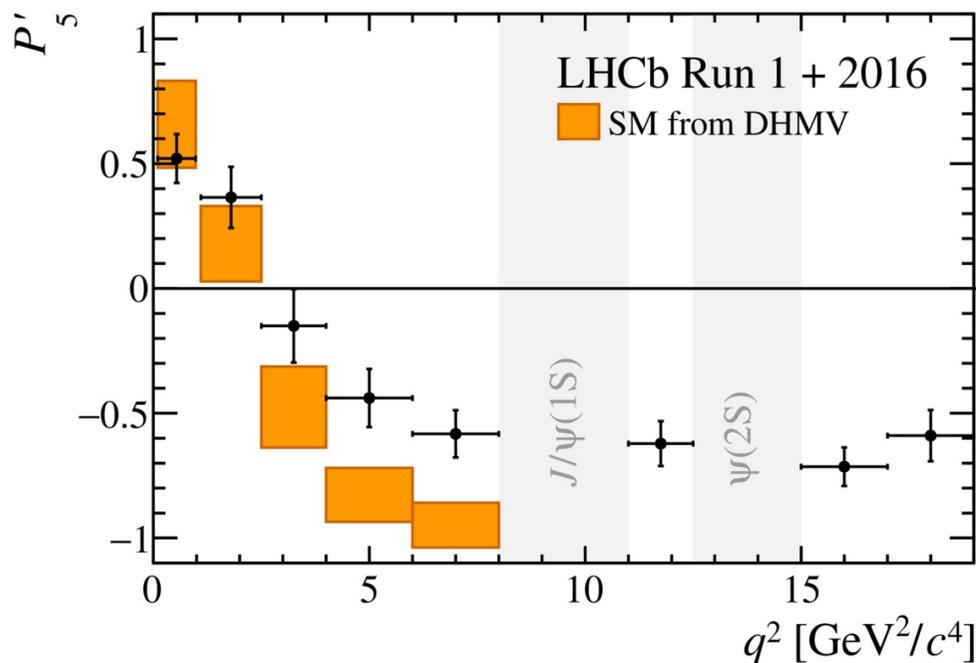
which are form-factor independent *at leading order*

- In fact, can form a complete basis ($P^{(')}$ series) in which there are six form-factor independent and two form-factor dependent observables (F_L and A_{FB})

$B^0 \rightarrow K^{*0} \mu\mu$ angular analysis

- P_5' shows significant discrepancy wrt SM prediction
- Good coherence between observables
- Tension with SM in angular analysis alone 3.3σ ... but theory treatment of intractable $c\bar{c}$ contribution?

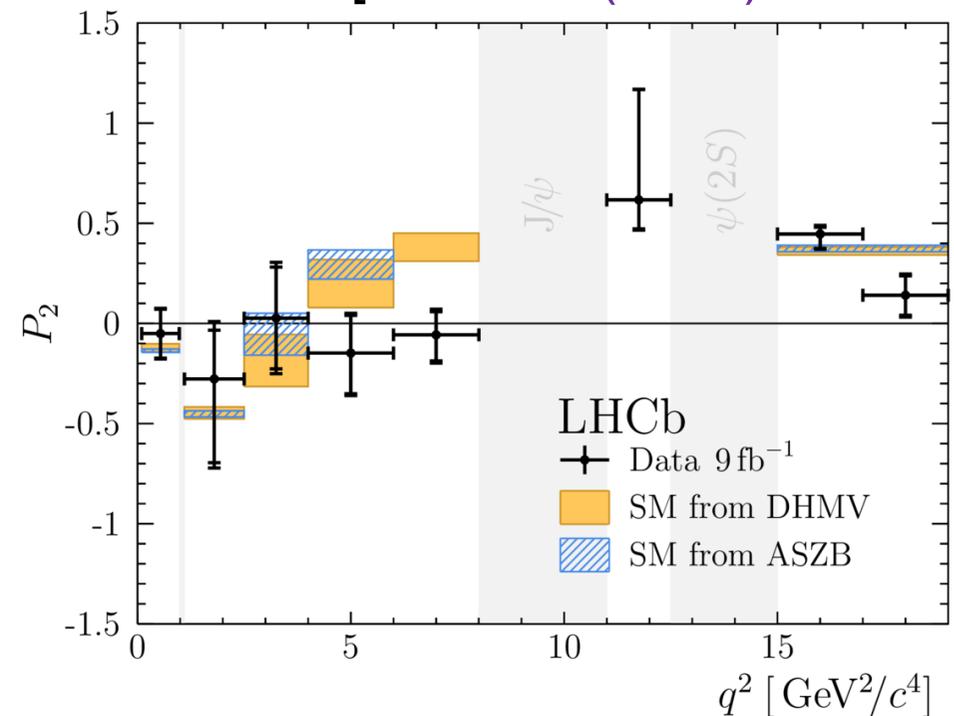
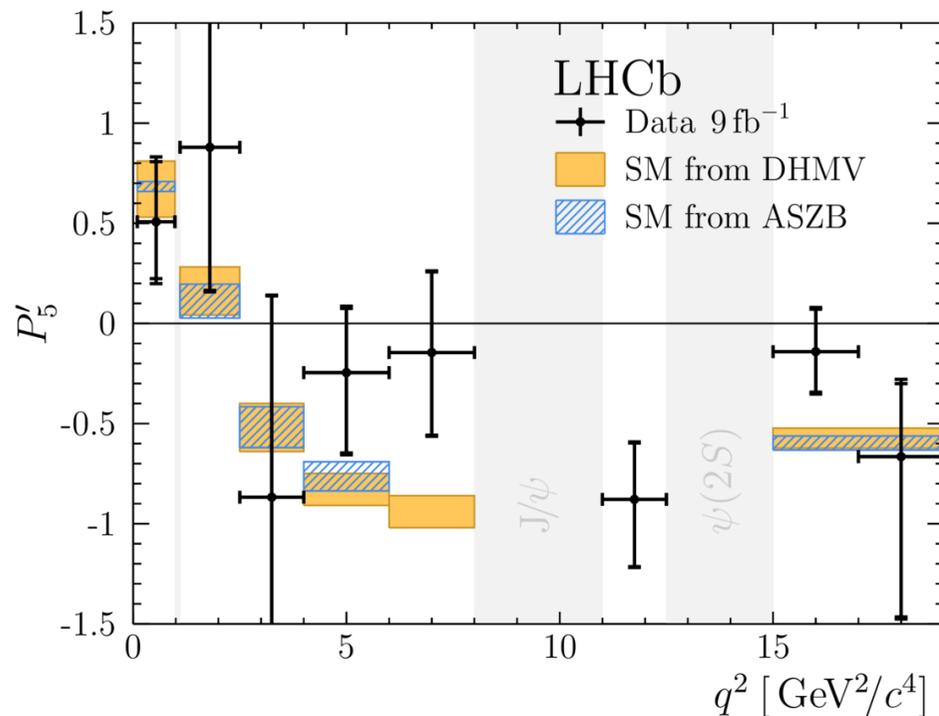
[PRL 125 (2020) 011802]



$B^+ \rightarrow K^{*+} \mu\mu$ angular analysis

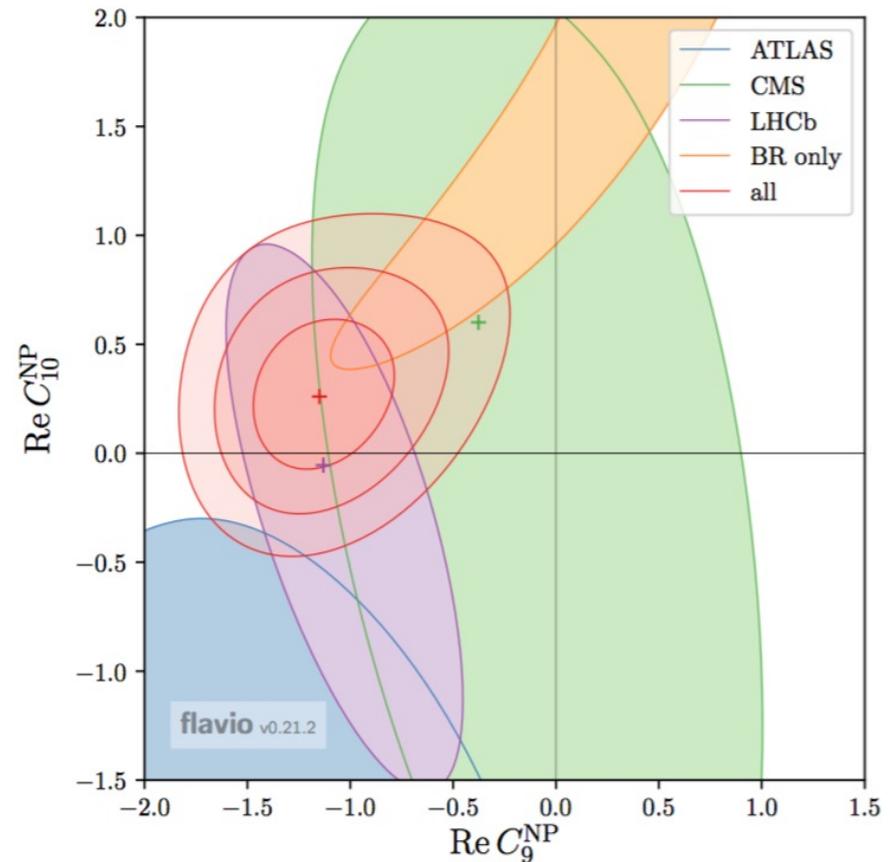
- Angular analysis now performed for analogous K^{*+} decay mode with $K^{*+} \rightarrow K_S^0 \pi^+$
- Lower statistics but message is identical – in this decay tension with SM is 3.1σ

[PRL 126 (2021) 0161802]



“Global” fits

- *Many* theory groups have interpreted results by performing fits to $b \rightarrow s \mu \mu$ data
- Consistent picture, tensions solved simultaneously by a modified vector coupling ($\Delta C_9 \neq 0$) at $>3\sigma$ but discussion of residual hadronic uncertainties (...)



Lepton Universality Ratios

Lepton Universality Ratios

- In the SM couplings of gauge bosons to leptons are independent of lepton flavour
- Branching fractions of processes with different leptons differ only by phase space and helicity-suppressed contributions

- Ratios of the form:
$$R_{K^{(*)}} := \frac{\mathcal{B}(B \rightarrow K^{(*)} \mu^+ \mu^-)}{\mathcal{B}(B \rightarrow K^{(*)} e^+ e^-)} \stackrel{\text{SM}}{\simeq} 1$$

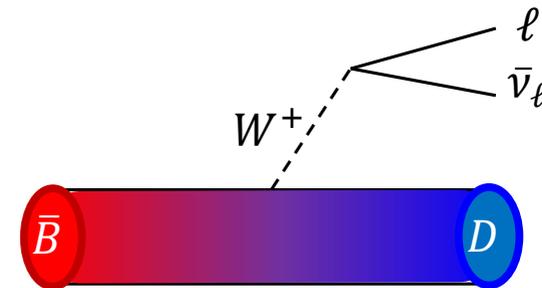
- free from QCD uncertainties affecting other observables
→ $O(10^{-4})$ uncertainty [[JHEP07 \(2007\) 040](#)]
- Up to $O(1\%)$ QED corrections [[EPJC76 \(2016\) 8,440](#)]

→ Any significant deviation is a smoking gun for New Physics

$b \rightarrow cl\nu$ LFU ratios

- A further anomaly is seen in LFU ratios in $b \rightarrow cl\nu$ decays
 - Good theoretical control due to factorisation of hadronic and leptonic parts – then theoretically pristine e.g.

$$R(D^{(*)}) \equiv \frac{\mathcal{B}(\bar{B}^0 \rightarrow D^{(*)}\tau^-\bar{\nu}_\tau)}{\mathcal{B}(\bar{B}^0 \rightarrow D^{(*)}\ell^-\bar{\nu}_\ell)}$$

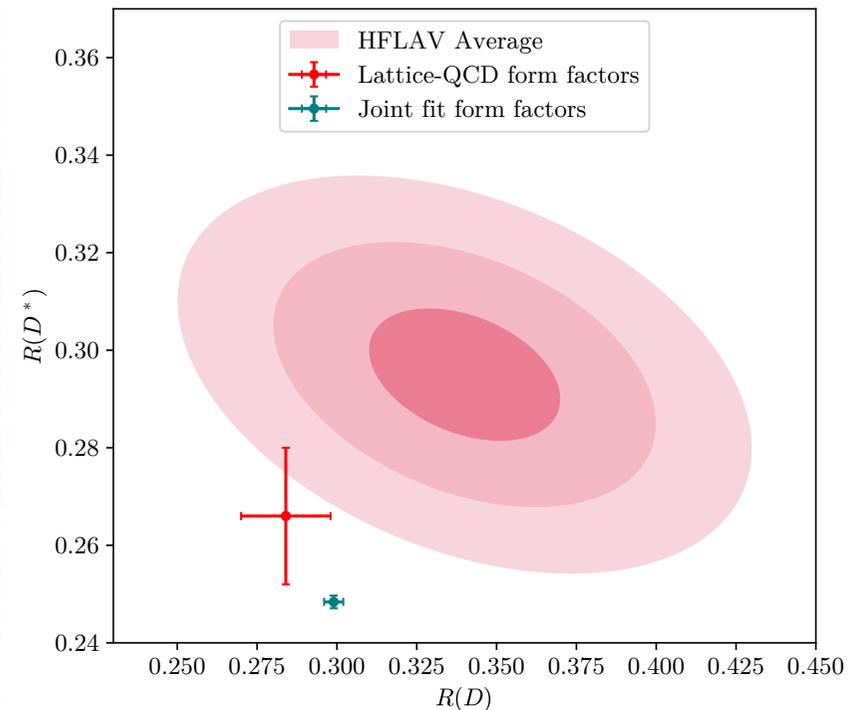
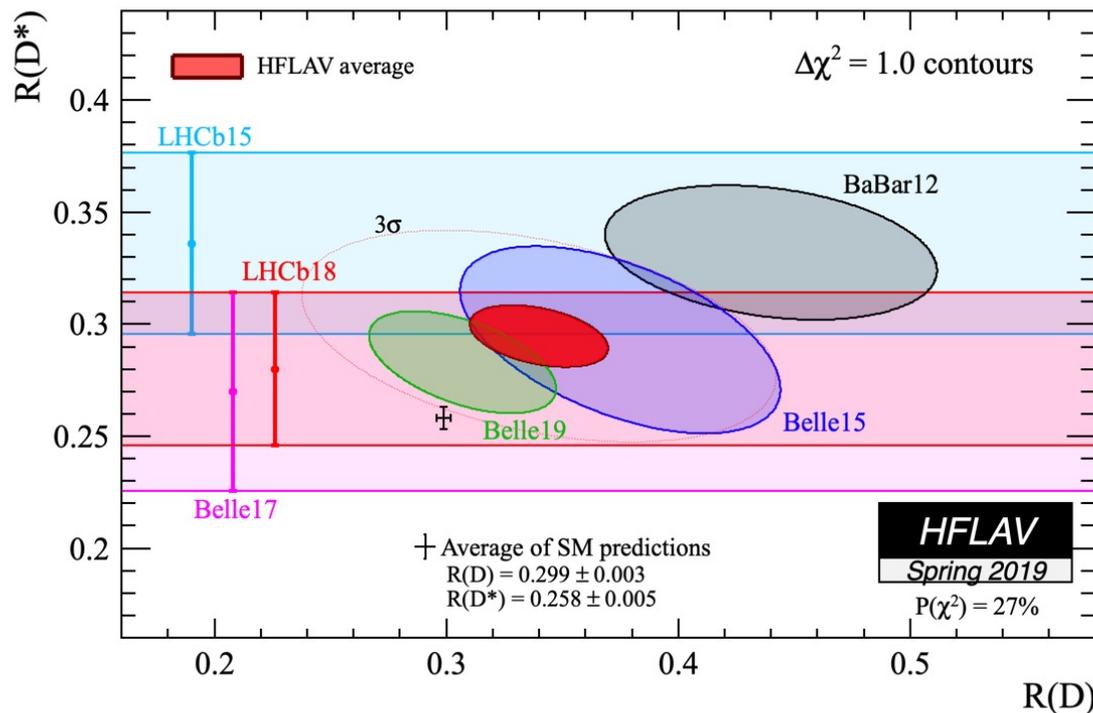


- Tree-level processes in SM – requires a *huge* NP effect, comparable with the SM amplitude
- Drives idea of hierarchical effect: large NP effect in τ ; smaller in μ , where we have measured $b \rightarrow s\mu\mu$ decays, and little/no effect in e modes
- Possible to make a NP explanation, coherent with $b \rightarrow s\mu\mu$
 - Most discussed NP models involve Leptoquarks or Z'

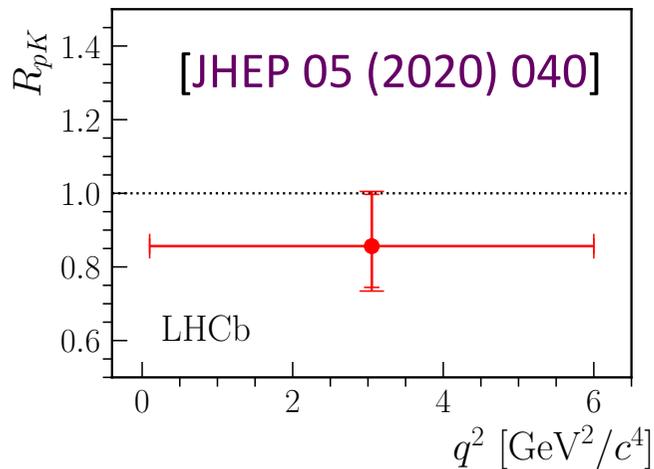
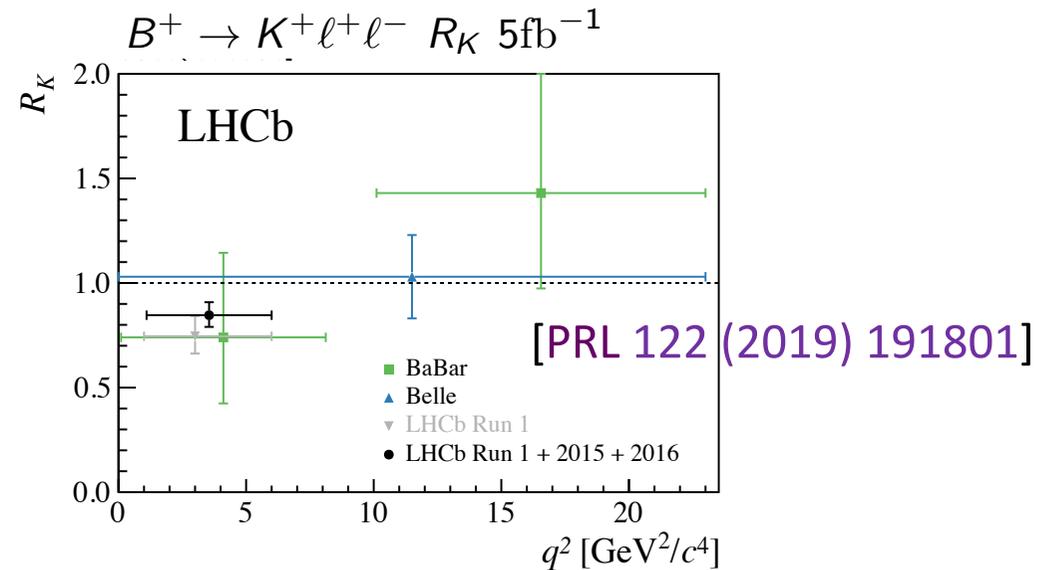
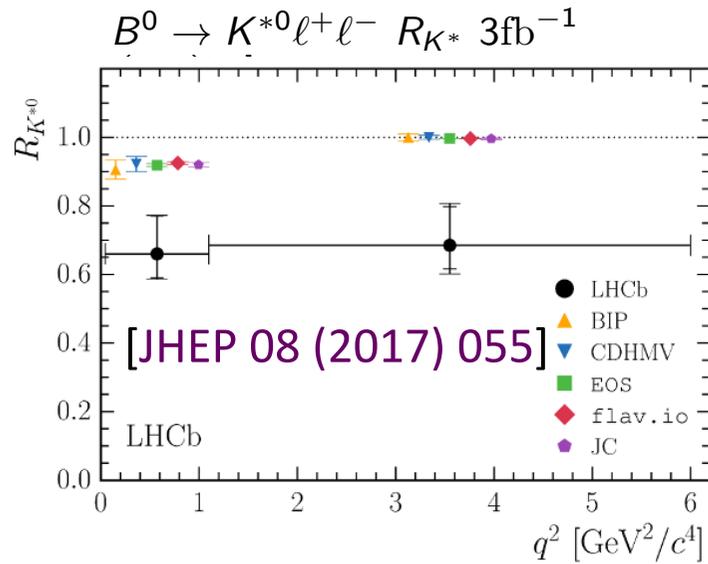
Fit to $b \rightarrow c l \nu$ LFU ratios

- Combination of LHCb results with those from Babar/Belle
- World average value shows a 3.1σ tension with SM prediction but very recent updates to SM theory from lattice

[arxiv:2105.14019]



$b \rightarrow sll$ LFU ratios



- Despite $\sim 2.5\sigma$ consistency with SM, measured values have generated some excitement – are precisely what would result from $\Delta C_9^e=0, \Delta C_9^\mu=-1$ i.e. could account for angular data, BFs and $R_{K^{(*)}}$ ratios by changing only C_9^μ

R_K LFU ratio update

[arXiv:2103.11769]

- Recently updated R_K measurement in $1.1 < q^2 < 6.0 \text{ GeV}^2/c^4$ region,

$$R_K = \frac{\int_{1.1 \text{ GeV}^2}^{6.0 \text{ GeV}^2} \frac{d\mathcal{B}(B^+ \rightarrow K^+ \mu^+ \mu^-)}{dq^2} dq^2}{\int_{1.1 \text{ GeV}^2}^{6.0 \text{ GeV}^2} \frac{d\mathcal{B}(B^+ \rightarrow K^+ e^+ e^-)}{dq^2} dq^2}$$

- Update effectively doubles number of B decays cf previous measurement
- Measurement strategy identical to our previous analysis

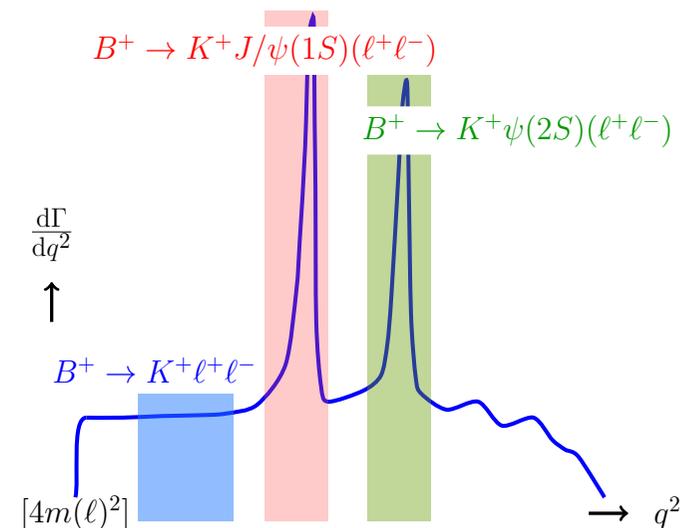
R_K Analysis Strategy

[arXiv:2103.11769]

- Exploit double ratio wrt equivalent J/ψ decay modes in order to cancel experimental systematic uncertainties

$$R_K = \frac{\mathcal{B}(B^+ \rightarrow K^+ \mu^+ \mu^-)}{\mathcal{B}(B^+ \rightarrow K^+ J/\psi(\mu^+ \mu^-))} \bigg/ \frac{\mathcal{B}(B^+ \rightarrow K^+ e^+ e^-)}{\mathcal{B}(B^+ \rightarrow K^+ J/\psi(e^+ e^-))}$$

$$= \frac{N_{\mu^+ \mu^-}^{\text{rare}} \epsilon_{\mu^+ \mu^-}^{J/\psi}}{N_{\mu^+ \mu^-}^{J/\psi} \epsilon_{\mu^+ \mu^-}^{\text{rare}}} \times \frac{N_{e^+ e^-}^{J/\psi} \epsilon_{e^+ e^-}^{\text{rare}}}{N_{e^+ e^-}^{\text{rare}} \epsilon_{e^+ e^-}^{J/\psi}}$$



- Measurement then statistically dominated

Efficiency calibration

[arXiv:2103.11769]

- Efficiencies computed using simulation that is calibrated with control channels in data
 - Trigger efficiency
 - Particle identification efficiency
 - B^+ kinematics
 - Resolutions of q^2 and $m(K^+e^+e^-)$

Verify procedure through host of cross-checks

- Overall effect of these calibrations is a relative shift of the R_K result by $(+3\pm 1)\%$
[would be 20% without the double ratio method]

$r_{J/\psi}$ cross-check

[arXiv:2103.11769]

- Test control of the absolute scale of the efficiencies by instead measuring the single ratio,

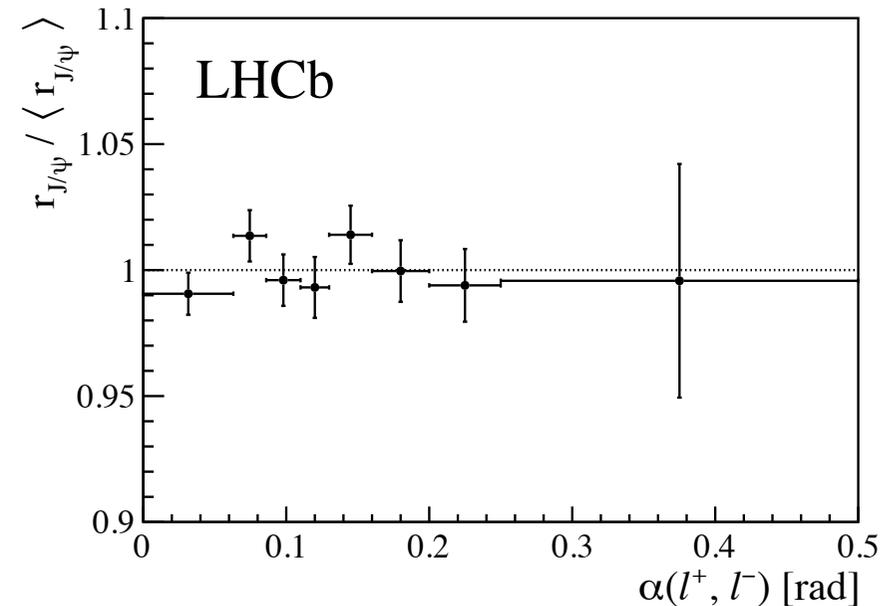
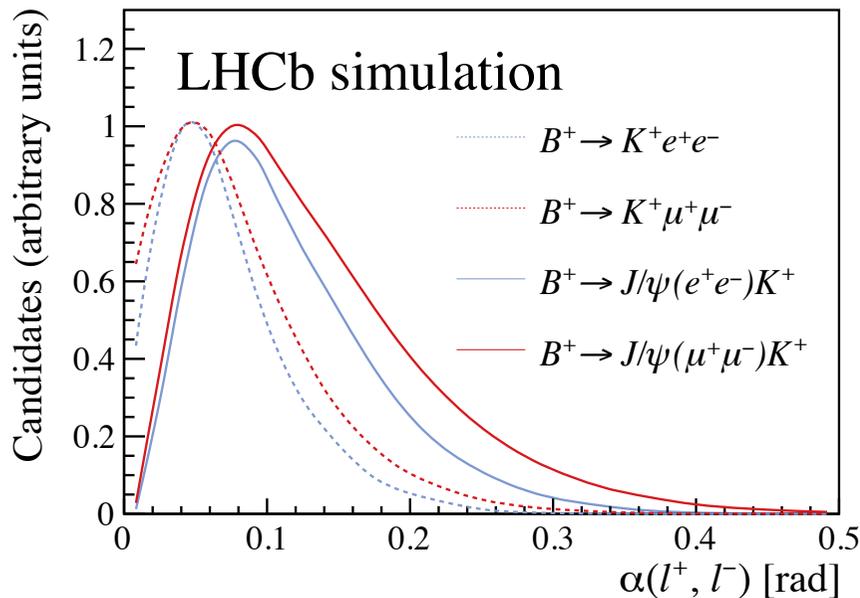
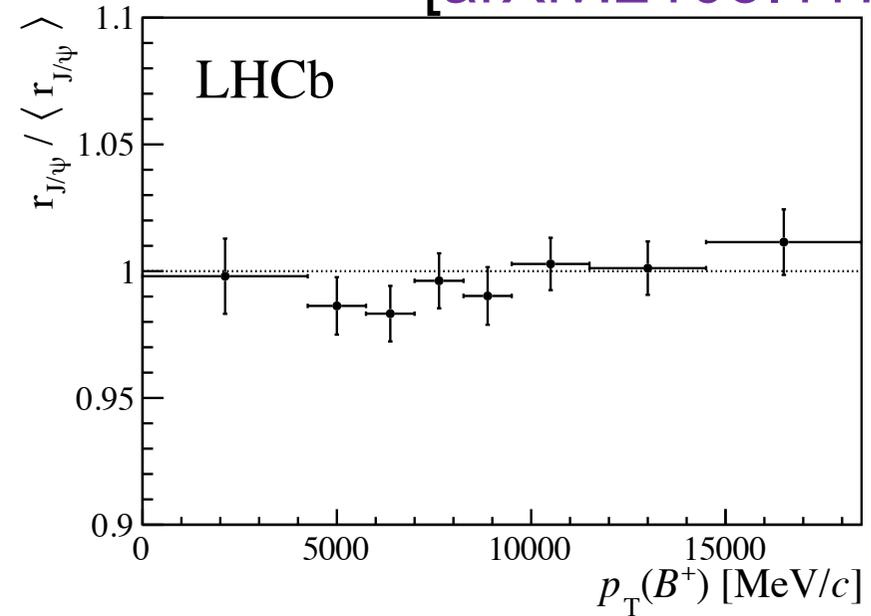
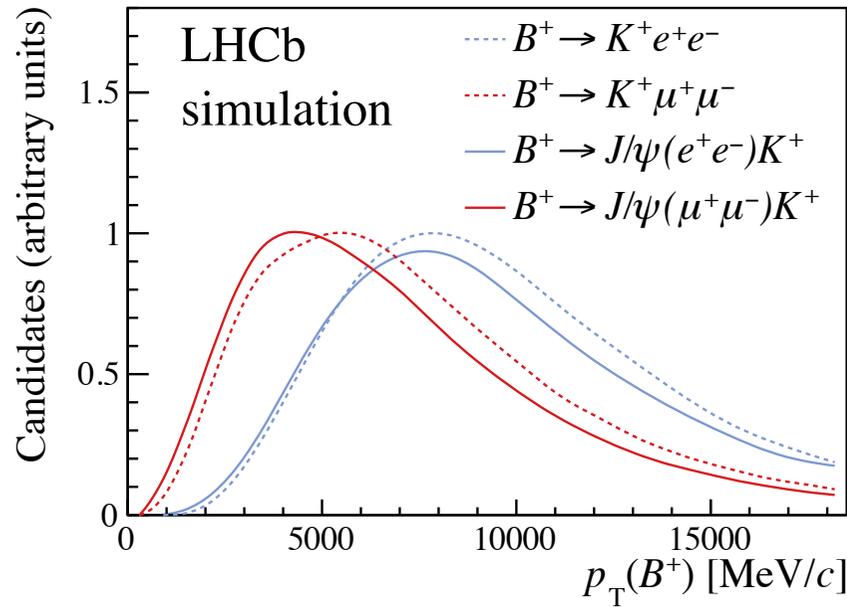
$$r_{J/\psi} = \frac{\mathcal{B}(B^+ \rightarrow K^+ J/\psi(\mu^+ \mu^-))}{\mathcal{B}(B^+ \rightarrow K^+ J/\psi(e^+ e^-))}$$

where we do not benefit from the double ratio cancellation

- $r_{J/\psi}$ measured to be lepton universal at 0.4% level
- Measure $r_{J/\psi} = 0.981 \pm 0.020$ (stat+syst)
 - compatible with unity for new and previous datasets and in all trigger samples
 - result is independent of the decay kinematics
 - binning in quantities that would expect bremsstrahlung and trigger to depend on see completely uniform result

Differential $r_{J/\psi}$ cross-check

[arXiv:2103.11769]



R_K result

[arXiv:2103.11769]

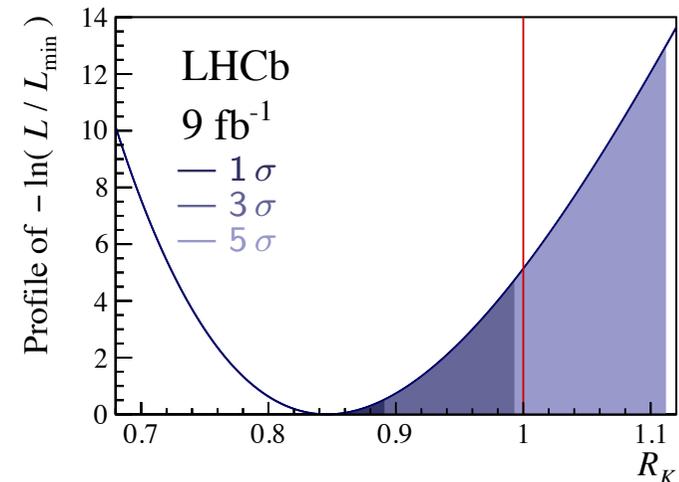
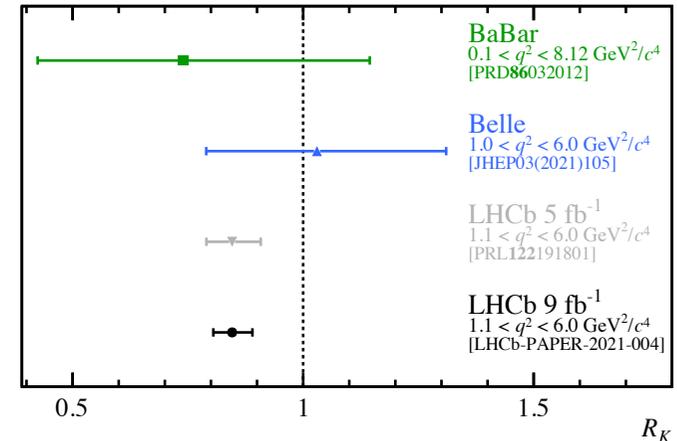
- R_K with full Run1 and Run2 dataset

$$R_K = 0.846^{+0.042}_{-0.039} \text{ (stat)} \quad +0.013_{-0.012} \text{ (syst)}$$

- Compatibility with the SM obtained by integrating the profiled likelihood as a function of R_K above 1

- p-value under SM hypothesis: 0.0010
→ Evidence of LFU violation at 3.1σ

- Paper submitted to Nature Physics



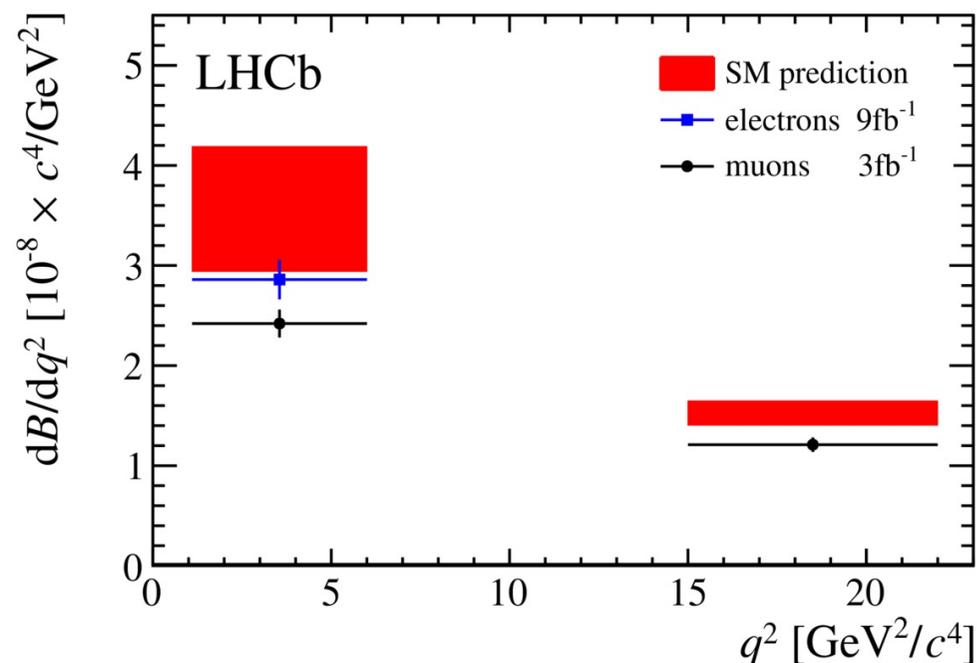
Derived quantities

[arXiv:2103.11769]

- Use R_K and previous measurement of $B(B^+ \rightarrow K^+ \mu^+ \mu^-)$ [JHEP06(2014)133] to determine $B(B^+ \rightarrow K^+ e^+ e^-)$

$$\frac{dB(B^+ \rightarrow K^+ e^+ e^-)}{dq^2} = (28.6^{+1.5}_{-1.4}(\text{stat}) \pm 1.4(\text{syst})) \times 10^{-9} \text{ c}^4 / \text{GeV}^2.$$

- As previously, suggests electrons are more SM-like than muons – plays into hierarchical idea that theory community find appealing



Global fits revisited

Global fits revisited

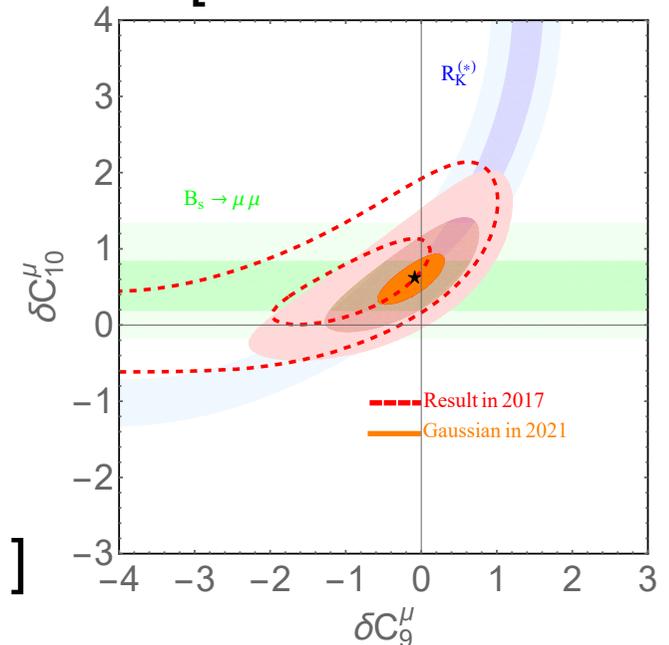
- Using *just* the theoretically pristine observables, R_K , R_{K^*} and $\text{BF}(B \rightarrow \mu\mu)$, that no one argues about predictions for, exclude SM at 4σ level

[arXiv:2104.08921]

- Updated 2D W.C. fits now have:

- No evidence for axialvector NP ($C_{10\mu}^{\text{NP}}$ compatible with zero)
- Some evidence for right-handed contribution ($C_{9\mu}^{\text{NP}}, C_{10'\mu}^{\text{NP}}), (C_{9\mu}^{\text{NP}}, C_{9'\mu}^{\text{NP}} = -C_{10'\mu}^{\text{NP}})$)
- Potential LFU NP contribution

[arXiv:2103.12738]



Many, many alternative fits on the market ...

[arXiv:2012.12207,

2011.01212,

1904.08399,

1903.09578,

1903.10086,

...1903.10932...]

Global fits and LEE

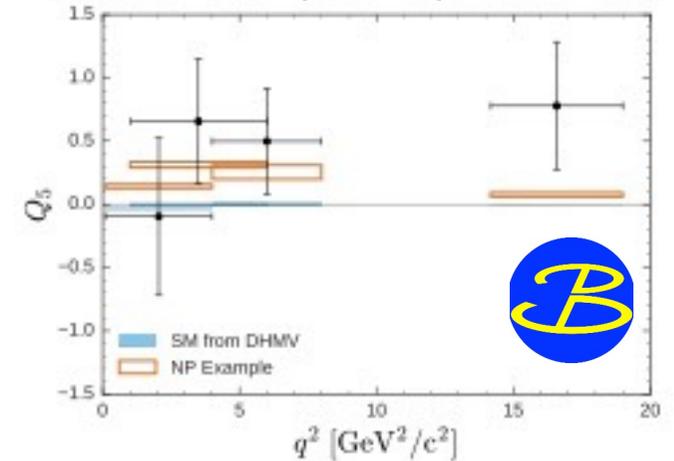
- The significance quoted for the many global fits on the market hold for specific (well-motivated) NP hypotheses, but made a posteriori (after looking at the data) → local significance
- Concentrating only on the clean observables, or only on LFU ratios, neglects observables which agree with SM. Need a global significance that takes care of the Look Elsewhere Effect
- Now seeing first attempts to include all observables with sensitivity to $b \rightarrow sll$ and conservative theory errors
→ **3.9 σ global** significance with respect to any form of heavy NP
[arXiv:2104.05631]
- LHCb thinking about how to take this forward

A glimpse of the future

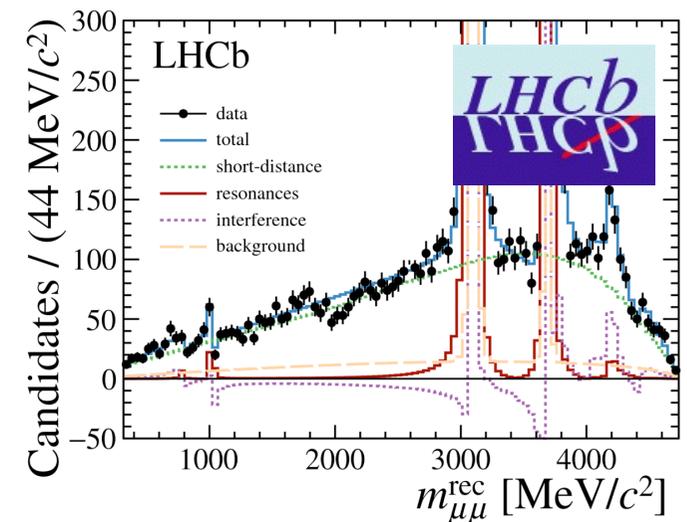
A glimpse of the future

- (R_D, R_{D^*}) update from LHCb coming; CMS... ?
- Make ratio of $P_5'(e)$ and $P_5'(\mu) \rightarrow Q_5$
 - Full angular analysis of $B^0 \rightarrow K^{*0} ee$ in progress at LHCb
- *Measure* the effect of $c\bar{c}$ loops, as have already done for $B^+ \rightarrow K^+ \mu^+ \mu^-$
- Search for the huge effects expected in $b \rightarrow s \tau \tau$ and possibility of $b \rightarrow s \tau \mu$

[PRL 126 (2021) 161801]

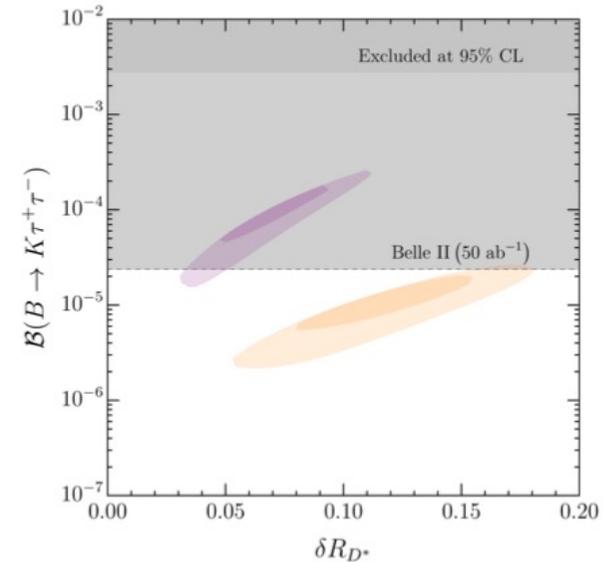


[EJPC (2017) 77:161]

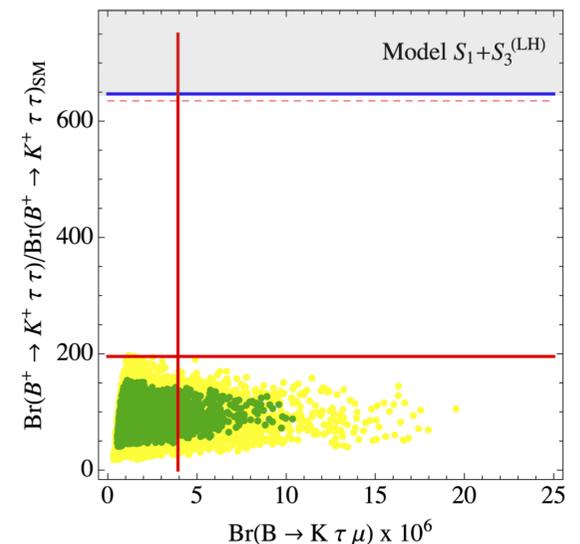


A glimpse of the future

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 - Full angular analysis of $B^0 \rightarrow K^{*0} ee$ in progress at LHCb
- *Measure* the effect of $c\bar{c}$ loops, as have already done for $B^+ \rightarrow K^+ \mu^+ \mu^-$
- Search for the huge effects expected in $b \rightarrow s \tau \tau$ and possibility of $b \rightarrow s \tau \mu$



[CC, Fuentes-Martin, Faroughy, Isidori, Neubert, 2101.11626]

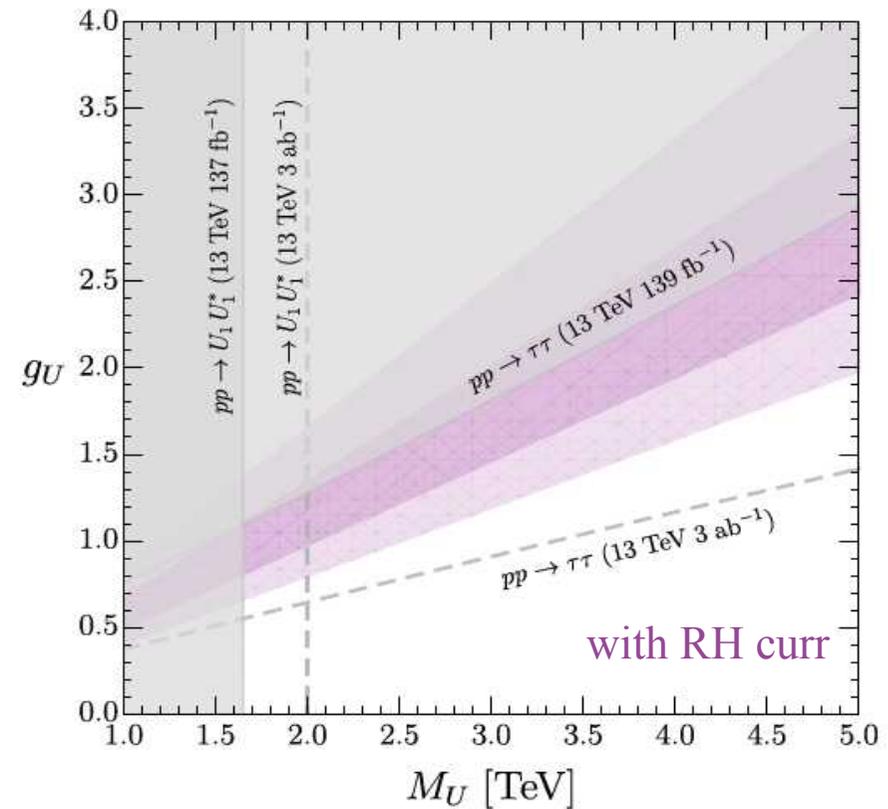
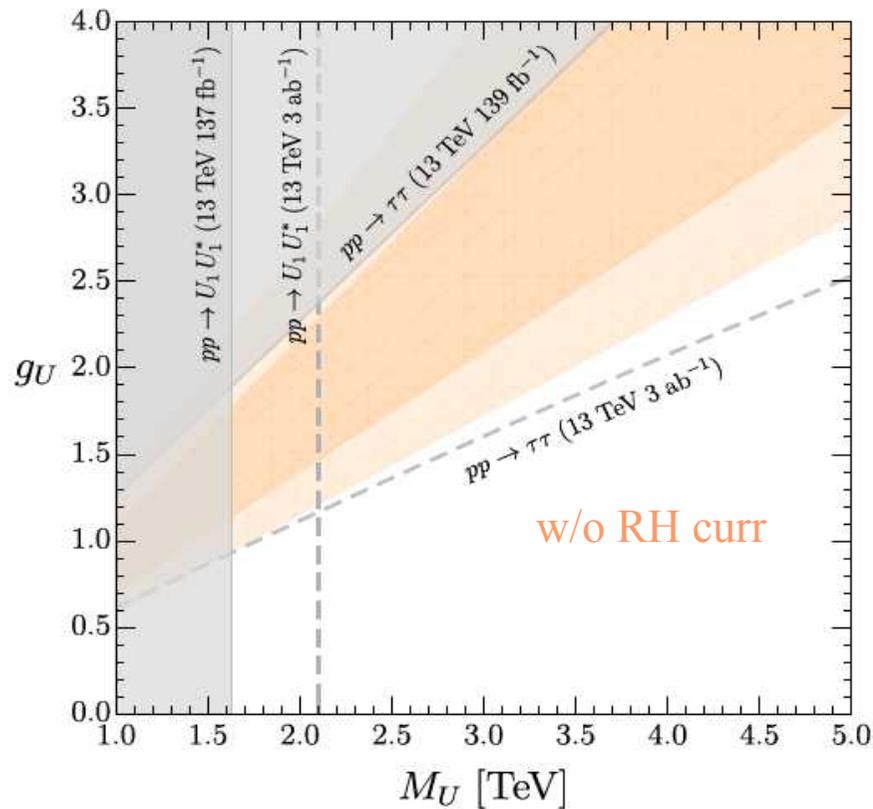


[Gherardi, Marzocca, Venturini 2008.09548]

A glimpse of the future

- Need a model of flavour to understand implications for direct searches but some analyses suggest that e.g. LQ could be within the reach of HL-LHC

[arxiv:2101.11626]



Conclusions

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- Interesting set of anomalies observed in B decays
- Near-term updates should clarify the situation and can help constrain some of the theoretical issues
- Wide range of new measurements will be added to broaden the constraints on the underlying physics